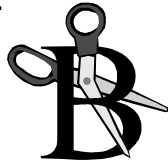
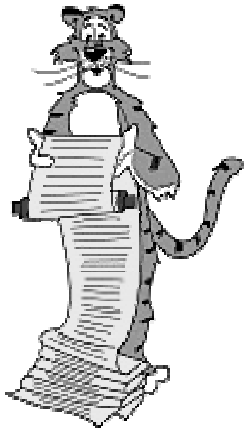


## Lecture T3: Grammar



## Review of Formal Languages

**Alphabet = finite set of symbols.**

- E.g., binary alphabet = {0, 1}

**String = finite sequence of symbols from the alphabet.**

- E.g., 011100101001 is a string over binary alphabet.

**Language = (potentially infinite) set of strings over an alphabet.**

- E.g., strings having same number of 0's and 1's:  
 $L = \{01, 10, 1001, 011100101001, \dots\}$

**Language recognition. (e.g., FSA)**

- Is 011100101001 a string in language L?
- All computational problems can be expressed in this way.

**Language generation. (e.g., RE)**

- Set of rules for producing strings.

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## Why Learn Grammar?

**Concrete applications:**

- Better understanding of what computers can do.
- Compiler implementation.
- Natural language recognition / translation (linguistics).
- Models of physical world.

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## Grammar

**Generates strings in language by a process of replacing symbols.**

- Similar to regular expressions.

**Four elements.**

- Terminal symbols:  
characters in alphabet - denote by 0 or 1 for binary alphabet.
- Nonterminal symbols:  
local variables for internal use - denote by  $\langle \text{name} \rangle$ .
- Start symbol: one special nonterminal.  
(analogous to start state in FSA)
- Production rules:  
replacement rules - denote by  $\langle A \rangle c \Rightarrow \langle D \rangle b \langle B \rangle$



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## A Familiar Example (abbreviated)

**Terminals:** horse, dog, cat, saw, heard, the

**Nonterminals:** <sentence>, <subject>, <verb>, <object>

**Start symbol:** <sentence>

**Production rules:**

<sentence>	⇒	<subject>	<verb>	<object>
<subject>	⇒	the horse		
<subject>	⇒	the dog		
<subject>	⇒	the cat		
<object>	⇒	the horse		
<object>	⇒	the dog		
<object>	⇒	the cat		
<verb>	⇒	saw		
<verb>	⇒	heard		

**Some strings:**

the horse saw the dog
the dog heard the cat
the cat saw the horse

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## Generating a String in Language

Start with the start symbol.

<sentence>

Generating a string in language:

<sentence>

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## Generating a String in Language

Start with the start symbol.

Use any applicable production rule.

<sentence> ⇒ <subject> <verb> <object>

Generating a string in language:

<sentence> ⇒ <subject> <verb> <object>

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## Generating a String in Language

Start with the start symbol.

Use any applicable production rule.

<subject> ⇒ the horse

Generating a string in language:

<sentence> ⇒ <subject> <verb> <object>  
⇒ the horse <verb> <object>

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## Generating a String in Language

Start with the start symbol.

Use any applicable production rule.

`<object> ⇒ the dog`

### Generating a string in language:

```
<sentence> ⇒ <subject> <verb> <object>
           ⇒ the horse <verb> <object>
           ⇒ the horse <verb> the dog
```

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## Generating a String in Language

Start with the start symbol.

Use any applicable production rule.

`<verb> ⇒ saw`

### Generating a string in language:

```
<sentence> ⇒ <subject> <verb> <object>
           ⇒ the horse <verb> <object>
           ⇒ the horse <verb> the dog
           ⇒ the horse saw the dog
           └──────────────────────────┘
                    one string in language
```

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## The C Language Grammar (abbreviated)

### Terminals:

- if do while for switch break continue typedef struct return main int long char float double void static ; ( ) a b c A B C 0 1 2 + \* - / \_ # include += ++ ...

### Nonterminals:

- <statement> <expression> <C source file>  
<identifier> <digit> <nondigit> <identifier>  
<selection-statement> <loop-statement>

Start symbol: <C source file>

A string:

```
#include <stdio.h>
int main(void) {
    printf("Hello World!\n");
    return 0;
}
```

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## The C Language: Identifiers

### Production rules:

```
<identifier> ⇒ <nondigit>
             ⇒ <identifier> <nondigit>
             ⇒ <identifier> <digit>

<nondigit>  ⇒ a | b | . . . | Y | Z | _

<digit>     ⇒ 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9
```

### Some identifiers:

```
x
f
temp
temp1
done
_CanStartWithUnderscoreButNot7
```

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## The C Language: Expressions

### Production rules:

```
<expression> ⇒ <identifier>
              ⇒ <constant>
              ⇒ <cond-expression>
              ⇒ <assign-expression>
```

```
<cond-expression> ⇒ <expression> > <expression>
                  ⇒ <expression> != <expression>
```

```
<assign-expression> ⇒ <expression> = <expression>
                    ⇒ <expression> += <expression>
```

### Some expressions:

- x
- x > 4
- done != 1
- x = y = z = 0
- x += 2.0

This grammar also considers  
4 = x a valid expression.

