Compiling Path Queries

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Abstract

Measuring the flow of traffic along network paths is crucial for many management tasks, including traffic engineering, diagnosing congestion, and mitigating DDoS attacks. We introduce a declarative query language for efficient path-based traffic monitoring. Path queries are specified as regular expressions over predicates on packet locations and header values, with SQLlike "groupby" constructs for aggregating results anywhere along a path. A run-time system compiles queries into a deterministic finite automaton. The automaton's transition function is then partitioned, compiled into match-action rules, and distributed over the switches. Switches stamp packets with automaton states to track the progress towards fulfilling a query. Only when packets satisfy a query are the packets counted, sampled, or sent to collectors for further analysis. By processing queries in the data plane, users "pay as they go", as datacollection overhead is limited to exactly those packets that satisfy the query. We implemented our system on top of the Pyretic SDN controller and evaluated its performance on a campus topology. Our experiments indicate that the system can enable "interactive debugging"compiling multiple queries in a few seconds-while fitting rules comfortably in modern switch TCAMs and the automaton state into two bytes (e.g., a VLAN header).

1 Introduction

Effective traffic-monitoring tools are crucial for running large networks—to track a network's operational health, debug performance problems when they inevitably occur, account and plan for resource use, and ensure that the network is secure. Poor support for network monitoring and debugging can result in costly outages [5].

The network operator's staple measurement toolkit is well-suited to monitoring traffic at a single location (*e.g.*, SNMP/RMON, NetFlow, and wireshark), or probing an end-to-end path at a given time (*e.g.*, ping and traceroute). However, operators often need to ask questions involving packets that traverse specific *paths*, *over time*: for example, to measure the traffic matrix [19], to resolve congestion or a DDoS attack by determining the ingress locations directing traffic over a specific link [18,55], to localize a faulty device by tracking how far packets get before being dropped, and to take corrective action when packets evade a scrubbing device (even if transiently).

Answering such questions requires measurement tools that can analyze packets based both on their *location* and *headers*, attributes which may change as the packets flow through the network. The key measurement challenge is that, *in general*, it is hard to determine a packet's upstream or downstream path or headers. Current approaches either require inferring flow statistics by "joining" traffic data with snapshots of the forwarding policy, or answer only a small set of predetermined questions, or collect much more data than necessary (§2).

In contrast, when operators want to measure path-level flows in an network, they should be able to specify concise, network-wide *declarative queries* that are

- 1. independent of the forwarding policy,
- 2. independent of other concurrent measurements, and
- 3. independent of the specifics of network hardware.

The measurements themselves should be carried out by a *run-time system*, that enables operators to

- 4. get accurate measurements directly, without having to "infer" results by joining multiple datasets,
- 5. have direct control over measurement overhead, and
- 6. use standard match-action switch hardware [8, 34].

A Path Query Language. We have developed a query language where users specify regular expressions over boolean conditions on packet location and header contents. To allow concise queries over disjoint subsets of packets, the language includes an SQL-like "groupby" construct that aggregates query results anywhere along a path. Different actions can be taken on a packet when



Figure 1: Path Query System.

it satisfies a query, such as incrementing counters, directing traffic to a mirroring port or controller, or sampling at a given rate. These actions may be applied either before or after the packets traverse the matching trajectory.

The Run-time System. To implement a path query, the run-time system programs the switches to record path information in each packet as it flows through the data plane. While prior approaches have tracked packet paths this way [28,49,55], a naive encoding of every detail of the path—location and headers—would incur significant overheads. For example, encoding a packet's source and destination MAC addresses, and connection 5-tuple (24 bytes) at each hop incurs more than a 10% space overhead on a 1500-byte packet, if the packet takes six hops.

Instead, we customize packet path information to the input queries. More specifically, the run-time system compiles queries into a deterministic finite automaton (DFA), whose implementation is then distributed across the switches. The state of the DFA is stored in each packet as updated as it traverses the network. Upon receiving a packet, the switch reads the current DFA state, checks conditions implied by the query, writes a new DFA state on to the packet, executes actions associated with forwarding policy, and sends the packet on its way. Further, if a packet reaches an accepting state of the DFA, the actions associated with the accepting state are triggered. Hence, if the action associated with an accepting state is to send the packet to a collector, only packets actually matching a query are ever sent to a collector.

The mechanism we propose has an attractive "pay for what you query" cost model. Intuitively, our technique acts as an application-specific compression scheme for packet content and paths: rather than coding every detail of the packet trajectory, *only the information necessary to answer queries* is represented in the automaton state. When a packet hits an accepting state, all user-requested information about the packet path can be reconstructed.

Prototype Implementation and Evaluation. We have implemented a prototype of our query system on the Pyretic SDN controller [36] with the NetKAT compiler [58]. Our compilation algorithms generate rules both for single and multi-stage match-action tables (*e.g.*, Open-Flow [34], [8]), and we implemented several compiler optimizations that reduce rule-space overhead and query

compile time significantly with multi-stage tables. Our system design satisfies requirements (1)-(6) outlined earlier. On an emulated Stanford network topology, our prototype can compile several queries we tested (together) in under 10 seconds. We believe such compile times can enable "interactive" network debugging by human operators. The amount of packet state is less than two bytes, and fits in standard fields like VLAN or MPLS headers. Further, the emitted data plane rules—numbering a few hundreds—fit comfortably in the TCAM available on modern switches [8, 14, 25].

Contributions. In summary, this paper contributes:

- 1. the design of a query language that allows users to identify packets traversing a given set of paths (§3),
- 2. an evaluation of query expressiveness and the debugging model through examples (§4),
- 3. a run-time system that compiles queries to dataplane rules that emulate a distributed DFA (§5),
- 4. a set of optimizations that reduce query compile time by several orders of magnitude (§6), and
- 5. a prototype implementation and evaluation with the Pyretic SDN controller and Open vSwitch (§7).

We have open-sourced our prototype [65] and instructions to reproduce the results are available online [46].

Our preliminary workshop paper [38] on designing a path query system was only partly implemented, and the compilation strategy was prohibitively expensive for even moderately-sized networks. In this paper, we implement and evaluate a full system, and develop optimizations essential to make the system work in practice.

2 Design of Path Measurement

How do we know which path a packet took through the network? How do we collect or count all packets going through a specific path? A number of prior approaches [1,16,23,30,31,49,55,59,64,73,75] aim to answer these questions, but fall short of our requirements.

2.1 Existing Approaches

Policy checking. Approaches like header space analysis [30] and VeriFlow [31] can predict the packets that *could* satisfy certain conditions (*e.g.*, reachability) according to the network's control-plane policy. However, actual data-plane behavior can be different due to congestion, faults, and switch misconfigurations.

'Out-of-band' path measurement. These techniques collect observations of packets from network devices, and *infer* path properties of interest—for example, from independent packet samples (NetFlow [1], [52]), trajectory labels [16], postcards [23], or matched and mirrored



Figure 2: Overheads are limited to traffic matching a query.

packets (wireshark [68], Gigascope [13], [69, 75]). Unfortunately, it is difficult to determine the full path of a single packet through observations spread out in space and time *correctly and efficiently*, for the reasons below.

