# **Pattern Matching**

- exact pattern matching
- Knuth-Morris-Pratt
- RE pattern matching
- ▶ grep

#### References:

Algorithms in C (2nd edition), Chapter 19 (pdf online) http://www.cs.princeton.edu/algs4/631ong http://www.cs.princeton.edu/algs4/72regular

Algorithms in Java, 4th Edition · Robert Sedgewick and Kevin Wayne · Copyright © 2008 · November 25, 2008 6:25:43 AM

## Exact pattern matching



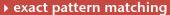
Computer forensics. Search memory or disk for signatures, e.g., all URLs or RSA keys that the user has entered.



http://citp.princeton.edu/memory

#### Applications

- Parsers.
- Spam filters.
- Digital libraries.
- Screen scrapers.
- Word processors.
- Web search engines.
- Natural language processing.
- Computational molecular biology.
- FBIs Digital Collection System 3000.
- Feature detection in digitized images.
- ...



- Knuth-Morris-Pratt
- **RF** pattern matching
- aren









## Spam filtering

## Identify patterns indicative of spam.

- PROFITS
- AMAZING
- GUARANTEE
- LOSE WE1GHT
- herbal Viagra
- There is no catch.
- LOW MORTGAGE RATES
- This is a one-time mailing.
- This message is sent in compliance with spam regulations.
- You're getting this message because you registered with one of our marketing partners.

## Screen scraping

Goal. Extract relevant data from web page.

Ex. Find string delimited by <b> and </b> after first occurrence of pattern Last Trade:.

More On GOOG	00G)				At 11:19/AH ET: 256.44 4 5.99 (2.28%)
Quotes Summary Real-Time ECN NEW Options	Google Inc. (NasdaqGS: GOOG) NEW Real-Ime: 258.45 -3.97 (-1.51%) 11:54am ET			6006 24-Nov 11:10am (C)Yaho	
Historical Prices	Last Trade:	256.44	Day's Range:	250.26 - 269.95	260 Mm
Charts	Trade Time:	11:19AM ET	52wk Range:	247.30 - 724.80	255
Interactive Basic Chart	Change:	♣ 5.99 (2.28%)	Volume:	3,800,804	10an 12pn 2pn 4pn 1d 5d 3m 6m 1v 2v 5v
Basic Tech. Analysis	Prev Close:	262.43	Avg Vol (3m):	7,334,210	customize cha
News & Info	Open:	269.65	Market Cap:	80.67B	Add GOOG to Your Portfolio
Headlines Financial Blogs	Bid:	256.31 x 100	P/E (ttm):	15.48	Set Alert for GOOG ds Download Data
Company Events	Ask:	256.57 x 100	EPS (ttm):	16.56	a Download Data
Message Board	1v Target Est:	511.87	Div & Yield:	N/A (N/A)	Add Quotes to Your Web Site

<td <="" class="yfnc_tablehead1" td=""></td>	
width= "48%">	
Last Trade:	
<big><b>452.92</b></big>	
<td <="" class="yfnc_tablehead1" td=""></td>	
width= "48%">	
Trade Time:	

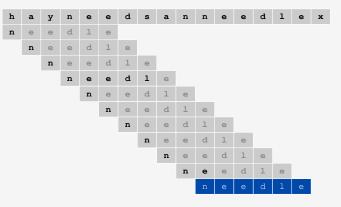
## Exact pattern matching in Java

The method s.indexof (pattern, offset) in Java's string library returns the index of the first occurrence of pattern in string s, starting at given offset.

public stat	tic void main(String[] args)
{	
String n	<pre>hame = "http://finance.yahoo.com/q?s=";</pre>
In in =	<pre>new In(name + args[0]);</pre>
String i	input = in.readAll();
int star	<pre>rt = input.indexOf("Last Trade:", 0);</pre>
int from	<pre>m = input.indexOf("<b>", start);</b></pre>
int to	<pre>= input.indexOf("", from);</pre>
String p	price = input.substring(from + 3, to);
StdOut.p	println(price);
}	
	% java StockQuote goog
	256.44
	% java StockQuote msft
	19.68

## Brute-force exact pattern match

Check for pattern starting at each text position.

