

Stacks and Queues

- ▶ stacks
- ▶ dynamic resizing
- ▶ queues
- ▶ generics
- ▶ iterators
- ▶ applications

Reference: *Algorithms in Java, Chapter 3, 4*

Algorithms in Java, 4th Edition · Robert Sedgewick and Kevin Wayne · Copyright © 2008 · September 18, 2008 12:09:26 AM

Stacks and queues

Fundamental data types.

- Values: sets of objects
- Operations: **insert**, **remove**, test if empty.
- Intent is clear when we insert.
- Which item do we remove?

LIFO = "last in first out"

Stack. Remove the item most recently added.

Analogy. Cafeteria trays, Web surfing.

FIFO = "first in first out"

Queue. Remove the item least recently added.

Analogy. Registrar's line.



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Client, implementation, interface

Separate interface and implementation so as to:

- Build layers of abstraction.
- Reuse software.
- Ex: stack, queue, symbol table, union-find,

Client: program using operations defined in interface.
Implementation: actual code implementing operations.
Interface: description of data type, basic operations.

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Client, Implementation, Interface

Benefits.

- Client can't know details of implementation ⇒ client has many implementation from which to choose.
- Implementation can't know details of client needs ⇒ many clients can re-use the same implementation.
- **Design:** creates modular, reusable libraries.
- **Performance:** use optimized implementation where it matters.

Client: program using operations defined in interface.
Implementation: actual code implementing operations.
Interface: description of data type, basic operations.

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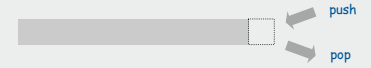
› stacks

- › dynamic resizing
- › queues
- › generics
- › iterators
- › applications

Stacks

Stack operations.

- `push()` Insert a new item onto stack.
- `pop()` Remove and return the item most recently added.
- `isEmpty()` Is the stack empty?

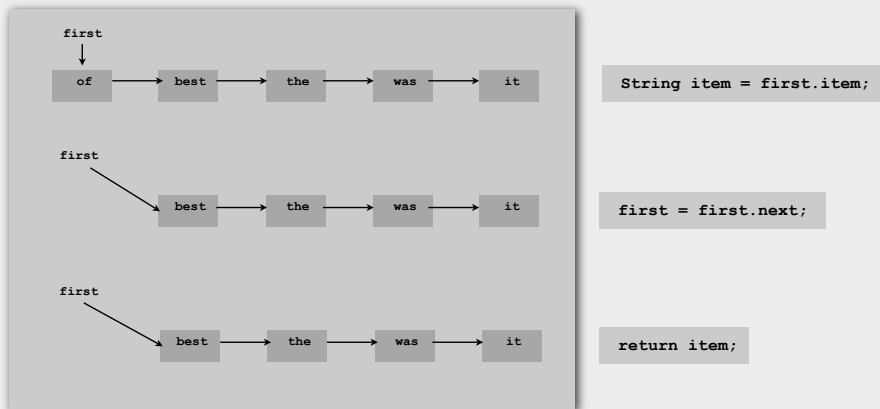


```
public static void main(String[] args)
{
    StackOfStrings stack = new StackOfStrings();
    while (!StdIn.isEmpty())
    {
        String item = StdIn.readString();
        if (item.equals("-")) StdOut.print(stack.pop());
        else stack.push(item);
    }
}
```

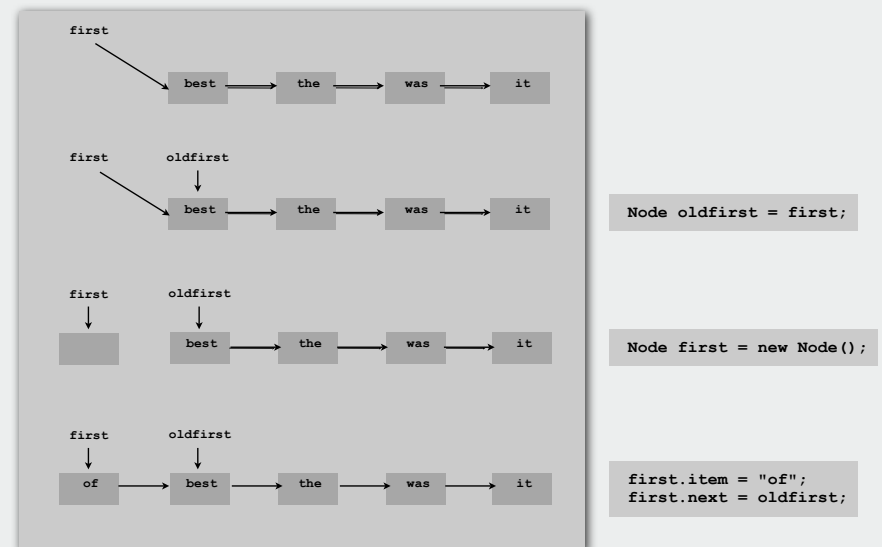
```
% more tobe.txt
to be or not to - be - - that - - - is

% java StackOfStrings < tobe.txt
to be not that or be
```