(*i*) Dynamic forwarding policies: A simple way to get path measurements is to capture traffic entering a network (*e.g.*, NetFlow [1]) and use the routing tables to estimate the paths the traffic would take. However, packet forwarding changes often due to topology changes, failover mechanisms (*e.g.*, MPLS fast re-route), and traffic engineering. Further, today's devices do not provide the timestamps at which the forwarding tables were updated, so it is difficult to reconcile packet-forwarding state with collected traffic data.

(*ii*) Packets dropped in flight: It is tricky to estimate actual packet trajectories even when packet forwarding is static. Packets may be dropped downstream from where they are observed, *e.g.*, due to congestion or faulty equipment, so it is difficult to know if a packet actually *completed* its inferred downstream trajectory.

(*iii*) Ambiguous upstream path: The alternative of observing traffic deeper in a network, on internal links of interest, cannot always tell where the traffic entered. For example, packets with identical header fields may arrive at multiple ingress points, *e.g.*, when packet headers are spoofed as in a DDoS attack, or when two ISPs peer at multiple points. Such packets would follow different paths eventually merging on the same downstream interface: disambiguating them at that point is impossible.

(*iv*) Packets modified in flight: Compounding the difficulty, network devices may modify the header fields of packets in flight, *e.g.*, NAT. "Inverting" packet modifications to compute the upstream trajectory is inherently ambiguous, as the upstream packet could have contained arbitrary values on the rewritten fields. Computing all possibilities is computationally difficult [74]. Further, packet modifications thwart schemes like trajectory sampling [16] that hash on header fields to sample a packet at each hop on its path.

(v) Opaque multi-path routing: Switch features like equal cost multi-path (ECMP) routing are currently implemented through hardware hash functions which are closed source and vendor-specific. This confounds techniques that attempt to infer downstream paths for packets. This is not a fundamental limitation (*e.g.*, some vendors may expose hash functions), but a pragmatic one.

(vi) High data collection overhead: Since both upstream and downstream trajectory inference is inaccurate, we are left with the option of collecting packets or digests at every hop [23, 59]. However, running taps at every point in the network and collecting all traffic is infeasible due to the bandwidth and data collection overheads. Even targeted data collection using wireshark [68] or match-and-mirror solutions [69, 75] cannot sustain the bandwidth overheads to collect all traffic affected by a problem. Sampling the packets at low rates [16] would make such overheads manageable, but at the expense of losing visibility into the (majority) unsampled traffic. This lack of visibility hurts badly when diagnosing problems for specific traffic (*e.g.*, a specific customer's TCP connections) that the sampling missed.

'In-band' path measurement: These approaches tag packets with metadata to enable switches to *directly* identify packet paths [28, 32, 38, 55, 64, 73]. However, current approaches have multiple drawbacks:

(vii) Limited expressiveness: IP record route [49], traceback [55] and path tracing [64, 73] can identify the network interfaces traversed by packets. However, operators also care about packet *headers*, including modifications to header fields in flight—*e.g.*, to localize a switch that violates a network slice isolation property [30]. Further, the accuracy and overhead of these approaches cannot be customized to requirement: traceback can only accurately record a few waypoints, while path tracing always incurs tag space to record the entire path.

(*viii*) Strong assumptions: Current approaches require strong assumptions: *e.g.*, symmetric topology [64], no loops [64, 73], stable paths to a destination [55], or requiring that packets reach the end hosts [28, 32]. Unfortunately, an operator may be debugging the network exactly when such conditions *do not* hold.

2.2 Our Approach

We design an accurate "in-band" path measurement system without the limitations of the prior solutions. A runtime system compiles *modular*, *declarative path queries* along with the network's forwarding policy (specified and changing independently), generating the switchlevel rules that process *exactly* the packets matching the queries, in operator-specified ways—*e.g.*, counting, sampling, and mirroring. Hence, our system satisfies requirements (1)-(6) laid out in §1. Further, since the emitted data-plane rules process packets at every hop, our system overcomes problems (i), (ii), (iii), and (v) in §2.1. Identifying packet paths "in-band" with packet state untouched by regular forwarding actions removes ambiguities from packet modification (iv), and avoids unneces-

Figure 3: Syntax of path queries.

sary collection overheads (vi). Finally, our query language and implementation allow waypoint and headerbased path specification (vii) and do not require strong operational assumptions to hold (viii).

As a demonstration of our query system, Fig. 2 shows that *only* those packets evading a firewall switch in the network core are collected at the network egress, on an emulated Stanford campus topology [2]. In comparison, common alternatives like wireshark will need to collect all network traffic to reliably catch such packets.

Our system must overcome the challenges below.

(*i*) Resource constraints: The space to carry packet trajectory metadata is limited, as packets must fit within the network's MTU. Further, switch rule-table space is limited [14], so the system should generate a compact set of packet-processing rules. Finally, to be usable for operator problem diagnosis, the system should compile queries in an acceptable amount of time.

(*ii*) Interactions between multiple measurement and forwarding rules: Switches must identify packets on all operator-specified paths—with some packets possibly on multiple queried paths simultaneously. The switch rules that match and modify packet trajectory metadata should not affect regular packet forwarding in the network, even when operators specify that packets matching the queries be handled differently than the regular traffic.

Practically, our query system is complementary to other measurement tools which are "always on" at low overheads [1, 52, 75]—as opposed to completely replacing those tools. Instead, our query system enables operators to focus their attention and the network's limited resources on clearly-articulated tasks during-the-fact.

3 Path Query Language

A path query identifies the set of packets with particular header values and that traverse particular locations. Such queries can identify packets with changing headers, as happens during network address translation, for instance. When the system recognizes that a packet has satisfied a query, any user-specified action may be applied to that packet. Fig. 3 shows the syntax of the language. In what follows, we explain the details via examples.

Packet Predicates and Simple Atoms. One of the basic building blocks in a path query is a *boolean predicate* (pred) that matches a packet at a single location. Predicates may match on standard header fields, such as:

srcip=10.0.0.1 & dstip=10.0.0.2

as well as the packet's location (a switch and interface). The predicates true and false match all packets, and no packets, respectively. Conjunction (&), disjunction (|), and negation (\sim) are standard. The language also provides syntactic sugar for predicates that depend on topology, such as ingress(), which matches all packets that enter the network at some *ingress* interface, *i.e.*, an interface attached to a host or a device in another administrative domain. Similarly, egress() matches all packets that exit the network at some *egress* interface.