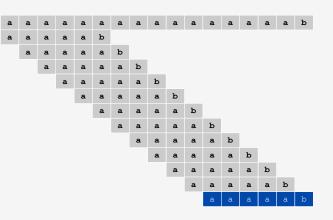


Check for pattern starting at each text position.

```
public static int search(String pattern, String text)
{
    int M = pattern.length();
    int N = text.length();
    for (int i = 0; i < N - M; i++)
    {
        int j;
        for (j = 0; j < M; j++)
            if (text.charAt(i+j) != pattern.charAt(j))
            break;
        if (j == M) return i; 	imes index in text where pattern starts
    }
    return -1; 	mes not found
}</pre>
```

## Brute-force exact pattern match: worst case

Brute-force algorithm can be slow if text and pattern are repetitive.

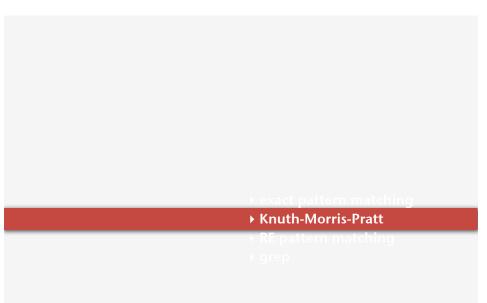


Worst case. ~ MN char compares.

## Algorithmic challenges in pattern matching

Brute-force is not good enough for all applications. Theoretical challenge. Linear-time guarantee. 🛛 🔶 fundamental algorithmic problem Practical challenge. Avoid backup in text stream. - often no room or time to save text Now is the time for all people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for many good people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for a lot of good people to come to the aid of their party. Now is the time for all of the good people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for each good person to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for all good Republicans to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for many or all good people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for all good Democrats to come to the aid of their party. Now is the time for all people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for many good people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for a lot of good people to come to the aid of their

party. Now is the time for all of the good people to come to the aid of their party. Now is the time for all good people to come to the aid of their attack at dawn party. Now is the time for each person to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for all good Republicans to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for all good pemocrats to come to the aid of their party.



## Knuth-Morris-Pratt exact pattern-matching algorithm

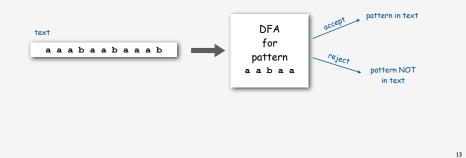
## KMP. Classic algorithm that meets both challenges.

- Linear-time guarantee.
- No backup in text stream.



## Basic plan (for binary alphabet).

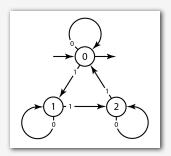
- Build DFA from pattern.
- Simulate DFA with text as input.



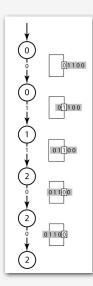
## Deterministic finite-state automata

## DFA review.

- Finite number of states (including start and accept).
- Exactly one transition for each input symbol.
- Accept if sequence of transitions leads to accept state.



Q. Which bitstrings does this DFA accept?

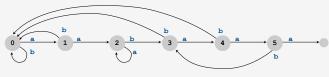


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## Knuth-Morris-Pratt DFA example

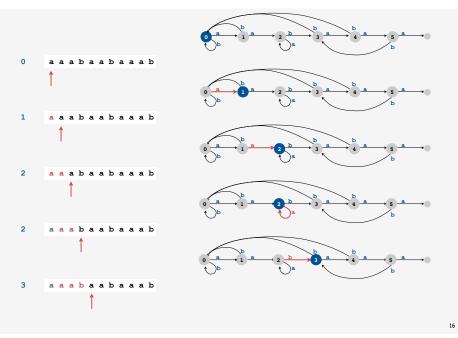
## One state for each pattern character.

- Match input character: move from i to i+1.
- Mismatch: move to previous state.

