Pattern Matching

- > exact pattern matching
- **▶** Knuth-Morris-Pratt
- **▶** RE pattern matching
- **▶** grep

References:

Algorithms in C (2nd edition), Chapter 19 http://www.cs.princeton.edu/introalgsds/63long http://www.cs.princeton.edu/introalgsds/72regular

▶ exact pattern matching

Knuth-Morris-PrattRE pattern matching

grep

Exact pattern matching

Problem:

Find first match of a pattern of length M in a text stream of length N.

pattern

n e e d l e M=6

text

i n a h a y s t a c k a n e e d l e i n a N=21

Applications.

- · parsers.
- · spam filters.
- · digital libraries.
- screen scrapers.
- word processors.
- web search engines.
- natural language processing.
- computational molecular biology.
- feature detection in digitized images.

. . .

Brute-force exact pattern match

Check for pattern starting at each text position.

```
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```

Brute-force exact pattern match: worst case

Brute-force algorithm can be slow if text and pattern are repetitive

but this situation is rare in typical applications

Hence, the indexof() method in Java's string class uses brute-force

Exact pattern matching in Java

Exact pattern matching is implemented in Java's String class

```
s.indexOf(t, i): index of first occurrence of pattern t in String s, Starting at offset i.
```

Ex: Screen scraping. Exact match to extract info from website

```
public class StockQuote
{
   public static void main(String[] args)
   {
      String name = "http://finance.yahoo.com/q?s=";
      In in = new In(name + args[0]);
      String input = in.readAll();
      int start = input.indexOf("Last Trade:", 0);
      int from = input.indexOf("\Delta>", start);
      int to = input.indexOf("\Delta>", start);
      int to = input.substring(from + 3, to);
      System.out.println(price);
}
}

