

# Logical and Meta-Logical Frameworks

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# First Things First

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- If you play **squash** see me after lecture!

## Outline of Four Lectures

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- **Lecture 1:** Higher-Order Abstract Syntax
- **Lecture 2:** Judgments as Types
- **Lecture 3:** Proof Search and Representation
- **Lecture 4:** Meta-Logical Frameworks

# Logical and Meta-Logical Frameworks

## Lecture 1: Higher-Order Abstract Syntax

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1. Introduction
2. Parametric and hypothetical judgments
3. Higher-order abstract syntax
4. Properties of representations

# Deductive Systems

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- **Judgment** — object of knowledge
- **Evident Judgment** — something we know
- **Deduction** — evidence for a judgment
- **Basic Judgments**, for example
  - $P$  is a proposition ( $P \text{ prop}$ )
  - $P$  is true ( $P \text{ true}$ )
- **Judgment Forms**, for example
  - Parametric judgments  $x \text{ term} \vdash P(x) \supset Q(x) \text{ prop}$
  - Hypothetical judgments  $P \text{ true}, (P \supset Q) \text{ true} \vdash Q \text{ true}$
- Following Martin-Löf ['83,'85,'96]

# Examples of Deductive Systems

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- From logic
  - Natural deduction  $P_1 \text{ true}, \dots, P_n \text{ true} \vdash Q \text{ true}$
  - Sequent calculus  $P_1 \text{ hyp}, \dots, P_n \text{ hyp} \vdash Q \text{ true}$
  - Axiomatic derivation  $\vdash Q \text{ valid}$
- Other logics (temporal, modal, linear, higher-order, dynamic, non-commutative, belief, relevance, ...)
- From programming languages
  - Typing  $x_1:\tau_1, \dots, x_n:\tau_n \vdash e : \tau$
  - Evaluation  $e \hookrightarrow v$
  - Equivalence  $x_1:\tau_1, \dots, x_n:\tau_n \vdash e_1 \simeq e_2 : \tau$
  - Compilation  $x_1:\tau_1, \dots, x_n:\tau_n \vdash e \rightarrow c$

# Logical Frameworks

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- **Logical Framework** — meta-language for deductive systems
- Tasks
  - Specification of abstract syntax and rules
  - Representation and verification of deductions
  - Implementation of algorithms (search, type inference)
- Applications
  - Reasoning in logical systems [Nipkow]
  - Verification (hardware, software, protocols) [Constable] [Grumberg]
  - Proof-carrying code [Necula]
  - Education
- Factor implementation effort!

# Examples of Logical Frameworks

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- Hereditary Harrop formulas  
Isabelle,  $\lambda$ Prolog
- $\lambda^\Pi$  type theory  
Automath, LF, Elf, Twelf
- Substructural logics and type theories  
Forum, Linear LF, Ordered LF, Ludics(?) [Girard]
- Equational logic and rewriting  
Maude, ELAN, labelled deductive systems
- Constructive type theories  
ALF, Agda, Coq, LEGO, Nuprl

# Meta-Logical Frameworks

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- **Meta-Logical Framework** —  
meta-language for reasoning **about** deductive system
- Tasks
  - Specification of abstract syntax and rules
  - Proof of properties of deductive systems
- Applications
  - Logic specification and verification
  - Programming language design
  - Reflection and proof compression

## Examples of Meta-Logical Frameworks

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- Finitary inductive definitions  
FS<sub>0</sub> [Feferman'88]
- Definitional reflection  
FOL<sup>Δ<sup>N</sup></sup> [McDowell&Miller'97]
- Higher-level judgments and regular worlds  
M<sub>2</sub>, Twelf [Schürmann'00]
- Other systems used as meta-logical frameworks
  - Constructive type theories  
Agda, Coq, LEGO, Nuprl
  - Higher-order logic  
HOL, Isabelle/HOL
  - Rewriting logic  
Maude

## These Lectures

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- Running examples: natural deduction, axiomatic derivations
- Logical framework: LF, Elf
- Meta-logical framework: Twelf
- Reference:
  - Logical frameworks.*  
Handbook of Automated Reasoning,  
Chapter 16, pp. 977-1061,  
Elsevier Science and MIT Press, June 2001.
- Textbook:
  - Computation and Deduction.*  
Cambridge University Press, Fall 2001.
- Implementation: [twelf.org](http://twelf.org)

# Terms and Propositions of First-Order Logic

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- Basic judgments:  $t$  term,  $P$  prop
- Parametric judgments:

$x_1$  term,  $\dots$ ,  $x_n$  term  $\vdash t$  term

$x_1$  term,  $\dots$ ,  $x_n$  term  $\vdash P$  prop

- $x_i$  are parameters
- $x_i$  term are hypotheses
- Notation:  $\Delta = x_1$  term,  $\dots$ ,  $x_n$  term
- Assume all  $x_i$  distinct!

# Substitution

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- Defines meaning of parametric judgment
- Substitution  $[t/x]_s$  and  $[t/x]P$  (defined as usual)
- Substitution property (similarly for propositions):

*If  $\Delta, x \text{ term}, \Delta' \vdash s \text{ term}$   
and  $\Delta \vdash t \text{ term}$   
then  $\Delta, \Delta' \vdash [t/x]_s \text{ term}$*

- Hypothesis rule:

$$\frac{}{\Delta, x \text{ term}, \Delta' \vdash x \text{ term}} \text{hyp}$$

- Parameters need not be used (weakening)
- Parameters may be used more than once (contraction)

# Logical Connectives

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- Implication formation

$$\frac{\Delta \vdash P \text{ prop} \quad \Delta \vdash Q \text{ prop}}{\Delta \vdash P \supset Q \text{ prop}} \supset F$$

- Negation formation

$$\frac{\Delta \vdash P \text{ prop}}{\Delta \vdash \neg P \text{ prop}} \neg F$$

- Universal quantification

$$\frac{\Delta, x \text{ term} \vdash P \text{ prop}}{\Delta \vdash \forall x. P \text{ prop}} \forall F$$

## Free and Bound Variables

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- Free variables defined as usual
- Bound variables defined as usual (binder  $\forall x$ )
- $\forall x. P = \forall y. [y/x]P$  provided  $y$  not free in  $P$
- Identify propositions up to renaming of bound variables
- Substitution avoids capture by silent renaming, e.g.,  
$$\begin{aligned} [y/x](\forall y. P y x) &= [y/x](\forall y'. P y' x) \\ &= \forall y'. P y' y \\ [y/x](\forall y. P y x) &\neq \forall y. P y y \end{aligned}$$
- Parameters in context  $x_1 \text{ term}, \dots, x_n \text{ term}$  are all distinct

## Predicate and Function Symbols

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- Predicate symbols  $p^n$  of arity  $n$
- Functions symbols  $f^n$  of arity  $n$
- “Uninterpreted” in first-order logic:  
judgments are parametric in  $p^n$  and  $f^n$
- May be interpreted in arithmetic or other theories:  
judgments are no longer parametric

# Representing Terms and Propositions

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- Two critical issues:
  - How to represent variables and substitution
  - How to represent judgments *t term* and *P prop*
- Three standard variable techniques:
  - Named (string) representation
  - De Bruijn representation
  - **Higher-order abstract syntax**
- Two standard judgment techniques:
  - Judgments as propositions
  - **Judgments as types**

## Simply-Typed Fragment of LF

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- Meta-language:  $\lambda^{\rightarrow}$  as fragment of LF

*Signatures*  $\Sigma ::= \cdot \mid \Sigma, a:\textit{type} \mid \Sigma, c:A$

*Contexts*  $\Gamma ::= \cdot \mid \Gamma, x:A$

*Types*  $A ::= a \mid A_1 \rightarrow A_2$

*Objects*  $M ::= c \mid x \mid \lambda x:A. M \mid M_1 M_2$

- Type constants  $a$ , object constants  $c$ , object variables  $x$
- Judgments defining meta-language  $\lambda^{\rightarrow}$  (more later)
  - $\Sigma \textit{sig}$  — signature  $\Sigma$  is valid
  - $\Gamma \textit{ctx}$  — context  $\Gamma$  is valid
  - $\vdash_{\Sigma} A : \textit{type}$  — type  $A$  is a valid
  - $\Gamma \vdash_{\Sigma} M : A$  — object  $M$  has type  $A$

# Representation of Terms

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- Introduce type  $i$  for terms

$i : \text{type}$

- Property: if  $t$  *term* then  $\ulcorner t \urcorner : i$
- More generally:

*If  $x_1$  term, ...,  $x_n$  term  $\vdash t$  term*  
*then  $x_1:i, \dots, x_n:i \vdash \ulcorner t \urcorner : i$*

- Representing **parameters as parameters** in LF,

$$\ulcorner x \urcorner = x$$

- Representing **hypotheses as hypotheses** in LF,

$$\ulcorner x_1 \text{ term}, \dots, x_n \text{ term} \urcorner = x_1:i, \dots, x_n:i$$

# Representation of Propositions

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- Introduce type  $\circ$  for propositions

$\circ : \text{type}$

- Property: if  $P \text{ prop}$  then  $\ulcorner P \urcorner : \circ$

- More generally:

*If  $x_1 \text{ term}, \dots, x_n \text{ term} \vdash P \text{ prop}$   
then  $x_1 : i, \dots, x_n : i \vdash \ulcorner P \urcorner : \circ$*

- Again: parameters as parameters, hypotheses as hypotheses

# Constructors as Constants, Implication

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- Implication

$$\frac{\Delta \vdash P \text{ prop} \quad \Delta \vdash Q \text{ prop}}{\Delta \vdash P \supset Q \text{ prop}} \supset F$$

$$\lceil P \supset Q \rceil = \text{imp } \lceil P \rceil \lceil Q \rceil$$

$$\text{imp} : o \rightarrow o \rightarrow o$$

# Constructors as Constants, Negation

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- Negation

$$\frac{\Delta \vdash P \text{ prop}}{\Delta \vdash \neg P \text{ prop}} \neg F$$

$$\ulcorner \neg P \urcorner = \text{not } \ulcorner P \urcorner$$

$$\text{not} : \circ \rightarrow \circ$$

# Constructors as Constants, Universal Quantification

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- Universal quantification

$$\frac{\Delta, x \text{ term} \vdash P \text{ prop}}{\Delta \vdash \forall x. P \text{ prop}} \forall F$$

$$\ulcorner \forall x. P \urcorner = \text{forall} (\lambda x:i. \ulcorner P \urcorner)$$

$$\text{forall} : (i \rightarrow o) \rightarrow o$$

- Essential reasoning

$$\frac{\ulcorner \Delta \urcorner \vdash \text{forall} : (i \rightarrow o) \rightarrow o \quad \frac{\ulcorner \Delta \urcorner, x:i \vdash \ulcorner P \urcorner : o}{\ulcorner \Delta \urcorner \vdash \lambda x:i. \ulcorner P \urcorner : i \rightarrow o}}{\ulcorner \Delta \urcorner \vdash \text{forall} (\lambda x:i. \ulcorner P \urcorner) : o}}$$

- **Bound variables as  $\lambda$ -bound variables** in LF

# Function and Predicate Symbols

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- Propositional or term constants have arity 0.
- For function symbols  $f^n$ :

$$\lceil f^n(t_1, \dots, t_n) \rceil = f \lceil t_1 \rceil \dots \lceil t_n \rceil$$

$$f : \underbrace{i \rightarrow \dots i}_{n} \rightarrow i$$

- For predicate symbols  $p^n$ :

$$\lceil p^n(t_1, \dots, t_n) \rceil = p \lceil t_1 \rceil \dots \lceil t_n \rceil$$

$$p : \underbrace{i \rightarrow \dots i}_{n} \rightarrow o$$

- Status as parameters (in context  $\Delta$ )  
or constants (in signature  $\Sigma$ ) depends on application