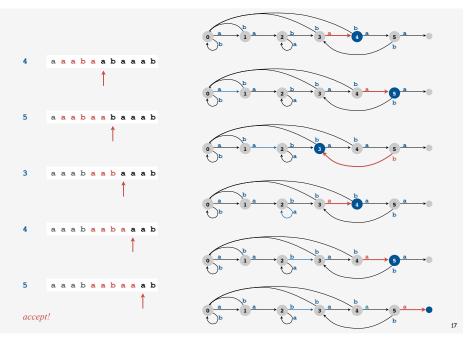


DFA for pattern aabaaa

## Knuth-Morris-Pratt DFA simulation



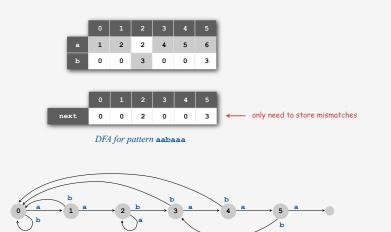
## Knuth-Morris-Pratt DFA simulation



## Knuth-Morris-Pratt implementation

DFA representation. A single state-indexed array next[].

- Upon character match in state  ${\tt j}$  , go forward to state  ${\tt j+1}.$
- Upon character mismatch in state j, go back to state next[j].

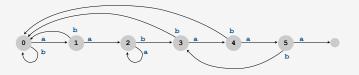


## Knuth-Morris-Pratt DFA simulation

When in state i. Matches in i previous input chars (and is longest such match).

- Ex. End in state 4 iff text ends in aaba.
- Ex. End in state 2 iff text ends in an (but not and a or and a abana).





Knuth-Morris-Pratt: Java implementation

## Two key differences from brute-force implementation.

- Text pointer i never decrements.
- Need to precompute next[] table (DFA) from pattern.

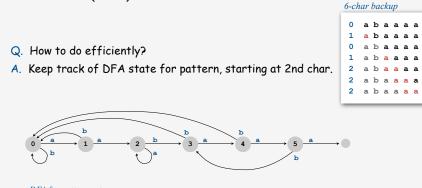
#### Simulation of KMP DFA

int j = 0;	
for (int i = 0; i < N; i++)	
{	
if (text.charAt(i) == pattern.cha	rAt(j))
j++;	<pre>// char matches</pre>
else	
j = next[j];	// char mismatch
if (j == M) return i - M + 1;	<pre>// found pattern</pre>
}	
return -1;	// not found

## Knuth-Morris-Pratt: incremental DFA construction

Key idea. DFA for first i states contains info needed to build state i+1.

- Ex. Given DFA for pattern aabaaa, to compute DFA for pattern aabaaab:
- On mismatch at 7th char, need to simulate 6-char backup.
- Previous 6 chars are known (abaaaa in example).
- 6-state DFA (known) determines next state!



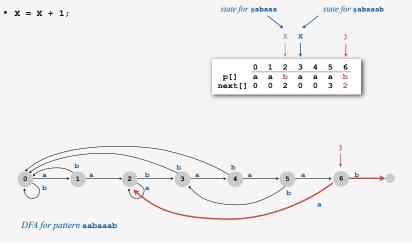
DFA for pattern aabaaa

## Knuth-Morris-Pratt DFA construction: two cases

Let x be the next state in the simulation and j the next state to build.

## Case 1. If p[x] and p[j] match, copy and increment.

• next[j] = next[X];



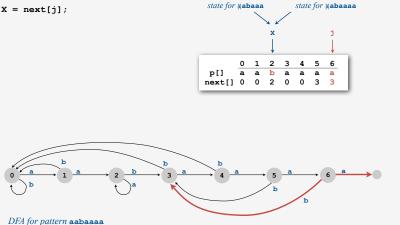
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## Knuth-Morris-Pratt DFA construction: two cases

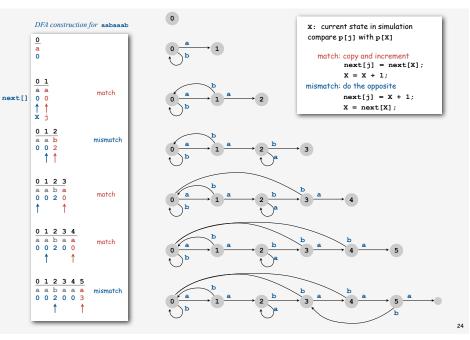
Let x be the next state in the simulation and j the next state to build.