Atoms further refine the meaning of predicates, and form the "alphabet" for the language of path queries. The simplest kind of atom is an in_atom that tests a packet as it *enters* a switch (*i.e.*, before forwarding actions). Analogously, an out_atom tests a packet as it *leaves* the switch (*i.e.*, after forwarding actions). The set of packets matching a given predicate at switch entry and exit may be different from each other, since a switch may rewrite packet headers, multicast through several ports, or drop the packet entirely. For example, to capture all packets that enter a device S1 with a destination IP address (say 192.168.1.10), we write:

in_atom(switch=S1 & dstip=192.168.1.10)

It is also possible to combine those ideas, testing packet properties on both "sides" of a switch. More specifically, the in_out_atom tests one predicate as a packet enters a switch, and another as the packet exits it. For example, to capture all packets that enter a NAT switch with the virtual destination IP address 192.168.1.10 and exit with a private IP address 10.0.1.10, we would write:

Partitioning and Indexing Sets of Packets. It is often useful to specify groups of related packets concisely in one query. We introduce *group atoms*—akin to SQL groupby clauses—that aggregate results by packet location or header field. These group atoms provide a concise notation for partitioning a set of packets that match a predicate in to subsets based on the value of a particular packet attribute. More specifically, in_group(pred,

Example	Query code	Description	
A simple path	<pre>in_atom(switch=S1) ^ in_atom(switch=S4)</pre>	Packets going from switch S1 to S4 in the network.	
Slice isolation	<pre>true* ^ (in_out_atom(slice1, slice2) </pre>	Packets going from network slice slice 1 to	
	<pre>in_out_atom(slice2, slice1))</pre>	slice2, or vice versa, when crossing a switch.	
Firewall	$in_atom(ingress())$ (in_atom(\sim switch=FW))*	Catch packets evading a firewall device FW when	
evasion	<pre>^ out_atom(egress())</pre>	moving from any network ingress to egress interface.	
DDoS sources	<pre>in_group(ingress(), [switch]) ^ true*</pre>	Determine traffic contribution by volume from all	
	<pre>^ out_atom(egress(), switch=vic)</pre>	ingress switches reaching a DDoS victim switch vic.	
Switch-level	<pre>in_group(ingress(), [switch]) ^ true*</pre>	Count packets from any ingress to any egress switch,	
traffic matrix	<pre>out_group(egress(), [switch])</pre>	with results grouped by (ingress, egress) switch pair.	
Congested link	<pre>in_group(ingress(), [switch]) ^ true*</pre>	Determine flows (switch sources \rightarrow sinks) utilizing a	
diagnosis	<pre>^ out_atom(switch=sc) ^ in_atom(switch=dc)</pre>	congested link (from switch sc to switch dc), to help	
	<pre>^ true* ^ out_group(egress(), [switch])</pre>	reroute traffic around the congested link.	
Port-to-port	<pre>in_out_group(switch=s, true,</pre>	Count traffic flowing between any two ports of switch s,	
traffic matrix	[inport], [outport])	grouping the results by the ingress and egress interface.	
Packet loss	<pre>in_atom(srcip=H1) ^ in_group(true, [switch]) ^</pre>	Localize packet loss by measuring per-path traffic flow	
localization	<pre>in_group(true, [switch]) ^ out_atom(dstip=H2)</pre>	along each 4-hop path between hosts H1 and H2.	

Table 1: Some example path query applications. Further examples can be found in an extended version [39].

[h1,h2,...,hn]) collects packets that match the predicate pred at switch ingress, and then divides those packets into separate sets, one for each combination of the values of the headers h1, h2, ..., hn. The out_group atom is similar. For example,

in_group(switch=10, [inport])

captures all packets that enter switch 10, and organizes them into sets according to the value of the inport field. Such a groupby query is equivalent to writing a series of queries, one per inport. The path query system conveniently expands groupbys for the user and manages all the results, returning a table indexed by inport.

The in_out_group atom generalizes both the in_group and the out_group. For example,

in_out_group(switch=2, [inport], true, [outport])

captures all packets that enter switch=2, and exit it (*i.e.*, not dropped), and groups the results by the combination of input and output ports. This single query is shorthand for an in_out_atom for each pair of ports i, j on switch 2, *e.g.*, to compute a port-level traffic matrix.

Querying Paths. Full paths through a network may be described by combining atoms using the regular path combinators: concatenation (^), alternation (|), repetition (*), intersection (&), and negation (\sim). The most interesting combinator is concatenation: Given two path queries p1 and p2, the query p1 ^ p2 specifies a path that satisfies p1, takes a hop to the next switch, and then satisfies p2 from that point on. The interpretation of the other operators is natural: p1 | p2 specifies paths that satisfy *either* p1 or p2; p1* specifies paths that are zero or more repetitions of paths satisfying p1; p1 & p2 specifies paths that satisfy *both* p1 and p2, and \sim p1 specifies paths that do *not* satisfy p1.

Table 1 presents several useful queries that illustrate the utility of our system. Path queries enable novel capabilities (*e.g.*, localizing packet loss using just a few queries), significantly reduce operator labor (*e.g.*, measuring an accurate switch-level traffic matrix), and check policy invariants (*e.g.*, slice isolation) in the data plane.

Query Actions. An application can specify what to do with packets that match a query. For example, packets can be counted (e.g., on switch counters), be sent out a specific port (e.g., towards a collector), sent to the SDN controller, or extracted from sampling mechanisms (e.g., sFlow). Below, we show Pyretic sample code for various use cases. Suppose that p is a path query defined according to the language (Fig. 3). Packets can be sent to abstract locations that "store" packets, called buckets. There are three types of buckets: count buckets, packet buckets, and sampling buckets. A count bucket is an abstraction that allows the application to count the packets going into it. Packets are not literally forwarded and held in controller data structures. In fact, the information content is stored in counters on switches. Below we illustrate the simplicity of the programming model.

cb = count_bucket()	//	create count bucket
<pre>cb.register(f)</pre>	//	process counts by callback f
<pre>p.set_bucket(cb)</pre>	//	direct packets matching p
	//	into bucket cb
cb.pull_stats()	//	get counters from switches

Packets can be sent to the controller, using the packet buckets and an equally straightforward programming idiom. Similarly, packets can also be *sampled* using technologies like NetFlow [1] or sFlow [3] on switches.

In general, an application can ask packets matching path queries to be processed by an arbitrary *NetKAT* policy, *i.e.*, any forwarding policy that is a mathematical



Figure 4: Query Capture Locations.

function from a packet to a set of packets [4,36]. The output packet set can be empty (*e.g.*, for dropped packets), or contain multiple packets (*e.g.*, for multicasted packets). For instance, packets matching a path query p can be forwarded out a specific mirroring port mp:

p.set_policy(fwd(mp)) // forward out mirror port

An arbitrarily complex Pyretic policy pol can be used instead of fwd above by writing p.set_policy(pol).

Query Capture Locations. The operator can specify where along a path to capture a packet that satisfies a query: either *downstream*—after it has traversed a queried trajectory, *upstream*—right as it enters the network, or *spliced*—somewhere in the middle. The difference between these three scenarios is illustrated in Fig. 4. The packets captured for the same query may differ at the three locations, because the network's forwarding policy may change as packets are in flight, or packets may be lost downstream due to congestion. For query p, the operator writes p.down() to ask matching packets to be captured downstream, p.up() to be captured upstream, p.updown() to be captured at both locations, and splice(p1,p2) to be captured between two sub-paths p1, p2 such that p = p1 ^ p2.