## Examples of Representations

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- Represent predicate parameters by corresponding LF parameters
- $\lceil P \supset (Q \supset P) \rceil = \text{imp } P (\text{imp } Q P)$   
for  $P : o, Q : o$
- $\lceil \forall x. P(x) \supset Q(x) \rceil = \text{forall } (\lambda x:i. \text{imp } (P x) (Q x))$   
for  $P : i \rightarrow o, Q : i \rightarrow o$
- $\lceil \forall x. P \supset Q(x) \rceil = \text{forall } (\lambda x:i. \text{imp } P (Q x))$   
for  $P : o, Q : i \rightarrow o$   
**Note:** substituent for  $P$  cannot refer to  $x$

# Summary of Representation

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- Terms and propositions

|                              |     |                     |                                   |                     |     |                                   |
|------------------------------|-----|---------------------|-----------------------------------|---------------------|-----|-----------------------------------|
| $\lceil P \supset Q \rceil$  | $=$ | <code>imp</code>    | $\lceil P \rceil \lceil Q \rceil$ | <code>i</code>      | $:$ | <i>type</i>                       |
| $\lceil \neg P \rceil$       | $=$ | <code>not</code>    | $\lceil P \rceil$                 | <code>o</code>      | $:$ | <i>type</i>                       |
| $\lceil \forall x. P \rceil$ | $=$ | <code>forall</code> | $(\lambda x:i. \lceil P \rceil)$  | <code>imp</code>    | $:$ | $o \rightarrow o \rightarrow o$   |
|                              |     |                     |                                   | <code>not</code>    | $:$ | $o \rightarrow o$                 |
|                              |     |                     |                                   | <code>forall</code> | $:$ | $(i \rightarrow o) \rightarrow o$ |

- Variables are represented as variables

## Higher-order abstract syntax

- Variable renaming as  $\alpha$ -conversion in LF
- Essentially **open-ended** [Constable]

# Adequacy Theorem for Propositions

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- With respect to fixed signature (suppressed)
- Validity:

*If  $\Delta \vdash P \text{ prop}$  then  $\ulcorner \Delta \urcorner \vdash \ulcorner P \urcorner : \circ$*

- Injectivity: *If  $\ulcorner P \urcorner = \ulcorner Q \urcorner$  then  $P = Q$*
- Surjectivity?

*If  $\ulcorner \Delta \urcorner \vdash M : \circ$*

*then  $M = \ulcorner P \urcorner$  for some  $P$  with  $\Delta \vdash P \text{ prop}$ ?*

- Compositionality:

$$\ulcorner t \urcorner / x \urcorner \ulcorner P \urcorner = \ulcorner [t/x]P \urcorner$$

# Surjectivity

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- Validity, injectivity, and compositionality by easy inductions
- Surjectivity fails:
  - Counterexample, for  $p : i \rightarrow o$

$$\vdash \text{forall } (\lambda x:i. ((\lambda q:o. q) (p x))) : o$$

is not in the image of  $\ulcorner \_ \urcorner$

- Solution:  $\beta$ -reduction to

$$\vdash \text{forall } (\lambda x:i. p x)$$

- Counterexample, for  $p : i \rightarrow o$

$$\vdash \text{forall } p : o$$

is not in the image of  $\ulcorner \_ \urcorner$

- Solution:  $\eta$ -expansion to

$$\vdash \text{forall } (\lambda x:i. p x)$$

## Definitional Equality for LF

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- Equip LF with a notion of **definitional equality**
- $\Gamma \vdash_{\Sigma} M = N : A$  — objects  $M$  and  $N$  are definitionally equal
- Congruence generated from  $\beta$ - and  $\eta$ -conversion

$$(\lambda x:A. M) N = [N/x]M$$

$$M:A \rightarrow B = \lambda x:A. M x \text{ provided } x \text{ not free in } M$$

- Define so that  $\Gamma \vdash_{\Sigma} M = N : A$   
ensures  $\Gamma \vdash_{\Sigma} M : A$  and  $\Gamma \vdash_{\Sigma} N : A$

# Surjectivity Corrected

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- Surjectivity (corrected):

*If  $\ulcorner \Delta \urcorner \vdash M : \circ$   
then  $\ulcorner \Delta \urcorner \vdash M = \ulcorner P \urcorner : \circ$   
for some  $P$  with  $\Delta \vdash P$  prop*

- Injectivity (retained):

*If  $\ulcorner \Delta \urcorner \vdash \ulcorner P \urcorner = \ulcorner Q \urcorner : \circ$   
then  $P = Q$  for  $\Delta \vdash P$  prop and  $\Delta \vdash Q$  prop*

- Recall: everything modulo renaming of bound variables
- Proofs via **canonical forms**

## Canonical Forms

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- $\Gamma \vdash_{\Sigma} M \Downarrow A$  —  $M$  is **canonical** of type  $A$
- Intuition: canonical is  $\beta$ -normal and  $\eta$ -long:

$$M \Downarrow A_1 \rightarrow \dots \rightarrow A_k \rightarrow a$$

iff

$$M = \lambda x_1:A_1. \dots \lambda x_k:A_k. h M_1 \dots M_n$$

for a variable or constant  $h$ , type constant  $a$ ,  
and canonical  $M_1, \dots, M_n$

- More formal definition later
- **Theorem:** Every valid object has an unique, equivalent canonical form
- Obtained by  $\beta$ -reduction and  $\eta$ -expansion

# Injectivity Interpreted

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- Recall injectivity:

*If  $\ulcorner \Delta \urcorner \vdash \ulcorner P \urcorner = \ulcorner Q \urcorner : \circ$*

*then  $P = Q$  for every  $\Delta \vdash P$  prop and  $\Delta \vdash Q$  prop*

- No ambiguity in representation
- Stronger than usual in data representation:  
data type = representation type + equivalence relation
- Operations on objects well defined (coherence)
- Sometimes sacrificed, e.g.,  
integers  $\ulcorner i \urcorner = \text{diff } n \ m$  for  $n, m : \text{nat}$  with  $i = n - m$

## Surjectivity Interpreted

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- Recall surjectivity:

*If  $\ulcorner \Delta \urcorner \vdash M : \circ$*

*then  $\ulcorner \Delta \urcorner \vdash M = \ulcorner P \urcorner : \circ$*

*for some  $P$  with  $\Delta \vdash P \text{ prop}$*

- No “junk” in representation type
- Stronger than usual in data representation:  
data structure = data type + invariants
- Incorporate invariants when possible
- Not always feasible, e.g.,  
linear  $\lambda$ -terms =  $\lambda$ -terms + linearity

## Compositionality Interpreted

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- Recall compositionality:

$$[\ulcorner t \urcorner / x] \ulcorner P \urcorner = \ulcorner [t/x]P \urcorner$$

- Representation commutes with substitution
- Consequence of representing variables as variables
- Substitution represented by  $\beta$ -reduction in LF, e.g.,

$$\ulcorner \forall x. P \urcorner = \text{forall } (\lambda x:i. \ulcorner P \urcorner)$$

$$\ulcorner [t/x]P \urcorner = [\ulcorner t \urcorner / x] \ulcorner P \urcorner =_{\beta} (\lambda x:i. \ulcorner P \urcorner) t$$

- Critical advantage of higher-order abstract syntax

# Summary of Lecture 1

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- Introduction and overview
- Parametric and hypothetical judgments, defined by substitution property
- Sample object language is first-order logic
- Meta-language is simply-typed fragment of LF
- Representation via higher-order abstract syntax
  - Variables as variables in LF
  - Variable renaming as  $\alpha$ -conversion in LF
  - Substitution as  $\beta$ -conversion in LF
- Representation is injective, surjective, compositional

## Preview of Lecture 2: Judgments as Types

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1. Natural Deduction
2. Judgments as Types
3. Dependent Function Types in LF
4. Representing Parametric and Hypothetical Judgments

## Reminder

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- If you play **squash** see me now!

# Logical and Meta-Logical Frameworks

## Lecture 2: Judgments as Types

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1. Natural Deduction
2. Judgments as Types
3. Dependent Function Types in LF
4. Representing Parametric and Hypothetical Judgments

# Review of Lecture 1: Higher-Order Abstract Syntax

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- Meta-language: simply-typed  $\lambda$ -calculus as fragment of LF
- Representing terms and proposition

|                              |     |   |                 |     |                                   |
|------------------------------|-----|---|-----------------|-----|-----------------------------------|
| $\lceil P \supset Q \rceil$  | $=$ | $\text{imp } \lceil P \rceil \lceil Q \rceil$   | $i$             | $:$ | $\textit{type}$                   |
| $\lceil \neg P \rceil$       | $=$ | $\text{not } \lceil P \rceil$                   | $o$             | $:$ | $\textit{type}$                   |
| $\lceil \forall x. P \rceil$ | $=$ | $\text{forall } (\lambda x:i. \lceil P \rceil)$ | $\text{imp}$    | $:$ | $o \rightarrow o \rightarrow o$   |
|                              |     |   | $\text{not}$    | $:$ | $o \rightarrow o$                 |
|                              |     |   | $\text{forall}$ | $:$ | $(i \rightarrow o) \rightarrow o$ |

- Variables represented as variables in LF
- Variable renaming via  $\alpha$ -conversion in LF
- Definitional equality in LF generated from  $\beta\eta$ -conversion
- Adequacy: representation is **compositional bijection**

$$\lceil [t/x]s \rceil = \lceil [t/x] \lceil s \rceil \rceil, \quad \lceil [t/x]P \rceil = \lceil [t/x] \lceil P \rceil \rceil$$

# Natural Deduction

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- Basic judgment:  $P \text{ true}$ , presupposing  $P \text{ prop}$
- Intuitively:  $P$  has a verification [Martin-Löf'83,'96]
- Parametric and hypothetical judgment  $\Delta \vdash P \text{ true}$
- Need hypotheses
  - $x \text{ term}$  for term parameter  $x$  (for  $\forall$ )
  - $p \text{ prop}$  for propositional parameter  $p$  (for  $\neg$ )
  - $u:Q \text{ true}$  for proposition  $Q$  and proof parameter  $u$  (for  $\supset$ )
- Hypothesis rule

$$\frac{}{\Delta, u:P \text{ true}, \Delta' \vdash P \text{ true}}^u$$

# Substitution Principles

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- Recall: meaning of parametric judgments
- More complicated than before, because hypotheses may contain parameters ( $\Delta$  has internal dependencies)
- Example:  $x \text{ term}, u:P(x) \text{ true} \vdash P(x) \text{ true}$
- For term parameters (similarly for propositional parameters)

*If  $\Delta, x \text{ term}, \Delta' \vdash P \text{ true}$   
and  $\Delta \vdash t \text{ term}$   
then  $\Delta, [t/x]\Delta' \vdash [t/x]P \text{ true}$*

- For proof parameters

*If  $\Delta, u:P \text{ true}, \Delta' \vdash Q \text{ true}$   
and  $\Delta \vdash P \text{ true}$   
then  $\Delta, \Delta' \vdash Q \text{ true}$*

