

# Programming Languages

## COS 441

### Denotational Semantics II

# Last Time

- The denotational modus operandi:
  1. Define the **syntax** of the language
    - How do you write the programs down?
    - Use **BNF notation** (BNF = Backus Naur Form)
  2. Define the **denotation** (aka meaning) of the language
    - Use a **function from syntax to mathematical objects**
    - Make sure the function is **inductive** and (usually) **total**

# This Time

- The denotational modus operandi:
  1. Define the syntax of the language
    - How do you write the programs down?
    - Use BNF notation (BNF = Bachus Naur Form)
  2. Define the denotation (aka meaning) of the language
    - Use a function from syntax to mathematical objects
    - Make sure the function is inductive and (usually) total
  3. Prove something about the language
    - Most of our proofs about denotational definitions will be **by induction on the structure of the syntax** of the language

# **PROOFS BY STRUCTURAL INDUCTION**

# Proofs by induction

- Often, we want to know something about **all** objects of a certain type:
  - **for all** binary numbers  $b$ , there exists a larger binary number.
  - **for all** binary numbers  $b$ , either  $\text{even}(b)$  or  $\text{odd}(b)$  is true
  - **for all** arithmetic expressions  $e$ , if  $\text{expsem}(e) = 0$  then  $e$  contains a subexpression of the form  $\text{num}(n)$  and  $\text{mixsem}(n) = 0$
  - **for all** well-typed programs  $p$ ,  $p$  never dereferences a null pointer
  - **for all** well-typed programs  $p$ ,  $p$  never releases high-security information to a low-security client
  - **for all** programs  $p$ ,  $\text{semantics}(p) = \text{semantics}(\text{compile}(p))$
- We typically prove these properties by induction.
  - one kind of induction is **structural induction** or **induction on syntax**

# Structure of inductive proofs for binary syntax

$$b ::= \# \mid b0 \mid b1$$

Theorem: For all binary numbers  $b$ ,  $\text{property}(b)$ .

Proof: ?

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Theorem:  $\underbrace{\text{For all binary numbers } b}, \underbrace{\text{property}(b)}$ .

Proof: ?

**for all**  
clues you in  
to the fact  
that you may  
need to do  
induction

your goal  
is to prove  
the property  
for all  $b$ .

# Structure of inductive proofs for binary syntax

$$b ::= \# \mid b0 \mid b1$$

Theorem: For all binary numbers  $b$ ,  $\text{property}(b)$ .

Proof strategy:

- tackle each case ( $\#$ ,  $b0$ ,  $b1$ ) separately. Be sure to tackle all cases (missing a case means your proof is incomplete) -- proofs must be total, like semantic functions were total in the last lecture.
- for base cases like  $\#$ , prove the property directly
- for inductive cases like  $b0$  and  $b1$ , use the **induction hypothesis**. In other words, when proving case  $b0$ , assume that  $\text{property}(b)$  is true and use that information to conclude that  $\text{property}(b0)$  is true. (Likewise when proving  $b1$ .) In general, you get to assume your property is true for all smaller binary numbers.



# Structure of inductive proofs for binary syntax

$$b ::= \# \mid b0 \mid b1$$

Theorem: For all binary numbers  $b$ ,  $\text{property}(b)$ .

Proof: By induction on the structure of  $b$ .

case #:

....

must prove:  $\text{property}(\#)$  is true

case  $b0$ :

IH:  $\text{property}(b)$  is true

...

must prove:  $\text{property}(b0)$  is true

case  $b1$ :

IH:  $\text{property}(b)$  is true

...

must prove:  $\text{property}(b1)$  is true

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$b ::= \# \mid b0 \mid b1$

Theorem: For all binary numbers  $b$ ,  $\text{property}(b)$ .

Proof: By induction on the structure of  $b$ .

when I say always  
I mean always

always write  
proof method first

one  
case  
per  
syntax  
alternative

case #:

....

must prove:  $\text{property}(\#)$  is true

proof of a case concludes  
when you establish the property  
for this specific piece of syntax

case  $b0$ :

IH:  $\text{property}(b)$  is true

...

must prove:  $\text{property}(b0)$  is true

always state  
the specific  
induction hypothesis  
you can use in  
your proof case

case  $b1$ :

IH:  $\text{property}(b)$  is true

...

must prove:  $\text{property}(b1)$  is true

# **BINARY SYNTAX: AN EXAMPLE PROOF**

# Structure of inductive proofs for binary syntax

Theorem: For all binary numbers  $b$ ,  
if  $\text{binsem}(b) > 0$  then  $b$  contains a 1.

Proof: By induction on the structure of  $b$ .

Definitions:

$b ::= \# \mid b0 \mid b1$

$\text{binsem}(\#) = 0$

$\text{binsem}(b0) = 2 * (\text{binsem}(b))$

$\text{binsem}(b1) = 2 * (\text{binsem}(b)) + 1$

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Proof: By induction on the structure of  $b$ .

case #:

1:  $\text{binsem}(\#) = 0$  (by  $\text{binsem}$  def)

2:  $\text{binsem}(\#) \neq 0$  (by 1)

case done (2 implies the theorem if statement is trivially satisfied)

Definitions:

$b ::= \# \mid b0 \mid b1$

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case  $b'0$ :

Definitions:

$b ::= \# \mid b0 \mid b1$

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case  $b'0$ :

IH: if  $\text{binsem}(b') > 0$  then  $b'$  contains a 1

Definitions:

$b ::= \# \mid b0 \mid b1$

$\text{binsem}(\#) = 0$

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# Structure of inductive proofs for binary syntax

Theorem: For all binary numbers  $b$ ,  
if  $\text{binsem}(b) > 0$  then  $b$  contains a 1.

