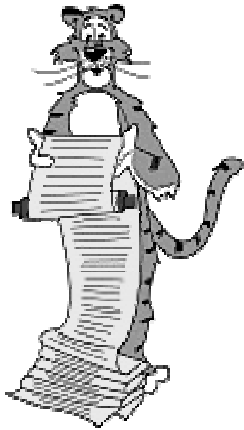


# Lecture T2: Turing Machines



## Overview

Attempt to understand essential nature of computation by studying properties of simple machine models.

Goal: simplest machine that is "as powerful" as conventional computers.

Surprising Fact 1.



Surprising Fact 2.



## Adding Power to FSA

### FSA advantages:

- Extremely simple and cheap to build.
- Well suited to certain important tasks.
  - pattern matching, filtering, dishwashers, remote controls, traffic lights, sequential circuits

### FSA disadvantages:

- Not sufficiently "powerful" to solve numerous problems of interest.

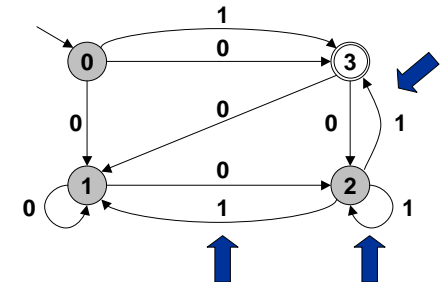
### How can we make FSA's more powerful?

- NFSA = FSA + "nondeterminism", i.e., ability to guess the right answer (!)

## Nondeterministic Finite State Automata

### Nondeterministic FSA (NFSA).

- Simple machine with N states.
- Start in state 0.
- Read a bit.
- Depending on current state and input bit
  - move to any of several new states
- Stop when last bit read.
- Accept if ANY choice of new states ends in state X, reject otherwise.

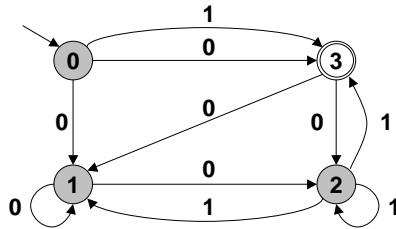


If in state 2, and next bit is 1:  
can move to state 1  
can move to state 2  
can move to state 3

## Nondeterministic Finite State Automata

### Nondeterministic FSA (NFSA).

- Simple machine with N states.
- Start in state 0.
- Read a bit.
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- Accept if ANY choice of new states ends in state X, reject otherwise.



Which strings are accepted?

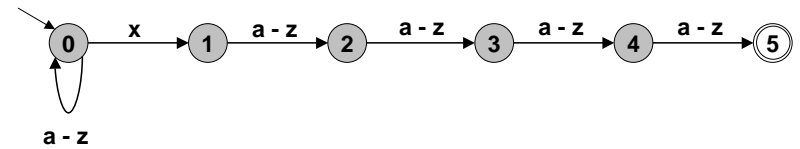
- ✓ 0010001
- ✗ 00
- ✗ 10000111001100
- ✓ 10000111001101

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## NFSA Example 2

Build an NFSA to match all strings whose 5<sup>th</sup> to last character is 'x'.

- `% egrep 'x....$' /usr/dict/words`
- asphyxiate
- carboxylic
- contextual
- inflexible



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## A Systematic Method for NFSA

Harder to determine whether an NFSA accepts a string than an FSA.

- For FSA, only one possible path to follow.
- For NFSA, need to consider many paths.

Systematic method for NFSA.

- Keep track of ALL possible states that the NFSA could be in for a given input.
- Accept if one of possible ending states is accept state.

Power of nondeterminism is very useful, but is it essential?

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## FSA - NFSA Equivalence

**Theorem:** FSA and NFSA are "equally powerful".

- Given any NFSA, can construct FSA that accepts same inputs.

**Notation:**  $X \subseteq Y$ .

- Y is at least as powerful as X.
- Machine class Y all the languages that X can (and maybe more).

**Proof (Part 1):**  $FSA \subseteq NFSA$ .

- A FSA is a special type of NFSA.

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## FSA - NFSA Equivalence

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**Notation:**  $X \subseteq Y$ .

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- Machine class Y all the languages that X can (and maybe more).

**Proof (part 2):** NFSA  $\subseteq$  FSA.

- Given a nondeterministic FSA, we give method to construct a deterministic FSA that recognizes the same language.
- One state in FSA for every set of states in the NFSA.
- N-state NFSA  $\Rightarrow 2^N$  state FSA.

