7.3 Range Searching

Geometric Algorithms


Types of data. Points, lines, planes, polygons, circles, ...
This lecture. Sets of N objects.

Geometric problems extend to higher dimensions.
- Good algorithms also extend to higher dimensions.
- Curse of dimensionality.

Basic problems.
- Range searching.
- Nearest neighbor.
- Finding intersections of geometric objects.

Geometric search: Overview

1D Range Search

Extension to symbol-table ADT with comparable keys.
- Insert key-value pair.
- Search for key k.
- How many records have keys between k₁ and k₂?
- Iterate over all records with keys between k₁ and k₂.

Application: database queries.

Geometric intuition.
- Keys are point on a line.
- How many points in a given interval?
1D Range Search Implementations

Range search. How many records have keys between \( k_1 \) and \( k_2 \)?

Ordered array. Slow insert, binary search for \( k_1 \) and \( k_2 \) to find range.

Hash table. No reasonable algorithm (key order lost in hash).

BST. In each node \( x \), maintain number of nodes in tree rooted at \( x \).

Search for smallest element \( \leq k \) and largest element \( \geq k \).

<table>
<thead>
<tr>
<th></th>
<th>insert</th>
<th>count</th>
<th>range</th>
</tr>
</thead>
<tbody>
<tr>
<td>ordered array</td>
<td>( N )</td>
<td>( \log N )</td>
<td>( R + \log N )</td>
</tr>
<tr>
<td>hash table</td>
<td>1</td>
<td>( N )</td>
<td>( N )</td>
</tr>
<tr>
<td>BST</td>
<td>( \log N )</td>
<td>( \log N )</td>
<td>( R + \log N )</td>
</tr>
</tbody>
</table>

\( N = \# \) records
\( R = \# \) records that match

2D Orthogonal Range Search

Extension to symbol-table ADT with 2D keys.

- Insert a 2D key.
- Search for a 2D key.
- Range search: find all keys that lie in a 2D range?
- Range count: how many keys lie in a 2D range?

Applications: networking, circuit design, databases.

Geometric interpretation.

- Keys are point in the plane.
- Find all points in a given h-v rectangle?

2D Orthogonal Range Search: Grid Implementation

Grid implementation. [Sedgewick 3.18]

- Divide space into \( M \times M \) grid of squares.
- Create linked list for each square.
- Use 2D array to directly access relevant square.
- Insert: insert \((x, y)\) into corresponding grid square.
- Range search: examine only those grid squares that could have points in the rectangle.

Space-time tradeoff.

- Space: \( M^2 \) + \( N \).
- Time: \( 1 \) + \( N / M^2 \) per grid cell examined on average.

Choose grid square size to tune performance.

- Too small: wastes space.
- Too large: too many points per grid square.
- Rule of thumb: \( \sqrt{N} \) by \( \sqrt{N} \) grid.

Time costs. [if points are evenly distributed]

- Initialize: \( O(N) \).
- Insert: \( O(1) \).
- Range: \( O(1) \) per point in range.
Quad Trees

Quad tree. Recursively partition plane into 4 quadrants.

Implementation: 4-way tree.

```
public class QuadTree {
    Quad quad;
    Value value;
    QuadTree NW, NE, SW, SE;
}
```

Good clustering performance is a primary reason to choose quad trees over grid methods.

Space Partitioning Trees

Space partitioning tree. Use a tree to represent the recursive hierarchical subdivision of d-dimensional space.

BSP tree. Recursively divide space into two regions.
Quadtree. Recursively divide plane into four quadrants.
Octree. Recursively divide 3D space into eight octants.
kD tree. Recursively divide k-dimensional space into two half-spaces.

Applications:
- Ray tracing.
- Flight simulators.
- N-body simulation.
- Collision detection.
- Astronomical databases.
- Adaptive mesh generation.
- Accelerate rendering in Doom.
- Hidden surface removal and shadow casting.

Curse of Dimensionality

Range search / nearest neighbor in k dimensions?

Main application. Multi-dimensional databases.

3D space. Octrees: recursively divide 3D space into 8 octants.
100D space. Centrees: recursively divide into $2^{100}$ centroids??
2D Trees

2D tree. Recursively partition plane into 2 halfplanes.

Implementation: BST, but alternate using x and y coordinates as key.
- Search gives rectangle containing point.
- Insert further subdivides the plane.

kD Trees

kD tree. Recursively partition k-dimensional space into 2 halfspaces.

Implementation: BST, but cycle through dimensions ala 2D trees.