## Case 2. If p[x] and p[j] mismatch, do the opposite.

- next[j] = X + 1;
- X = next[j];



#### Knuth-Morris-Pratt DFA construction



## DFA construction for KMP: Java implementation

int X = 0;
<pre>int[] next = new int[M];</pre>
for (int $j = 1; j < M; j++$ )
{
<pre>if (pattern.charAt(X) == pattern.charAt(j))</pre>
{ // match
<pre>next[j] = next[X];</pre>
X = X + 1;
}
else
{ // mismatch
next[j] = X + 1;
X = next[X];
}
}



#### Analysis. Takes time and space proportional to pattern length.

#### Knuth-Morris-Pratt summary

## General alphabet.

• More difficult.

but too much space and time

- Easy with next[i][c] indexed by mismatch position i, character c.
- KMP paper has ingenious solution that uses a single 1D next[] array.
   [ build NFA, then prove that it finishes in 2N steps ]

Bottom line. Linear-time pattern matching is possible (and practical).

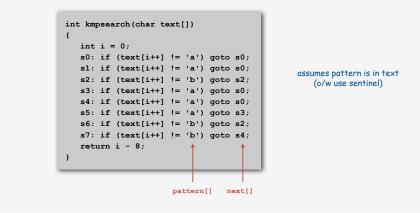
#### Short history.

- Inspired by esoteric theorem of Cook.
- Discovered in 1976 independently by two theoreticians and a hacker.
- Knuth: discovered linear time algorithm
- Pratt: made running time independent of alphabet
- Morris: trying to build a text editor
- Theory meets practice.

#### **Optimized KMP implementation**

## Ultimate search program for any given pattern.

- One statement comparing each pattern character to next.
- Match: proceed to next statement.
- Mismatch: go back as dictated by DFA.
- Translates to machine language (three instructions per pattern char).



## Exact pattern matching: other approaches

## Rabin-Karp: make a digital signature of the pattern.

- Hashing without the table.
- Linear-time probabilistic guarantee.
- Plus: extends to 2D patterns.
- Minus: arithmetic ops slower than char comparisons.

#### Boyer-Moore: scan from right to left in pattern.

- Main idea: can skip M text chars when finding one not in the pattern.
- Needs additional KMP-like heuristic.
- Plus: possibility of sublinear-time performance (~ N/M ).
- Used in Unix, emacs.

 pattern
 s
 y
 z
 y
 g
 y
 y
 g
 y

 text
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Cost of searching for an M-character pattern in an N-character text.

algorithm	operations	typical	worst-case
brute-force	char compares	1.1 N <sup>†</sup>	MN
КМР	char compares	1.1 N <sup>†</sup>	2N
Karp-Rabin	arithmetic ops	3N	3N ‡
Boyer-Moore	char compares	N/M †	3N

† assumes appropriate model ‡ randomized



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## Regular-expression pattern matching

Exact pattern matching. Find occurrences of a single pattern in text. RE pattern matching. Find occurrences of one of multiple patterns in text.

## Ex. [genomics]

- Fragile X syndrome is a common cause of mental retardation.
- Human genome contains triplet repeats of cgg or agg, bracketed by gcg at the beginning and ctg at the end.
- Number of repeats is variable, and correlated with syndrome.
- Use RE to specify pattern: gcg(cgg]agg)\*ctg.
   Do RE pattern match on person's genome to detect Fragile X.

#### pattern (RE) gcg(cgg|agg)\*ctg

## RE pattern matching: applications

## Test if a string matches some pattern.

- Process natural language.
- Scan for virus signatures.
- Search for information using Google.
- Access information in digital libraries.
- Retrieve information from Lexis/Nexis.
- Search-and-replace in a word processors.
- Filter text (spam, NetNanny, Carnivore, malware).
- Validate data-entry fields (dates, email, URL, credit card).
- Search for markers in human genome using PROSITE patterns.