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## The C Language: Statements

### Production rules:

```
<statement> ⇒ <select-statement>
             ⇒ <loop-statement>
             ⇒ <compound-statement>
             ⇒ <express-statement>
```

```
<select-statement> ⇒ if (<expression>)
                    ⇒ if (<expression>) <statement>
                      else <statement>
```

```
<loop-statement> ⇒ while (<expression>) <statement>
                 ⇒ do <statement> while (<expression>)
```

```
<express-statement> ⇒ <expression> ;
```

A statement:

```
while(done != 1)
    if (f(x) > 4.0)
        done = 1;
    else
        x += 2.0;
```

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## Grammars

In principle, could write out the grammar for English language.

In practice, need to write out grammar for C.

- Compiler check to see if your program is a valid "string" in the C language.
- The C Standard formalizes what it means to be a valid ANSI C program using grammar (see K+R, Appendix A13).
- Compiler implementation: simulate FSA and PDA machines to recognize valid C programs.

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## Ambiguity

### Production rules:

```
<expr> ⇒ <expr> + <expr>
       ⇒ <expr> * <expr>
       ⇒ a | b | c
```

### An ambiguous expression:

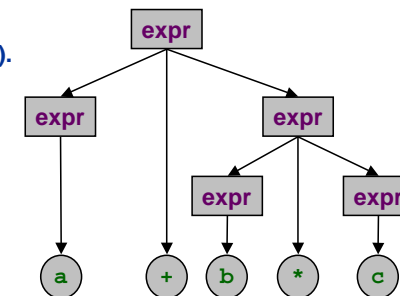
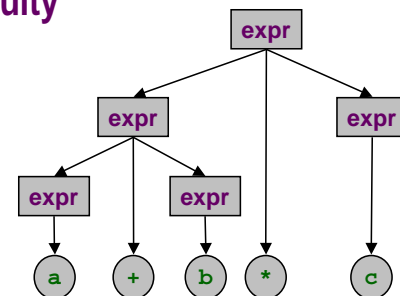
- a + b \* c

### Two different derivations (parse trees).

- (a + b) \* c
- a + (b \* c)

### Postorder traversals of parse trees:

```
b a + c *
a c b * +
```



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## Ambiguity

Need more refined grammar:

```
<expr> ⇒ <expr> + <T>
        ⇒ <T>
<T>    ⇒ <T> * <P>
        ⇒ <P>
<P>    ⇒ ( <expr> )
        ⇒ a | b | c
```

No ambiguous expressions.

- $a + b * c$
- $(a + b) * c$

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## Type III Grammar (Regular)

Limit production rules to have exactly one nonterminal on LHS and at most one nonterminal and terminal on RHS:

```
<A> ⇒ <B> a
<A> ⇒ <A> b
<B> ⇒ c
<C> ⇒ ε
```

Example:

```
<A> ⇒ <B> 0      Start = <A>
<B> ⇒ <A> 1
<A> ⇒ ε
```

Strings generated:

$\epsilon, 10, 1010, 101010, 10101010, \dots$

Grammar GENERATES language = set of all strings derivable from applying production rules.

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## Type II Grammar (Context Free)

Limit production rules to have exactly one nonterminal on LHS, but anything on RHS.

```
<A> ⇒ b<B> <C> a <C>
<A> ⇒ <A> b c a <A>
```

Example:  $\langle \text{PAL} \rangle \Rightarrow 0 \langle \text{PAL} \rangle 0$       Start =  $\langle \text{PAL} \rangle$   
 $\Rightarrow 1 \langle \text{PAL} \rangle 1$   
 $\Rightarrow 0$   
 $\Rightarrow 1$   
 $\Rightarrow \epsilon$

Strings generated:

$\epsilon, 1, 0, 101, 001100, 111010010111, \dots$

Language generated:



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## Type II Grammar (Context Free)

Example:

```
<S> ⇒ ( <S> )      Start = <S>
     ⇒ { <S> }
     ⇒ [ <S> ]
     ⇒ <S> <S>
     ⇒ ε
```

Strings generated:

$\epsilon, (), () [ () ], (( [ ] { () }) [ ] ( ( ) ) ), \dots$

Language generated:



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## FSA and Type III Grammar Equivalence

FSA's and Type III grammar are equally powerful.