Efficient, simple data structure for processing k-dimensional data.
- Adapts well to clustered data.
- Adapts well to high dimensional data.
- Discovered by an undergrad in an algorithms class!

Summary

Basis of many geometric algorithms: search in a planar subdivision.

<table>
<thead>
<tr>
<th></th>
<th>grid</th>
<th>2D tree</th>
<th>Voronoi diagram</th>
<th>intersecting lines</th>
</tr>
</thead>
<tbody>
<tr>
<td>basis</td>
<td>$\sqrt{N}$ h-v lines</td>
<td>$N$ points</td>
<td>$N$ points</td>
<td>$\sqrt{N}$ lines</td>
</tr>
<tr>
<td>representation</td>
<td>2D array of N lists</td>
<td>N-node BST</td>
<td>N-node multilist</td>
<td>~N-node BST</td>
</tr>
<tr>
<td>cells</td>
<td>~$N$ squares</td>
<td>$N$ rectangles</td>
<td>$N$ polygons</td>
<td>~$N$ triangles</td>
</tr>
<tr>
<td>search cost</td>
<td>$1$</td>
<td>$\log N$</td>
<td>$\log N$</td>
<td>$\log N$</td>
</tr>
<tr>
<td>extend to kD?</td>
<td>too many cells</td>
<td>easy</td>
<td>cells too complicated</td>
<td>use (k-1)D hyperplane</td>
</tr>
</tbody>
</table>

7.4 Geometric Intersection
Orthogonal Segment Intersection: Sweep Line Algorithm

Sweep line: reduces 2D orthogonal segment intersection problem to 1D range searching!

Running time of sweep line algorithm.
- Put x-coordinates on a PQ (or sort). \(O(N \log N)\)
- Insert y-coordinate into ST. \(O(N \log N)\)
- Delete y-coordinate from ST. \(O(N \log N)\)
- Range search. \(O(R + N \log N)\)

Efficiency relies on judicious use of data structures.

Brute force. Test all \(\Theta(N^2)\) pairs of line segments for intersection.
Sweep line. Efficient solution extends to 3D and general objects.

Geometric Intersection

Problem. Find all intersecting pairs among set of \(N\) geometric objects.
Applications. CAD, games, movies, virtual reality.

Simple version: 2D, all objects are horizontal or vertical line segments.
Sweep Line Event

```java
public class Event implements Comparable<Event> {
    int time;
    SegmentHV segment;

    public Event(int time, SegmentHV segment) {
        this.time = time;
        this.segment = segment;
    }

    public int compareTo(Event b) {
        return a.time - b.time;
    }
}
```

Sweep Line Algorithm: Initialize Events

```java
// initialize events
MinPQ<Event> pq = new MinPQ<Event>();
for (int i = 0; i < N; i++) {
    if (segments[i].isVertical()) {
        Event e = new Event(segments[i].x1, segments[i]);
        pq.insert(e);
    } else if (segments[i].isHorizontal()) {
        Event e1 = new Event(segments[i].x1, segments[i]);
        Event e2 = new Event(segments[i].x2, segments[i]);
        pq.insert(e1);
        pq.insert(e2);
    }
}
```

Sweep Line Algorithm: Simulate the Sweep Line

```java
// simulate the sweep line
int INF = Integer.MAX_VALUE;
RangeSearch<SegmentHV> st = new RangeSearch<SegmentHV>();
while (!pq.isEmpty()) {
    Event e = pq.delMin();
    SegmentHV segment = e.segment;
    if (segment.isVertical()) {
        SegmentHV seg1, seg2;
        seg1 = new SegmentHV(-INF, segment.y1, -INF, segment.y1);
        seg2 = new SegmentHV(+INF, segment.y2, +INF, segment.y2);
        Iterable<SegmentHV> list = st.range(seg1, seg2);
        for (SegmentHV seg : list)
            System.out.println(segment + " intersects " + seg);
    } else if (sweep == segment.x1) st.add(segment);
    else if (sweep == segment.x2) st.remove(segment);
}
```

General Line Segment Intersection

Use horizontal sweep line moving from left to right.
- Maintain order of segments that intersect sweep line by y-coordinate.
- Intersections can only occur between adjacent segments.
- Add/delete line segment ⇒ one new pair of adjacent segments.
- Intersection ⇒ two new pairs of adjacent segments.
Line Segment Intersection: Implementation

Efficient implementation of sweep line algorithm.
- Maintain PQ of important x-coordinates: endpoints and intersections.
- Maintain ST of segments intersecting sweep line, sorted by y.
- $O(R \log N + N \log N)$.