## Parse text files.

- Compile a Java program.
- Crawl and index the Web.
- Read in data stored in ad hoc input file format.
- Automatically create Java documentation from Javadoc comments.

## A regular expression is a notation to specify a set of strings.

operation	example RE	in set	not in set
concatenation	aabaab	aabaab	every other string
wildcard	.u.u.u.	cumulus jugulum	succubus tumultuous
union	aa   baab	aa baab	every other string
closure	ab*a	aa abbbbbbbba	ab ababa
parentheses	a (a b) aab	aaaab abaab	every other string
	(ab) *a	a ababababa	aa abba

## Regular expression examples (continued)

## Notation is surprisingly expressive

regular expression	in set	not in set
.*spb.* (contains the trigraph spb)	raspberry crispbread	subspace subspecies
a*   (a*ba*ba*ba*)* (number of b's is a multiple of 3)	bbb aaa bbbaababbaa	b bb baabbbaa
.*0 (fifih to last digit is 0)	1000234 98701234	111111111 403982772
gcg (cgg   agg) *ctg (fragile X syndrome)	gcgctg gcgcggctg gcgcggaggctg	gcgcgg cggcggcggctg gcgcaggctg

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and plays a well-understood role in the theory of computation.

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## Generalized regular expressions

Additional operations are often added for convenience.

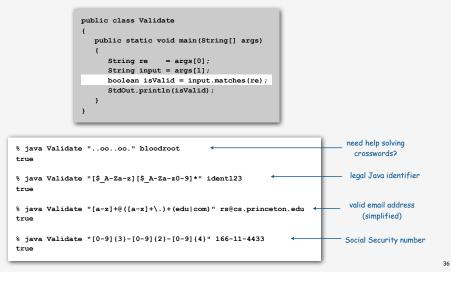
## Ex. [a-e]+ is shorthand for (a|b|c|d|e) (a|b|c|d|e) \*

operation	example RE	in set	not in set
one or more	a (bc) +de	abcde abcbcde	ade bcde
character classes	[A-Za-z][a-z]*	word Capitalized	camelCase 4illegal
exactly k	[0-9]{5}-[0-9]{4}	08540-1321 19072-5541	11111111 166-54-111
negations	[^aeiou]{6}	rhythm	decade

Caveat. Need to be alert for non-regular additions, e.g., back reference.

## Regular expressions in Java

Validity checking. Is input in the set described by the re? Java string library. Use input.matches (re) for basic RE matching.



## Regular expressions in other languages

## Regular expressions to the rescue

## Broadly applicable programmer's tool.

- Originated in Unix in the 1970s
- Many languages support extended regular expressions.
- Built into grep, awk, emacs, Perl, PHP, Python, JavaScript.





Regular expression caveat

## Writing a RE is like writing a program.

- Need to understand programming model.
- Can be easier to write than read.
- Can be difficult to debug.

" Sometimes you have a programming problem and it seems like the best solution is to use regular expressions; now you have two problems."

## Can the average web surfer learn to use REs?





## TiVo. WishList has very limited pattern matching.



Using \* in WishList Searches. To search for similar words in Keyword and Title WishList searches, use the asterisk (\*) as a special symbol that replaces the endings of words. For example, the keyword AIRP\* would find shows containing "airport," "airplane," "airplanes," as well as the movie "Airplane!" To enter an asterisk, press the SLOW ( ( ) button as you are spelling out your keyword or title.

The asterisk can be helpful when you're looking for a range of similar words, as in the example above, or if you're just not sure how something is spelled. Pop quiz: is it "irresistible" or "irresistable?" Use the keyword IRRESIST\* and don't worry about it! Two things to note about using the asterisk:

· It can only be used at a word's end; it cannot be used to omit letters at the beginning or in the middle of a word. (For example, AIR\*NE or \*PLANE would not work.)

Reference: page 76, Hughes DirectTV TiVo manual

#### Perl RE for valid RFC822 email addresses



http http://www.ex-parrot.com/~pdw/Mail-RFC822-Address.html

## GREP implementation: basic plan (first attempt)

#### Overview is the same as for KMP!

- Linear-time guarantee.
- No backup in text stream.