- Given an FSA, can construct Type III grammar to generate same language.
- Given Type III language, can construct FSA that accepts same language.

Proof idea:

FSA	Type III Grammar
Start state	Start symbol
States	Nonterminals
Transition arcs	Production rules: $\langle A \rangle \Rightarrow \langle B \rangle a$
Accept state	Production rules: $\langle A \rangle \Rightarrow a$

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## Compilers and Grammar

Compiler: translates program from high-level language to native machine language.

- C  $\Rightarrow$  TOY

Three basic phases.

- Lexical analysis (tokenizing).
  - convert input into "tokens" or terminal symbols
  - `# include <stdio.h> int main ( void ) { printf ( "Hello World!\n" ) ; return 0 ; }`
  - implement with FSA
  - Unix program `lex`

Note: as specified, grammar for `<identifier>` is not Type III. Easy exercise: make Type III.

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## Compilers and Grammar

Compiler: translates program from high-level language to native machine language.

- C  $\Rightarrow$  TOY

Three basic phases.

- Lexical analysis (tokenizing).
- Syntax analysis (parsing).
  - implemented using pushdown automata since C language is (almost) completely described with context-free grammar
  - Unix program `yacc`

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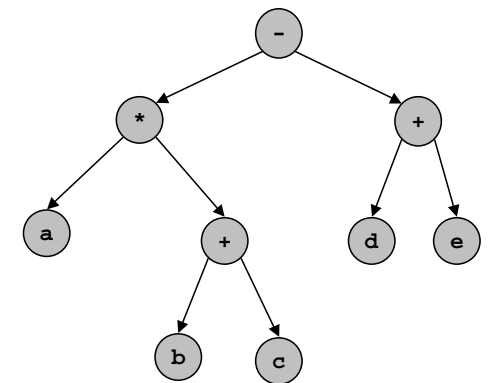
## Compilers and Grammar

Compiler: translates program from high-level language to native machine language.

- C  $\Rightarrow$  TOY

Three basic phases.

- Lexical analysis (tokenizing).
- Syntax analysis (parsing).
- Code generation.
  - parse tree gives structure of computation
  - traverse tree in postorder and create native code



Parse tree for expression:  
`( a * ( b + c ) ) - ( d + e )`

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## Other Exotic Forms of Grammar

### Lindenmayer systems:

- Apply production rules **SIMULTANEOUSLY**.
- Falls in between Chomsky hierarchy levels.

### Example:

- Production rules:

$0 \Rightarrow 1 [ 0 ] 1 [ 0 ] 0$   
 $1 [ \Rightarrow 1 1 [$

- Start with 10. At stage  $i$ , apply rules to each symbol in string from stage  $i-1$ .

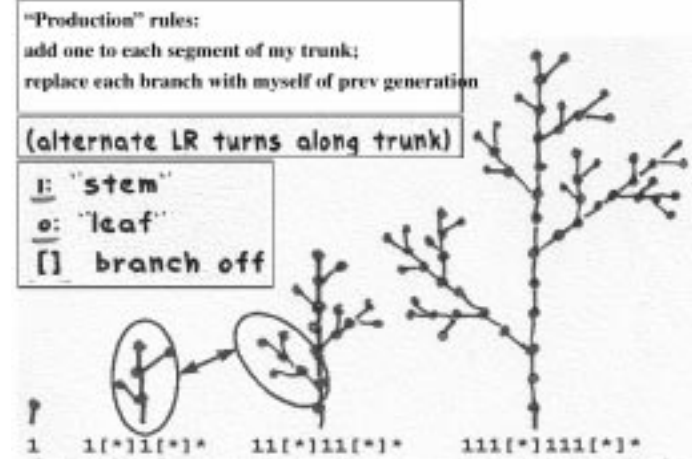
$10 \Rightarrow 1 [ 0 ] 1 [ 0 ] 0$   
 $\Rightarrow 11 [1[0]1[0]0] 11 [1[0]1[0]0] 1[0]1[0]$   
 $\Rightarrow 111 [*] 111 [*] *$

\* denotes copy of previous string

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## Other Exotic Forms of Grammar

### Visualize in 2D:



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## What's Ahead?

Last 3 lectures developed formal method for studying computation.

Now, we get to use it!

3 of the most important ideas in computer science ahead.

- Lecture T4: what can be computed?
- Lecture T5: designing high-performance algorithms?
- Lecture T6: why we can't solve problems like the TSP?

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