## Introduction and Elimination Rules

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- The meaning of a connective is given by the rule(s) for inferring it, the **introduction rule(s)**
- Corresponding **elimination rule(s)** justified from introduction rule(s)
- **Local soundness**: we cannot gain information by an introduction followed by an elimination
- Local soundness is guaranteed by a **local reduction**
- **Local completeness**: we can recover the information in a connective by elimination(s)
- Local completeness is guaranteed by a **local expansion**
- For local completeness and expansion see **[notes]**

# Truth of Implication

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- Introduction rule:

$$\frac{\Delta, u:P \text{ true} \vdash Q \text{ true}}{\Delta \vdash P \supset Q \text{ true}} \supset I^u$$

- Elimination rule:

$$\frac{\Delta \vdash P \supset Q \text{ true} \quad \Delta \vdash P \text{ true}}{\Delta \vdash Q \text{ true}} \supset E$$

- Local reduction (soundness of elimination rule)

$$\frac{\frac{\mathcal{D}}{\Delta, u:P \text{ true} \vdash Q \text{ true}} \supset I^u \quad \frac{\mathcal{E}}{\Delta \vdash P \text{ true}} \supset E}{\Delta \vdash Q \text{ true}} \supset E \longrightarrow \frac{[\mathcal{E}/u]\mathcal{D}}{\Delta \vdash Q \text{ true}}$$

by substitution principle for proofs

# Truth of Negation

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- Introduction rule:

$$\frac{\Delta, q \text{ prop}, u:P \text{ true} \vdash q \text{ true}}{\Delta \vdash \neg P \text{ true}} \neg I^{q,u}$$

- Note propositional parameter  $q$
- Elimination rule:

$$\frac{\Delta \vdash \neg P \text{ true} \quad \Delta \vdash P \text{ true}}{\Delta \vdash Q \text{ true}} \neg E$$

- Definition of logical connectives only via judgmental notions
- Orthogonality and open-endedness

## Local Reduction for Negation

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- Local reduction

$$\frac{\frac{\mathcal{D}}{\Delta, q \text{ prop}, u:P \text{ true} \vdash q \text{ true}}}{\Delta \vdash \neg P \text{ true}} \neg I^{q,u} \quad \frac{\mathcal{E}}{\Delta \vdash P \text{ true}}}{\Delta \vdash Q \text{ true}} \neg E$$

$$\longrightarrow \frac{[\mathcal{E}/u][Q/q]\mathcal{D}}{\Delta \vdash Q \text{ true}}$$

- First substitution for proposition  $q$

$$\frac{[\mathcal{D}]}{\Delta, u:P \text{ true} \vdash Q \text{ true}} [Q/q]$$

- Second substitution for proof  $u$

$$\frac{[\mathcal{E}/u][Q/q]\mathcal{D}}{\Delta \vdash Q \text{ true}}$$

# Truth of Universal Quantification

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- Introduction rule:

$$\frac{\Delta, x \text{ term} \vdash P \text{ true}}{\Delta \vdash \forall x. P \text{ true}} \forall I$$

- Elimination rule:

$$\frac{\Delta \vdash \forall x. P \text{ true} \quad \Delta \vdash t \text{ term}}{\Delta \vdash [t/x]P \text{ true}} \forall E$$

- Local reduction:

$$\frac{\frac{\mathcal{D}}{\Delta, x \text{ term} \vdash P \text{ true}} \forall I \quad \mathcal{T}}{\Delta \vdash \forall x. P \text{ true}} \forall E \quad \Delta \vdash t \text{ term}}{\Delta \vdash [t/x]P \text{ true}} \forall E \longrightarrow \Delta \vdash [t/x]\mathcal{D} \text{ true}$$

by substitution principle for terms

## Representation of Deductions

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- Represent **judgments as types** in LF (ignoring hyps.)

$$\ulcorner P \text{ true} \urcorner = \text{true} \ulcorner P \urcorner$$

$$\vdash \text{true} \ulcorner P \urcorner : \text{type}$$

$$\text{true} : o \rightarrow \text{type}$$

- true is a **type family** indexed by objects of type  $o$
- Represent **deductions as objects** in LF

$$\ulcorner \mathcal{D} \urcorner = M \quad \text{such that} \quad \vdash M : \text{true} \ulcorner P \urcorner$$

- Requires extension of simply-typed fragment of LF

## Representation of Inference Rules as Constants

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- Example: implication elimination (ignoring  $\Delta$ )

$$\frac{\begin{array}{c} \ulcorner \\ \mathcal{D} \\ \Delta \vdash P \supset Q \text{ true} \end{array} \quad \begin{array}{c} \urcorner \\ \mathcal{E} \\ \Delta \vdash P \text{ true} \end{array}}{\Delta \vdash Q \text{ true}} \supset E = \text{impe } \ulcorner \mathcal{D} \urcorner \ulcorner \mathcal{E} \urcorner$$

- Translation into LF (ignoring  $\Delta$ )

$$\frac{\begin{array}{l} \ulcorner \mathcal{D} \urcorner : \text{true (imp } \ulcorner P \urcorner \ulcorner Q \urcorner) \\ \ulcorner \mathcal{E} \urcorner : \text{true } \ulcorner P \urcorner \end{array}}{\text{impe } \ulcorner \mathcal{D} \urcorner \ulcorner \mathcal{E} \urcorner : \text{true } \ulcorner Q \urcorner}$$

- Declaration for constant `impe` in LF

$$\text{impe} : \text{true (imp } \ulcorner P \urcorner \ulcorner Q \urcorner) \rightarrow \text{true } \ulcorner P \urcorner \rightarrow \text{true } \ulcorner Q \urcorner$$

## Schematic Rules

---

- Rules are schematic, e.g.,

$$\frac{\Delta \vdash P \supset Q \text{ true} \quad \Delta \vdash P \text{ true}}{\Delta \vdash Q \text{ true}} \supset E$$

is schematic in propositions  $P$  and  $Q$ .

- Representation is schematic, e.g.,

$$\text{impe}_{P,Q} : \text{true} (\text{imp } P \ Q) \rightarrow \text{true } P \rightarrow \text{true } Q$$

for any  $P:o$ ,  $Q:o$  by adequacy for propositions

- Internalize schematic judgments in LF (read  $\Pi$  as “Pi”)

$$\text{impe} : \Pi P:o. \Pi Q:o. \text{true} (\text{imp } P \ Q) \rightarrow \text{true } P \rightarrow \text{true } Q$$

## Representing Schematic Judgments

---

- $\Pi x:A. B$  must be a *type*, e.g.,

$\text{impe} : \Pi P:o. \Pi Q:o. \text{true} (\text{imp } P \ Q) \rightarrow \text{true } P \rightarrow \text{true } Q$

- Constant `impe` takes 4 arguments

an object  $P : o$

a proposition  $P$

an object  $Q : o$

a proposition  $Q$

an object  $D : \text{true} (\text{imp } P \ Q)$

a deduction of  $P \supset Q$  *true*

an object  $E : \text{true } P$

a deduction of  $P$  *true*

and constructs

the object  $\text{impe } P \ Q \ D \ E : \text{true } Q$

a deduction of  $Q$  *true*

## Dependent Function Type in LF, Formation

---

- Dependent function type, formation

$$\frac{\Gamma \vdash A : type \quad \Gamma, x:A \vdash B : type}{\Gamma \vdash \Pi x:A. B : type} \Pi F$$

$$\frac{\Gamma \vdash A : type \quad \Gamma \vdash B : type}{\Gamma \vdash A \rightarrow B : type} \rightarrow F$$

- In  $\Pi x:A. B$ ,  $x$  can occur in  $B$
- Example:

$$\vdash \Pi P:o. \Pi Q:o. true (imp P Q) \rightarrow true P \rightarrow true Q : type$$

- Different from **polymorphism** (not available in LF)

$$\vdash \Lambda \alpha: type. \lambda x: \alpha. x : \forall \alpha: type. \alpha \rightarrow \alpha$$

## Dependent Function Type, Intro and Elim

---

- Dependent function type, introduction

$$\frac{\Gamma \vdash A : \text{type} \quad \Gamma, x:A \vdash M : B}{\Gamma \vdash \lambda x:A. M : \Pi x:A. B} \Pi I$$

$$\frac{\Gamma \vdash A : \text{type} \quad \Gamma, x:A \vdash M : B}{\Gamma \vdash \lambda x:A. M : A \rightarrow B} \rightarrow I$$

- Dependent function type, elimination

$$\frac{\Gamma \vdash M : \Pi x:A. B \quad \Gamma \vdash N : A}{\Gamma \vdash M N : [N/x]B} \Pi E$$

$$\frac{\Gamma \vdash M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash M N : B} \rightarrow E$$

- Regard  $A \rightarrow B$  as shorthand for  $\Pi x:A. B$ , where  $x$  not free in  $B$

## Representing Parametric Judgments

---

- Recall natural deduction judgment  $\Delta \vdash P \text{ true}$
- Hypotheses  $\Delta$  contain
  - $x \text{ term}$  for term parameter  $x$  (for  $\forall$ )
  - $p \text{ prop}$  for propositional parameter  $p$  (for  $\neg$ )
  - $u:Q \text{ true}$  for proposition  $Q$  and proof parameter  $u$  (for  $\supset$ )
- Represent parameters as parameters in LF

$$\begin{aligned} \ulcorner . \urcorner &= . \\ \ulcorner \Delta, x \text{ term} \urcorner &= \ulcorner \Delta \urcorner, x:i \\ \ulcorner \Delta, p \text{ prop} \urcorner &= \ulcorner \Delta \urcorner, p:o \\ \ulcorner \Delta, u:Q \text{ true} \urcorner &= \ulcorner \Delta \urcorner, u:\text{true} \ulcorner Q \urcorner \end{aligned}$$

## Adequacy Theorem for Deductions, Bijection

---

- With respect to fixed signature (see later)
- Validity: *If  $\mathcal{D}$  proves  $\Delta \vdash P$  true then  $\ulcorner \Delta \urcorner \vdash \ulcorner \mathcal{D} \urcorner : \text{true} \ulcorner P \urcorner$*
- Injectivity:

*If  $\ulcorner \Delta \urcorner \vdash \ulcorner \mathcal{D} \urcorner = \ulcorner \mathcal{E} \urcorner : \text{true} \ulcorner P \urcorner$   
for  $\mathcal{D}$  and  $\mathcal{E}$  proving  $\Delta \vdash P$  true  
then  $\mathcal{D} = \mathcal{E}$  (modulo variable renaming)*

- Surjectivity:

*If  $\ulcorner \Delta \urcorner \vdash M : \text{true} \ulcorner P \urcorner$   
then  $\ulcorner \Delta \urcorner \vdash M = \ulcorner \mathcal{D} \urcorner : \text{true} \ulcorner P \urcorner$   
for some  $\mathcal{D}$  proving  $\Delta \vdash P$  prop*

## Adequacy for Deductions, Compositionality

---

- Compositionality:

$$\text{Terms} \quad \lceil [t/x]\mathcal{D} \rceil = \lceil \lceil t \rceil / x \rceil \lceil \mathcal{D} \rceil$$

$$\text{Propositions} \quad \lceil [Q/p]\mathcal{D} \rceil = \lceil \lceil Q \rceil / p \rceil \lceil \mathcal{D} \rceil$$

$$\text{Proofs} \quad \lceil [\mathcal{E}/u]\mathcal{D} \rceil = \lceil \lceil \mathcal{E} \rceil / u \rceil \lceil \mathcal{D} \rceil$$