Stack pop: linked-list implementation



Stack push: linked-list implementation



Stack: linked-list implementation

```
public class StackOfStrings
{
    private Node first = null;

    private class Node
    {
        String item;
        Node next;
    }

    public boolean isEmpty()
    { return first == null; }

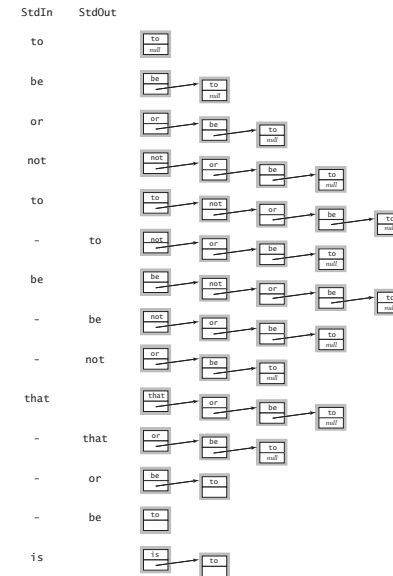
    public void push(String item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public String pop()
    {
        if (isEmpty()) throw new RuntimeException();
        String item = first.item;
        first = first.next;
        return item;
    }
}
```

← "inner class"

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Stack: linked-list trace

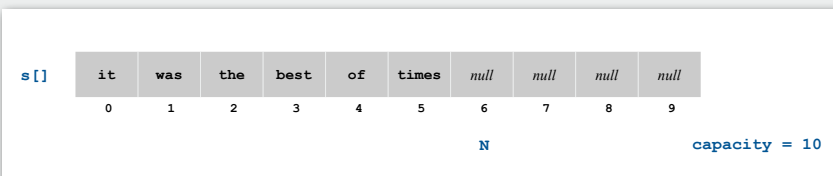


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Stack: array implementation

Array implementation of a stack.

- Use array `s[]` to store `N` items on stack.
- `push()`: add new item at `s[N]`.
- `pop()`: remove item from `s[N-1]`.



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Stack: array implementation

```
public class StackOfStrings
{
    private String[] s;
    private int N = 0;

    public StackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(String item)
    { s[N++] = item; }

    public String pop()
    { return s[--N]; }
}
```

← decrement N;
then use to index into array

```
public String pop()
{
    String item = s[--N];
    s[N] = null;
    return item;
}
```

this version avoids "loitering"

garbage collector only reclaims memory
if no outstanding references

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- › stacks
- › **dynamic resizing**
- › queues
- › generics
- › iterators
- › applications

Stack: dynamic array implementation

Q. How to grow array?

Q. How to shrink array?

First try.

- `push()`: increase size of `s[]` by 1.
- `pop()`: decrease size of `s[]` by 1.

Too expensive.

- Need to copy all item to a new array.
- Inserting N items takes time proportional to $1 + 2 + \dots + N \sim N^2/2$.

↑
infeasible for large N

Goal. Ensure that array resizing happens infrequently.

Stack: dynamic array implementation

Q. How to grow array?

A. If array is full, create a new array of twice the size, and copy items.

"repeated doubling"

```
public StackOfStrings() { s = new String[2]; }

public void push(String item)
{
    if (N == s.length) resize(2 * s.length);
    s[N++] = item;
}

private void resize(int capacity)
{
    String[] dup = new String[capacity];
    for (int i = 0; i < N; i++)
        dup[i] = s[i];
    s = dup;
}
```

$1 + 2 + 4 + \dots + N/2 + N \sim 2N$

Consequence. Inserting N items takes time proportional to N (not N^2).

Stack: dynamic array implementation

Q. How to shrink array?

First try.

- `push()`: double size of `s[]` when array is full.
- `pop()`: halve size of `s[]` when array is **half full**.