Proof: By induction on the structure of  $b$ .

case  $b'0$ :

IH: if  $\text{binsem}(b') > 0$  then  $b'$  contains a 1

1:  $\text{binsem}(b'0) = 2 * (\text{binsem}(b'))$

(by  $\text{binsem}$  def)

2: if  $\text{binsem}(b'0) > 0$  then  $\text{binsem}(b') > 0$

(by 1)

3: if  $\text{binsem}(b'0) > 0$  then  $b'$  contains a 1

(by 2 and IH)

4: if  $\text{binsem}(b'0) > 0$  then  $b'0$  contains a 1

(by 3 and meaning of “contains”)

case done.

Definitions:

$b ::= \# \mid b0 \mid b1$

$\text{binsem}(\#) = 0$

$\text{binsem}(b0) = 2 * (\text{binsem}(b))$

$\text{binsem}(b1) = 2 * (\text{binsem}(b)) + 1$

# Structure of inductive proofs for binary syntax

Theorem: For all binary numbers  $b$ ,  
if  $\text{binsem}(b) > 0$  then  $b$  contains a 1.

Proof: By induction on the structure of  $b$ .

case  $b'1$ :

Definitions:

$b ::= \# \mid b0 \mid b1$

$\text{binsem}(\#) = 0$

$\text{binsem}(b0) = 2 * (\text{binsem}(b))$

$\text{binsem}(b1) = 2 * (\text{binsem}(b)) + 1$

# Structure of inductive proofs for binary syntax

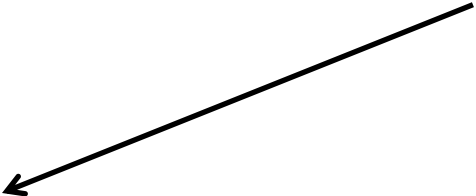
Theorem: For all binary numbers  $b$ ,  
if  $\text{binsem}(b) > 0$  then  $b$  contains a 1.

Proof: By induction on the structure of  $b$ .

case  $b'1$ :

IH: if  $\text{binsem}(b') > 0$  then  $b'$  contains a 1

not needed this time,  
but write it down anyway



Definitions:

$b ::= \# \mid b0 \mid b1$

$\text{binsem}(\#) = 0$

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# Structure of inductive proofs for binary syntax

Theorem: For all binary numbers  $b$ ,  
if  $\text{binsem}(b) > 0$  then  $b$  contains a 1.

Proof: By induction on the structure of  $b$ .

case  $b'1$ :

IH: if  $\text{binsem}(b') > 0$  then  $b'$  contains a 1

1:  $\text{binsem}(b'1) = 2 * (\text{binsem}(b')) + 1$  (by  $\text{binsem}$  def)

2:  $\text{binsem}(b'1) > 0$  and  $b'1$  contains a 1 (by 1 and meaning of contains)

case done (2 implies the required conclusion).

Definitions:

$b ::= \# \mid b0 \mid b1$

$\text{binsem}(\#) = 0$

$\text{binsem}(b0) = 2 * (\text{binsem}(b))$

$\text{binsem}(b1) = 2 * (\text{binsem}(b)) + 1$

# Recap: structure of inductive proofs for binary syntax

Theorem: For all binary numbers  $b$ ,  $\text{property}(b)$ .

Proof: By induction on the structure of  $b$ .

case #:

...

$\text{property}(\#)$  is true

case done.

case  $b0$ :

IH:  $\text{property}(b)$

...

$\text{property}(b0)$  is true

case done.

case  $b1$ :

IH:  $\text{property}(b)$  is true

...

$\text{property}(b1)$  is true

case done.

Definitions:

$b ::= \# \mid b0 \mid b1$

$\text{binsem}(\#) = 0$

$\text{binsem}(b0) = 2 * (\text{binsem}(b))$

$\text{binsem}(b1) = 2 * (\text{binsem}(b)) + 1$

# **A PROOF ABOUT ARITHMETIC EXPRESSIONS**

# Last time

- Arithmetic expression syntax:

$e ::= \text{num } n \mid \text{add}(e,e) \mid \text{mult}(e, e)$

depends on semantics  
for number syntax;  
(computes a natural number)

- Arithmetic expression semantics:

$\text{expsem}(\text{num}(n)) = \text{mixsem}(n)$

$\text{expsem}(\text{add}(e_1, e_2)) = \text{expsem}(e_1) + \text{expsem}(e_2)$

$\text{expsem}(\text{mult}(e_1, e_2)) = \text{expsem}(e_1) * \text{expsem}(e_2)$



# Arithmetic Expressions

- Another definition: “contains a zero”

$\text{cz}(\text{num}(n)) = \text{if } \text{mixsem}(n) = 0 \text{ then true else false}$   
 $\text{cz}(\text{add}(e_1, e_2)) = \text{cz}(e_1) \text{ or } \text{cz}(e_2)$   
 $\text{cz}(\text{mult}(e_1, e_2)) = \text{cz}(e_1) \text{ or } \text{cz}(e_2)$

- Goal Theorem:
  - for all  $e$ , if  $\text{expsem}(e) = 0$  then  $\text{cz}(e)$



Theorem: For all expressions  $e$ ,  $\text{property}(e)$ .

Proof: By induction on the structure of  $e$ .

## Proving properties of expressions

case num  $n$ :

...

$\text{property}(\text{num } n)$

case done.

case  $\text{add}(e_1, e_2)$ :

IH1:  $\text{property}(e_1)$

IH2:  $\text{property}(e_2)$

...