- Assume appropriate well-formedness for substitution, e.g.,

*$\mathcal{D}$  proves  $\Delta, p \text{ prop}, \Delta' \vdash P \text{ true}$  and  $\Delta \vdash Q \text{ prop}$   
so that  $[Q/p]\mathcal{D}$  proves  $\Delta, [Q/p]\Delta' \vdash [Q/p]P \text{ true}$*

- Follows from the representation of variables as variables, hypotheses as hypotheses

## Representing Uses of Hypotheses

---

- Hypothesis rule

$$\frac{\Gamma}{\Delta, u:Q \text{ true}, \Delta' \vdash Q \text{ true}} u \Gamma$$

- Map to use of proof parameter in LF

$$\frac{}{\Gamma \Delta \Gamma, u:\text{true} \Gamma Q \Gamma, \Gamma \Delta' \Gamma \vdash u : \text{true} \Gamma Q \Gamma}$$

- Represent hypotheses as hypotheses
- Hypothesis labels  $u$  avoid ambiguity

## Representation of Deductions, Implication Elim

---

- Implication elimination (review)

$$\begin{array}{c}
 \ulcorner \qquad \qquad \qquad \mathcal{D} \qquad \qquad \qquad \mathcal{E} \qquad \qquad \qquad \urcorner \\
 \Delta \vdash P \supset Q \text{ true} \qquad \qquad \Delta \vdash P \text{ true} \\
 \hline
 \Delta \vdash Q \text{ true} \qquad \qquad \supset E
 \end{array}$$

$$\begin{array}{l}
 \ulcorner \Delta \urcorner \vdash \ulcorner P \urcorner : o \\
 \ulcorner \Delta \urcorner \vdash \ulcorner Q \urcorner : o \\
 \ulcorner \Delta \urcorner \vdash \ulcorner \mathcal{D} \urcorner : \text{true} (\text{imp } \ulcorner P \urcorner \ulcorner Q \urcorner) \\
 \ulcorner \Delta \urcorner \vdash \ulcorner \mathcal{E} \urcorner : \text{true } \ulcorner P \urcorner \\
 \hline
 \ulcorner \Delta \urcorner \vdash \text{impe } \ulcorner P \urcorner \ulcorner Q \urcorner \ulcorner \mathcal{D} \urcorner \ulcorner \mathcal{E} \urcorner : \text{true } \ulcorner Q \urcorner
 \end{array}$$

impe :  $\Pi P:o. \Pi Q:o. \text{true } (\text{imp } P \ Q) \rightarrow \text{true } P \rightarrow \text{true } Q$

# Representation of Deductions, Implication Intro

---

- Implication introduction

$$\frac{\begin{array}{c} \ulcorner \\ \mathcal{D} \\ \Delta, u:P \text{ true} \vdash Q \text{ true} \end{array}}{\Delta \vdash P \supset Q \text{ true}} \supset I^u$$

$$\frac{\begin{array}{c} \ulcorner \Delta \urcorner \vdash \ulcorner P \urcorner : \circ \\ \ulcorner \Delta \urcorner \vdash \ulcorner Q \urcorner : \circ \\ \ulcorner \Delta \urcorner, u:\text{true} \ulcorner P \urcorner \vdash \ulcorner \mathcal{D} \urcorner : \text{true} \ulcorner Q \urcorner \end{array}}{\ulcorner \Delta \urcorner \vdash \text{impi} \ulcorner P \urcorner \ulcorner Q \urcorner (\lambda u:\text{true} \ulcorner P \urcorner. \ulcorner \mathcal{D} \urcorner) : \text{true} (\text{imp} \ulcorner P \urcorner \ulcorner Q \urcorner)}$$

$$\text{impi} : \prod P:\circ. \prod Q:\circ. (\text{true } P \rightarrow \text{true } Q) \rightarrow \text{true} (\text{imp } P Q)$$

- Critical step:

$$\frac{\ulcorner \Delta \urcorner, u:\text{true} \ulcorner P \urcorner \vdash \ulcorner \mathcal{D} \urcorner : \text{true} \ulcorner Q \urcorner}{\ulcorner \Delta \urcorner \vdash (\lambda u:\text{true} \ulcorner P \urcorner. \ulcorner \mathcal{D} \urcorner) : (\text{true} \ulcorner P \urcorner \rightarrow \text{true} \ulcorner Q \urcorner)}$$

# Representation of Deductions, Negation Intro

---

- Negation introduction

$$\begin{array}{c}
 \begin{array}{c}
 \ulcorner \\
 \mathcal{D} \\
 \Delta, q \text{ prop}, u:P \text{ true} \vdash q \text{ true} \\
 \hline
 \Delta \vdash \neg P \text{ true} \\
 \lrcorner
 \end{array} \\
 \neg I^{q,u}
 \end{array}$$
  

$$\frac{\begin{array}{c}
 \ulcorner \Delta \urcorner \vdash \ulcorner P \urcorner : \text{o} \\
 \ulcorner \Delta \urcorner, q:\text{o}, u:\text{true} \ulcorner P \urcorner \vdash \ulcorner \mathcal{D} \urcorner : \text{true} \ulcorner q \urcorner
 \end{array}}{\ulcorner \Delta \urcorner \vdash \text{noti} \ulcorner P \urcorner (\lambda q:\text{o}. \lambda u:\text{true} \ulcorner P \urcorner. \ulcorner \mathcal{D} \urcorner) : \text{true} (\text{not} \ulcorner P \urcorner)}$$

$$\text{noti} : \prod P:\text{o}. (\prod q:\text{o}. \text{true } P \rightarrow \text{true } q) \rightarrow \text{true} (\text{not } P)$$

- Critical step:

$$\frac{\ulcorner \Delta \urcorner, q:\text{o}, u:\text{true} \ulcorner P \urcorner \vdash \ulcorner \mathcal{D} \urcorner : \text{true} \ulcorner q \urcorner}{\ulcorner \Delta \urcorner \vdash (\lambda q:\text{true}. \lambda u:\text{true} \ulcorner P \urcorner. \ulcorner \mathcal{D} \urcorner) : (\prod q:\text{true}. \text{true} \ulcorner P \urcorner \rightarrow \text{true} \ulcorner q \urcorner)}$$

## Representation of Deductions, Negation Elim

---

- Negation elimination

$$\frac{\Delta \vdash \neg P \text{ true} \quad \Delta \vdash P \text{ true}}{\Delta \vdash Q \text{ true}} \neg E$$

- Development analogous to before (omitted)
- Representation

note :  $\prod P:o. \text{true} (\text{not } P) \rightarrow \prod Q:o. \text{true } P \rightarrow \text{true } Q$

- Order of quantification over  $Q$  is irrelevant

## Representation of Deductions, Universal Intro

---

- Recall  $\ulcorner \forall x. P \urcorner = \text{forall } (\lambda x:i. \ulcorner P \urcorner)$
- Universal introduction

$$\frac{\ulcorner \Delta \urcorner, x \text{ term} \vdash P \text{ true}}{\ulcorner \Delta \urcorner \vdash \forall x. P \text{ true}} \quad \forall I$$

$$\frac{\begin{array}{l} \ulcorner \Delta \urcorner, x:i \vdash \ulcorner P \urcorner : o \\ \ulcorner \Delta \urcorner, x:i \vdash \ulcorner D \urcorner : \text{true } \ulcorner P \urcorner \end{array}}{\ulcorner \Delta \urcorner \vdash \text{forall } \underbrace{(\lambda x:i. \ulcorner P \urcorner)}_P \underbrace{(\lambda x:i. \ulcorner D \urcorner)}_D : \text{true } (\text{forall } (\lambda x:i. \underbrace{\ulcorner P \urcorner}_{P x}))}$$

- Need to abstract  $P$  over  $x$

$$\text{forall } : \Pi \underbrace{P:i \rightarrow o}_P. \underbrace{(\Pi x:i. \text{true } (P x))}_D \rightarrow \text{true } (\text{forall } (\lambda x:i. \underbrace{P x}_{P x}))$$

# Representation of Deductions, Universal Elim

- Recall compositionality,

$$\ulcorner [t/x]P \urcorner = \ulcorner t \urcorner / x \urcorner \ulcorner P \urcorner =_{\beta} (\lambda x:i. \ulcorner P \urcorner) \ulcorner t \urcorner$$

- Universal elimination

$$\frac{\ulcorner \quad \urcorner \quad \mathcal{D} \quad \ulcorner \quad \urcorner \quad \mathcal{T}}{\ulcorner \Delta \urcorner \vdash \forall x. P \text{ true} \quad \ulcorner \Delta \urcorner \vdash t \text{ term}} \forall E \quad \ulcorner \quad \urcorner$$

$$\ulcorner \Delta \urcorner \vdash [t/x]P \text{ true}$$

$$\frac{\begin{array}{l} \ulcorner \Delta \urcorner, x:i \vdash \ulcorner P \urcorner : o \\ \ulcorner \Delta \urcorner \vdash \ulcorner \mathcal{D} \urcorner : \text{true} \text{ (forall } (\lambda x:i. \ulcorner P \urcorner)) \\ \ulcorner \Delta \urcorner \vdash \ulcorner t \urcorner : i \end{array}}{\ulcorner \Delta \urcorner \vdash \text{foralle } \underbrace{(\lambda x:i. \ulcorner P \urcorner)}_P \ulcorner \mathcal{D} \urcorner \ulcorner t \urcorner : \text{true} \underbrace{(\ulcorner [t/x]P \urcorner)}_{P t}}$$

$$\text{foralle} : \Pi \underbrace{P:i \rightarrow o}_P . \text{true} \text{ (forall } (\lambda x:i. \underbrace{P x}_{P x})) \rightarrow \Pi t:i. \text{true} \underbrace{(P t)}_{P t}$$

## Representation of Deductions, Summary

---

- All rules for natural deduction with  $\supset$ ,  $\neg$ ,  $\forall$

$\text{true} : o \rightarrow \text{type}$

$\text{impi} : \prod P:o. \prod Q:o. (\text{true } P \rightarrow \text{true } Q) \rightarrow \text{true } (\text{imp } P Q)$

$\text{impe} : \prod P:o. \prod Q:o. \text{true } (\text{imp } P Q) \rightarrow \text{true } P \rightarrow \text{true } Q$

$\text{noti} : \prod P:o. (\prod q:o. \text{true } P \rightarrow \text{true } q) \rightarrow \text{true } (\text{not } P)$

$\text{note} : \prod P:o. \text{true } (\text{not } P) \rightarrow \prod Q:o. \text{true } P \rightarrow \text{true } Q$

$\text{foralli} : \prod P:i \rightarrow o. (\prod x:i. \text{true } (P x)) \rightarrow \text{true } (\text{forall } (\lambda x:i. P x))$

$\text{foralle} : \prod P:i \rightarrow o. \text{true } (\text{forall } (\lambda x:i. P x)) \rightarrow \prod t:i. \text{true } (P t)$

- No hidden assumptions or missing definitions!