% java StockQuote goog
688.04

% java StockQuote msft
33.75
```

Algorithmic challenges in pattern matching

Brute-force is not good enough for all applications

Theoretical challenge: Linear-time guarantee.

fundamental algorithmic problem

Practical challenge: Avoid backup in text stream.

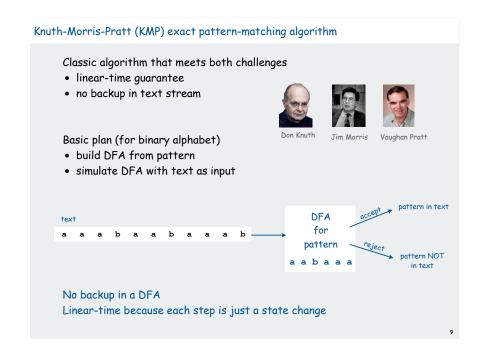
often no room or time to save text

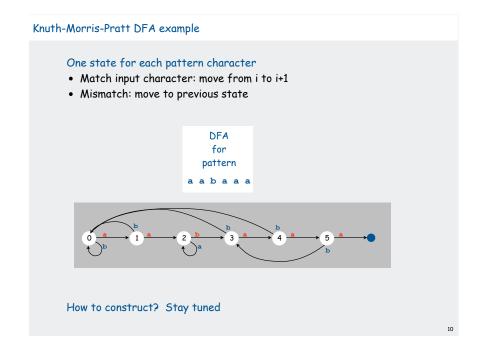
Now is the time for all people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for many good people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for a lot of good people to come to the aid of their party. Now is the time for all of the good people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for each good person to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for all good Republicans to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for many or all good people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for all good Democrats to come to the aid of their party. Now is the time for all people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for many good people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for a lot of good people to come to the aid of their party. Now is the time for all of the good people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for all good people to come to the aid of their attack at dawn party. Now is the time for each person to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for all good Republicans to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for many or all good people to come to the aid of their party. Now is the time for all good people to come to the aid of their party. Now is the time for all good Democrats to come to the aid of their party.

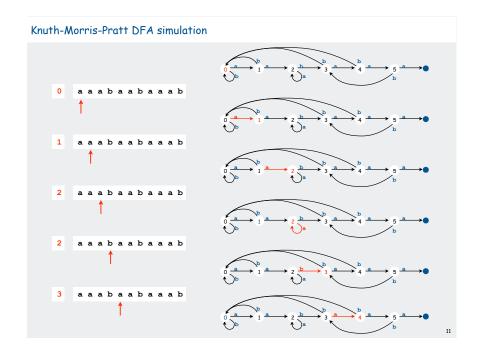
exact pattern matching

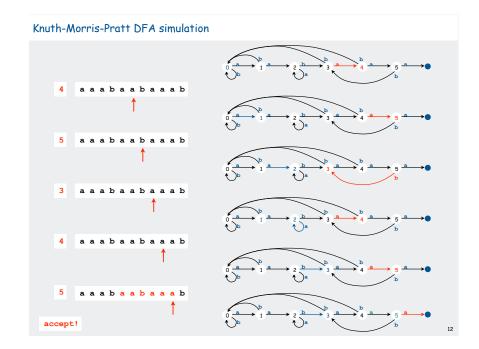
▶ Knuth-Morris-Pratt

▶ RE pattern matching▶ grep





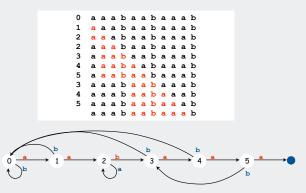




Knuth-Morris-Pratt DFA simulation

When in state i:

- have found match in i previous input chars
- that is the longest such match
- Ex. End in state 4 iff text ends in aaba.
- Ex. End in state 2 iff text ends in aa (but not aabaa or aabaaa).



DFA representation: a single state-indexed array next[] • Upon character match in state j, go forward to state j+1. • Upon character mismatch in state j, go back to state next[j]. DFA for pattern a a b a a a only need to store mismatches

KMP implementation

Two key differences from brute-force implementation:

- Text pointer i never decrements
- Need to precompute next[] table (DFA) from pattern.

Simulation of KMP DFA

Knuth-Morris-Pratt: Iterative DFA construction

DFA for first i states contains the information needed to build state i+1

Ex: given DFA for pattern aabaaa.

how to compute DFA for pattern aabaaab?

Key idea

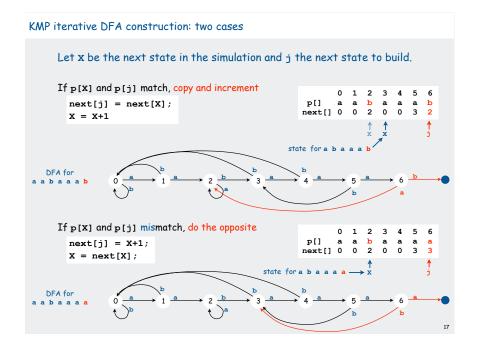
- on mismatch at 7th char, need to simulate 6-char backup
- previous 6 chars are known (abaaaa in example)
- 6-state DFA (known) determines next state!

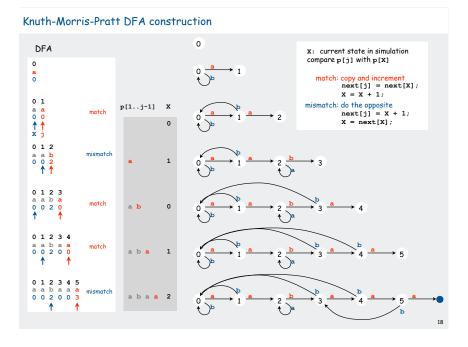
Keep track of DFA state for start at 2nd char of pattern

- compare char at that position with next pattern char
- match/mismatch provides all needed info









Knuth-Morris-Pratt DFA construction examples ex: abbabb ex: a a b a a a b x: current state in simulation 0 0 compare p[j] with p[X] match: copy and increment 0 1 0 1 next[j] = next[X]; mismatch a b X = X + 1;next[] match mismatch: do the opposite next[j] = X + 1; хj X = next[X]: 0 1 2 0 1 2 mismatch a b b 0 1 1 mismatch 0 0 2 _ ↑ ↑ 0 1 2 3 0 1 2 3 a a b a 0 0 2 0 match abb a match 0 1 2 3 4 0 1 2 3 4 0 0 2 0 0 0 1 1 0 1 0 1 2 3 4 5 0 1 2 3 4 5 a a b a a a 0 0 2 0 0 3 a b b a b b 0 1 1 0 1 1 **↑** 0 1 2 3 4 5 6 0 1 2 3 4 5 6 a a b a a a b match 0 0 2 0 0 3 2 a b b a b b b mismatch 0 1 1 0 1 1 4

DFA construction for KMP: Java implementation

Takes time and space proportional to pattern length.