$\text{property}(\text{add}(e_1, e_2))$

case done.

case  $\text{mult}(e_1, e_2)$ :

IH1:  $\text{property}(e_1)$  is true

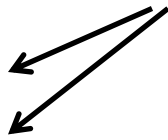
IH2:  $\text{property}(e_2)$  is true

...

$\text{property}(\text{mult}(e_1, e_2))$

case done.

both  $e_1$  and  $e_2$  are smaller so  
we can use IH on both



Definitions:

$e ::= \text{num } n \mid \text{add}(e, e) \mid \text{mult}(e, e)$

$\text{expsem}(\text{num } (n)) = \text{mixsem}(n)$

$\text{expsem}(\text{add}(e_1, e_2)) = \text{expsem}(e_1) + \text{expsem}(e_2)$

$\text{expsem}(\text{mult}(e_1, e_2)) = \text{expsem}(e_1) * \text{expsem}(e_2)$

$\text{cz}(\text{num } (n)) = \text{if } \text{mixsem}(n) = 0 \text{ then true else false}$

$\text{cz}(\text{add}(e_1, e_2)) = \text{cz}(e_1) \text{ or } \text{cz}(e_2)$

$\text{cz}(\text{mult}(e_1, e_2)) = \text{cz}(e_1) \text{ or } \text{cz}(e_2)$

Theorem: For all  $e$ , if  $\text{expsem}(e) = 0$  then  $\text{cz}(e)$ .

Proof: By induction on the structure of  $e$ .

case  $\text{num } n$ :

1.  $\text{expsem}(\text{num } n) = \text{mixsem}(n)$  (by  $\text{expsem}$  def)

Proving properties  
of expressions

$\text{expsem}(\text{num}(n)) = \text{mixsem}(n)$

$\text{expsem}(\dots) = \dots$

$\text{cz}(\text{num}(n)) = \text{if } \text{mixsem}(n) = 0 \text{ then true else false}$

$\text{cz}(\dots) = \dots$

Theorem: For all  $e$ , if  $\text{expsem}(e) = 0$  then  $\text{cz}(e)$ .

Proof: By induction on the structure of  $e$ .

Proving properties  
of expressions

case  $\text{num } n$ :

1.  $\text{expsem}(\text{num } n) = \text{mixsem}(n)$  (by  $\text{expsem}$  def)

subcase  $\text{expsem}(\text{num } n) = 0$ :

subcase  $\text{expsem}(\text{num } n) \neq 0$

$\text{expsem}(\text{num}(n)) = \text{mixsem}(n)$

$\text{expsem}(\dots) = \dots$

$\text{cz}(\text{num}(n)) = \text{if } \text{mixsem}(n) = 0 \text{ then true else false}$

$\text{cz}(\dots) = \dots$

Theorem: For all  $e$ , if  $\text{expsem}(e) = 0$  then  $\text{cz}(e)$ .

Proof: By induction on the structure of  $e$ .

## Proving properties of expressions

case  $\text{num } n$ :

1.  $\text{expsem}(\text{num } n) = \text{mixsem}(n)$  (by  $\text{expsem}$  def)

subcase  $\text{expsem}(\text{num } n) = 0$ :

2.  $\text{mixsem}(n) = 0$  (by 1 and subcase)

3.  $\text{cz}(\text{num } n)$  is true (by 2 and def of  $\text{cz}$ )

we have proven the theorem!

subcase  $\text{expsem}(\text{num } n) \neq 0$

$\text{expsem}(\text{num}(n)) = \text{mixsem}(n)$

$\text{expsem}(\dots) = \dots$

$\text{cz}(\text{num}(n)) = \text{if } \text{mixsem}(n) = 0 \text{ then true else false}$

$\text{cz}(\dots) = \dots$

Theorem: For all  $e$ , if  $\text{expsem}(e) = 0$  then  $\text{cz}(e)$ .

Proof: By induction on the structure of  $e$ .

## Proving properties of expressions

case  $\text{num } n$ :

1.  $\text{expsem}(\text{num } n) = \text{mixsem}(n)$  (by  $\text{expsem}$  def)

subcase  $\text{expsem}(\text{num } n) = 0$ :

2.  $\text{mixsem}(n) = 0$  (by 1 and subcase)

3.  $\text{cz}(\text{num } n)$  is true (by 2 and def of  $\text{cz}$ )

we have proven the theorem!

subcase  $\text{expsem}(\text{num } n) \neq 0$

we have trivially proven the theorem!

case done.

$\text{expsem}(\text{num}(n)) = \text{mixsem}(n)$

$\text{expsem}(\dots) = \dots$

$\text{cz}(\text{num}(n)) = \text{if } \text{mixsem}(n) = 0 \text{ then true else false}$

$\text{cz}(\dots) = \dots$

Theorem: For all  $e$ , if  $\text{expsem}(e) = 0$  then  $\text{cz}(e)$ .

Proof: By induction on the structure of  $e$ .

case  $\text{add}(e_1, e_2)$ :

Proving properties  
of expressions

$$\text{expsem}(\text{add}(e_1, e_2)) = \text{expsem}(e_1) + \text{expsem}(e_2)$$

$$\text{cz}(\text{add}(e_1, e_2)) = \text{cz}(e_1) \text{ or } \text{cz}(e_2)$$

Theorem: For all  $e$ , if  $\text{expsem}(e) = 0$  then  $\text{cz}(e)$ .

Proof: By induction on the structure of  $e$ .

case  $\text{add}(e_1, e_2)$ :

IH1: if  $\text{expsem}(e_1) = 0$  then  $\text{cz}(e_1)$ .