Sometimes, we wish to collect packets at many or even all points on a path rather than just one or two. The convenience function stitch(A,B,n) returns a set of queries by concatenating its first argument (*e.g.*, an $\texttt{in_atom}$) with *k* copies of its second argument (*e.g.*, an $\texttt{in_group}$), returning one query for each k in 0...n. For example, $\texttt{stitch}(A,B,2) = \{A, A^B, A^B^B\}$.

The capabilities described above allow the implementation of a *network-wide packet capture tool*. Drawing on wireshark terminology, an operator is now able to write global, *path-based capture filters* to collect exactly the packets matching a query.

4 Interactive Debugging with Path Queries

Consider a scenario shown in Fig. 5 where an operator is tasked with diagnosing a tenant's performance problem in a large compute cluster, where the connections between two groups of tenant virtual machines A and B suffer from poor performance with low throughput. The $A \rightarrow B$ traffic is routed along the four paths shown.

Such performance problems do occur in practice [75], yet are very challenging to diagnose, as none of the conventional techniques really help. Getting information



Figure 5: An example debugging scenario (§4).

from the end hosts' networking stack [62, 70] is difficult in virtualized environments. Coarse-grained packet sampling (NetFlow [1], [16]) may miss collecting the traffic relevant to diagnosis, *i.e.*, A and B traffic. Interfacelevel counters from the device may mask the problem entirely, as the issue occurs with just one portion of the traffic. It is possible to run wireshark [68] on switch CPUs; however this can easily impact switch performance and is very restrictive in its application [12]. Network operators may instead mirror a problematic subset of the traffic in the data plane through ACLs, i.e., "match and mirror" [75]. However, this process is tedious and errorprone. The new monitoring rules must incorporate the results of packet modification in flight (e.g., NATs and load balancers [45]), and touch several devices because of multi-path forwarding. The new rules must also be reconciled with overlapping existing rules to avoid disruption of regular packet forwarding. Ultimately, mirroring will incur large bandwidth and data collection overheads, corresponding to all mirrored traffic.

In contrast, we show the ease with which a declarative query language and run-time system allow an operator to determine the root cause of the performance problem. In fact, the operator can perform *efficient* diagnosis using just switch counters—without mirroring any packets.

As a first step, the operator determines whether the end host or the network is problematic, by issuing a query counting all traffic that enters the network from A destined to B. She writes the query p1 below:

The run-time then provides statistics for $A \rightarrow B$ traffic, measured at network ingress (upstream) and egress (downstream) points. By comparing these two statistics, the operator can determine whether packets never left the host NIC, or were lost in the network.

Suppose the operator discovers a large loss rate in the network, as query p1 returns values 100 and 70 as shown in Fig. 5. Her next step is to localize the interface where most drops happen, using a downstream query p2:

```
probe_pred = switch=s_a & srcip=vm_a & dstip=vm_b
p2 = stitch(in_atom(probe_pred),
```

```
in_group(true, ['switch']), 4)
```

These queries count $A \rightarrow B$ traffic on each switch-level path (and its prefix) from A to B. Suppose the run-

time returns, among statistics for other paths, the packet counts 25 and 0 shown in red in Fig. 5. The operator concludes that link $C \rightarrow D$ along the first path has a high packet drop rate (all 25 packets dropped). Such packet drops may be due to persistent congestion or a faulty interface, affecting all traffic on the interface, or faulty rules in the switch (*e.g.*, a "silent blackhole" [75]) which affect just $A \rightarrow B$ traffic. To distinguish the two cases, the operator writes two queries measured midstream and downstream (each). Here are the midstream queries:

These queries determine the traffic loss rate on the $C \rightarrow D$ link, for all traffic traversing the link, as well as specifically the $A \rightarrow B$ traffic. By comparing these two loss rates, the operator can rule out certain root causes in favor of others. For example, if the loss rate for $A \rightarrow B$ traffic is particularly high relative to the overall loss rate, it means that that just the $A \rightarrow B$ traffic is silently dropped.

5 Path Query Compilation

Query compilation translates a collection of independently specified queries, along with the forwarding policy, into data-plane rules that recognize all packets traversing a path satisfying a query. These rules can be installed either on switches with single-stage [34] or multi-stage [8] match-action tables.¹ We describe downstream query compilation in §5.1-§5.3, and upstream compilation in §5.4. Downstream query compilation consists of three main stages:

- 1. We convert the regular expressions corresponding to the path queries into a DFA (§5.1).
- Using the DFA as an intermediate representation, we generate state-transitioning (*i.e.*, *tagging*) and accepting (*i.e.*, *capture*) data-plane rules. These allow switches to match packets based on the state value, rewrite state, and capture packets which satisfy one or more queries (§5.2).
- 3. Finally, the run-time combines the query-related packet-processing actions with the regular forwarding actions specified by other controller applications. This is necessary because the state match and rewrite actions happen on the *same* packets that are forwarded by the switches (§5.3).

The run-time expands group atoms into the corresponding basic atoms by a pre-processing pass over the queries (we elide the details here). The resulting queries only contain in, out, and in_out atoms. We describe query compilation through the following simple queries:

5.1 From Path Queries to DFAs

We first compile the regular path queries into an equivalent DFA,² in three steps as follows.

Rewriting atoms to in-out-atoms. The first step is quite straightforward. For instance, the path query p1 is rewritten to the following:

```
in_out_atom(srcip=H1 & switch=1, true) ^
in_out_atom(true, switch=2 & dstip=H2)
```

Converting queries to regular expressions. In the second step, we convert the path queries into string regular expressions, by replacing each predicate by a character literal. However, this step is tricky: a key constraint is that different characters of the regular expressions cannot represent overlapping predicates (i.e., predicates that can match the same packet). If they do, we may inadvertently generate an NFA (i.e., a single packet might match two or more outgoing edges in the automaton). To ensure that characters represent non-overlapping predicates, we devise an algorithm that takes an input set of predicates P, and produces the smallest orthogonal set of predicates S that matches all packets matching P. The key intuition is as follows. For each new predicate new_pred in P, the algorithm iterates over the current predicates pred in S, teasing out new disjoint predicates and adding them to S:

int_pred = pred & new_pred new_pred = new_pred & ~int_pred pred = pred & ~int_pred

Finally, the predicates in *S* are each assigned a unique character. The full algorithm is described in Appendix B.

For the running example, Fig. 6 shows the emitted characters (for the partitioned predicates) and regular expressions (for input predicates not in the partitioned set). Notice in particular that the true predicate coming in to a switch is represented not as a single character but as an alternation of three characters. Likewise with switch=1, switch=2, and true (out). The final regular expressions for the queries p1 and p2 are:

p1: a^(c|e|g)^(a|d|f)^c
p2: (a|d)^(c|e|g)^(a|d|f)^(c|e)

¹The compiler performs significantly better with multi-stage tables.

²We could conceivably use an NFA instead of a DFA, to produce fewer states. However, using an NFA would require each packet to store all the possible states that it might inhabit at a given time, and require switches to have a rule for each subset of states—leading to a large number of rules. Hence, we compile our path queries to a DFA.