Too expensive

- Consider push-pop-push-pop-... sequence when array is full.
- Time proportional to N per operation.

"thrashing"

N = 5	it	was	the	best	of	null	null	null
N = 4	it	was	the	best				
N = 5	it	was	the	best	of	null	null	null
N = 4	it	was	the	best				

Stack: dynamic array implementation

Q. How to shrink array?

Efficient solution.

- `push()`: double size of `s[]` when array is full.
- `pop()`: halve size of `s[]` when array is **one-quarter full**.

```
public String pop()
{
    String item = s[N-1];
    s[N-1] = null;
    N--;
    if (N > 0 && N == s.length/4) resize(s.length / 2);
    s[N++] = item;
    return item;
}
```

Invariant. Array is always between 25% and 100% full.

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Stack: dynamic array implementation trace

StdIn	StdOut	N	a.length	a														
				0	1	2	3	4	5	6	7							
		0	1	null														
to		1	1	to														
be		2	2	to	be													
or		3	4	to	be	or	null											
not		4	4	to	be	or	not											
to		5	8	to	be	or	not	to	null	null	null	null						
-	to	4	8	to	be	or	not	null	null	null	null	null						
be		5	8	to	be	or	not	be	null	null	null	null						
-	be	4	8	to	be	or	not	null	null	null	null	null						
-	not	3	8	to	be	or	null	null	null	null	null	null						
that		4	8	to	be	or	that	null	null	null	null	null						
-	that	3	8	to	be	or	null	null	null	null	null	null						
-	or	2	4	to	be	null	null											
-	be	1	2	to	null													
is		2	2	to	is													

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Amortized analysis

Amortized analysis. Average running time per operation over a worst-case sequence of operations.

Proposition. Starting from empty data structure, any sequence of M ops takes time proportional to M .

running time for doubling stack with N elements

	worst	best	amortized
construct	1	1	1
push	N	1	1
pop	N	1	1

doubling or shrinking

Remark. WQUPC used amortized bound: starting from empty data structure, any sequence of M union and find ops takes $O((M+N) \log^* N)$ time.

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Stack implementations: dynamic array vs. linked List

Tradeoffs. Can implement with either array or linked list; client can use interchangeably. Which is better?

Linked list.

- Every operation takes constant time in **worst-case**.
- Uses extra time and space to deal with the links.

Array.

- Every operation takes constant **amortized** time.
- Less wasted space.

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- › stacks
- › dynamic resizing
- › queues
- › generics
- › iterators
- › applications

Queues

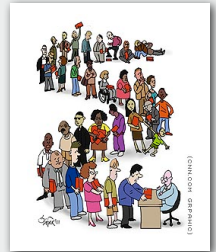
Queue operations.

- enqueue() Insert a new item onto queue.
- dequeue() Delete and return the item least recently added.
- isEmpty() Is the queue empty?