IH2: if  $\text{expsem}(e_2) = 0$  then  $\text{cz}(e_2)$ .

Proving properties  
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$$\text{expsem}(\text{add}(e_1, e_2)) = \text{expsem}(e_1) + \text{expsem}(e_2)$$

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Theorem: For all  $e$ , if  $\text{expsem}(e) = 0$  then  $\text{cz}(e)$ .

Proof: By induction on the structure of  $e$ .

## Proving properties of expressions

case  $\text{add}(e_1, e_2)$ :

IH1: if  $\text{expsem}(e_1) = 0$  then  $\text{cz}(e_1)$ .

IH2: if  $\text{expsem}(e_2) = 0$  then  $\text{cz}(e_2)$ .

1.  $\text{expsem}(\text{add}(e_1, e_2)) = \text{expsem}(e_1) + \text{expsem}(e_2)$  (by  $\text{expsem}$  def)
- 1b. iff  $\text{expsem}(\text{add}(e_1, e_2)) = 0$  then  $\text{expsem}(e_1) + \text{expsem}(e_2) = 0$
2. if  $\text{expsem}(\text{add}(e_1, e_2)) = 0$  then  $\text{expsem}(e_1) = 0$  and  $\text{expsem}(e_2) = 0$  (by 1)
3. if  $\text{expsem}(\text{add}(e_1, e_2)) = 0$  then  $\text{expsem}(e_1) = 0$  (by 2)

$$\text{expsem}(\text{add}(e_1, e_2)) = \text{expsem}(e_1) + \text{expsem}(e_2)$$

$$\text{cz}(\text{add}(e_1, e_2)) = \text{cz}(e_1) \text{ or } \text{cz}(e_2)$$



Theorem: For all  $e$ , if  $\text{expsem}(e) = 0$  then  $\text{cz}(e)$ .

Proof: By induction on the structure of  $e$ .

## Proving properties of expressions

case  $\text{add}(e_1, e_2)$ :

IH1: if  $\text{expsem}(e_1) = 0$  then  $\text{cz}(e_1)$ .

IH2: if  $\text{expsem}(e_2) = 0$  then  $\text{cz}(e_2)$ .

1.  $\text{expsem}(\text{add}(e_1, e_2)) = \text{expsem}(e_1) + \text{expsem}(e_2)$  (by  $\text{expsem}$  def)
2. if  $\text{expsem}(\text{add}(e_1, e_2)) = 0$  then  $\text{expsem}(e_1) = 0$  and  $\text{expsem}(e_2) = 0$  (by 1)
3. if  $\text{expsem}(\text{add}(e_1, e_2)) = 0$  then  $\text{expsem}(e_1) = 0$  (by 2)
4. if  $\text{expsem}(\text{add}(e_1, e_2)) = 0$  then  $\text{cz}(e_1)$  (by 3, IH1)

$$\text{expsem}(\text{add}(e_1, e_2)) = \text{expsem}(e_1) + \text{expsem}(e_2)$$

$$\text{cz}(\text{add}(e_1, e_2)) = \text{cz}(e_1) \text{ or } \text{cz}(e_2)$$

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## Proving properties of expressions

case  $\text{add}(e_1, e_2)$ :

IH1: if  $\text{expsem}(e_1) = 0$  then  $\text{cz}(e_1)$ .

IH2: if  $\text{expsem}(e_2) = 0$  then  $\text{cz}(e_2)$ .

1.  $\text{expsem}(\text{add}(e_1, e_2)) = \text{expsem}(e_1) + \text{expsem}(e_2)$  (by  $\text{expsem}$  def)
2. if  $\text{expsem}(\text{add}(e_1, e_2)) = 0$  then  $\text{expsem}(e_1) = 0$  and  $\text{expsem}(e_2) = 0$  (by 1)
3. if  $\text{expsem}(\text{add}(e_1, e_2)) = 0$  then  $\text{expsem}(e_1) = 0$  (by 2)
4. if  $\text{expsem}(\text{add}(e_1, e_2)) = 0$  then  $\text{cz}(e_1)$  (by 3, IH1)
5. if  $\text{expsem}(\text{add}(e_1, e_2)) = 0$  then  $\text{cz}(\text{add}(e_1, e_2))$  (by 4,  $\text{cz}$  def)

case done.

$$\text{expsem}(\text{add}(e_1, e_2)) = \text{expsem}(e_1) + \text{expsem}(e_2)$$

$$\text{cz}(\text{add}(e_1, e_2)) = \text{cz}(e_1) \text{ or } \text{cz}(e_2)$$

Theorem: For all  $e$ , if  $\text{expsem}(e) = 0$  then  $\text{cz}(e)$ .

Proof: By induction on the structure of  $e$ .

case  $\text{mult}(e_1, e_2)$ :

Proving properties  
of expressions

$$\text{expsem}(\text{mult}(e_1, e_2)) = \text{expsem}(e_1) * \text{expsem}(e_2)$$

$$\text{cz}(\text{mult}(e_1, e_2)) = \text{cz}(e_1) \text{ or } \text{cz}(e_2)$$

Theorem: For all  $e$ , if  $\text{expsem}(e) = 0$  then  $\text{cz}(e)$ .

Proof: By induction on the structure of  $e$ .

case  $\text{mult}(e_1, e_2)$ :

IH1: if  $\text{expsem}(e_1) = 0$  then  $\text{cz}(e_1)$ .

IH2: if  $\text{expsem}(e_2) = 0$  then  $\text{cz}(e_2)$ .