Predicate	Regex	Predicate	Regex
switch=1 & srcip=H1	a	\sim switch=1	f
switch=1 & \sim srcip=H1	d	\sim switch=2	g
switch=2 & dstip=H2	с	switch=1	ald
switch=2 & \sim dstip=H2	е	switch=2	cle
true (in)	a d f	true (out)	clelg

Figure 6: Strings emitted for the running example (§5.1).



Figure 7: Automaton for p1 and p2 together. State Q4 accepts p2, while Q5 accepts both p1 and p2.

Constructing the query DFA. Finally, we construct the DFA for p1 and p2 together using standard techniques. The DFA is shown in Fig. 7. For clarity, state transitions that reject packets from both queries are not shown.

5.2 From DFA to Tagging/Capture Rules

The next step is to emit policies that implement the DFA. Conceptually, we have two goals. First, for each packet, a switch must read the DFA state, identify the appropriate transition, and rewrite the DFA state. This action must be done once at switch ingress and egress. Second, if the packet's new DFA state satisfies one or more queries, we must perform the corresponding query actions, *e.g.*, increment packet or byte counts.

State transitioning policies. The high-level idea here is to construct a "test" corresponding to each DFA transition, and rewrite the packet DFA state to the destination of the transition if the packet passes the test. This is akin to a string-matching automaton checking if an input symbol matches an outgoing edge from a given state. To make this concrete, we show the intermediate steps of constructing the transitioning policy in Pyretic code.

We briefly introduce the notions of *parallel and sequential composition* of network policies, which we use to construct the transitioning policy. We treat each network policy as a mathematical function from a packet to a set of packets, similar to NetKAT and Pyretic [4, 36]. For example, a *match* srcip=10.0.0.1 is a function that returns the singleton set of its input packet if the packet's source IP address is 10.0.0.1, and an empty set otherwise. Similarly, a *modification* port \leftarrow 2 is a function that changes the "port" field of its input packet to 2. Given two policies f and g—two functions on packets to sets of packets—the *parallel composition* of these two policies is defined as:

 $(f + g)(pkt) = f(pkt) \cup g(pkt)$

The sequential composition of policies is defined as:

Concept	Example	Description	
Modification	$\mathtt{port}{\leftarrow}2$	Rewrites a packet field	
Match	switch=2	Filters packets	
Parallel	monitor + route	The union of results	
composition		from two policies.	
Sequential	<pre>balance >> route</pre>	Pipe the output from	
composition		the first in to the second	
Edge predicate	<pre>pred_of(c)</pre>	Get predicate of symbol	
Path policy	p.policy()	Policy to process packets	
		accepted by query p.	

Figure 8: Syntactic Constructs in Query Compilation.

 $(f \gg g)(pkt) = \bigcup_{pkt' \in f(pkt)} g(pkt')$

For example, the policy

(srcip=10.0.0.1 + dstip=10.0.0.2) >> (port←2)

selects packets with either srcip 10.0.0.1 or dstip 10.0.0.2 and forwards them out of port 2 of a switch.

Now we produce a policy fragment for each edge of the DFA. Suppose the helper function pred_of takes in a character input c and produces the corresponding predicate. For each edge from state s to state t that reads character c, we construct the fragment

state=s & pred_of(c) >> state \leftarrow t

We combine these fragments through parallel composition, which joins the tests and actions of multiple edges:

tagging = frag_1 + frag_2 + ... + frag_n

We produce *two* state transitioning policies, one each for ingress and egress actions. Each edge fragment belongs to exactly one of the two policies, and it is possible to know which one since we generate disjoint characters for these two sets of predicates. For example, here is part of the ingress transitioning policy for the DFA in Fig. 7:

```
in_tagging =
   state=Q0 & switch=1 & srcip=H1 >> state~Q2 +
   state=Q0 & switch=1 & ~srcip=H1 >> state~Q6 +
    ... +
   state=Q7 & ~switch=1 >> state~Q8
```

Accepting policies. The accepting policy is akin to the *accepting* action of a DFA: a packet that "reaches" an accepting state has traversed a path that satisfies some query; hence the packet must be processed by the actions requested by applications. We construct the accepting policy by combining edge fragments which move packets to accepting states. We construct the fragment

state=s & pred_of(c) >> p.policy()

for each DFA edge from state s to t through character c, where t is a state accepting query p. Here p.policy() produces the action that is applied to packets matching query p. Next we construct the *accepting* policy by a parallel composition of each such fragment: capture = frag_1 + frag_2 + ... + frag_n

Similar to the transitioning policies, we construct two accepting policies corresponding to switch ingress and egress predicates. For example, for the DFA in Fig. 7, part of the accepting policy looks as follows:

out_capture =
 state=Q3 & switch=2 & dstip=H2 >> p1.policy()
 + ... +
 state=Q8 & switch=2 & dstip=H2 >> p2.policy()

Ingress tagging and Egress un-tagging. The run-time ensures that packets entering a network are tagged with the initial DFA state Q0. Symmetrically, packets leaving the network are stripped of their tags. We use the VLAN header to tag packets, but other mechanisms are possible.

5.3 Composing Queries and Forwarding

The run-time system needs to combine the packetprocessing actions from the transitioning and accepting policies with the forwarding policy. However, this requires some thought, as all of these actions affect the *same* packets. Concretely, we require that:

- 1. packets are forwarded through the network normally, independent of the existence of queries,
- 2. packet tags are manipulated according to the DFA,
- 3. packets matching path queries are processed correctly by the application-programmed actions, and
- 4. no unnecessary duplicate packets are generated.

To achieve these goals, the run-time system combines the constituent policies as follows:

```
(in_tagging >> forwarding >> out_tagging)
```

```
+ (in_capture)
```

+ (in_tagging >> forwarding >> out_capture)

The first sequential composition (involving the two tagging policies and the forwarding) ensures both that forwarding continues normally (goal 1) as well as that DFA actions are carried out (goal 2). This works because tagging policies do not drop packets, and the forwarding does not modify the DFA state.³ The remaining two parts of the top-level parallel composition (involving the two capture policies) ensure that packets reaching accepting states are processed by the corresponding query actions (goal 3). Finally, since each parallelly-composed fragment either forwards packets normally or captures it for the accepted query, no unnecessary extra packets are produced (goal 4).

Translating to match-action rules in switches. The runtime system hands off the composed policy above to Pyretic, which by default compiles it down to a single match-action table [20,36]. We also leverage *multi-stage tables* on modern switches [8, 42] to significantly improve compilation performance (§6). We can rewrite the joint policy above as follows:

```
(in_tagging + in_capture)
>> forwarding
>> (out_tagging + out_capture)
```

This construction preserves the semantics of the original policy provided in_capture policies do not forward packets onward through the data plane. This new representation decomposes the complex compositional policy into a *sequential pipeline* of three smaller policies which can be independently compiled and installed to separate stages of match-action tables. Further, this enables *decoupling updates* to the query and forwarding rules on the data plane, allowing them to evolve independently at their own time scales.