Ken Thompson

Basic plan for grep (generalized regular expression print).

- Build DFA from RE.
- Simulate DFA with text as input.
- pattern in text accept input DFA for pattern reject gcg(cgg|agg)\*ctg pattern NOT in text

## ▶ grep

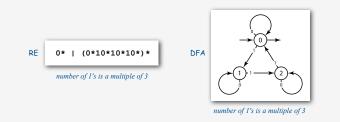
## Duality

Nondeterministic finite-state automata

- RE. Concise way to describe a set of strings.
- DFA. Machine to recognize whether a given string is in a given set.

## Kleene's theorem.

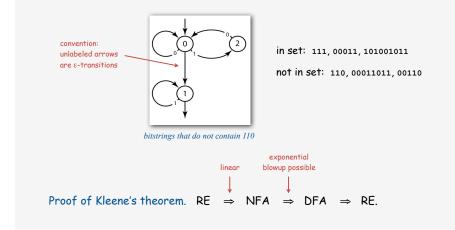
- For any DFA, there exists a RE that describes the same set of strings.
- For any RE, there exists a DFA that recognizes the same set of strings.



Good news. Basic plan works. Bad news. The DFA can be exponentially large. Consequence. Need better abstract machine.

## NFA.

- May have 0, 1, or more transitions for each input symbol.
- May have ε-transitions (move to another state without reading input).
- Accept if any sequence of transitions leads to accept state.



GREP implementation: basic plan (revised)

## Basic plan for GREP.

• build NFA from RE.

input

• Simulate NFA with text as input.

• Give up on linear-time guarantee (but establish quadratic-time guarantee).



accept

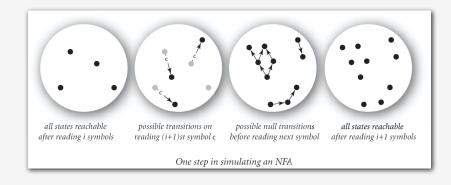
reject

NFA for pattern

gcg(cgg|agg)\*ctg

Simulating an NFA

- Q. How to efficiently simulate an NFA?
- A. Maintain set of all possible states that NFA could be in after reading in the first i symbols.

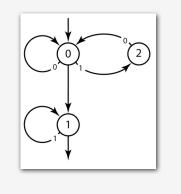


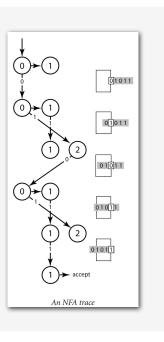
Q. How to perform reachability?

A. Graph reachability in a digraph. (!)

pattern in text

pattern NOT in text

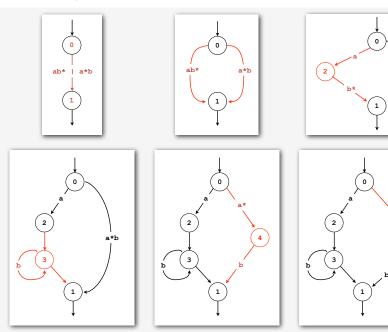




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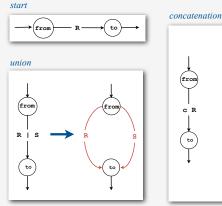
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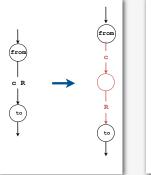
## Converting from an RE to an NFA example: ab\* | a\*b

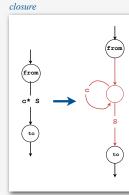


## Use generalized NFA with full RE on transitions.

- · Start with one transition having given RE.
- Remove operators with transformations given below.
- Goal: standard NFA (all single-character or  $\varepsilon$ -transitions).







Grep running time

Input. Text with N characters, RE with M characters.

Claim. The number of edges in the NFA is at most 2M.