Proving properties  
of expressions

$$\text{expsem}(\text{mult}(e_1, e_2)) = \text{expsem}(e_1) * \text{expsem}(e_2)$$

$$\text{cz}(\text{mult}(e_1, e_2)) = \text{cz}(e_1) \text{ or } \text{cz}(e_2)$$

Theorem: For all  $e$ , if  $\text{expsem}(e) = 0$  then  $\text{cz}(e)$ .

Proof: By induction on the structure of  $e$ .

## Proving properties of expressions

case  $\text{mult}(e_1, e_2)$ :

IH1: if  $\text{expsem}(e_1) = 0$  then  $\text{cz}(e_1)$ .

IH2: if  $\text{expsem}(e_2) = 0$  then  $\text{cz}(e_2)$ .

1.  $\text{expsem}(\text{mult}(e_1, e_2)) = \text{expsem}(e_1) * \text{expsem}(e_2)$  (by  $\text{expsem}$  def)
2. if  $\text{expsem}(\text{mult}(e_1, e_2)) = 0$  then  $\text{expsem}(e_1) = 0$  or  $\text{expsem}(e_2) = 0$  (by 1)

$$\text{expsem}(\text{mult}(e_1, e_2)) = \text{expsem}(e_1) * \text{expsem}(e_2)$$

$$\text{cz}(\text{mult}(e_1, e_2)) = \text{cz}(e_1) \text{ or } \text{cz}(e_2)$$

Theorem: For all  $e$ , if  $\text{expsem}(e) = 0$  then  $\text{cz}(e)$ .

Proof: By induction on the structure of  $e$ .

## Proving properties of expressions

case  $\text{mult}(e_1, e_2)$ :

IH1: if  $\text{expsem}(e_1) = 0$  then  $\text{cz}(e_1)$ .

IH2: if  $\text{expsem}(e_2) = 0$  then  $\text{cz}(e_2)$ .

1.  $\text{expsem}(\text{mult}(e_1, e_2)) = \text{expsem}(e_1) * \text{expsem}(e_2)$  (by  $\text{expsem}$  def)
2. if  $\text{expsem}(\text{mult}(e_1, e_2)) = 0$  then  $\text{expsem}(e_1) = 0$  or  $\text{expsem}(e_2) = 0$  (by 1)
3. if  $\text{expsem}(\text{mult}(e_1, e_2)) = 0$  then  $\text{cz}(e_1)$  or  $\text{cz}(e_2)$  (by 2, IH1, IH2)

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3. if  $\text{expsem}(\text{mult}(e_1, e_2)) = 0$  then  $\text{cz}(e_1)$  or  $\text{cz}(e_2)$  (by 2, IH1, IH2)
4. if  $\text{expsem}(\text{mult}(e_1, e_2)) = 0$  then  $\text{cz}(\text{mult}(e_1, e_2))$  (by 3,  $\text{cz}$  def)

case done.

$$\text{expsem}(\text{mult}(e_1, e_2)) = \text{expsem}(e_1) * \text{expsem}(e_2)$$

$$\text{cz}(\text{mult}(e_1, e_2)) = \text{cz}(e_1) \text{ or } \text{cz}(e_2)$$

# **A NOTE ON TYPES FOR FUNCTIONS**



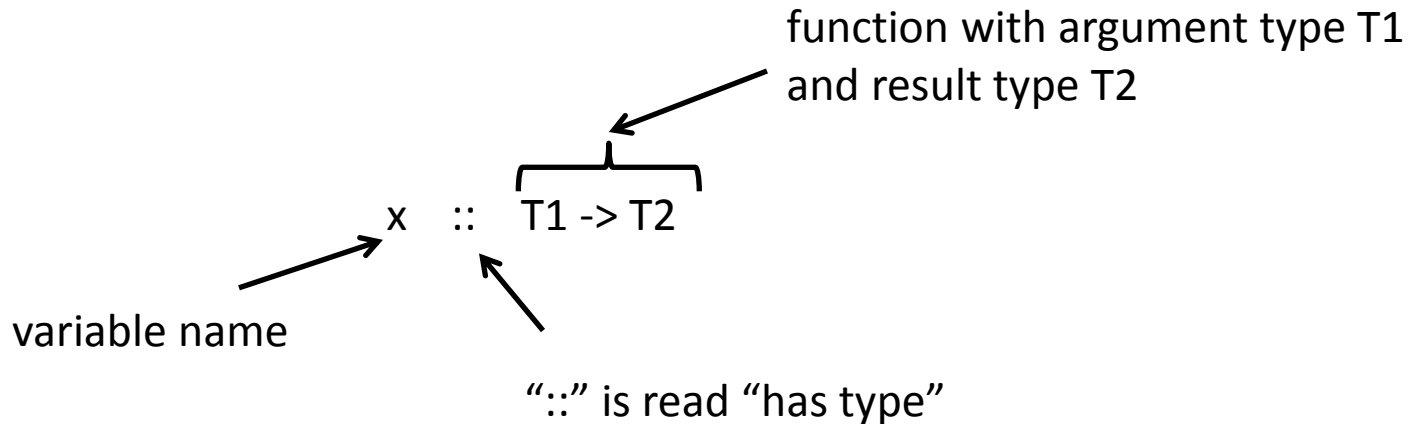
# Types for functions

- So far, function types have been implicit.
- When things start getting more complicated, it is useful to be able to write them down to remind ourselves what kinds of denotation functions we are dealing with:

$$x \quad :: \quad T1 \rightarrow T2$$

# Types for functions

- So far, function types have been implicit.
- When things start getting more complicated, it is useful to be able to write them down to remind ourselves what kinds of denotation functions we are dealing with:



- Examples:

`binsem :: BinarySyntax -> Natural`

`even :: BinarySyntax -> Bool`

`usem :: UnarySyntax -> Natural`

(we'll see more examples and more types shortly; you will pick it up as we go)

# **THE MATHEMATICAL STRUCTURE OF LISTS**

# Lists

- Natural numbers, integers, booleans, sets are well-known mathematical objects; so are lists
- A natural number  $j$  is either
  - 0, or
  - $j'+1$  (the successor of some natural number  $j'$ )
- Analogously list of natural numbers  $l$  is either
  - $[]$  (empty), or
  - $j : l'$  (a list with at least one element  $j$  followed by a list  $l'$ )
- In BNF:  
 $l ::= [] \mid j : l$

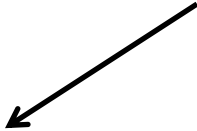
# Lists

- Lists have inductive structure like natural numbers
  - $[]$  is the smallest list
  - the list  $l$  is smaller than the list with an extra element tacked on the front:  $(j : l)$
- Some useful inductive functions over lists:
  - (check they total and inductive)

$$\begin{aligned}\text{length} ([] ) &= 0 \\ \text{length} (j : l_1) &= 1 + \text{length} (l_1)\end{aligned}$$

$$\begin{aligned}\text{concatenate} ([] , l_2) &= l_2 \\ \text{concatenate} (j : l_1 , l_2) &= j : (\text{concatenate}(l_1 , l_2))\end{aligned}$$

inductive  
because we  
define “smaller”  
for pairs here  
to be when  
the first  
element of the  
pair is smaller



- Notation:
  - $l_1 ++ l_2$  means “concatenate  $(l_1, l_2)$ ”
  - $[1, 2, 3, 4]$  means “ $1 : 2 : 3 : 4 : []$ ”

(there are other  
ways to define  
“smaller” for  
pairs)

# Proofs over Lists

Theorem: For all  $l_1$  and for all  $l_2$ ,  $\text{length} ( l_1 ++ l_2 ) = \text{length} ( l_1 ) + \text{length} ( l_2 )$

Proof: **By induction on the structure of ??**

$l ::= [] \mid j : l$

$\text{length} ( [] ) = 0$

$\text{length} ( j : l_1 ) = 1 + \text{length} ( l_1 )$

$[] ++ l_2 = l_2$

$(j : l_1) ++ l_2 = j : (l_1 ++ l_2)$

# Proofs over Lists

Theorem: For all  $l_1$  and for all  $l_2$ ,  $\text{length} ( l_1 ++ l_2 ) = \text{length} ( l_1 ) + \text{length} ( l_2 )$

Proof: **By induction on the structure of  $l_1$ .**

why not  $l_2$ ?

It's because of the fact that length and ++ operate at the front of the list. However, this is not a rule. Often you just have to try induction on one thing or the other and see if it works.

$l ::= [] \mid j : l$

$\text{length} ( [] ) = 0$

$\text{length} ( j : l_1 ) = 1 + \text{length} ( l_1 )$

$[] ++ l_2 = l_2$

$(j : l_1) ++ l_2 = j : (l_1 ++ l_2)$

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Proof: **By induction on the structure of  $l_1$ .**

case  $l_1 = []$ :

$l ::= [] \mid j : l$

$\text{length} ( [] ) = 0$

$\text{length} ( j : l_1 ) = 1 + \text{length} ( l_1 )$

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# Proofs over Lists

Theorem: For all  $l_1$  and for all  $l_2$ ,  $\text{length}(l_1 ++ l_2) = \text{length}(l_1) + \text{length}(l_2)$

Proof: **By induction on the structure of  $l_1$ .**

case  $l_1 = []$ :

$\text{length}([ ] ++ l_2)$   
 $= ?$

$l ::= [] \mid j : l$
$\text{length}([]) = 0$
$\text{length}(j : l_1) = 1 + \text{length}(l_1)$
$[ ] ++ l_2 = l_2$
$(j : l_1) ++ l_2 = j : (l_1 ++ l_2)$

# Proofs over Lists

Theorem: For all  $l_1$  and for all  $l_2$ ,  $\text{length} ( l_1 ++ l_2 ) = \text{length} ( l_1 ) + \text{length} ( l_2 )$

Proof: **By induction on the structure of  $l_1$ .**

case  $l_1 = []$ :

$$\begin{aligned} & \text{length} ( [] ++ l_2 ) \\ &= \text{length} ( l_2 ) && \text{(by def of ++ )} \\ &= 0 + \text{length} ( l_2 ) && \text{(by ordinary arithmetic)} \\ &= \text{length} ( [] ) + \text{length} ( l_2 ) && \text{(by def of length, in reverse)} \end{aligned}$$

case done.