```
int X = 0;
int[] next = new int[M];
for (int j = 1; j < M; j++)
{
    if (p.charAt(X) == p.charAt(j))
    {       // match
            next[j] = next[X];
            X = X + 1;
    }
    else
    {       // mismatch
            next[j] = X + 1;
            X = next[X];
}</pre>
```

DFA Construction for KMP (assumes binary alphabet)

...

Optimized KMP implementation

Ultimate search program for any given pattern:

- one statement comparing each pattern character to next
- match: proceed to next statement
- mismatch: go back as dictated by DFA
- translates to machine language (three instructions per pattern char)

```
int kmpsearch(char t[])
  int i = 0;
  s0: if (t[i++] != 'a') goto s0;
  s1: if (t[i++] != 'a') goto s0;
  s2: if (t[i++] != 'b') goto s2;
                                     assumes pattern is in text
  s3: if (t[i++] != 'a') goto s0;
                                        (o/w use sentinel)
  s4: if (t[i++] != 'a') goto s0;
  s5: if (t[i++] != 'a') goto s3;
  s6: if (t[i++] != 'b') goto s2;
  s7: if (t[i++] != 'b') goto s4;
  return i - 8; ↑ ↑
                 pattern[] next[]
```

Lesson: Your computer is a DFA!

KMP summary

General alphabet

- · more difficult
- easy with next[][] indexed by mismatch position, character
- KMP paper has ingenious solution that is not difficult to implement [build NFA, then prove that it finishes in 2N steps]

Bottom line: linear-time pattern matching is possible (and practical)

Short history:

- inspired by esoteric theorem of Cook [linear time 2-way pushdown automata simulation is possible]
- discovered in 1976 independently by two theoreticians and a hacker Knuth: discovered linear time algorithm Pratt: made running time independent of alphabet
 - Morris: trying to build a text editor.

• theory meets practice

Exact pattern matching: other approaches

Rabin-Karp: make a digital signature of the pattern

- hashing without the table
- linear-time probabilistic guarantee
- plus: extends to 2D patterns
- minus: arithmetic ops much slower than char comparisons

Boyer-Moore: scan from right to left in pattern

- main idea: can skip M text chars when finding one not in the pattern
- needs additional KMP-like heuristic
- plus: possibility of sublinear-time performance (~ N/M)
- used in Unix, emacs