## Adequacy, Revisited

---

- Representation function is a **compositional bijection** modulo definitional equality in LF
- Proof as before via canonical forms
- Object  $M$  represents deduction directly if and only if  $\ulcorner \Delta \urcorner \vdash M : \text{true} \ulcorner P \urcorner$  and  $M$  is canonical
- For an arbitrary object  $\ulcorner \Delta \urcorner \vdash N : \text{true} \ulcorner P \urcorner$  calculate its unique canonical form
- **Proof checking by type checking in LF**

## Representation Example

---

- Natural deduction

$$\frac{\frac{x \text{ term}, u:P(x) \text{ true} \vdash P(x) \text{ true}}{x \text{ term} \vdash P(x) \supset P(x) \text{ true}} \supset I^u}{\vdash \forall x. P(x) \supset P(x) \text{ true}} \forall I^x$$

- In LF, for constant or parameter  $P:i \rightarrow o$

$$\begin{aligned} &\vdash \text{foralli } (\lambda x:i. \text{imp } (P \ x) (P \ x)) \\ &\quad (\lambda x:i. \text{impi } (P \ x) (P \ x) (\lambda u:\text{true } (P \ x). u)) \\ &\quad : \text{true } (\text{forall } (\lambda x:i. \text{imp } (P \ x) (P \ x))) \end{aligned}$$

- Note redundant representation of propositions
- Abbreviated form used in practice ([Lect.3] [Necula])

$$\vdash \text{foralli } (\lambda x. \text{impi } (\lambda u. u)) : \text{true } (\text{forall } (\lambda x. \text{imp } (P \ x) (P \ x)))$$

## Summary of Lecture 2: Judgments as Types

---

- Natural deduction (for  $\supset$ ,  $\neg$ ,  $\forall$ )
- Judgments as types
- Dependent function types in LF
- Hypothetical deductions as functions
- Parametric deduction as dependently typed functions
- Consistent with higher-order abstract syntax
- Renaming of bound variables and substitution immediate
- Representation is compositional bijection
- Proof checking as type checking in LF

## Further Examples

---

- Technique successful in many logics, e.g.,
  - Sequent calculus (2 judgments  $P \text{ hyp}$ ,  $P \text{ true}$ )
  - Hilbert calculus (1 judgment  $P \text{ valid}$  [Lect.4])
  - Categorical formulation (1 binary judgment  $P \rightarrow Q$ )
  - Curry-Howard formulation (1 binary judgment  $e : P$ )
  - Temporal logic (2 judgments  $P \text{ true at } t$ ,  $t \leq t'$ )
- Technique successful in programming languages, e.g.,
  - functional programming: typing, evaluation, compilation
  - logic programming: typing, evaluation, compilation
  - more: [notes] [Computation & Deduction, CUP'01]

## Limitations of LF

---

- Limitations are questions of practice, not theory
- Hypotheses not subject to weakening, contraction
- Solution: linear LF based on linear  $\lambda$ -calculus [Cervesato & Pf.'97]
- Hypotheses not subject to exchange
- Solution: ordered LF based on ordered  $\lambda$ -calculus [Polakow'01]
- Built-in theories (integers, reals, strings)
- Approach: LF and dependently typed rewriting, constraints [Necula] [Virga'99]
- Implementation at twelf.org

## Preview of Lecture 3: Proof Search and Representation

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- Summary of LF
- Canonical forms
- Redundancy elimination
- Constraint logic programming in LF

# Logical and Meta-Logical Frameworks

## Lecture 3: Proof Search and Representation

---

- Summary of LF
- Canonical forms
- Redundancy elimination
- Constraint logic programming in LF

## Review of Lecture 2: Judgments as Types

---

- Represent propositions via higher-order abstract syntax
- Represent judgments as types, deductions as objects
- Represent hypothetical deductions as functions
- Represent parametric deductions as dependent functions
- Example: natural deduction
- Representation is compositional bijection
- Inherit renaming and substitution from LF
- Proof checking via type checking in LF

# From Simple to Dependent Types

---

- $\lambda^\Pi$  type theory from LF generalizes  $\lambda^{\rightarrow}$ 
  - Generalize atomic types  $a$  to  $a M_1 \dots M_n$ , e.g.,  
 $\vdash o : type$  to  $q:o \vdash true\ q : type$
  - Extend type constants  $a$  to **type families**  $a$ , e.g.,  
 $\vdash o : type$  to  $\vdash true : o \rightarrow type$
  - Introduce **kinds**  $K$  and declare  $a:K$ , e.g.,  
 $true:o \rightarrow type$
  - Generalize function types  $A \rightarrow B$  to **dependent function types**  $\Pi x:A. B$ , e.g.,  
 $not : o \rightarrow o$  to  
 $note : \Pi P:o. true (not P) \rightarrow \Pi Q:o. true P \rightarrow true Q$
- $A \rightarrow B = \Pi x:A. B$  for  $x$  not free in  $B$
- $A \rightarrow K = \Pi x:A. K$  for  $x$  not free in  $K$

## Example: Classical First-Order Logic

---

- A rule of classical reasoning

$$\frac{\mathcal{D} \quad \Delta, u:\neg P \text{ true}, q \text{ prop} \vdash q \text{ true}}{\Delta \vdash P \text{ true}} \text{contr}$$

- Typing in LF

$$\frac{\begin{array}{l} \ulcorner \Delta \urcorner \vdash \ulcorner P \urcorner : o \\ \ulcorner \Delta \urcorner, u:\text{true} (\text{not } \ulcorner P \urcorner), q:o \vdash \ulcorner \mathcal{D} \urcorner : \text{true } q \end{array}}{\ulcorner \Delta \urcorner \vdash \text{contr } \ulcorner P \urcorner (\lambda u:\text{true} (\text{not } \ulcorner P \urcorner). \lambda q:o. \ulcorner \mathcal{D} \urcorner) : \text{true } \ulcorner P \urcorner}$$

- Declaration in LF

$$\text{contr} : \prod P:o. (\text{true} (\text{not } P) \rightarrow \prod q:o. \text{true } q) \rightarrow \text{true } P$$

# Summary of LF Type Theory

---

- Meta-language:  $\lambda^\Pi$  type theory

*Signatures*  $\Sigma ::= \cdot \mid \Sigma, a:K \mid \Sigma, c:A$

*Contexts*  $\Gamma ::= \cdot \mid \Gamma, x:A$

*Kinds*  $K ::= \textit{type} \mid \Pi x:A. K$

*Types*  $A ::= a M_1 \dots M_n \mid \Pi x:A_1. A_2 \mid A_1 \rightarrow A_2$

*Objects*  $M ::= c \mid x \mid \lambda x:A. M \mid M_1 M_2$

- Main judgments

–  $\Gamma \vdash_\Sigma A : K$  — family  $A$  has kind  $K$

–  $\Gamma \vdash_\Sigma M : A$  — object  $M$  has type  $A$

–  $\Gamma \vdash_\Sigma A = B : K$  —  $A$  and  $B$  are definitionally equal

–  $\Gamma \vdash_\Sigma M = N : A$  —  $M$  and  $N$  are definitionally equal

## Critical Rules of LF

---

- Type conversion (recall: definitional equality is  $\beta\eta$ )

$$\frac{\Gamma \vdash M : A \quad \Gamma \vdash A = B : \text{type}}{\Gamma \vdash M : B} \text{conv}$$

- Dependent function type, introduction

$$\frac{\Gamma \vdash A : \text{type} \quad \Gamma, x:A \vdash M : B}{\Gamma \vdash \lambda x:A. M : \Pi x:A. B} \Pi I$$

- Dependent function type, elimination

$$\frac{\Gamma \vdash M : \Pi x:A. B \quad \Gamma \vdash N : A}{\Gamma \vdash M N : [N/x]B} \Pi E$$

- Dependent kind, elimination

$$\frac{\Gamma \vdash A : \Pi x:B. K \quad \Gamma \vdash N : B}{\Gamma \vdash A N : [N/x]K} \Pi E'$$

# Theory of LF

---

- Complex, because types depend on objects and vice versa
- Complex, because typing depends on equality and vice versa
- Main results [Harper,Honsell,Plotkin'87'93] [Coqand'91] ...
  - Types are unique modulo definitional equality
  - Canonical forms exist and are unique
  - Definitional equality is decidable
  - Type checking is decidable
- New approach to theory [Harper&Pf'00]
- By adequacy: proof checking via LF type checking

# Type Checking versus Proof Search

---

- Type checking (suppressing signature  $\Sigma$ )

*Given  $\Gamma, M, A$ , decide if  $\Gamma \vdash M : A$*

- Type synthesis

*Given  $\Gamma, M$ , synthesize  $A$  such that  $\Gamma \vdash M : A$  or fail*

- Type checking and synthesis are decidable
- Proof search

*Given  $\Gamma, A$ , search for  $M$  such that  $\Gamma \vdash M : A$*

- Proof search is undecidable

# The Central Importance of Canonical Forms

---

- **Theorem:** For every  $M$  such that  $\Gamma \vdash M : A$ , there is a unique canonical  $N$  such that  $\Gamma \vdash M = N : A$

- Four applications of canonical forms:

1. Adequacy theorems formulated on canonical forms

*There is a compositional bijection between deductions  $\mathcal{D}$  of  $\Delta \vdash P$  true and **canonical** objects  $M$  such that  $\ulcorner \Delta \urcorner \vdash M : \text{true} \ulcorner P \urcorner$*

2. Redundancy elimination in representation [Necula]
  3. Focused proof search [Andreoli'91]
  4. Higher-order constraint simplification (unification)
- Caveat: canonical forms may be too large [Statman'78]
  - In practice we permit **definitions**  $c : A = M$

## Canonical Objects, Definition

---

- Judgments

- $\Gamma \vdash M \Downarrow A$  —  $M$  is canonical at type  $A$

- $\Gamma \vdash M \Uparrow A$  —  $M$  is neutral of type  $A$

- Canonical objects are **type-directed**

- Canonical objects of function type are  $\lambda$ -abstractions

$$\frac{\Gamma \vdash A \Downarrow \text{type} \quad \Gamma, x:A \vdash M \Downarrow B}{\Gamma \vdash \lambda x:A. M \Downarrow \Pi x:A. B} \Pi I$$

- Canonical objects of atomic type are neutral

$$\frac{\Gamma \vdash M \Uparrow a \ M_1 \dots M_n}{\Gamma \vdash M \Downarrow a \ M_1 \dots M_n}$$

## Neutral Objects, Definition

---

- Neutral objects are **term-directed**
- Assume in declarations  $c:A$  and  $x:A$ ,  $A$  is canonical
- $can(A)$  calculates canonical form of  $A$
- Variables and constants are neutral

$$\frac{c:A \text{ in } \Sigma}{\Gamma \vdash c \uparrow A} \qquad \frac{x:A \text{ in } \Gamma}{\Gamma \vdash x \uparrow A}$$

- Applications of neutral functions to canonical arguments are neutral

$$\frac{\Gamma \vdash M \uparrow \Pi x:A. B \quad \Gamma \vdash N \downarrow A}{\Gamma \vdash M N \uparrow can([N/x]B)} \quad \Pi E$$

## Application: Bi-Directional Type Checking

---

- LF so far is based entirely on type synthesis
- Generalize to eliminate all type labels from  $\lambda$ -abstractions without compromising decidability
- Bi-directional checking is robust idea, also applies to
  - subtyping and intersection types [Davies & Pf'00]
  - polymorphic recursion
  - polymorphism and subtyping [Pierce&Turner'00]
- Based on minor variant of canonical forms

# Type Checking and Canonical Objects

---

- Judgments (on objects without type labels)
  - $\Gamma \vdash M \Downarrow A$  — given  $\Gamma, M, A$ , check if  $M : A$
  - $\Gamma \vdash M \Uparrow A$  — given  $\Gamma, M$ , synthesize  $A$
- Checking at function type ( $\Pi x:A. B$  given)

$$\frac{\Gamma, x:A \vdash M \Downarrow B}{\Gamma \vdash \lambda x. M \Downarrow \Pi x:A. B}$$

- Checking at atomic type ( $a M_1 \dots M_n$  given)

$$\frac{\Gamma \vdash M \Uparrow A \quad \Gamma \vdash A = a M_1 \dots M_n : type}{\Gamma \vdash M \Downarrow a M_1 \dots M_n}$$