$l ::= [] \mid j : l$
$\text{length} ( [] ) = 0$
$\text{length} ( j : l_1 ) = 1 + \text{length} ( l_1 )$
$[] ++ l_2 = l_2$
$(j : l_1) ++ l_2 = j : (l_1 ++ l_2)$

# Proofs over Lists

Theorem: For all  $l_1$  and for all  $l_2$ ,  $\text{length} ( l_1 ++ l_2 ) = \text{length} ( l_1 ) + \text{length} ( l_2 )$

Proof: **By induction on the structure of  $l_1$ .**

case  $l_1 = j : l_1'$ :

$l ::= [] \mid j : l$

$\text{length} ( [] ) = 0$

$\text{length} ( j : l_1 ) = 1 + \text{length} ( l_1 )$

$[] ++ l_2 = l_2$

$(j : l_1) ++ l_2 = j : (l_1 ++ l_2)$

# Proofs over Lists

Theorem: For all  $l_1$  and for all  $l_2$ ,  $\text{length} ( l_1 ++ l_2 ) = \text{length} ( l_1 ) + \text{length} ( l_2 )$

Proof: **By induction on the structure of  $l_1$ .**

case  $l_1 = j : l_1'$ :

**IH:  $\text{length} ( l_1' ++ l_2 ) = \text{length} ( l_1' ) + \text{length} ( l_2 )$**

$l ::= [] \mid j : l$

$\text{length} ( [] ) = 0$

$\text{length} ( j : l_1 ) = 1 + \text{length} ( l_1 )$

$[] ++ l_2 = l_2$

$(j : l_1) ++ l_2 = j : (l_1 ++ l_2)$

# Proofs over Lists

Theorem: For all  $l_1$  and for all  $l_2$ ,  $\text{length}(l_1 ++ l_2) = \text{length}(l_1) + \text{length}(l_2)$

Proof: **By induction on the structure of  $l_1$ .**

case  $l_1 = j : l_1'$ :

IH:  $\text{length}(l_1' ++ l_2) = \text{length}(l_1') + \text{length}(l_2)$

$\text{length}((j : l_1') ++ l_2)$

=

$l ::= [] \mid j : l$
$\text{length}([]) = 0$
$\text{length}(j : l_1) = 1 + \text{length}(l_1)$
$[] ++ l_2 = l_2$
$(j : l_1) ++ l_2 = j : (l_1 ++ l_2)$

# Proofs over Lists

Theorem: For all  $l_1$  and for all  $l_2$ ,  $\text{length} ( l_1 ++ l_2 ) = \text{length} ( l_1 ) + \text{length} ( l_2 )$

Proof: **By induction on the structure of  $l_1$ .**

case  $l_1 = j : l_1'$ :

**IH:  $\text{length} ( l_1' ++ l_2 ) = \text{length} ( l_1' ) + \text{length} ( l_2 )$**

$$\begin{aligned} & \text{length} ( (j : l_1') ++ l_2 ) \\ &= \text{length} ( j : (l_1' ++ l_2) ) && \text{(by def of ++ )} \\ &= 1 + \text{length} ( l_1' ++ l_2 ) && \text{(by def of length)} \\ &= 1 + \text{length} ( l_1' ) + \text{length} ( l_2 ) && \text{(by IH)} \\ &= \text{length} ( j : l_1' ) + \text{length} ( l_2 ) && \text{(by def of length)} \end{aligned}$$

case done.

$l ::= [] \mid j : l$
$\text{length} ( [] ) = 0$
$\text{length} ( j : l_1 ) = 1 + \text{length} ( l_1 )$
$[] ++ l_2 = l_2$
$(j : l_1) ++ l_2 = j : (l_1 ++ l_2)$

# Typical Structure of Proofs About Lists

Theorem: For all  $l$ . ... **property of  $l$**  ...

Proof: **By induction on the structure of  $l$ .**

case  $l = []$

... 2-column proof of **property of  $[]$**  ...

... justifications use definitions given and basic mathematical facts

case done.

case  $l = j : l'$ :

**IH: property of  $l'$**

... 2-column proof of **property of  $j : l'$**

... justifications use IH, definitions, basic mathematical facts

case done.

# Exercises

theorem 1:

for all  $l_1$ , for all  $l_2$ ,

$$\text{length} ( l_1 ++ (j_2 : l_2) ) = 1 + \text{length} ( l_1 ++ l_2 )$$

proof: ?

theorem 2:

for all  $l$ ,

$$\text{length} ( l ++ l ) = 2 * \text{length} ( l )$$

proof: ? (hint: use theorem 1 as one of your justifications)

theorem 3:

for all  $l$ ,  $l ++ [ ] = l$

proof: ?

Note: You don't have to do them,  
but exercises given out in class might show up on exams!



# **A LIST-PROCESSING LANGUAGE**

# A list processing language

natural numbers

$j ::= 0 \mid 1 \mid 2 \mid \dots$

list language syntax

$s ::=$

empty

-- empty list

| single  $j$

-- singleton list containing  $j$

| cons ( $j, s$ )

-- prepend  $j$  onto  $s$

| concat ( $s_1, s_2$ )

-- concatenate  $s_1$  and  $s_2$

| take ( $j, s$ )

-- the first  $j$  elements of  $s$

| rem ( $j, s$ )

-- everything but the first  $j$  elements of  $s$

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natural numbers  
 $j ::= 0 \mid 1 \mid 2 \mid \dots$

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| take ( $j, s$ )

-- the first  $j$  elements of  $s$

| rem ( $j, s$ )

-- everything but the first  $j$  elements of  $s$

- Examples (all equal to the list [5, 3, 2]):

- cons (5, cons (3, cons (2, empty)))

- concat (cons (5, cons (3, empty)), single 2)

- take (3,

- cons (5, cons (3, cons (2, cons (6, cons (6, cons (7, empty))))))

- rem (2,

- cons (9, cons (11, cons (5, cons (3, single 2))))

- concat (single 5, concat (single 2, single 3))

# A list processing language

---

natural numbers

list language syntax

$j ::= 0 \mid 1 \mid 2 \mid \dots$

$s ::= \text{empty} \mid \text{single } j \mid \text{cons } (j, s) \mid \text{concat } (s_1, s_2) \mid \text{take } (j, s) \mid \text{rem } (j, s)$

---

- The denotational semantics will explain how to convert list syntax into concrete lists