```
pattern s y z y g y
 text a a a b b a a b a a a b b a a a b a a
     s y z y g y
                  s y z y g <u>y</u>
                                s y z y g y
```

Exact pattern match cost summary

Cost of searching for M-character pattern in N-character text

algorithm	typical	worst-case
brute-force	1.1 N char compares †	M N char compares
Karp-Rabin	3N arithmetic ops	3N arithmetic ops ‡
KMP	1.1 N char compares †	2N char compares
Boyer-Moore	~ N/M char compares †	3N char compares
·		† assumes appropriate model

exact pattern matching
 Knuth-Morris-Pratt
 RE pattern matching
 grep

Regular-expression pattern matching

Exact pattern matching:

Search for occurrences of a single pattern in a text file.

Regular expression (RE) pattern matching:

Search for occurrences of one of multiple patterns in a text file.

Ex. (genomics)

- Fragile X syndrome is a common cause of mental retardation.
- human genome contains triplet repeats of cgg or agg bracketed by gcg at the beginning and ctg at the end
- number of repeats is variable, and correlated with syndrome
- use regular expression to specify pattern: gcg(cgg|agg)*ctg
- do RE pattern match on person's genome to detect Fragile X

pattern (RE) gcg (cgg | agg) *ctg

 $\verb| text| gcggcgtgtgtgcgagagagtgggtttaaagctggcgcggaggcggctggcggaggctg| \\$

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RE pattern matching: applications

Test if a string matches some pattern.

- Process natural language.
- Scan for virus signatures.
- Search for information using Google.
- · Access information in digital libraries.
- Retrieve information from Lexis/Nexis.
- Search-and-replace in a word processors.
- Filter text (spam, NetNanny, Carnivore, malware).
- Validate data-entry fields (dates, email, URL, credit card).
- Search for markers in human genome using PROSITE patterns.

Parse text files.

- Compile a Java program.
- · Crawl and index the Web.
- Read in data stored in ad hoc input file format.
- · Automatically create Java documentation from Javadoc comments.

Regular expression examples

A regular expression is a notation to specify a set of strings.

operation	example RE	in set	not in set
concatenation	aabaab	aabaab	every other string
wildcard	.u.u.u.	cumulus jugulum	succubus tumultuous
union	aa baab	aa baab	every other string
closure	ab*a	aa abbba	ab ababa
parentheses	a (a b) aab	aaaab abaab	every other string
pui en meses	(ab) *a	a ababababa	aa abbba

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Regular expression examples (continued)

Notation is surprisingly expressive

regular expression	in set	not in set
.*spb.* contains the trigraph spb	raspberry crispbread	subspace subspecies
a* (a*ba*ba*ba*)* number of b's is a multiple of 3	bbb aaa bbbaababbaa	b bb baabbbaa
.*0 fifth to last digit is 0	1000234 98701234	11111111 403982772
gcg(cgg agg)*ctg fragile X syndrome indicator	gegetg gegeggetg gegeggaggetg	gegegg eggeggeggetg gegeaggetg

and plays a well-understood role in the theory of computation

Generalized regular expressions

Additional operations are often added

- Ex: [a-e] + is shorthand for (a|b|c|d|e) (a|b|c|d|e) *
- for convenience only
- need to be alert for non-regular additions (Ex: Java /)

operation	example	in set	not in set
one or more	a (bc) +de	abcde abcbcde	ade bcde
character classes	[A-Za-z][a-z]*	word Capitalized	camelCase 4illegal
exactly k	[0-9]{5}-[0-9]{4}	08540-1321 19072-5541	11111111 166-54-111
negations	[^aeiou]{6}	rhythm	decade

Regular expressions in Java

RE pattern matching is implemented in Java's String class

- basic: match () method
- various other methods also available (stay tuned)

Ex: Validity checking. Is input in the set described by the re?

```
public class Validate
{
   public static void main(String[] args)
   {
      String re = args[0];
      String input = args[1];
      System.out.println(input.matches(re));
   }
}
```

Regular expressions in other languages

Broadly applicable programmer's tool.

- originated in UNIX in the 1970s
- many languages support extended regular expressions
- built into grep, awk, emacs, Perl, PHP, Python, JavaScript

```
print all lines containing NEWLINE which occurs in any file with a .java extension