# Type Synthesis and Neutral Objects

---

- Synthesis of variables

$$\frac{c:A \text{ in } \Sigma}{\Gamma \vdash c \uparrow A}$$

$$\frac{x:A \text{ in } \Gamma}{\Gamma \vdash x \uparrow A}$$

- Synthesis of applications

$$\frac{\Gamma \vdash M \uparrow \Pi x:A. B \quad \Gamma \vdash N \downarrow A}{\Gamma \vdash M N \uparrow [N/x]B}$$

# Type Ascription

---

- No type labels needed for **canonical objects**
- For other objects, introduce type ascription  $(M : A)$
- Insert ascription where synthesis is impossible

$$\frac{\Gamma \vdash M \Downarrow A}{\Gamma \vdash (M : A) \uparrow A}$$

- Example

$$p:o \vdash ((\lambda q. q) : o \rightarrow o) p \Downarrow o$$

or (assuming definitions **let**  $x:A = M$  **in**  $N$ )

$$p:o \vdash \mathbf{let} \ q:o = p \ \mathbf{in} \ q \Downarrow o$$

## Bi-Directional Checking, Example

---

- In practice, most objects are canonical
- Example, proof of  $\forall x. P(x) \supset P(x)$  for parameter  $P:i \rightarrow o$

$\vdash \text{forall } (\lambda x. \text{imp } (P x) (P x)) (\lambda x. \text{impi } (P x) (P x) (\lambda u. u))$   
 $\Downarrow \text{true } (\text{forall } (\lambda x. \text{imp } (P x) (P x)))$

- Reduced, but not completely eliminated redundancy

$\vdash \text{forall } (\lambda x. \text{imp } (P x) (P x)) (\lambda x. \text{impi } (P x) (P x) (\lambda u. u))$   
 $\Downarrow \text{true } (\text{forall } (\lambda x. \text{imp } (P x) (P x)))$

- Extend the idea of bi-directional checking

# Redundant Dependent Arguments

---

- Recall implication elimination

$$\text{impe} : \prod P:o. \prod Q:o. \text{true} (\text{imp } P \ Q) \rightarrow \text{true } P \rightarrow \text{true } Q$$

- Representation (eliding  $P:o$  and  $Q:o$ )

$$\frac{\begin{array}{l} \Gamma \vdash D : \text{true} (\text{imp } P \ Q) \\ \Gamma \vdash E : \text{true } P \end{array}}{\Gamma \vdash \text{impe } P \ Q \ D \ E : \text{true } Q}$$

- Examples of redundancy:

- If we can synthesize  $\Gamma \vdash D \uparrow \text{true} (\text{imp } P \ Q)$   
we can determine  $P$  and  $Q$  and erase them from  
 $\Gamma \vdash \text{impe } P \ Q \ D \ E \uparrow \text{true } Q$
- If we check  $\Gamma \vdash \text{impe } P \ Q \ D \ E \downarrow \text{true } Q$   
we can determine and erase  $Q$  but not  $P$

## Bi-Directional LF

---

- Split  $\text{true } P$  into  $\text{true}^\uparrow P$  and  $\text{true}^\downarrow P$
- Split each constant into one or several instances
- Either by hand or by LF signature analysis
- $\Gamma \vdash M : \text{true}^\uparrow P$  must synthesize  $P$
- $\Gamma \vdash M : \text{true}^\downarrow P$  checks  $M$  against  $\text{true } P$
- Annotations must be consistent

## Bi-Directional LF, Examples

---

- Analyse types for consistent annotations (by example only)
- $!x$  — we may assume  $x$  known  
 $?x$  — we must check if  $x$  is known
- Example: implication elimination, standard annotation

$$\text{impe}_1 : \Pi P:o. \Pi Q:o. \underbrace{\text{true}^\uparrow P Q}_{!P !Q} \rightarrow \underbrace{\text{true}^\downarrow P}_{?P} \rightarrow \underbrace{\text{true}^\uparrow Q}_{?Q}$$

- Example: implication elimination, non-standard annotation

$$\text{impe}_2 : \Pi P:o. \underbrace{\Pi Q:o. \text{true}^\downarrow P Q}_{!P} \rightarrow \underbrace{\text{true}^\downarrow P}_{?P} \rightarrow \underbrace{\text{true}^\downarrow Q}_{!Q}$$

## Bi-Directional LF and Higher-Order Matching

---

- Example: universal introduction, standard annotation

$$\text{forall}_1 : \Pi P:i \rightarrow o. \underbrace{(\Pi x:i. \text{true}^{\Downarrow} (P x))}_{?P} \rightarrow \underbrace{\text{true}^{\Downarrow} (\text{forall} (\lambda x. P x))}_{!P}$$

- Example: universal elimination, **incorrect** annotation

$$\text{forall}_1 : \Pi P:i \rightarrow o. \underbrace{\text{true}^{\Downarrow} (\text{forall} (\lambda x. P x))}_{?P} \rightarrow \Pi t:i. \underbrace{\text{true}^{\Downarrow} (P t)}_{!P !t}$$

- Problem: even if we know  $(P t)$  we may not know  $P$  and  $t!$
- Example: solve  $P t = q 0 \supset q 0$  for  $P:i \rightarrow o$  and  $t:i$ :  
 $P = (\lambda x. q x \supset q x)$  and  $t = 0$  or  
 $P = (\lambda x. q 0 \supset q x)$  and  $t = 0$  or  
 $P = (\lambda x. q 0 \supset q 0)$  and  $t$  arbitrary  
 etc.

## Strict Occurrences

---

- **Theorem** [Schürmann'00]: Higher-order matching yields a unique answer or fails if every existential variable has **at least one strict** occurrence
- Strict occurrences of  $P$  must satisfy two conditions
  1. Have the form  $P x_1 \dots x_n$  for distinct parameters  $x_i$
  2. Not be in an argument to an existential variable
- Example: universal elimination with existentials  $P$  and  $t$

$$\text{forall} : \text{true} (\text{forall} (\lambda x. \underbrace{P x}_1)) \rightarrow \text{true} (\underbrace{P}_2 \underbrace{t}_3)$$

- 1 is strict occurrence of  $P$
- 2 is not strict (argument  $t$  is existential)
- 3 is not strict (appears in argument to existential  $P$ )

## Type and Object Reconstruction for LF

---

- Bi-directional LF requires strict higher-order matching
- Reconstruction is always unique or fails
- For practical experience see [\[Necula\]](#)
- Unrestricted LF requires dependent higher-order unification
- Full reconstruction may have multiple solutions or loop
- Use safe approximation via constraint simplification
- Reconstruction may
  - succeed with principal type
  - fail with error message
  - request more information
- Works well for small objects (see [Twelf](#))

## How Do We Compute With Representations?

---

- LF is functional, but there is no recursion
- Recursion (even prim. rec.) destroys adequacy of encodings
- Counterexample: recall

$$\text{forall} : (i \rightarrow o) \rightarrow o$$

Then

$$\text{forall } f : o$$

for recursive  $f : i \rightarrow o$  is not in the image of the  $\lceil \_ \rceil$

- Also: would violate essential open-endedness
- $i \rightarrow o$  must be the parametric function space, i.e., canonical  $M : i \rightarrow o$  must have the form  $\lambda x:i. \lceil P \rceil$  for some  $P$

## Constraint Logic Programming with LF

---

- We cannot easily compute functionally  
(but [Schürmann,Despeyroux,Pf'97][Schürmann'00])
- Solution: compute as in **constraint logic programming**
- Operational semantics via search with fixed strategy
- Note: **not** general theorem proving
- Related to informal practice of reading rules as algorithms
- Example: bi-directional checking

## Example: Recognizing Negation-Free Propositions

---

- Judgment:  $\Delta \vdash P \text{ nf}$  supposing  $\Delta \vdash P \text{ prop}$
- Assume constants  $p:i \rightarrow o$  and  $q:o$
- Four rules:

$$\frac{}{\Delta \vdash q \text{ nf}} \quad \frac{}{\Delta \vdash p \ t \ \text{nf}}$$
$$\frac{\Delta \vdash P \ \text{nf} \quad \Delta \vdash Q \ \text{nf}}{\Delta \vdash P \supset Q \ \text{nf}} \quad \frac{\Delta, x \ \text{term} \vdash P \ \text{nf}}{\Delta \vdash \forall x. P \ \text{nf}}$$

- In LF (omitting implicit arguments as in Twelf):

```
nf      : o → type
nfq     : nf q
nfp     : nf (p T)
nfimp   : nf P → nf Q → nf (imp P Q)
nfall   : (Πx:i. nf (P x)) → nf (forall (λx. P x))
```

## Logic Programming Notation in Twelf

---

- Now reverse the arrows

```
nf      : o → type
nfq     : nf q
nfp     : nf (p T)
nfimp   : nf (imp P Q)
         ← nf Q
         ← nf P
nfall   : nf (forall (λx. P x))
         ← (Πx:i. nf (P x))
```

- Given a query `nf P` for a closed, ground `P`  
match **heads** of rules in order,  
then solve **subgoals** in order

## A Program Elimination Double Negation

---

q : o.

p : i -> o.

nf : o -> type.

%mode nf +P.

nfq : nf q.

nfp : nf (p T).

nfimp : nf (P imp Q)

    <- nf P

    <- nf Q.

nfall : nf (forall [x] P x)

    <- ({x:i} nf (P x)).

%query 1 \* nf (forall [x] p x imp p x).

%query 0 \* nf (forall [x] not (p x)).

## Constraint Simplification in Twelf

---

- Given example requires only strict higher-order matching (goal has no existential variables, heads are strict)
- In general requires higher-order unification (non-deterministic and undecidable)
- Implemented instead as constraint simplification (pattern unification [Miller'91] + constraints [Pf'91'96])
- Success with constraints is conditional:  
Any solution to remaining constraints is solution to query
- Methodology: write programs to lie within the strict higher-order matching fragment whenever possible

## Operational Semantics of Twelf as in Prolog

---

- Solve subgoal  $\Pi x:A. B$  by assuming  $x:A$  and solving  $B$
- When goal is atomic, unify with head of each hypothesis and constant in order
- When heads unify, solve subgoals from left to right
- Backtrack upon failure to most recent choice point
- In general only non-deterministically complete:
  - Finite failure implies no deduction can exist
  - May loop on judgment with a deduction
- Technique: focused proofs [Andreoli'90], uniform proofs [Miller,Nadathur,Pf.,Scredov'91]

## Experience with Logic Programming in Twelf

---

- Many algorithms can be specified at a very high level
- A few algorithms can be very difficult (e.g., non-parametric operations)
- Not intended for general purpose programming, (e.g., no cut, input/output, other impure features)
- Often possible to prove correctness inside Twelf [[Lect.4](#)]
- Examples:  
cut-elimination, **logical interpretations**, type checking, type inference, evaluation, compilation

## Another Example: Eliminating Double Negations

---

- $\text{elim } P \ Q$  with input  $P$  generates output  $Q$
- This “directionality” is called a **mode**
- Can be checked in Twelf implementation

# Program in Twelf

---

```
elim : o -> o -> type.
%mode elim +P -Q.

eq : elim q q.
ep : elim (p T) (p T).
eimp : elim (P1 imp P2) (Q1 imp Q2)
      <- elim P1 Q1
      <- elim P2 Q2.
eall : elim (forall [x] P x) (forall [x] Q x)
      <- ({x:i} elim (P x) (Q x)).
enn : elim (not (not P)) Q
      <- elim P Q.
enq : elim (not q) (not q).
enp : elim (not (p T)) (not (p T)).
enimp : elim (not (P1 imp P2)) (not (Q1 imp Q2))
        <- elim P1 Q1
        <- elim P2 Q2.
enall : elim (not (forall [x] P x)) (not (forall [x] Q x))
        <- ({x:i} elim (P x) (Q x)).
```

## A Query and Answer in Twelf

---

```
%query 1 *
```

```
M : elim (not (not q) imp forall [x] p x imp p x) Q.
```

```
----- Solution 1 -----
```

```
Q = q imp forall ([x:i] p x imp p x).
```

```
M = eimp (eall ([x:i] eimp ep ep)) (enn eq).
```

```
-----
```

## Summary of Lecture 3: Proof Search and Representation

---

- LF type theory is dependently typed  $\lambda$ -calculus
- Absence of recursion is crucial for adequacy
- Existence and uniqueness of canonical forms is crucial:
  - adequacy theorems
  - redundancy elimination in representation [Necula]
  - strict higher-order matching and constraint simplification
  - focused and uniform proof search
- Implementing algorithms via constraint logic programming
- Specifications and implementations in the same language!