5.4 Upstream Path Query Compilation

Upstream query compilation finds those packets at network ingress that *would* match a path, based on the current forwarding policy—assuming that packets are not dropped (due to congestion) or diverted (due to updates to the forwarding policy while the packets are in flight). We compile upstream queries in three steps, as follows.

Compiling using downstream algorithms. The first step is straightforward. We use algorithms described in sections §5.1-§5.3 to compile the set of upstream queries using *downstream* compilation. The output of this step is the *effective* forwarding policy of the network incorporating the behavior both of forwarding and queries. Note that we do *not* install the resulting rules on the switches.

Reachability testing for accepted packets. In the second step, we cast the upstream query compilation problem as a standard network *reachability test* [30, 31], which asks which of all possible packet headers at a source can reach a destination port with a specific set of headers. Such questions can be efficiently answered using *header space analysis* [30]: we simply ask which packets at network ingress, when forwarded by the *effective* policy above, *reach* header spaces corresponding to accepting states for query p. We call this packet match upstream(p).

Capturing upstream. The final step is to process the resulting packet headers from reachability testing with application-specified actions for each query. We generate an *upstream capture* policy for queries p1, ..., pn:

```
(upstream(p1) >> p1.policy()) + ...
+ (upstream(pn) >> pn.policy())
```

We can implement complex applications of header space analysis like loop and slice leakage detection [30, §5] simply by compiling the corresponding upstream

³The run-time ensures this by constructing tagging policies with a virtual header field [36] that regular forwarding policies do not use.

path query [39]. Spliced queries can be compiled in a manner very similar to upstream queries.

In general, reachability testing does not restrict the paths taken to reach the destination—however, we are able to use the packet DFA state to do exactly that.

6 Optimizations

We implemented several key optimizations in our prototype to reduce query compile time and data-plane rule space. Later we show the quantitative impact of these optimizations (§7, Table 2). We briefly discuss the key ideas here; full details are in an extended version [39].

Cross-product explosion. We first describe the "crossproduct explosion" problem that results in large compilation times and rule-sets when compiling the policies resulting from algorithms in §5. The output of NetKAT policy compilation is simply a prioritized list of matchaction rules, which we call a *classifier*. When two classifiers C_1 and C_2 are composed—using parallel or sequential composition (§5.2, Fig. 8)—the compiler must consider the effect of every rule in C_1 on every rule in C_2 . If the classifiers have N_1 and N_2 rules (resp.), this results in a $\Theta(N_1 \times N_2)$ operations. A similar problem arises when predicates are partitioned during DFA generation (§5.1). In the worst case, the number of orthogonal predicates may grow exponentially on the input predicate set, since every pair of predicates may possibly overlap.

Prior works have observed similar problems [15, 22, 58,72]. Our optimizations reduce large compile time and rule sets through the domain-specific techniques below.

(A) Optimizing Conditional Policies. The policy generated from the state machine (§5.2) has a very special structure, namely one that looks like a conditional statement: *if state=s1 then* ... *else if state=s2 then* ... *else if* A natural way to compile this down is through the parallel composition of policies that look like state=s_i >> state_policy_i. This composition is expensive, because the classifiers of state_policy_i for all i, $\{C_i\}_i$, must be composed parallelly. We avoid computing these cross-product rule compositions as follows: If we ensure that each rule of C_i is specialized to match on packets disjoint from those of C_i —by matching on state s_i-then it is enough to simply append the classifiers C_i and C_i . This brings down the running time from $\Theta(N_i \times N_i)$ to $\Theta(N_i + N_i)$. We further compact each classifier C_i : we only add transitions to non-dead DFA states into state_policy_i, and instead add a default deadstate transition wherever a C_i rule drops the packets.

(B) Integrating tagging and capture policies. Tagging and capture policies have similar conditional structure:

+ 2	σσιησ	=
υa	gging	_
	00 0	

capture =

(cond2 >>	a2) +	(cond2 >>	b2) +
(cond1 >>	a1) +	(cond1 >>	b1) +

Rather than supplying Pyretic with the policy tagging + capture, which will generate a large cross-product, we construct a simpler equivalent policy:

```
combined =
  (cond1 >> (a1 + b1)) +
  (cond2 >> (a2 + b2)) +
  ...
```

(C) Flow-space based pre-partitioning of predicates. In many queries, we observe that most input predicates are disjoint with each other, but predicate partitioning (§5.1) checks overlaps between them anyway. We avoid these checks by pre-partitioning the input predicates into disjoint flow spaces, and only running the partitioning within each flow space. For example, suppose in a network with n switches, we define n disjoint flow spaces switch=1, ..., switch=n. When a new predicate pred is added, we check if pred & switch=i is nonempty, and then only check overlaps with predicates intersecting the switch=i flow space.

(D) Caching predicate overlap decisions. We avoid redundant checks for predicate overlaps by caching the latest overlap results for all input predicates⁴, and executing the remainder of the partitioning algorithm only when the cache is missed. Caching also enables introducing new queries incrementally into the network without recomputing all previous predicate overlaps.

(E) Decomposing query-matching into multiple stages. Often the input query predicates may have significant overlaps: for instance, one query may count on M source IP addresses, while another counts packets on N destination IP addresses. By installing these predicates on a single table stage, it is impossible to avoid using up $M \times N$ rules. However, modern switches [8, 43] support several match-action stages, which can be used to reduce rule space overheads. In our example, by installing the Msource IP matches in one table and N destination matches in another, we can reduce the rule count to M + N. These smaller *logical* table stages may then be mapped to *physical* table stages on hardware [29, 56].

We devise an optimization problem to divide queries into groups that will be installed on different table stages. The key intuition is to spread queries matching on dissimilar header fields into multiple table stages to reduce rule count. We specify a cost function that estimates the worst-case rule space when combining predicates (Appendix A). The resulting optimization problem is NPhard; however, we design a first-fit heuristic to group

⁴We index this cache by a hash on the string representation of the predicate's abstract syntax tree.

queries into table stages, given a limit on the number of stages and rule space per stage. The compilations of different stages are parallelizable.

(F) Detecting overlaps using Forwarding Decision Diagrams (FDDs). To make intersection between predicates efficient, we implement a recently introduced data structure called Forwarding Decision Diagram (FDD) [58]. An FDD is a binary decision tree in which each non-leaf node is a test on a packet header field, with two outgoing edges corresponding to true and false. Each path from the root to a leaf corresponds to a unique predicate which is the intersection of all tests along the path. Inserting a new predicate into the FDD only requires checking overlaps along the FDD paths which the new predicate intersects, speeding up predicate overlap detection.

7 Performance Evaluation

We evaluated the expressiveness of the query language and the debugging model in Table 1 and §4. Now, we evaluate the prototype performance quantitatively.

Implementation. We implemented the query language and compilation algorithms (§3, §5) on the Pyretic controller [36] and NetKAT compiler [58]. We extended the Hassel-C [48] implementation of header space analysis with inverse transfer function application for upstream compilation. NetFlow samples are processed with nfdump [41]. The query language is embedded in Python, and the run-time system is a library on top of Pyretic. The run-time sends switch rules to Open vSwitch [43] through OpenFlow 1.0 and the Nicira extensions [44]. We use Ragel [11] to compile string regular expressions. We evaluate our system using the PyPy compiler [50]. Our prototype is open-source [65].