egrep '^[qwertyuiop]*[zxcvbnm]*$' dict.txt | egrep '......'
```

PERL. Practical Extraction and Report Language.

.

Regular expression caveat

Writing a RE is like writing a program.

- need to understand programming model
- · can be easier to write than read
- can be difficult to debug

"Sometimes you have a programming problem and it seems like the best solution is to use regular expressions; now you have two problems."

Can the average web surfer learn to use REs?

Google. Supports * for full word wildcard and | for union.



Can the average TV viewer learn to use REs?

TiVo. WishList has very limited pattern matching.



Using * in WishList Searches. To search for similar words in Keyword and Title WishList searches, use the asterisk (*) as a special symbol that replaces the endings of words. For example, the keyword AIRP* would find shows containing "airport," "airplane," "airplanes," as well as the movie "Airplane!" To enter an asterisk, press the SLOW () button as you are spelling out your keyword or title.

The asterisk can be helpful when you're looking for a range of similar words, as in the example above, or if you're just not sure how something is spelled. Pop quiz: is it "irresistible" or "irresistable?" Use the keyword IRRESIST* and don't worry about it! Two things to note about using the asterisk:

• It can only be used at a word's end; it cannot be used to omit letters at the beginning or in the middle of a word. (For example, AIR*NE or *PLANE would not work.)

Reference: page 76, Hughes DirectTV TiVo manual

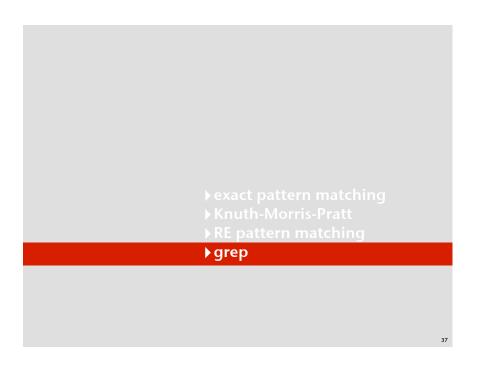
Can the average programmer learn to use REs?

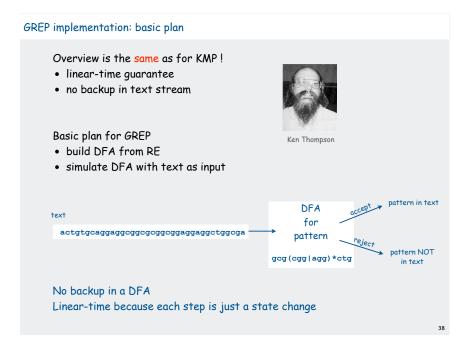
Perl RE for Valid RFC822 Email Addresses Reference: http://www.ex-parrot.com/~pdw/Mail-RFC822-Address.html

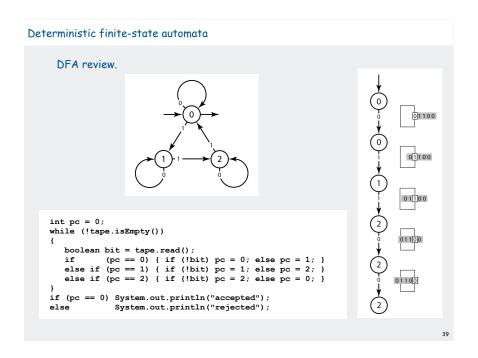
Perl RE for Valid RFC822 Email Addresses

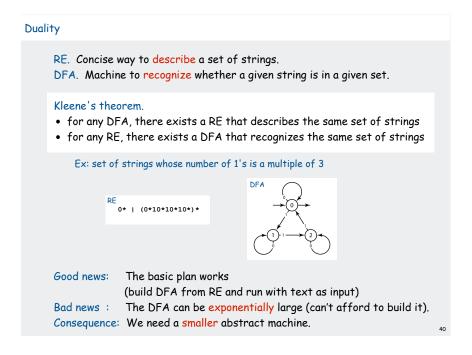
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"Implementing validation with regular expressions somewhat pushes the limits of what it is sensible to do with regular expressions. although Perl copes well."







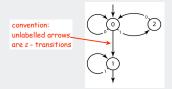


Nondeterministic finite-state automata

NFA.

- may have 0, 1, or more transitions for each input symbol
- may have ϵ -transitions (move to another state without reading input)
- accept if any sequence of transitions leads to accept state

Ex: set of strings that do not contain 110



in set: 111,00011,101001011

not in set: 110,00011011,00110

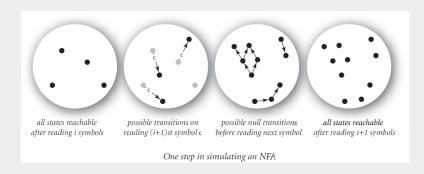
Implication of proof of Kleene's theorem: RE \rightarrow NFA \rightarrow DFA

Basic plan for GREP (revised)

- build NFA from RE
- simulate NFA with text as input
- give up on linear-time guarantee

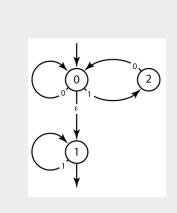
Simulating an NFA

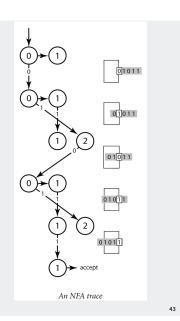
How to simulate an NFA? Maintain set of all possible states that NFA could be in after reading in the first i symbols.



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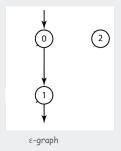
NFA Simulation

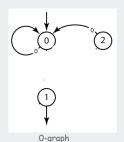


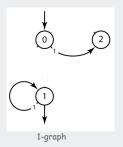


NFA Representation

NFA representation. Maintain several digraphs, one for each symbol in the alphabet, plus one for ϵ .







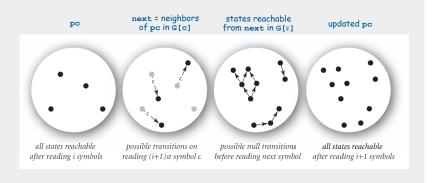
NFA: Java Implementation