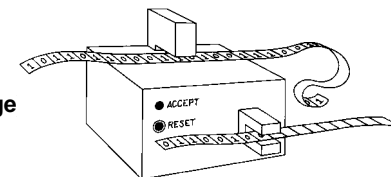


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## Pushdown Automata

How can we make FSA's more powerful?

- Nondeterminism didn't help.
- Instead, add "memory" to the FSA.
- A pushdown stack (amount of memory is arbitrarily large)



Pushdown Automata (PDA).

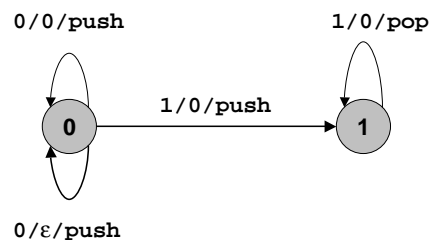
- Simple machine with N states.
- Start in state 0.
- Read a bit, check bit at top of stack.
- Depending on current state/input bit/stack bit:
  - move to new state
  - push the input onto stack, or pop topmost element from stack
- Stop when last bit is read.
- ACCEPT if stack is empty, REJECT otherwise.

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## Pushdown Automata

PDA for deciding whether input is of form  $0^N 1^N$ .

- N 0's followed by N 1's for some N.
- $\epsilon, 01, 0011, 000111, 00001111, \dots$
- Use notation  $x/y/z$
- If input is x and top of stack is y, then do z.



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## Pushdown Automata

How can we make FSA more powerful?

- PDA = FSA + stack.

Did it help?

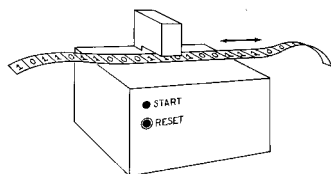
- More powerful, can recognize:
  - all bit strings with an equal number of 0's and 1's
  - all bit strings of the form  $0^N 1^N$
  - all "balanced" strings in alphabet:  $(, \{, [, ], \}, )$
- Can't recognize language of all palindromes.
  - $11 * 181 = 1991 = 181 * 11$
  - `a m a n a p l a n a c a n a l p a n a m a`
  - `m u r d e r f o r a j a r o f r e d r u m`
- More powerful machines still needed.

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## Turing Machine

### Turing Machine.

- Simple machine with N states.
- Start in state 0.
- Input on an arbitrarily large TAPE that can be read from \*and\* written to.
- Read a bit from tape.
- Depending on current state and input bit
  - write a bit to tape
  - move tape right or left
  - move to new state
- Stop if enter yes or no state.
- Accept if yes, reject if no or does not terminate.





} new accept /  
reject mechanism

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## Some Examples

### Build Turing machines that accepts inputs that:

- have an equal number of 0's and 1's.   
#1100#, #0011#, #011101110000#
- are even length palindromes of 0's and 1's.  
#0110#, #110011#, #10111000011101#
- have a power of two 1's.   
#1#, #11#, #1111#, #11111111#

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## C Program to Simulate Turing Machine

### Three character alphabet (0 is 'blank').

### Input: description of machine (9 integers per state s).

- `next[i][s] = t` means if currently in state `s` and input character read in is `i`, then transition to state `t`.
- `out[i][s] = w` means if currently in state `s` and input character read in is `i`, then write `w` to current tape position.
- `move[i][s] = ±1` means if currently in state `s` and input character read in is `i`, then move tape cursor one position to left or right.
- `tape[i]` is  $i^{\text{th}}$  character on tape initially.

### Details missing:

- Might run off end of tape.

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## C Program to Simulate Turing Machine

```
turing.c

#define MAX_TAPE_SIZE 2000
#define STATES 100
#define ACCEPT_STATE 99
. . .
int next[3][STATES], out[3][STATES], move[3][STATES];
char tape[MAX_TAPE_SIZE];
int in, d, state = 0, cursor = MAX_TAPE_SIZE / 2;

. . . /* read in machine from file */

while (scanf("%d", &d) != EOF) ← read in tape
    tape[cursor++] = d;      (consists of 0, 1, 2)

while (state != ACCEPT_STATE) { ← simulate Turing machine
    in = tape[cursor];      until accept state reached
    state = next[in][state];
    tape[head] = out[in][state];
    head += move[in][state];
}
```

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## Nondeterministic Turing Machine

### TM with extra ability:

- Choose one of several possible transition states given current tape contents and state.

### Exercise:

- Nondeterministic TM to recognize language of all bit strings of the form  $ww$  for some  $w$ .
  - 110110
  - 100011110001111
  - 0011000111000011110011000111000111

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## Abstract Machine Hierarchy

Each machine is strictly more powerful than the previous.