- Single character: consumes 1 symbol, creates 1 edge.
- Wildcard character: consumes 1 symbol, creates 2 edges.
- Concatenation: consumes 1 symbols, creates 0 edges.
- Union: consumes 1 symbol, creates 1 edges.
- Closure: consumes one symbol, creates 2 edges.

NFA simulation. O(MN) since NFA has 2M transitions

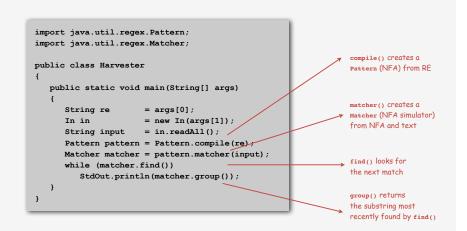
- Bottleneck: 1 graph reachability per input character.
- Can be substantially faster in practice if few  $\epsilon\text{-transitions.}$

NFA construction. Ours is  $O(M^2)$  but not hard to make O(M).

## Industrial-strength grep implementation Harvesting information Goal. Print all substrings of input that match a RE. To complete the implementation, • Deal with parentheses. • Extend the alphabet. • Add character classes. • Add capturing capabilities. % java Harvester "gcg(cgg|agg)\*ctg" chromosomeX.txt • Deal with meta characters. gcgcggcggcggcggcggctg gcgctg • Extend the closure operator. gcgctg harvest patterns from DNA gcgcggcggcggaggcggaggcggctg • Error checking and recovery. harvest links from website · Greedy vs. reluctant matching. % java Harvester "http://(\\w+\\.)\*(\\w+)" http://www.cs.princeton.edu http://www.princeton.edu http://www.google.com http://www.cs.princeton.edu/news 53

## Regular expressions in Java (revisited)

RE pattern matching is implemented in Java's Pattern and Matcher classes.



## Algorithmic complexity attacks

#### Warning. Typical implementations do not guarantee performance!

grep, Java, Perl

8	java	Validate	" (a aa) *b"	aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa	1.6	seconds
8	java	Validate	" (a aa) *b"	aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa	3.7	seconds
8	java	Validate	" (a aa) *b"	aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa	9.7	seconds
8	java	Validate	" (a aa) *b"	aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa	23.2	seconds
8	java	Validate	" (a aa) *b"	aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa	62.2	seconds
8	java	Validate	" (a aa) *b"	aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa	161.6	seconds

#### SpamAssassin regular expression.

% java RE "[a-z]+@[a-z]+([a-z\.]+\.)+[a-z]+" spammer@x.....

- Takes exponential time.
- Spammer can use a pathological email address to DOS a mail server.

## Not-so-regular expressions

## Back-references.

- \1 notation matches sub-expression that was matched earlier.
- Supported by typical RE implementations.

% java Harvester	"\b(.+)\1\b"	dictionary.txt
beriberi	1	
couscous	word boundary	

## Some non-regular languages.

- Set of strings of the form ww for some string w: beriberi.
- Set of bitstrings with an equal number of 0s and 1s: 01110100.
- Set of Watson-Crick complemented palindromes: atttcggaaat.

Remark. Pattern matching with back-references is intractable.

## Context

## Abstract machines, languages, and nondeterminism.

- basis of the theory of computation
- intensively studied since the 1930s
- basis of programming languages

## Compiler. A program that translates a program to machine code.

- KMP string  $\Rightarrow$  DFA.
- grep RE  $\Rightarrow$  NFA.
- javac Java language ⇒ Java byte code.

	KMP	grep	Java
pattern	string	RE	program
parser	unnecessary	check if legal	check if legal
compiler output	DFA	NFA	byte code
simulator	DFA simulator	NFA simulator	JVM

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## Summary of pattern-matching algorithms

## Programmer.

- Implement exact pattern matching via DFA simulation (KMP).
- Implement RE pattern matching via NFA simulation (grep).

## Theoretician.

- RE is a compact description of a set of strings.
- NFA is an abstract machine equivalent in power to RE.
- DFAs and REs have limitations.

You. Practical application of core CS principles.

## Example of essential paradigm in computer science.

- Build intermediate abstractions.
- Pick the right ones!
- Solve important practical problems.