```
public class NFA
  private int START = 0;
                                        // start state
                                        // accept state
  private int ACCEPT = 1;
  private int N
                                        // number of states
  private String ALPHABET = "01";
                                        // RE alphabet
  private int EPS = ALPHABET.length(); // symbols in alphabet
  private Digraph[] G;
  public NFA(String re)
     G = new Digraph[EPS + 1];
     for (int i = 0; i <= EPS; i++)
        G[i] = new Digraph();
     build(0, 1, re);
  private void build(int from, int to, String re) { }
  public boolean simulate(Tape tape)
```

NFA Simulation

How to simulate an NFA?

- Maintain a SET of all possible states that NFA could be in after reading in the first i symbols.
- Use Digraph adjacency and reachability ops to update.



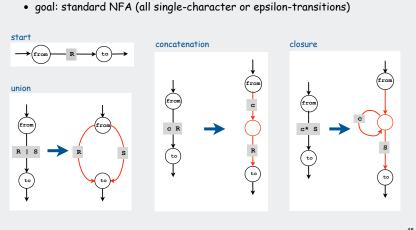
NFA Simulation: Java Implementation



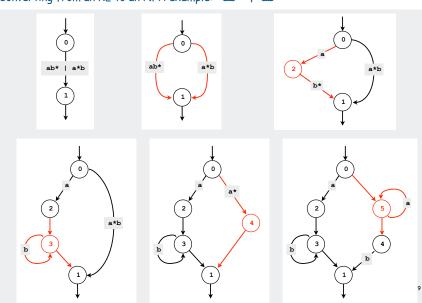
Converting from an RE to an NFA: basic transformations

Use generalized NFA with full RE on trasitions arrows

- start with one transition having given RE
- remove operators with transformations given below



Converting from an RE to an NFA example: ab* | ab*



NFA Construction: Java Implementation

```
private void build(int from, int to, String re)
   int or = re.indexOf('|');
   if (re.length() == 0) G[EPSILON].addEdge(from, to);
   else if (re.length() == 1)
      char c = re.charAt(0);
      for (int i = 0; i < EPSILON; i++)
         if (c == ALPHABET.charAt(i) || c == '.')
           G[i].addEdge(from, to);
   else if (or != -1)
      build(from, to, re.substring(0, or));
     build(from, to, re.substring(or + 1));
   else if (re.charAt(1) == '*')
                                              closure
      G[EPSILON].addEdge(from, N);
     build(N, N, re.substring(0, 1));
     build(N++, to, re.substring(2));
                                        concatenation
      build(from, N, re.substring(0, 1));
      build(N++, to, re.substring(1));
```

Grep running time

Input. Text with N characters, RE with M characters.

Claim. The number of edges in the NFA is at most 2M.

- Single character: consumes 1 symbol, creates 1 edge.
- Wildcard character: consumes 1 symbol, creates 2 edges.
- Concatenation: consumes 1 symbols, creates 0 edges.
- Union: consumes 1 symbol, creates 1 edges.
- Closure: consumes one symbol, creates 2 edges.

NFA simulation. O(MN) since NFA has 2M transitions

- bottleneck: 1 graph reachability per input character
- can be substantially faster in practice if few ϵ -transitions

NFA construction. Ours is $O(M^2)$ but not hard to make O(M).

Surprising bottom line:

Worst-case cost for grep is the same as for elementary exact match!

Industrial-strength grep implementation

To complete the implementation,

- Deal with parentheses.
- Extend the alphabet.
- Add character classes.
- Add capturing capabilities.
- Deal with meta characters.
- Extend the closure operator.
- Error checking and recovery.
- · Greedy vs. reluctant matching.

Regular expressions in Java (revisited)

RE pattern matching is implemented in Java's Pattern and Matcher classes

Ex: Harvesting. Print substrings of input that match re