- Power = can recognize more languages.

Are there limits to machine power?

Corresponding hierarchy exists for languages.

- Essential connection between machines and languages. (See Lecture T3.)

| Machine                 | Nondeterminism adds power? |
|-------------------------|----------------------------|
| Finite state automata   | No                         |
| Pushdown automata       | Yes                        |
| Linear bounded automata | Unknown                    |
| Turing machine          | No                         |

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## Summary

Abstract machines are foundation of all modern computers.

- Simple computational models are easier to understand.
- Leads to deeper understanding of computation.

Goal: simplest machine that is "as powerful" as conventional computers.

### Abstract machines.

FSA: simplest machine that is still interesting.

pattern matching, sequential circuits (Lecture T1)

PDA: add read/write memory in the form of a stack.

compiler design (Lecture T3)

TM: add memory in the form of an arbitrarily large array.

general purpose computers (Lecture T4)

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## Lecture T2: Extra Slides



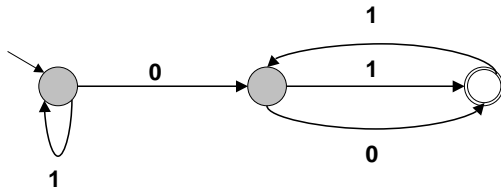
## FSA, NFSA, and RE Are Equivalent

**Theorem:** FSA, NFSA, and RE are "equally powerful".

- NFSA  $\subseteq$  FSA

**Proof sketch (part 2):** FSA  $\subseteq$  RE

- Goal: given an FSA, find a RE that matches all strings accepted by the FSA and no other strings.
- Main idea: consider
  - paths from start state(s) to accept state(s):  $00 \mid 01$
  - directed cycles:  $(1^*)(00 \mid 01)(11 \mid 10)^*$



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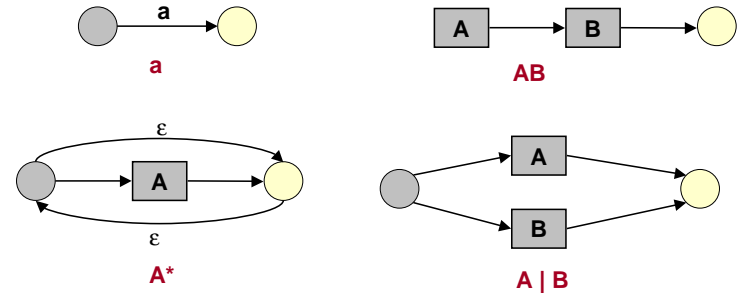
## FSA, NFSA, and RE Are Equivalent

**Theorem:** FSA, NFSA, and RE are "equally powerful".

- NFSA  $\subseteq$  FSA  $\subseteq$  RE

**Proof sketch (part 3):** RE  $\subseteq$  NFSA

- Goal: given a RE, construct a NFSA that accepts all strings matched by the RE, and rejects all others.
- Use the following rules to construct NFSA:

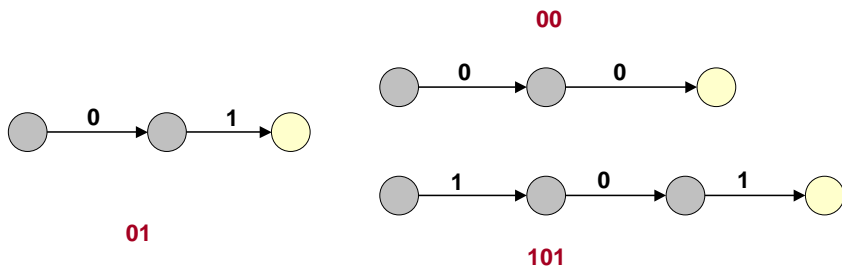


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## FSA, NFSA, and RE Are Equivalent

**Example.**

- RE:  $01(00 \mid 101)^*$

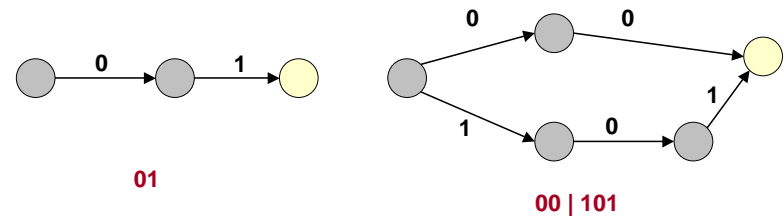


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## FSA, NFSA, and RE Are Equivalent