Implementation issues.
- Degeneracy.
- Floating point precision.
- Use PQ since intersection events aren’t known ahead of time.

VLSI Rules Checking

Algorithms and Moore’s Law

Rectangle intersection. Find all intersections among h-v rectangles.
Application. VLSI rules checking in microprocessor design.

Early 1970s: microprocessor design became a geometric problem.
- Very Large Scale Integration (VLSI).
- Computer-Aided Design (CAD).
- Design-rule checking.

"Moore’s Law." Processing power doubles every 18 months.
- $197^x$: need to check N rectangles.
- $197(x+1.5)$: need to check 2N rectangles on a 2x-faster computer.

Quadratic algorithm. Compare each rectangle against all others.
- $197^x$: takes M days.
- $197(x+1.5)$: takes $(4M)/2 = 2M$ days. (!)

Need $O(N \log N)$ CAD algorithms to sustain Moore’s Law.
VLSI Database Problem

Move a vertical "sweep line" from left to right.
- Sweep line: sort rectangles by x-coordinate and process in this order, stopping on left and right endpoints.
- Maintain set of intervals intersecting sweep line.
- Key operation: given a new interval, does it intersect one in the set?

Support following operations.
- Insert an interval (lo, hi).
- Delete the interval (lo, hi).
- Search for an interval that intersects (lo, hi).

Non-degeneracy assumption. No intervals have the same x-coordinate.

Interval tree implementation with BST.
- Each BST node stores one interval.
- BST nodes sorted on lo endpoint.
- Additional info: store and maintain max endpoint in subtree rooted at node.

Finding an Intersecting Interval

Search for an interval that intersects (lo, hi).

Node x = root;
while (x != null) {
  if (x.interval.intersects(lo, hi)) return x.interval;
  else if (x.left == null) x = x.right;
  else if (x.left.max < lo) x = x.right;
  else x = x.left;
return null;
}

Case 1. If search goes right, no overlap in left.
- (x.left == null) \(\Rightarrow\) trivial.
- (x.left.max < lo) \(\Rightarrow\) for any interval \((a, b)\) in left subtree of \(x\), we have \(b \leq \text{max} < lo,\)
  \[\text{def of max}  \quad \text{reason for going right}\]
  \[\text{left subtree of x}\]
Finding an Intersecting Interval

**Search** for an interval that intersects \((lo, hi)\).

```java
Node x = root;
while (x != null) {
    if (x.interval.intersects(lo, hi)) return x.interval;
    else if (x.left == null) x = x.right;
    else if (x.left.max < lo) x = x.left;
    else x = x.right;
}
return null;
```

**Case 2.** If search goes left, then either (i) there is an intersection in left subtree or (ii) no intersections in either subtree.

**Pf.** Suppose no intersection in left. Then for any interval \((a, b)\) in right subtree, \(a < c < hi \Rightarrow \) no intersection in right.

![Interval Search Tree: Analysis](image)

Interval Search Tree: Analysis

**Implementation.** Use a balanced BST to guarantee performance.

<table>
<thead>
<tr>
<th>Operation</th>
<th>Worst case</th>
</tr>
</thead>
<tbody>
<tr>
<td>insert interval</td>
<td>log (N)</td>
</tr>
<tr>
<td>delete interval</td>
<td>log (N)</td>
</tr>
<tr>
<td>find an interval that intersects ((lo, hi))</td>
<td>log (N)</td>
</tr>
<tr>
<td>find all intervals that intersect ((lo, hi))</td>
<td>(R \log N)</td>
</tr>
</tbody>
</table>

VLSI Database Sweep Line Algorithm: Review

**Move a vertical “sweep line” from left to right.**

- **Sweep line:** sort rectangles by \(x\)-coordinates and process in this order.
- Store set of rectangles that intersect the sweep line in an interval search tree (using \(y\)-interval of rectangle).
- **Left side:** interval search for \(y\)-interval of rectangle, insert \(y\)-interval.
- **Right side:** delete \(y\)-interval.

VLSI Database Problem: Sweep Line Algorithm

**Sweep line:** reduces 2D orthogonal rectangle intersection problem to 1D interval searching!

**Running time of sweep line algorithm.**

- Sort by \(x\)-coordinate. \(O(N \log N)\)
- Insert \(y\)-interval into \(ST\). \(O(N \log N)\)
- Delete \(y\)-interval from \(ST\). \(O(N \log N)\)
- Interval search. \(O(R \log N)\)

Efficiency relies on judicious extension of BST.