## Preview of Lecture 4: Meta-Logical Frameworks

---

- Hilbert's axiomatic calculus in LF
- The Deduction Theorem
- Meta-theoretic proofs as judgments relating derivations
- Mode, termination, and coverage checking for verification
- Summary

# Logical and Meta-Logical Frameworks

## Lecture 4: Meta-Logical Frameworks

---

- Hilbert's axiomatic calculus in LF
- The Deduction Theorem
- Meta-theoretic proofs as judgments relating dedeductions
- Mode, termination, and coverage checking for verification
- Summary
- **Note:** in this lecture, “proof” always refers to meta-theory of deductive systems (encoded in LF)

## Review of Lecture 3: Proof Search and Representation

---

- Central role of canonical forms:
  - adequacy theorems
  - bi-directional type-checking and redundancy elimination
  - strict higher-order matching and constraint simplification
  - focused and uniform proof search
- Absence of recursion is crucial
- Implementing algorithms via constraint logic programming
- Specifications and implementations in the same language!

# Hilbert's Axiomatic Calculus

---

- Judgment  $\Delta \vdash P$  *valid* for  $\Delta \vdash P$  *prop*
- $\Delta = x_1 \text{ term}, \dots, x_n \text{ term}$  (no assumptions  $Q$  *true* or  $Q$  *valid*)
- Many axioms (= inference rules with no premises)

$K \quad \Delta \vdash P \supset (Q \supset P)$  *valid*

$S \quad \Delta \vdash (P \supset (Q \supset R)) \supset (P \supset Q) \supset (P \supset R)$  *valid*

$N_1 \quad \Delta \vdash (P \supset \neg Q) \supset ((P \supset Q) \supset \neg P)$  *valid*

$N_2 \quad \Delta \vdash \neg P \supset (P \supset Q)$  *valid*

$F_1 \quad \Delta \vdash (\forall x. P) \supset [t/x]P$  *valid*

$F_2 \quad \Delta \vdash (\forall x. Q \supset P) \supset (Q \supset \forall x. P)$  *valid* ( $x$  not free in  $Q$ )

## Two Inference Rules

---

- Modus Ponens

$$\frac{\Delta \vdash P \supset Q \text{ valid} \quad \Delta \vdash P \text{ valid}}{\Delta \vdash Q \text{ valid}} MP$$

- Universal Generalization

$$\frac{\Delta, x \text{ term} \vdash P \text{ valid}}{\Delta \vdash \forall x. P \text{ valid}} UG^x$$

## Representation in Twelf

---

`valid : o -> type.`

`k : valid (P imp (Q imp P)).`

`s : valid ((P imp (Q imp R)) imp ((P imp Q) imp (P imp R))).`

`n1 : valid ((P imp (not Q)) imp ((P imp Q) imp (not P))).`

`n2 : valid ((not P) imp (P imp Q)).`

`f1 : {T:i} valid ((forall [x:i] P x) imp (P T)).`

`f2 : valid ((forall [x:i] (Q imp P x)) % incorporates proviso!  
imp (Q imp forall [x:i] P x)).`

`mp : valid (P imp Q) -> valid P -> valid Q.`

`ug : ({x:i} valid (P x)) -> valid (forall [x:i] P x).`

# The Deduction Theorem

---

- **Theorem:** If  $\Delta, P \text{ valid} \vdash Q \text{ valid}$  then  $\Delta \vdash (P \supset Q) \text{ valid}$
- **Proof:** By induction on the deduction  $\mathcal{H}$  of  $\Delta, P \text{ valid} \vdash Q \text{ valid}$ .
- **Case:**  $\mathcal{H}$  ends in the hypothesis rule

$$\frac{}{\Delta, P \text{ valid} \vdash P \text{ valid}} \text{hyp}$$

Then (written in abbreviated form)

- |   |   |               |
|---|---|---------------|
| 1 | $(P \supset ((P \supset P) \supset P)) \supset ((P \supset (P \supset P)) \supset (P \supset P))$ | <i>S</i>      |
| 2 | $(P \supset ((P \supset P) \supset P))$   | <i>K</i>      |
| 3 | $(P \supset (P \supset P)) \supset (P \supset P)$   | <i>MP 1 2</i> |
| 4 | $P \supset (P \supset P)$   | <i>K</i>      |
| 5 | $P \supset P$   | <i>MP 3 4</i> |

## Axiom Cases

---

- **Case:**  $\mathcal{H}$  ends in axiom  $K$

$$\frac{}{\Delta, P \text{ valid} \vdash (Q_1 \supset (Q_2 \supset Q_1)) \text{ valid}} K$$

Then

- 1  $(Q_1 \supset (Q_2 \supset Q_1)) \supset (P \supset (Q_1 \supset (Q_2 \supset Q_1)))$   $K$
- 2  $Q_1 \supset (Q_2 \supset Q_1)$   $K$
- 3  $P \supset (Q_1 \supset (Q_2 \supset Q_1))$   $MP\ 1\ 2$

- Other axiom cases analogous

# Modus Ponens

---

- **Case:**  $\mathcal{H}$  ends in Modus Ponens

$$\mathcal{H} = \frac{\frac{\mathcal{H}_1}{\Delta, P \text{ valid} \vdash Q_1 \supset Q_2 \text{ valid}} \quad \frac{\mathcal{H}_2}{\Delta, P \text{ valid} \vdash Q_1 \text{ valid}}}{\Delta, P \text{ valid} \vdash Q_2 \text{ valid}} \text{MP}$$

- 1  $\Delta \vdash P \supset (Q_1 \supset Q_2) \text{ valid}$  IH on  $\mathcal{H}_1$
- 2  $\Delta \vdash (P \supset (Q_1 \supset Q_2))$   
 $\supset ((P \supset Q_1) \supset (P \supset Q_2)) \text{ valid}$   $S$
- 3  $\Delta \vdash (P \supset Q_1) \supset (P \supset Q_2) \text{ valid}$   $MP$  2 1
- 4  $\Delta \vdash P \supset Q_1 \text{ valid}$  IH on  $\mathcal{H}_2$
- 5  $\Delta \vdash P \supset Q_2 \text{ valid}$   $MP$  3 4

# Universal Generalization

---

- **Case:**  $\mathcal{H}$  ends in Universal Generalization:

$$\mathcal{H} = \frac{\mathcal{H}_1 \quad \Delta, x \text{ term}, P \text{ valid} \vdash Q_1 \text{ valid}}{\Delta, P \text{ true} \vdash \forall x. Q_1 \text{ valid}} UG^x$$

- 1  $\Delta, x \text{ term} \vdash P \supset Q_1 \text{ valid}$  IH. on  $\mathcal{H}_1$
- 2  $\Delta \vdash \forall x. (P \supset Q_1) \text{ valid}$   $UG^x$  1
- 3  $\Delta \vdash (\forall x. (P \supset Q_1)) \supset (P \supset \forall x. Q_1) \text{ valid}$   $F_2$
- 4  $\Delta \vdash P \supset \forall x. Q_1 \text{ valid}$   $MP$  3 2

- QED

## A Task for a Meta-Logical Framework

---

- How do we represent this proof?
- Simpler question: what is its computational contents?
- Answer: a translation of deductions  $\Delta, P \text{ valid} \vdash Q \text{ valid}$  to deductions of  $\Delta \vdash (P \supset Q) \text{ valid}$

- Or, after representation (ignoring  $\Delta$ ):

$$\text{ded} : \prod P:o. \prod Q:o. (\text{valid } P \rightarrow \text{valid } Q) \rightarrow \text{valid } (\text{imp } P Q)$$

- This function would be defined by recursion (induction) over

$$H : (\text{valid } P \rightarrow \text{valid } Q)$$

- What does this mean?
- Anyway, recursive functions cannot be part of LF

## Possible Answers

---

- Give up on higher-order abstract syntax and use inductive encodings [many refs]
  - Lose advantages of renaming and substitution!
  - More indirect encodings and more difficult formal proofs
- Use same trick as for algorithms! [Pf'89'91]
  - Implement computational contents of proof as a **logic program**
  - Verify that this logic program describes a proof
  - “*Logic programs as realizers*”
- Other approaches [Despeyroux et al.'94'98]  
[McDowell&Miller'97] [Schürmann&Pf'98] [Hofmann'99]  
[Gabbay&Pitts'99] [Schürmann'00'01]

## Proofs as Relations

---

- The proof of the deduction theorem describes a **judgment relating deductions** of  $\Delta, P \text{ valid} \vdash Q \text{ valid}$  and  $\Delta \vdash (P \supset Q) \text{ valid}$

- In LF:

$\text{ded} : \prod P:o. \prod Q:o. (\text{valid } P \rightarrow \text{valid } Q) \rightarrow \text{valid } (\text{imp } P Q) \rightarrow \textit{type}$

- This can be represented easily, case by case
- Elide  $P$  and  $Q$  as in implementation

## Hypothesis Case

---

- **Case:**  $\mathcal{H}$  ends in the hypothesis rule

$$\frac{}{\Delta, P \text{ valid} \vdash P \text{ valid}} \text{hyp}$$

Then (written in abbreviated form)

|   |   |            |
|---|---|------------|
| 1 | $(P \supset ((P \supset P) \supset P)) \supset ((P \supset (P \supset P)) \supset (P \supset P))$ | $S$        |
| 2 | $(P \supset ((P \supset P) \supset P))$   | $K$        |
| 3 | $(P \supset (P \supset P)) \supset (P \supset P)$   | $MP\ 1\ 2$ |
| 4 | $P \supset (P \supset P)$   | $K$        |
| 5 | $P \supset P$   | $MP\ 3\ 4$ |

- Recall  $\text{ded} : (\text{valid } P \rightarrow \text{valid } Q) \rightarrow \text{valid } (\text{imp } P\ Q) \rightarrow \text{type}$
- This case  $\text{ded\_id} : \text{ded } (\lambda u. u) (\text{mp } (\text{mp } s\ k) k)$

## Axiom Cases

---

- **Case:**  $\mathcal{H}$  ends in axiom  $K$

$$\frac{}{\Delta, P \text{ valid} \vdash (Q_1 \supset (Q_2 \supset Q_1)) \text{ valid}} K$$