**Example.**

- RE:  $01(00 \mid 101)^*$



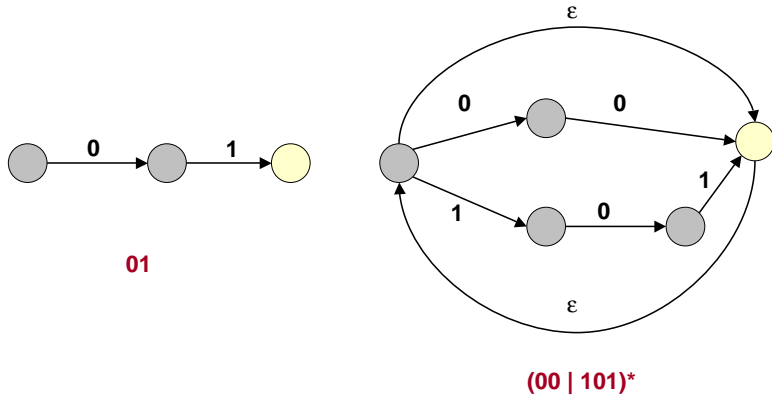
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## FSA, NFSA, and RE Are Equivalent

### Example.

- RE:  $01(00 | 101)^*$

$\epsilon$  - transition: jump states without reading a character to next state

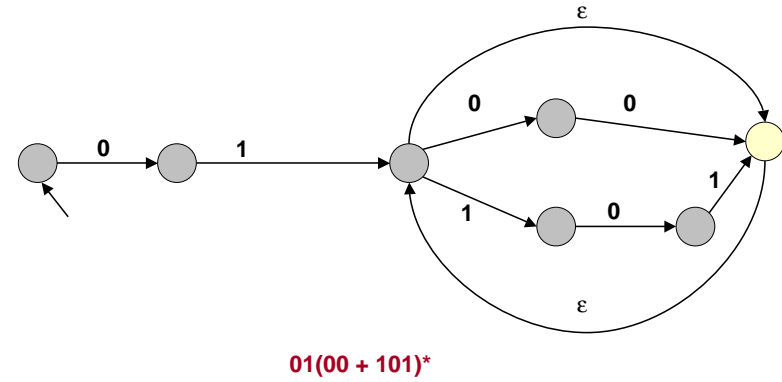


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## FSA, NFSA, and RE Are Equivalent

### Example.

- RE:  $01(00 | 101)^*$



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## Nondeterminism Does Help PDA's

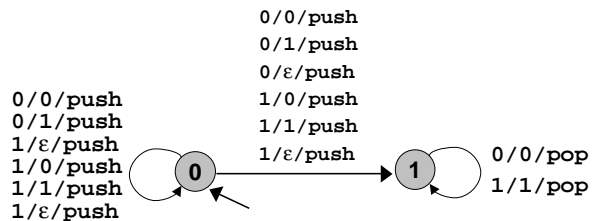
### Nondeterministic pushdown automata (NPDA).

- Same as PDA, except depending on current state/input bit/stack bit
  - move to **ANY OF SEVERAL** new states
  - push the input onto stack, or pop top-most element from stack

### NPDA to recognize all (even length) palindromes.



- Bit string is the same forwards and backwards.



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## Nondeterminism Does Help PDA's

### Nondeterministic pushdown automata (NPDA).

- Same as PDA, except depending on current state/input bit/stack bit
  - move to **ANY OF SEVERAL** new states
  - push the input onto stack, or pop top-most element from stack

### NPDA to recognize all (even length) palindromes.

- Bit string is the same forwards and backwards.

### Nondeterministic PDA more powerful than deterministic PDA.

- PDA  $\subseteq$  NPDA trivially.
- PDA cannot recognize language of all (even length) palindromes, but NPDA can.
- Therefore PDA  $\subset$  NPDA .

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## Pushdown Automata

How can we make FSA more powerful?

- NPDA = FSA + stack + nondeterminism.

Did it help?

- Can recognize language of all palindromes.
- Can't recognize some languages:
  - equal number of 0's 1's and 2's
  - $0^N 1^N 2^N$
  - bit strings with a power of two 1's
- Need still more powerful machines.

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## Linear Bounded Automata

Turing machine.

- No limit on length of tape.

Linear bounded automata (LBA).

- Same as TM except length of tape =  $K * (\text{size of input})$ .

LBA is strictly less powerful than TM.

- There are languages that can be recognized by TM but not a LBA.
- We won't dwell on LBA in this course.

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