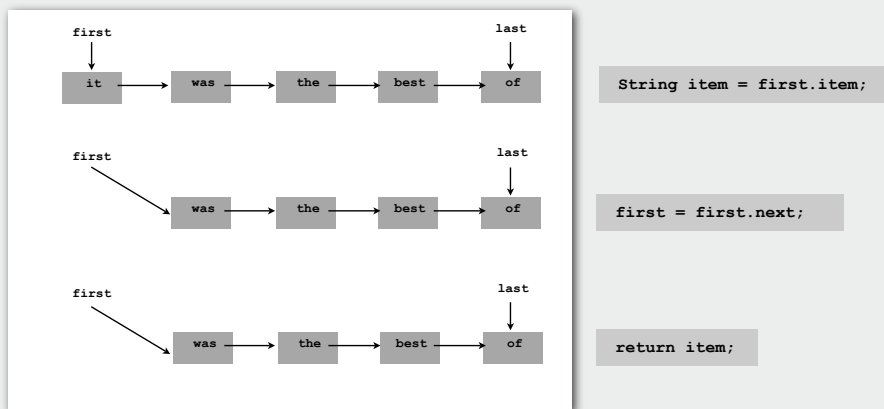
```
public static void main(String[] args)
{
    QueueOfStrings q = new QueueOfStrings();
    while (!StdIn.isEmpty())
    {
        String item = StdIn.readString();
        if (item.equals("-")) StdOut.print(q.dequeue());
        else
            q.enqueue(item);
    }
}
```

```
% more tobe.txt
to be or not to - be - - that - - - is

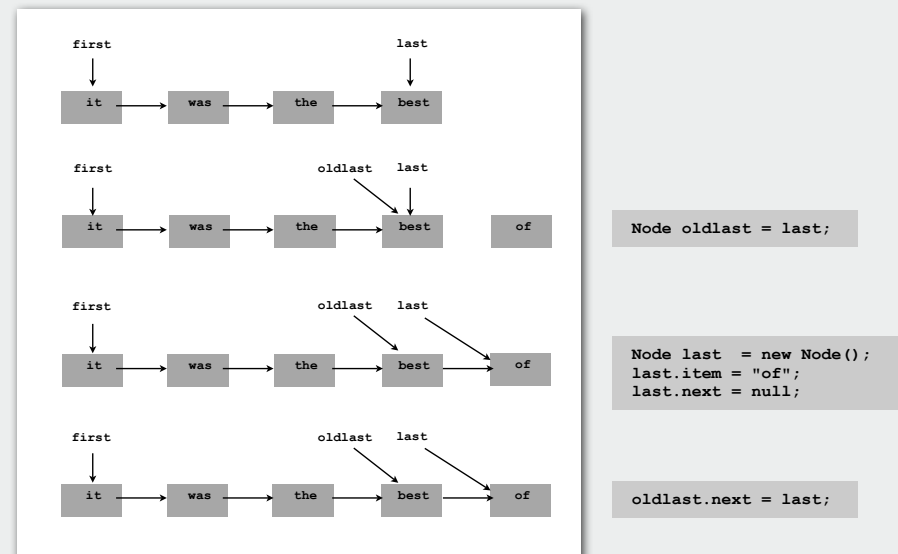
% java QueueOfStrings < tobe.txt
to be or not to be
```



Queue dequeue: linked list implementation



Queue enqueue: linked list implementation



Queue: linked list implementation

```
public class QueueOfStrings
{
    private Node first, last;

    private class Node
    { String item; Node next; }

    public boolean isEmpty()
    { return first == null; }

    public void enqueue(String item)
    {
        Node oldlast = last;
        last = new Node();
        last.item = item;
        last.next = null;
        if (isEmpty()) first = last;
        else oldlast.next = last;
    }

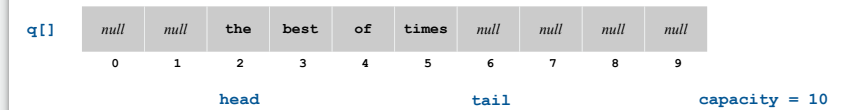
    public String dequeue()
    {
        String item = first.item;
        first = first.next;
        if (isEmpty()) last = null;
        return item;
    }
}
```

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Queue: dynamic array implementation

Array implementation of a queue.

- Use array `q[]` to store items in queue.
- `enqueue()`: add new item at `q[tail]`.
- `dequeue()`: remove item from `q[head]`.
- Update `head` and `tail` modulo the `capacity`.
- Add repeated doubling and shrinking.



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- › stacks
- › dynamic resizing
- › queues
- › **generics**
- › iterators
- › applications

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Parameterized stack

We implemented: `StackOfStrings`.

We also want: `StackOfURLs`, `StackOfCustomers`, `StackOfInts`, etc?

Attempt 1. Implement a separate stack class for each type.

- Rewriting code is tedious and error-prone.
- Maintaining cut-and-pasted code is tedious and error-prone.

@#\$\$*! most reasonable approach until Java 1.5. [hence, used in AlgsJava]

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Parameterized stack

We implemented: StackOfStrings.

We also want: StackOfURLs, StackOfCustomers, StackOfInts, etc?

Attempt 2. Implement a stack with items of type object.

- Casting is required in client.
- Casting is error-prone: run-time error if types mismatch.

```
StackOfObjects s = new StackOfObjects();
Apple a = new Apple();
Orange b = new Orange();
s.push(a);
s.push(b);
a = (Apple) (s.pop());
```

run-time error

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Parameterized stack

We implemented: StackOfStrings.

We also want: StackOfURLs, StackOfCustomers, StackOfInts, etc?

Attempt 3. Java generics.

- Avoid casting in both client and implementation.
- Discover type mismatch errors at compile-time instead of run-time.

```
Stack<Apple> s = new Stack<Apple>();
Apple a = new Apple();
Orange b = new Orange();
s.push(a);
s.push(b);
a = s.pop();
```

type parameter

compile-time error

Guiding principles. Welcome compile-time errors; avoid run-time errors.