Then

|   |   |            |
|---|---|------------|
| 1 | $(Q_1 \supset (Q_2 \supset Q_1)) \supset (P \supset (Q_1 \supset (Q_2 \supset Q_1)))$ | $K$        |
| 2 | $Q_1 \supset (Q_2 \supset Q_1)$   | $K$        |
| 3 | $P \supset (Q_1 \supset (Q_2 \supset Q_1))$   | $MP\ 1\ 2$ |

- Recall  $\text{ded} : (\text{valid } P \rightarrow \text{valid } Q) \rightarrow \text{valid } (\text{imp } P\ Q) \rightarrow \text{type}$
- This case:

$$\text{ded\_k} : \text{ded } (\lambda u. k) (\text{mp } k\ k)$$

- Other axiom cases are analogous

# Modus Ponens

---

- **Case:**  $\mathcal{H}$  ends in Modus Ponens

$$\mathcal{H} = \frac{\begin{array}{c} \mathcal{H}_1 \\ \Delta, P \text{ valid} \vdash Q_1 \supset Q_2 \text{ valid} \end{array} \quad \begin{array}{c} \mathcal{H}_2 \\ \Delta, P \text{ valid} \vdash Q_1 \text{ valid} \end{array}}{\Delta, P \text{ valid} \vdash Q_2 \text{ valid}} \text{MP}$$

- 1  $\Delta \vdash P \supset (Q_1 \supset Q_2) \text{ valid}$  IH on  $\mathcal{H}_1$
- 2  $\Delta \vdash (P \supset (Q_1 \supset Q_2))$   
 $\quad \supset ((P \supset Q_1) \supset (P \supset Q_2)) \text{ valid}$   $S$
- 3  $\Delta \vdash (P \supset Q_1) \supset (P \supset Q_2) \text{ valid}$   $MP$  2 1
- 4  $\Delta \vdash P \supset Q_1 \text{ valid}$  IH on  $\mathcal{H}_2$
- 5  $\Delta \vdash P \supset Q_2 \text{ valid}$   $MP$  3 4

- Appeal to induction hypothesis as recursive call

```
ded_mp : ded (λu. mp (H1 u) (H2 u)) (mp (mp s H'1) H'2)
        ← ded (λu. H1 u) H'1
        ← ded (λu. H2 u) H'2
```

# Universal Generalization

---

- **Case:**  $\mathcal{H}$  ends in Universal Generalization:

$$\mathcal{H} = \frac{\mathcal{H}_1 \quad \Delta, x \text{ term}, P \text{ valid} \vdash Q_1 \text{ valid}}{\Delta, P \text{ true} \vdash \forall x. Q_1 \text{ valid}} \text{UG}^x$$

- |   |   |                        |
|---|---|------------------------|
| 1 | $\Delta, x \text{ term} \vdash P \supset Q_1$                                   | IH. on $\mathcal{H}_1$ |
| 2 | $\Delta \vdash \forall x. (P \supset Q_1)$                                      | $\text{UG}^x$ 1        |
| 3 | $\Delta \vdash (\forall x. (P \supset Q_1)) \supset (P \supset \forall x. Q_1)$ | $F_2$                  |
| 4 | $\Delta \vdash P \supset \forall x. Q_1$  | $MP$ 3 2               |

- Appeal to induction hypothesis as recursive call

$$\begin{aligned} \text{ded\_ug} & : \text{ded } (\lambda u. \text{ug } (\lambda x. H_1 \ u \ x)) \ (\text{mp f2 } (\text{ug } H'_1)) \\ & \leftarrow \prod x:i. \text{ded } (\lambda u. H_1 \ u \ x) \ (H'_1 \ x) \end{aligned}$$

- QED

## Executing the Proof Representation

---

- One can now execute the proof as a logic program with queries

$\text{ded } \mathbf{H} \ H'$

where  $\mathbf{H}$  is a given hypothetical deduction and  $H'$  is a variable that will be bound to the output deduction

- Computational content fully represented
- We know each output will be correct by adequacy

$\text{ded} : (\text{valid } P \rightarrow \text{valid } Q) \rightarrow \text{valid } (\text{imp } P \ Q) \rightarrow \textit{type}$

## Is the Program a Proof?

---

- Just knowing

$\text{ded} : \prod P:o. \prod Q:o. (\text{valid } P \rightarrow \text{valid } Q) \rightarrow \text{valid } (\text{imp } P Q) \rightarrow \text{type}$

is not enough

- Need

For every  $\Delta = x_1:i, \dots, x_n:i$

and every object  $P$  such that  $\Delta \vdash P : o$

and every object  $Q$  such that  $\Delta \vdash Q : o$

and every object  $H$  such that  $\Delta \vdash H : (\text{valid } P \rightarrow \text{valid } Q)$

there exists an  $H'$  such that  $\Delta \vdash H' : \text{valid } (\text{imp } P Q)$

and an  $M$  such that  $\Delta \vdash M : \text{ded } P Q H H'$

## Proof Verification

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- How could this property fail for a type-correct query?

ded  $\mathbf{H}$   $H'$

- $H'$  could fail to be ground — mode checking
  - Query could fail to terminate — termination checking
  - Query could fail finitely — coverage checking
- Mode, termination, and coverage checking together with adequacy of representation guarantee that the type family `ded` implements a proof of the deduction theorem

## Mode Checking

---

- Quite straightforward, using strictness

```
ded : (valid P -> valid Q) -> valid (P imp Q) -> type.  
%mode ded +H -H'.
```

```
ded_mp : ded ([u] mp (H1 u) (H2 u)) (mp (mp s H1') H2')  
        <- ded ([u] H1 u) H1'  
        <- ded ([u] H2 u) H2'.
```

- Input argument (+):  
 assume ground for head, check ground for recursive call
- Output argument (-):  
 assume ground for recursive call, check ground for head
- Good, informative error messages!

# Termination Checking

---

- Assume user gives termination order
- Based on subterm ordering corresponding to structural induction

```
ded : (valid P -> valid Q) -> valid (P imp Q) -> type.  
%terminates H (ded H _)
```

```
ded_mp : ded ([u] mp (H1 u) (H2 u)) (mp (mp s H1') H2')  
        <- ded ([u] H1 u) H1'  
        <- ded ([u] H2 u) H2'.
```

## Termination Checking in Twelf

---

- Can construct lexicographic and simultaneous orders
- Difficult part: higher-order subterm orderings [Pientka]
- Explicit specification expresses “*By induction over  $\mathcal{H}$* ”
- Informative error messages
- Improve checking mutual recursion [Abel][Jones]

## Coverage Checking

---

- Guarantees that for every combination of (ground) inputs some clause applies
- Coverage entails progress (no finite failure)
- Difficult, because it contradicts open-endedness
- Inherently, to check an inductive proof, we need to fix the set of constructors
- No paradoxes, since there is no new object constructor

# Regular Worlds

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- Recall

For every  $\Delta = x_1:i, \dots, x_n:i$   
and every object  $P$  such that  $\Delta \vdash P : o$   
and every object  $Q$  such that  $\Delta \vdash Q : o$   
and every object  $H$  such that  $\Delta \vdash H : (\text{valid } P \rightarrow \text{valid } Q)$   
there exists an  $H'$  such that  $\Delta \vdash H' : \text{valid } (\text{imp } P \ Q)$   
and an  $M$  such that  $\Delta \vdash M : \text{ded } P \ Q \ H \ H'$

- Need to describe the form of possible contexts
- Use **regular worlds** defined schematically [Schürmann00]

$$\Delta_{\text{ded}} ::= \cdot \mid \Delta_{\text{ded}}, x:i$$

## Coverage Checking

---

- With respect to regular world definition (e.g.,  $\Delta_{\text{ded}}$ )
- **Coverage set** = exhaustive set of possible query shapes
- Initialize with most general query ded  $H$  \_
- Algorithm:
  1. Pick and remove a query shape  $G$  from the coverage set
  2. Check if  $G$  is an instance of a clause head (strict higher-order matching)
  3. If not, pick a candidate variable (halt if none), generate all possible instances (higher-order unification) and add them to the coverage set
  4. Go to 1.
- Re-implementation still in progress (not available in current Twelf)

## Implementing Meta-Theoretic Proofs, Summary

---

- Represent computational contents as judgment relating deductions  
(here:  $\text{ded} : (\text{valid } P \rightarrow \text{valid } Q) \rightarrow \text{valid } (\text{imp } P \ Q) \rightarrow \text{type}$ )

- Together
  - dependent type checking (no invalid deductions)
  - mode checking (no missing constructors)
  - termination checking (no divergence)
  - coverage checking (no finite failure)

guarantee that implementation represents meta-theoretic proof

- All of these are efficiently decidable with good or acceptable error messages
- **Logic Programs as Proofs**

## Experience with Relational Meta-Theory

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- Proofs are often very compact
  - Immediacy of encoding (hoas, judgments as types)
  - Type reconstruction
- Applicable in many case studies
  - logical interpretations (nd vs axiomatic, nd vs sequent, classical vs intuitionistic, nd vs categorical)
  - logical properties (cut elimination, normalization, deduction theorem)
  - $\lambda$ -calculus (CR theorem, CPS transform)
  - small programming languages (functional, logic) (type preservation and progress for various type systems, compiler correctness)
- Used successfully in teaching several times

# Automation

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- Due to high level of representation, many meta-theorems can be proven **automatically** [Schürmann&Pf'98] [Schürmann'00]
- Input: specification,  $\forall\exists$  meta-theorem, induction order
- Output: proof in relational form
- Alternate direct search in LF (bounded depth-first search) with case splitting
- Often very fast (type preservation, deduction theorem)
- Not very robust with respect to signature extension
- Not very robust with respect to number of inputs

## Some Limitations

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- Logical relations or reducibility candidates [Girard'71]
- Where encodings are awkward (linear, ordered), proofs are infeasible
- Proofs are “write only”
- Some work on “uncompressing” into readable format (TCS paper on cut elimination 50% written by machine)

## Summary

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- Meta-logical frameworks for reasoning about deductive systems
- Two choices
  - Techniques for representation:  
usually inductive (low level), here judgments as types
  - Techniques for proof representation:  
usually recursive functions, here judgments relating derivations
  - Techniques for proof checking:  
similar in both approaches
- Various hybrid techniques have been investigated
- High-level representation facilitates both manual and automatic proofs

# Course Summary

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- **Lecture 1:** Higher-Order Abstract Syntax  
Variables as variables, representation is compositional bijection, substitution as substitution
- **Lecture 2:** Judgments as Types  
Parametric judgments as functions, checking deductions via type checking in LF
- **Lecture 3:** Search and Representation  
Canonical forms, bi-directional checking, logic programming
- **Lecture 4:** Meta-Logical Frameworks  
Meta-theoretic proofs as judgments relating derivations, checking modes, termination, coverage

## Course Slogans

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- **Specifications, algorithms, meta-theory in the same minimal language** (only type constructor:  $\prod x:A. B!$ )
- **Elegance matters!**
- We had to slaughter some holy cows:
  - inductive types and explicit induction principles
  - tactic-based theorem proving
- Logical frameworks are **not** for general mathematics

## On the Horizon

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- Module system
- Constraint domains (rationals)
- Linearity and order in the framework
- Compression of deductions
- Specialization with respect to fixed signature?

## Reference Material

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- Lecture Material:

*Logical frameworks.*

Handbook of Automated Reasoning,

Chapter 16, pp. 977-1061,

Elsevier Science and MIT Press, June 2001.

- Textbook:

*Computation and Deduction.*

Cambridge University Press, Fall 2001.

- Implementation: [twelf.org](http://twelf.org)