# A list processing language

---

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list language syntax

$j ::= 0 \mid 1 \mid 2 \mid \dots$

$s ::= \text{empty} \mid \text{single } j \mid \text{cons } (j, s) \mid \text{concat } (s_1, s_2) \mid \text{take } (j, s) \mid \text{rem } (j, s)$

---

$\text{listsem} :: \text{ListSyntax} \rightarrow \text{List}$

$\text{listsem } (\text{empty}) = []$

$\text{listsem } (\text{single } j) = [j]$

$\text{listsem } (\text{cons } (j, s)) = j : (\text{listsem}(s))$

$\text{listsem } (\text{concat } (s_1, s_2)) = \text{listsem } (s_1) ++ \text{listsem } (s_2)$

$\text{listsem } (\text{take } (j, s)) = ???$

$\text{listsem } (\text{rem } (j, s)) = ???$

# A list processing language

natural numbers

list language syntax

$j ::= 0 \mid 1 \mid 2 \mid \dots$

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$\text{listsem } (\text{concat } (s_1, s_2)) = \text{listsem } (s_1) ++ \text{listsem } (s_2)$

$\text{listsem } (\text{take } (j, s)) = \text{takeaux } (j, \text{listsem } (s))$

$\text{listsem } (\text{rem } (j, s)) = ???$

$\text{takeaux} :: (\text{Natural}, \text{List}) \rightarrow \text{List}$

$\text{takeaux } (0, \text{list}) = []$

$\text{takeaux } (j+1, []) = []$

$\text{takeaux } (j+1, j' : \text{list}) = j' : (\text{takeaux } (j, \text{list}))$

**lexicographic ordering for inductive definition:**

$(x_1, y_1)$  is smaller than  $(x_2, y_2)$  if  $x_1$  smaller than  $x_2$

or  $x_1 = x_2$  and  $y_1$  smaller than  $y_2$

# A list processing language

---

natural numbers

list language syntax

$j ::= 0 \mid 1 \mid 2 \mid \dots$

$s ::= \text{empty} \mid \text{single } j \mid \text{cons } (j, s) \mid \text{concat } (s_1, s_2) \mid \text{take } (j, s) \mid \text{rem } (j, s)$

---

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$\text{listsem } (\text{concat } (s_1, s_2)) = \text{listsem } (s_1) ++ \text{listsem } (s_2)$

$\text{listsem } (\text{take } (j, s)) = \text{takeaux } (j, \text{listsem } (s))$

$\text{listsem } (\text{rem } (j, s)) = \text{remaux } (j, \text{listsem}(s))$

---

$\text{takeaux} :: (\text{Natural}, \text{List}) \rightarrow \text{List}$

$\text{takeaux } (0, \text{list}) = []$

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$\text{takeaux } (j+1, j' : \text{list}) = j : \text{takeaux } (j, \text{list})$

$\text{remaux} :: (\text{Natural}, \text{List}) \rightarrow \text{List}$

$\text{remaux } (0, \text{list}) = \text{list}$

$\text{remaux } (j+1, []) = []$

$\text{remaux } (j+1, j' : \text{list}) = \text{remaux } (j, \text{list})$

# Exercise

- Consider these additional definitions:

result ::= Yes | Maybe

isempty :: ListSyntax -> Result

isempty (empty) = Yes

isempty (single j) = Maybe

isempty (cons (j, s)) = Maybe

isempty (concat (s<sub>1</sub>, s<sub>2</sub>)) = if (isempty (s<sub>1</sub>) = Yes) and isempty (s<sub>2</sub>) = Yes  
then Yes  
else Maybe

isempty (take (j, s)) = Maybe

isempty (rem (j,s)) = Maybe

- Prove this theorem:

– for all s, if isempty(s) = Yes then listsem(s) = [ ]



# Summary: Inductive proof structure

- Proofs by induction on syntax:
  - start with a statement of the methodology used:
    - eg: “By induction on the syntax of binary numbers”
  - must be **total**
    - they must have proof cases for all syntactic alternatives
  - have an **induction hypothesis** that can be applied to **smaller subexpressions**
  - should be done in a 2-column format and have cases that look like this:

case **syntactic alternative**:

IH: ... statement of inductive hypothesis on **subexpression** ...

1. fact (justification)

2. fact (justification)

3. fact (justification)

case done.

justifications use:

- IH,
- previous facts established (1, 2),
- definitions like binsem or ++ given,
- simple mathematical reasoning

# Summary: kinds of induction

- induction on natural numbers
  - case for 0
  - case for  $j+1$  with IH used on  $j$
- induction on lists
  - case for  $[]$
  - case  $j : l$  with IH used on  $j$
- induction on syntax:  $s ::= \text{alt1} \mid \text{alt2} \mid \text{alt3} \mid \dots$ 
  - case for each of  $\text{alt1}, \text{alt2}, \text{alt3}, \dots$  with IH used on subexpressions  $s$
- mutual induction on syntax:  $s ::= \text{alt1} \mid \text{alt2}$  and  $t ::= \text{alt3} \mid \text{alt4}$ 
  - case for each of  $\text{alt1}, \text{alt2}, \text{alt3}, \dots$  with IH used on subexpressions  $s$  or  $t$
- induction on pairs (first, second)
  - sometimes: by induction on the first element
  - sometimes: by induction on the second element
  - sometimes: by lexicographic ordering of first and second (or second and first)
- in all of the above, sometimes you break down the basic cases further:
  - natural numbers:  $0/j+1$  broken down further to  $0/1/j+1$  or  $0/1/j+2$  etc.
  - **whatever the breakdown, cover all cases & use IH on smaller subexpressions**