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Generic stack: linked list implementation

```
public class StackOfStrings
{
    private Node first = null;

    private class Node
    {
        String item;
        Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(String item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public String pop()
    {
        String item = first.item;
        first = first.next;
        return item;
    }
}
```

```
public class Stack<Item>
{
    private Node first = null;

    private class Node
    {
        Item item;
        Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(Item item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public Item pop()
    {
        Item item = first.item;
        first = first.next;
        return item;
    }
}
```

generic type name

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Generic stack: array implementation

```
public class StackOfStrings
{
    private String[] s;
    private int N = 0;

    public StackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(String item)
    { s[N++] = item; }

    public String pop()
    { return s[--N]; }
}
```

```
public class Stack<Item>
{
    private Item[] s;
    private int N = 0;

    public Stack(int capacity)
    { s = new Item[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(Item item)
    { s[N++] = item; }

    public Item pop()
    { return s[--N]; }
}
```

the way it should be

@#*\$! generic array creation not allowed in Java

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Generic stack: array implementation

```
public class StackOfStrings
{
    private String[] s;
    private int N = 0;

    public StackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(String item)
    { s[N++] = item; }

    public String pop()
    { return s[--N]; }
}
```

```
public class Stack<Item>
{
    private Item[] s;
    private int N = 0;

    public Stack(int capacity)
    { s = (Item[]) new Object[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(Item item)
    { s[N++] = item; }

    public Item pop()
    { return s[--N]; }
}
```

the way it is

the ugly cast

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Generic data types: autoboxing

Q. What to do about primitive types?

Wrapper type.

- Each primitive type has a **wrapper** object type.
- Ex: `Integer` is wrapper type for `int`.

Autoboxing. Automatic cast between a primitive type and its wrapper.

Syntactic sugar. Behind-the-scenes casting.

```
Stack<Integer> s = new Stack<Integer>();
s.push(17); // s.push(new Integer(17));
int a = s.pop(); // int a = s.pop().intValue();
```

Bottom line. Client code can use generic stack for **any** type of data.

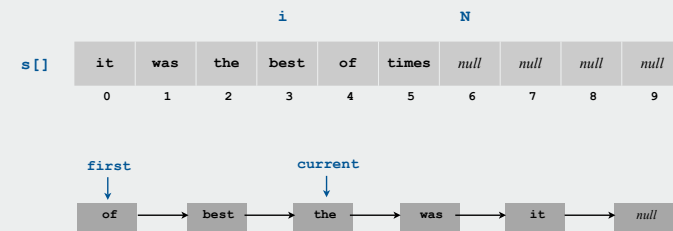
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- › stacks
- › dynamic resizing
- › queues
- › generics
- › **iterators**
- › applications

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Iteration

Design challenge. Support iteration over stack items by client, without revealing the internal representation of the stack.



Java solution. Make stack `Iterable`.

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Iterators

Q. What is an `Iterable` ?

A. Has a method that returns an `Iterator`.

```
public interface Iterable<Item>
{
    Iterator<Item> iterator();
}
```

Q. What is an `Iterator` ?

A. Has methods `hasNext()` and `next()`.

```
public interface Iterator<Item>
{
    boolean hasNext();
    Item next();
    void remove(); ← optional; use
                    at your own risk
}
```

Q. Why make data structures `Iterable` ?

A. Java supports elegant client code.

"foreach" statement

```
for (String s : stack)
    StdOut.println(s);
```

equivalent code

```
Iterator<String> i = stack.iterator();
while (i.hasNext())
{
    String s = i.next();
    StdOut.println(s);
}
```

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Stack iterator: linked list implementation

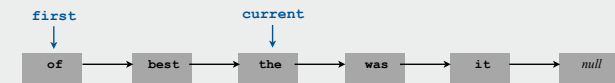
```
import java.util.Iterator;

public class Stack<Item> implements Iterable<Item>
{
    ...

    public Iterator<Item> iterator() { return new ListIterator(); }

    private class ListIterator implements Iterator<Item>
    {
        private Node current = first;

        public boolean hasNext() { return current != null; }
        public void remove()    { /* not supported */ }
        public Item next()
        {
            Item item = current.item;
            current = current.next;
            return item;
        }
    }
}
```



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Stack iterator: array implementation

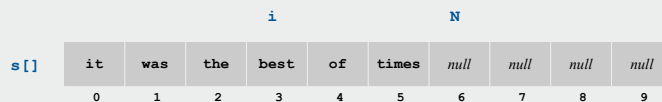
```
import java.util.Iterator;

public class Stack<Item> implements Iterable<Item>
{
    ...

    public Iterator<Item> iterator() { return new ArrayIterator(); }

    private class ArrayIterator implements Iterator<Item>
    {
        private int i = N;

        public boolean hasNext() { return i > 0; }
        public void remove()    { /* not supported */ }
        public Item next()      { return s[--i]; }
    }
}
```



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- › stacks
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- › applications

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Stack applications

Real world applications.