Metrics. A path-query system should be efficient along the following dimensions:

- 1. Query compile time: Can a new query be processed at a "human debugging" time scale?
- 2. Rule set size: Can the emitted match-action rules fit into modern switches?
- 3. Tag set size: Can the number of distinct DFA states be encoded into existing tag fields?

There are other performance metrics which we do not report. Additional query rules that fit in the switch hardware tables do not adversely impact packet processing throughput or latency, because hardware is typically designed for deterministic forwarding performance.⁵ The same principle applies to packet mirroring [47]. The time to install data plane rules varies widely depending on the switch used—prior literature reports between 1-20 milliseconds per flow setup [24]. Our compiler produces small rule sets that can be installed in a few seconds.

Experiment Setup. We pick a combination of queries from Table 1, including switch-to-switch traffic matrix, congested link diagnosis, DDoS source detection, counting packet loss per-hop per-path⁶, slice isolation between two IP prefix slices, and firewall evasion. These queries involve broad scenarios such as resource accounting, network debugging, and enforcing security policy. We run our single-threaded prototype on an Intel Xeon E3 server with 3.70 GHz CPU (8 cores) and 32GB memory.

Compiling to a multi-stage table is much more efficient than single-stage table, since the former is not susceptible to cross-product explosion (§6). For example, the traffic matrix query incurs three orders of magnitude smaller rule space with the basic multi-stage setup (§5.3), relative to single-stage. Hence, we report multi-stage statistics throughout. Further, since optimization (E) decomposes queries into multiple stages (§6), and the stage compilations are parallelizable, we report the *maximum* compile time across stages whenever (E) is enabled.

(*I*) *Benefit of Optimizations*. We evaluate our system on an emulated Stanford campus topology [2], which contains 16 backbone routers, and over 100 network ingress interfaces. We measure the benefit of the optimizations when compiling *all of the queries listed above* together collecting over 550 statistics from the network.⁷

The results are summarized in Table 2. Some trials did not finish⁸, labeled "DNF." Each finished trial shown is an average of five runs. The rows are keyed by optimizations—whose letter labels (A)-(F) are listed in paragraph headings in §6. We enable the optimizations one by one, and show the *cumulative* impact of all enabled optimizations in each row. The columns show statistics of interest—compile time (absolute value and factor reduction from the unoptimized case), *maximum* number of table rules (ingress and egress separately) on any network switch, and required packet DFA bits.

The cumulative compile-time reduction with all optimizations (last row) constitutes three orders of magnitude—reducing the compile time to about 5 seconds, suitable for interactive debugging by a human operator.⁹¹⁰ Further, the maximum number of rules required on any one switch fits comfortably in modern switch memory capacities [8, 14, 25]; and the DFA state

⁵Navindra Yadav. Personal communication, January 2016.

⁶We use the version of this query from §4, see p2 there.

 $^{^{7}}$ By injecting traffic into the network, we tested that our system collects the right packets (Fig. 2), extracts the right switch counters, and produces no duplicate packets.

⁸The reason is that they run out of memory.

⁹Interactive response times within about 15 seconds retain a human in the "problem-solving frame of mind" [35, topic 11].

 $^{^{10}}$ We enable (F) only for larger networks, where the time to set up the data structure is offset by fast predicate intersection.

Enabled	Compi	le Time	Max # Rules		# State
Opts.	Abs. (s)	Rel. (X)	In	Out	Bits
None	> 4920	baseline	DNF	DNF	DNF
(A) only	> 4080	1.206	DNF	DNF	DNF
(A)-(B)	2991	1.646	2596	1722	10
(A)-(C)	56.19	87.48	1846	1711	10
(A)-(D)	35.13	139.5	1846	1711	10
(A)-(E)	5.467	894.7	260	389	16

Table 2: Benefit of optimizations on queries running on the Stanford campus topology. "DNF" means "Did Not Finish."

Network	# Nodes	Compile	Max # Rules		# State
		Time (s)	In	Out	Bits
Berkeley	25	10.7	58	160	6.0
Purdue	98	14.9	148	236	22.5
RF1755	87	6.6	150	194	16.8
RF3257	161	44.1	590	675	32.3
RF6461	138	21.4	343	419	29.2

Table 3: Performance on enterprise and ISP (L3) network topologies when all optimizations are enabled.

bits (2 bytes at most) fit within tag fields like VLANs. Finally, multi-stage query decomposition (E) reduces rule space usage significantly with more state bits.

(II) Performance on enterprise and ISP networks. We evaluate our prototype on real enterprise and inferred ISP networks, namely: UC Berkeley [6], Purdue University [63], and Rocketfuel (RF) topologies for ASes 1755, 3257 and 6461 [54, 61]. All optimizations are enabled. For each network, we report averages from 30 runs (five runs each of six queries). The results are summarized in Table 3. The average compile time is under 20 seconds in all cases but one; rule counts are within modern switch TCAM capacities; and DFA bits fit in an MPLS header.

(III) Scalability trends. We evaluate how performance scales with network size, on a mix of five synthetic ISP topologies generated from Waxman graphs [67] and IGen, a heuristic ISP topology generator [51]. We discuss the parameters used to generate the topologies in an extended version of this paper [39]. We report average metrics from 30 runs, *i.e.*, six queries compiled to five networks of each size. The trends are shown in Fig. 9. The average compile time (see red curve) is under ≈ 25 seconds until a network size of 140 nodes. In the same size range, the ingress table rule counts (see black curve) as well as the egress (not shown) are each under 700—which together can fit in modern switch TCAM memories. DFA packet bits (see numeric labels on black curve) fit in an MPLS header until 120 nodes.

For networks of about 140 nodes or smaller, our query system supports interactive debugging—continuing to provide useful results beyond for non-interactive tasks. We believe that these query compile times are a significant step forward for "human time scale" network debugging, which requires operators to be involved typically



Figure 9: Scalability trends on synthetic ISP topologies. Numeric labels on the curve correspond to # DFA packet bits.

for hours [21,75]. Among large ISP topologies mapped out in literature [61], each ISP can be supported in the "interactive" regime for PoP-level queries. We leave further scaling efforts, *e.g.*, to data centers, to future work.

8 Related Work

We already discussed the most relevant prior works in §2; this section lays out other related work.

Data-plane query systems. Several query languages have been proposed for performing analytics over streams of packet data [7,13,20,66]. Unlike these works, we address the collection of *path-level* traffic flows, *i.e.*, observations of the same packets *across* space and time, which cannot be expressed concisely or achieved by (merely) asking for single-point packet observations.

Control-plane query systems. NetQuery [57] and other prior systems [9, 10, 26] allow operators to query information (*e.g.*, next hop for forwarding, attack fingerprints, *etc.*) from tuples stored on network nodes. As such, these works do not query the data plane. SIMON [40] and ndb [33] share our vision of interactive debugging, but focus on isolating control plane bugs.