```
import java.util.regex.Pattern;
                                                              compile() creates a
import java.util.regex.Matcher;
                                                            Pattern (NFA) from RE
public class Harvester
                                                             matcher() creates a
   public static void main(String[] args)
                                                            Matcher (NFA simulator)
                                                              from NFA and text
      String re
                       = args[0];
      In in
                       = new In(args[1]);
      String input = in.readAll(); \( \)
      Pattern pattern = Pattern.compile(re);
                                                               find() looks for
      Matcher matcher = pattern.matcher(input);
                                                                the next match
      while (matcher.find()) -
                                                               group() returns
         System.out.println(matcher.group());
                                                              the substring most
                                                            recently found by find()
```

```
% java Harvester "gcg(cgg|agg)*ctg" chromosomeX.txt
gcgcggcggcggcggcggctg
gcgcttg
gcgcttg
gcgctg
gcgcggcggcggaggcggaggcgctg
% java Harvester "http://(\w+\\.)*(\\w+)" http://www.cs.princeton.edu
harvest patterns
from DNA
minute of the bound o
```

Typical application: Parsing a data file

Example. NCBI genome file, ...

```
LOCUS AC146846 128142 bp DNA linear HTC 13-NOV-2003
DEFINITION Omnithorhynchus anatinus clone CLMI-393H9,
ACCESSION AC146846
KEYMORDS HTG; HTGS_PHASE2; HTGS_DRAFT.
SOURCD Ornithorhynchus anatinus (platypus)
OXIGIT
1 tytatticat tigacogiyo tgittitico cgyttitica gtacgytti aggagacac
1 tytatticat tigacogiyo tgittitico cgyttitica gtacgytyt aggagacac
61 gtyaticigt tigittitaty cipcogaata gcigotogat gaatototyo atagacagot // a comment
121 gocgoagga gaaatgacaa gtitgitgaty acaaaaatgta ggaaagctyt ticticataa
128101 ggaaatgoga cocceacgot aatgtacago tictitiagat tg
```

```
String regexp = "[]*[0-9]+([actg]*).*";
Pattern pattern = Pattern.compile(regexp);
In in = new In(filename);
while (!in.isEmpty())
{
   String line = in.readLine();
   Matcher matcher = pattern.matcher(line);
   if (matcher.find())
   {
      String s = matcher.group(1).replaceAll(" ", "");
      // Do something with s.
   }
}
replace this RE with this string
the part of the match delimited
by the first group of parentheses
```

Algorithmic complexity attacks

http://www.princeton.edu

$\begin{tabular}{ll} Warning. & Typical implementations do not guarantee performance! \\ \end{tabular}$

grep, Java, Perl

SpamAssassin regular expression.

```
java RE "[a-z]+@[a-z]+([a-z\.]+\.)+[a-z]+" spammer@x.....
```

- · Takes exponential time.
- Spammer can use a pathological email address to DOS a mail server.

Not-so-regular expressions

Back-references.

- \1 notation matches sub-expression that was matched earlier.
- Supported by typical RE implementations.

```
java Harvester "\b(.+)\1\b" dictionary.txt
beriberi
couscous word boundary
```

Some non-regular languages.

- set of strings of the form www for some string w: beriberi.
- set of bitstrings with an equal number of Os and 1s: 01110100.
- set of Watson-Crick complemented palindromes: atttcggaaat.

Remark. Pattern matching with back-references is intractable.

55

from website

Context

Abstract machines, languages, and nondeterminism.

- basis of the theory of computation
- intensively studied since the 1930s
- basis of programming languages

Compiler. A program that translates a program to machine code.

- KMP string \Rightarrow DFA.
- grep RE \Rightarrow NFA.
- javac Java language ⇒ Java byte code.

	KMP	grep	Java
pattern	string	RE	program
parser	unnecessary	check if legal	check if legal
compiler output	DFA	NFA	byte code
simulator	DFA simulator	NFA simulator	JVM

Summary of pattern-matching algorithms

Programmer:

- Implement exact pattern matching by DFA simulation (KMP).
- REs are a powerful pattern matching tool.
- Implement RE pattern matching by NFA simulation (grep).

Theoretician:

- RE is a compact description of a set of strings.
- NFA is an abstract machine equivalent in power to RE.
- DFAs and REs have limitations.

You: Practical application of core CS principles.

Example of essential paradigm in computer science.

- Build intermediate abstractions.
- Pick the right ones!
- Solve important practical problems.