- Parsing in a compiler.
- Java virtual machine.
- Undo in a word processor.
- Back button in a Web browser.
- PostScript language for printers.
- Implementing function calls in a compiler.

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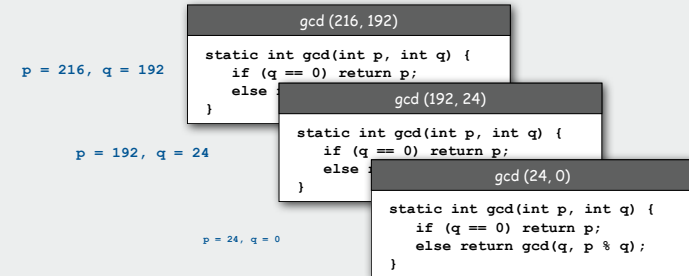
Function calls

How a compiler implements a function.

- Function call: **push** local environment and return address.
- Return: **pop** return address and local environment.

Recursive function. Function that calls itself.

Note. Can always use an explicit stack to remove recursion.



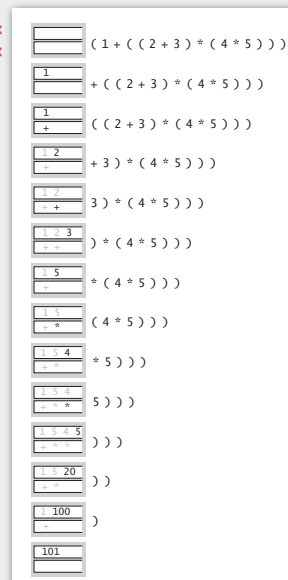
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Arithmetic expression evaluation

Goal. Evaluate infix expressions.



value stack
operator stack



Two-stack algorithm. [E. W. Dijkstra]

- Value: push onto the value stack.
- Operator: push onto the operator stack.
- Left parens: ignore.
- Right parens: pop operator and two values; push the result of applying that operator to those values onto the operand stack.

Context. An interpreter!

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Arithmetic expression evaluation

```
public class Evaluate
{
  public static void main(String[] args)
  {
    Stack<String> ops = new Stack<String>();
    Stack<Double> vals = new Stack<Double>();
    while (!StdIn.isEmpty()) {
      String s = StdIn.readString();
      if (s.equals("("))
        ;
      else if (s.equals("+")) ops.push(s);
      else if (s.equals("*")) ops.push(s);
      else if (s.equals(")")
      {
        String op = ops.pop();
        if (op.equals("+")) vals.push(vals.pop() + vals.pop());
        else if (op.equals("*")) vals.push(vals.pop() * vals.pop());
      }
      else vals.push(Double.parseDouble(s));
    }
    StdOut.println(vals.pop());
  }
}
```

```
% java Evaluate
( 1 + ( ( 2 + 3 ) * ( 4 * 5 ) ) )
101.0
```

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Correctness

Q. Why correct?

A. When algorithm encounters an operator surrounded by two values within parentheses, it leaves the result on the value stack.

```
( 1 + ( ( 2 + 3 ) * ( 4 * 5 ) ) )
```

as if the original input were:

```
( 1 + ( 5 * ( 4 * 5 ) ) )
```

Repeating the argument:

```
( 1 + ( 5 * 20 ) )  
( 1 + 100 )  
101
```

Extensions. More ops, precedence order, associativity.

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Stack-based programming languages

Observation 1. The 2-stack algorithm computes the same value if the operator occurs **after** the two values.

```
( 1 ( ( 2 3 + ) ( 4 5 * ) * ) + )
```

Observation 2. All of the parentheses are redundant!

```
1 2 3 + 4 5 * * +
```



Jan Lukaszewicz

Bottom line. Postfix or "reverse Polish" notation.

Applications. Postscript, Forth, calculators, Java virtual machine, ...

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PostScript

Page description language.

- Explicit stack.
- Full computational model
- Graphics engine.