Summary statistics monitoring systems. DREAM [37], ProgME [72] and OpenSketch [71] answer a different set of monitoring questions than our work, *e.g.*, detecting heavy hitters and changes in traffic patterns.

Programming traffic flow along paths. Several prior works [17, 27, 53, 60] aim to forward packets along policy-compliant paths. However, our work *measures* traffic along operator-specified paths, while the usual forwarding policy continues to handle traffic.

9 Conclusion

We have shown how to take a declarative specification for path-level measurements, and implement it in the data plane with accurate results at low overhead. We believe that this capability will be useful for network operators for better real-time problem diagnosis, security policy enforcement, and capacity planning.

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A Multi-stage rule-packing problem

Below we write down the integer optimization problem that minimizes the number of table stages subject to constraints on the number of stages and rule space available per stage. Typically, predicate partitioning time is proportional to the size of the output set of predicates, so this also reduces the compile time significantly:

minimize: $S = \sum_j y_j$ variables: $q_{ij} \in \{0, 1\}, y_j \in \{0, 1\}$ subject to: $\forall j: \operatorname{cost}(\{q_{ij}: q_{ij} = 1\}) \leq \operatorname{rulelimit} * y_j$ $\forall i: \sum_j q_{ij} = 1$ $S \leq \operatorname{stagelimit}$

Here the variable q_{ij} is assigned a value 1 if query i is assigned to stage j, and 0 otherwise. The variable y_j is 1 if stage j is used by at least one query and 0 otherwise. The constraints ensure, respectively, that (i) queries in a given stage respect the rule space limits for that stage, (ii) every query is assigned exactly one table stage, and that (iii) the total number of stages is within the number of maximum stages supported by the switch. The optimization problem minimizes the number of used table stages, which is a measure of the latency and complexity of the packet-processing pipeline.

We now write down the cost function that determines the rule space usage of a bunch of queries together. First, we define the *type* and *count* for each query as the set of header fields the query matches on, and the number of total matches respectively. In the example in §6, the query types and counts would be q1: (['srcip'], 100), q2: (['dstip'], 200), q3: (['srcip'], 300). We estimate the *worst-case* rule space cost¹¹ of putting two queries together into one stage as follows:

```
cost ((type1, count1), (type2, count2)) :=
  case type1 == \varphi:
    count2 + 1
  case type1 == type2:
    count1 + count2
  case type1 \subset type2:
    count1 + count2
  case type1 \cap type2 == \varphi:
    (count1 + 1) * (count2 + 1) - 1
  case default:
    (count1 + 1) * (count2 + 1) - 1
```

The type of the resulting query is type1 \cup type2, as the predicate partitioning (Alg. 1) creates matches with headers involving the union of the match fields in the two queries. Hence, we can construct a function which produces a new query type and count, given two existing query types and counts. It is easy to generalize this function to more than two arguments by iteratively applying it to the result of the previous function application and the next query¹². Hence, we can compute the worst-case rule space cost of putting a bunch of queries together into one stage.

Our cost function and formulation are different from prior works that map logical to physical switch tables [29, 56] for two reasons. First, query predicates can be installed on any table: there is no ordering or dependency between them, so there are more possibilities to explore in our formulation. Second, our rule space cost function explicitly favors predicates with similar headers in one table, while penalizing predicates with very different header matches.

Reduction of bin-packing to rule-packing. It is straightforward to show that the problem of minimizing

¹¹It is in general difficult to compute the exact rule space cost of installing two queries together in one stage without actually doing the entire overlap computation in Alg. 1.

 $^{^{12}}$ We believe, but are yet to show formally, that this cost function is associative.

Algorithm 1 Predicate partitioning (§5.1).
1: $P = set_of_predicates$
2: $S = \emptyset$
3: for $new_pred \in P$ do
4: for $pred \in S$ do
5: if <i>pred</i> is equal to <i>new_pred</i> then
6: continue the outer loop
7: else if <i>pred</i> is a superset of <i>new_pred</i> then
8: $difference = pred \& \sim new_pred$
9: $S \leftarrow S \cup \{difference, new_pred\}$
10: $S \leftarrow S \setminus \{pred\}$
11: continue the outer loop
12: else if <i>pred</i> is a subset of <i>new_pred</i> then
13: $new_pred \leftarrow new_pred \& \sim pred$
14: else if intersect then
15: $inter_1 = pred \& \sim new_pred$
16: $inter_2 = \sim pred \& new_pred$
17: $inter_3 = pred \& new_pred$
18: $S \leftarrow S \cup \{inter_1, inter_2, inter_3\}$
$19: \qquad S \leftarrow S \setminus \{pred\}$
20: $new_pred \leftarrow new_pred \& \sim pred$
21: end if
22: end for
23: $S \leftarrow S \cup \{new_pred\}$
24: end for

the number of bins *B* of capacity *V* while packing *n* items of sizes a_1, a_2, \dots, a_n can be solved through a specific instance of the rule packing problem above. We construct *n* queries of the same type, with rule counts a_1, \dots, a_n respectively. We set the rulelimit to the size of the bins *V*, and stagelimit to the number of maximum bins allowed in the bin packing problem (typically *n*). Since all queries are of the same type, the rule space cost function is just the sum of the rule counts of the queries at a given stage. It is then easy to see that the original bin-packing problem is solved by this instance of the rule-packing problem.

First-fit Heuristic. The first-fit heuristic we use is directly derived from the corresponding heuristic for bin-

packing. We fit a query into the first stage that allows the worst-case rule space blowup to stay within the prespecified per-stage rulelimit. The cost function above is used to compute the final rule-space after including a new query in a stage. We use a maximum of 10 logical stages in our experiments, with a 2000 rule limit per stage in the worst-case.

The logical stages match and modify completely disjoint parts of the packet state. We believe that a packet program compiler, *e.g.*, [29], can efficiently lay out the query rules on a physical switch table, since there are no dependencies between these table stages.

B Predicate Partitioning

To ensure that characters represent non-overlapping predicates, we apply Alg. 1 to partition the input predicates. The algorithm takes an input set of predicates P, and produces an orthogonal set of predicates S.

The partitioned set S is initialized to a null set (line 2). We iterate over the predicates in P, teasing out overlaps with existing predicates in S. If the current input predicate new_pred already exists in S, we move on to the next input (lines 5-6). If a predicate pred in S is a superset of new_pred, we split pred into two parts, corresponding to the parts that do and don't overlap with new_pred (lines 7-11). Then we move to the next input predicate. The procedure is symmetrically applied when pred is a subset of new_pred (lines 12-13), except that we continue looking for more predicates in S that may overlap with new_pred. Finally, if pred and new_pred merely intersect (but neither is a superset of the other), we create three different predicates in S according to three different combinations of overlap between the two predicates (lines 14-20). Finally, any remaining pieces of new_pred are added to the partitioned set S. Under each case above and for each predicate in P, we also keep track of the predicates in the partitioned set S with which it overlaps (details elided).