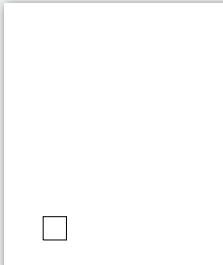
Basics.

- `%!`: "I am a PostScript program."
- Literal: "push me on the stack."
- Function calls take arguments from stack.
- Turtle graphics built in.

a PostScript program

```
%!  
72 72 moveto  
0 72 rlineto  
72 0 rlineto  
0 -72 rlineto  
-72 0 rlineto  
2 setlinewidth  
stroke
```

its output



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PostScript

Data types.

- basic: integer, floating point, boolean, ...
- Graphics: font, path, curve,
- Full set of built-in operators.

Text and strings.

- Full font support.
- `show` (display a string, using current font).
- `cvs` (convert anything to a string).

`System.out.print()`

`toString()`

```
%!  
/Helvetica-Bold findfont 16 scalefont setfont  
72 168 moveto  
(Square root of 2:) show  
72 144 moveto  
2 sqrt 10 string cvs show
```

Square root of 2:
1.41421

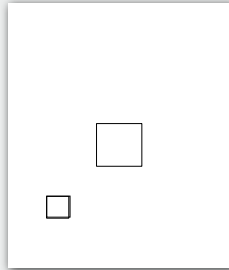
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PostScript

Variables (and functions).

- Identifiers start with /.
- def operator associates id with value.
- Braces.
- args on stack.

```
function definition → %!  
/box  
{  
  /sz exch def  
  0 sz rlineto  
  sz 0 rlineto  
  0 sz neg rlineto  
  sz neg 0 rlineto  
} def  
  
function calls → 72 144 moveto  
72 box  
288 288 moveto  
144 box  
2 setlinewidth  
stroke
```



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PostScript

For loop.

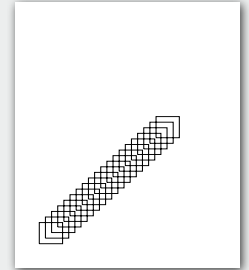
- "from, increment, to" on stack.
- Loop body in braces.
- for operator.

```
1 1 20  
{ 19 mul dup 2 add moveto 72 box }  
for
```

If-else conditional.

- Boolean on stack.
- Alternatives in braces.
- if operator.

... (hundreds of operators)



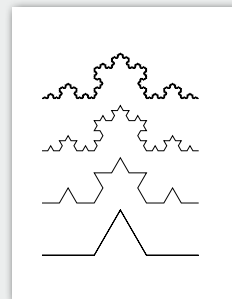
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PostScript

Application 1. All figures in Algorithms in Java

Application 2. Deluxe version of stdDraw also saves to PostScript for vector graphics.

```
%!  
72 72 translate  
  
/kochR  
{  
  2 copy ge { dup 0 rlineto }  
  {  
    3 div  
    2 copy kochR 60 rotate  
    2 copy kochR -120 rotate  
    2 copy kochR 60 rotate  
    2 copy kochR  
  } ifelse  
  pop pop  
} def  
  
0 0 moveto 81 243 kochR  
0 81 moveto 27 243 kochR  
0 162 moveto 9 243 kochR  
0 243 moveto 1 243 kochR  
stroke
```



See page 218

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Queue applications

Familiar applications.

- iTunes playlist.
- Data buffers (iPod, TiVo).
- Asynchronous data transfer (file IO, pipes, sockets).
- Dispensing requests on a shared resource (printer, processor).

Simulations of the real world.

- Traffic analysis.
- Waiting times of customers at call center.
- Determining number of cashiers to have at a supermarket.

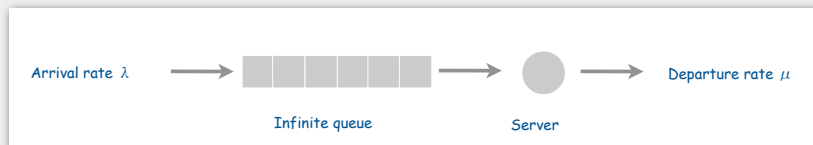
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M/M/1 queuing model

M/M/1 queue.

- Customers arrive according to **Poisson process** at rate of λ per minute.
- Customers are serviced with rate of μ per minute.

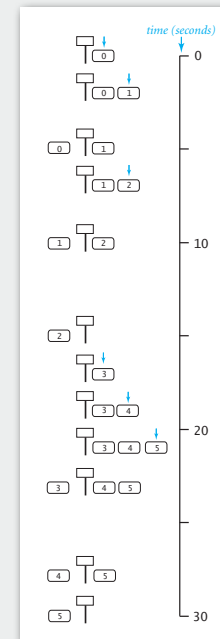
interarrival time has exponential distribution $\Pr[X \leq x] = 1 - e^{-\lambda x}$
 service time has exponential distribution $\Pr[X \leq x] = 1 - e^{-\mu x}$



- Q. What is average wait time W of a customer in system?
- Q. What is average number of customers L in system?

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M/M/1 queuing model: example simulation



	arrival	departure	wait
0	0	5	5
1	2	10	8
2	7	15	8
3	17	23	6
4	19	28	9
5	21	30	9

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M/M/1 queuing model: event-based simulation

```
public class MM1Queue
{
    public static void main(String[] args) {
        double lambda = Double.parseDouble(args[0]); // arrival rate
        double mu = Double.parseDouble(args[1]); // service rate
        double nextArrival = StdRandom.exp(lambda);
        double nextService = nextArrival + StdRandom.exp(mu);

        Queue<Double> queue = new Queue<Double>();
        Histogram hist = new Histogram("M/D/1 Queue", 60);

        while (true)
        {
            // next event is an arrival
            while (nextArrival < nextService)
            {
                queue.enqueue(nextArrival);
                nextArrival += StdRandom.exp(lambda);
            }

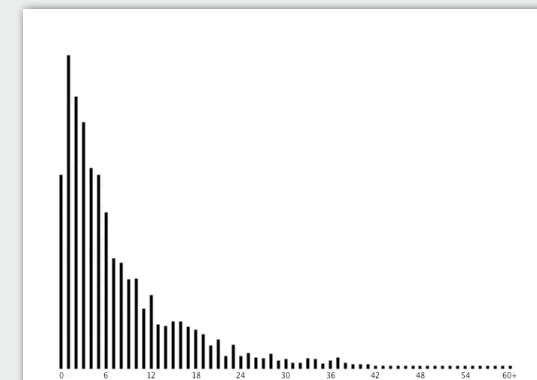
            // next event is a service completion
            double arrival = queue.dequeue();
            double wait = nextService - arrival;
            hist.addDataPoint(Math.min(60, (int) (Math.round(wait))));
            if (queue.isEmpty()) nextService = nextArrival + StdRandom.exp(mu);
            else nextService = nextService + StdRandom.exp(mu);
        }
    }
}
```

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M/M/1 queuing model: experiments

Observation. If service rate μ is much larger than arrival rate λ , customers get good service.

```
% java MM1Queue .2 .333
```

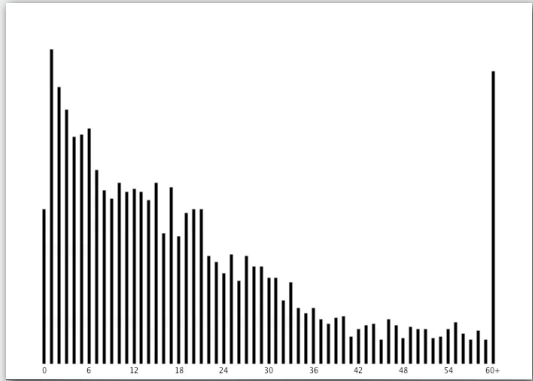


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M/M/1 queuing model: experiments

Observation. As service rate μ approaches arrival rate λ , services goes to h^{***} .

```
% java MM1Queue .2 .25
```



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M/M/1 queuing model: experiments

Observation. As service rate μ approaches arrival rate λ , services goes to h^{***} .

```
% java MM1Queue .2 .21
```



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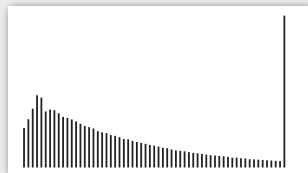
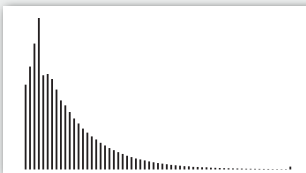
M/M/1 queuing model: analysis

M/M/1 queue. Exact formulas known.

wait time W and queue length L approach infinity
as service rate approaches arrival rate

Little's Law

$$W = \frac{\lambda}{2\mu(\mu-\lambda)} + \frac{1}{\mu}, \quad L = \lambda W$$



More complicated queuing models. Event-based simulation essential!
Queueing theory. See ORFE 309.

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