# End-to-End Transport Over Wireless II: Snoop and Explicit Loss Notification



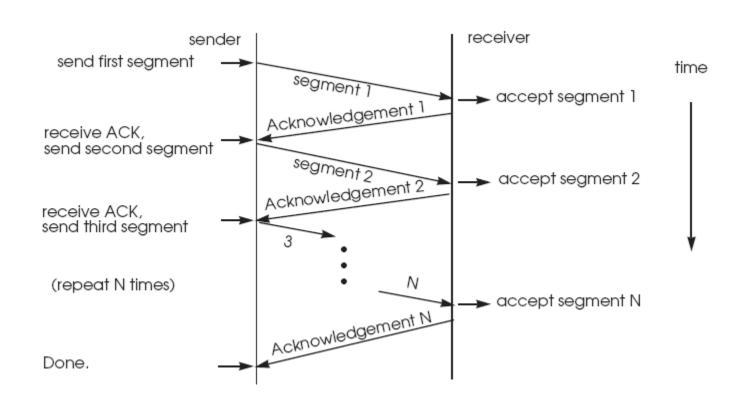
COS 463: Wireless Networks
Lecture 3
Kyle Jamieson

## **Today**

- 1. Transmission Control Protocol (TCP), Part II
  - Window-based flow control
  - Retransmissions and congestion control

- 2. TCP over Wireless
  - TCP Snoop
  - Explicit Loss Notification

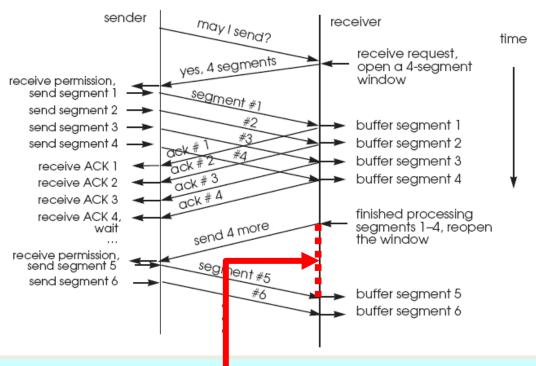
#### Window-Based Flow Control: Motivation



- Suppose sender sends one packet, awaits ACK, repeats...
- Result: At most one packet sent, per RTT
- e.g., 70 ms RTT, 1500-byte packets → Max t'put: 171 Kbps

#### Idea: Pipeline Transmissions

(Fixed Window-Based Flow Control)



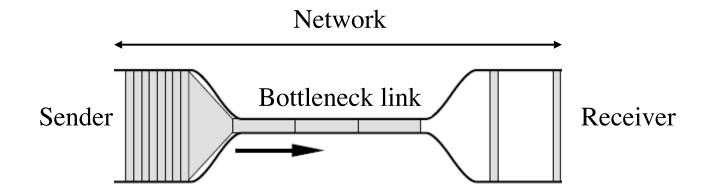
But: RTT idle time from grant of new window to data arrival at receiver

Better approach (TCP): sliding window, extends as each ACK returns, so no idle time!

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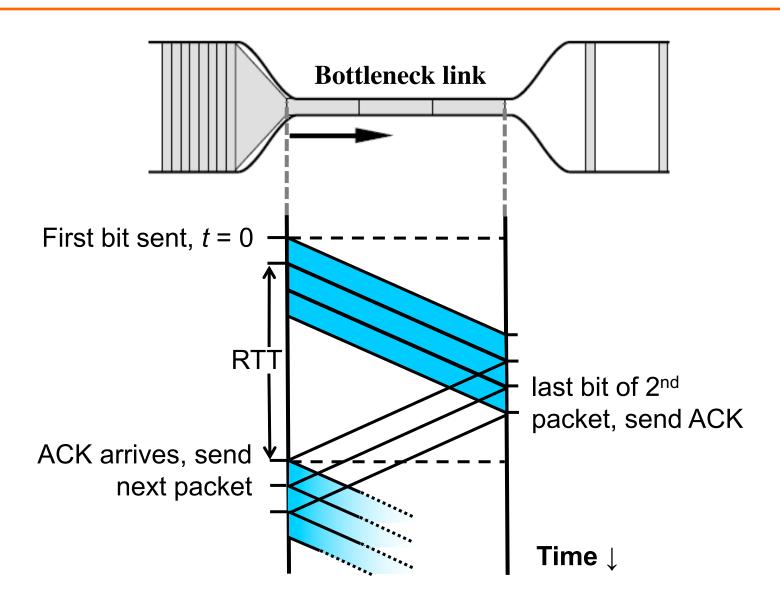
### **Choosing Window Size**

 Network bottleneck: link of slowest rate along path between sender and receiver

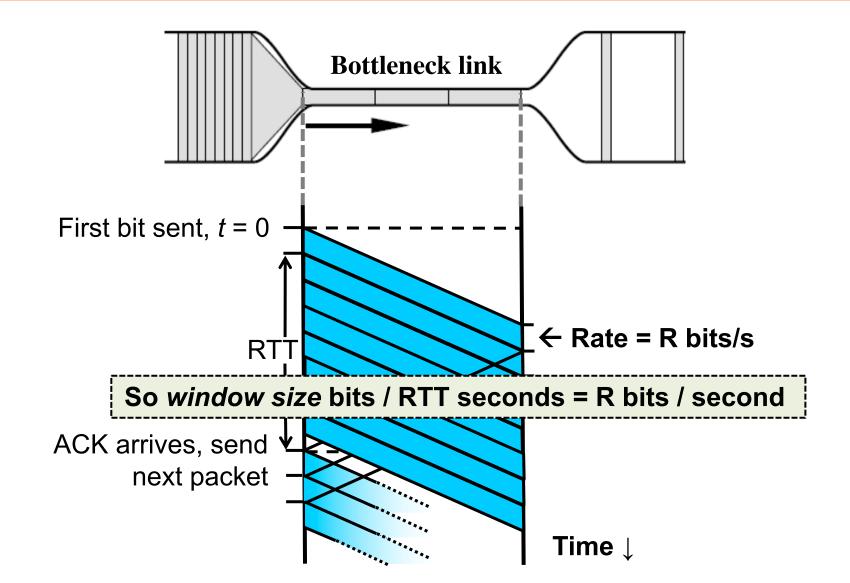


- What size sender window keeps the pipe full?
  - Window too small: can't fill pipe
  - Window too large: unnecessary network load/queuing/loss

## Increasing utilization with pipelining



## The Bandwidth-Delay Product

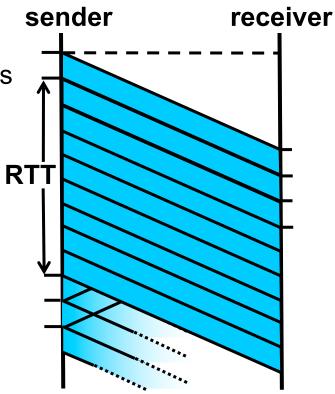


## The bandwidth-delay product

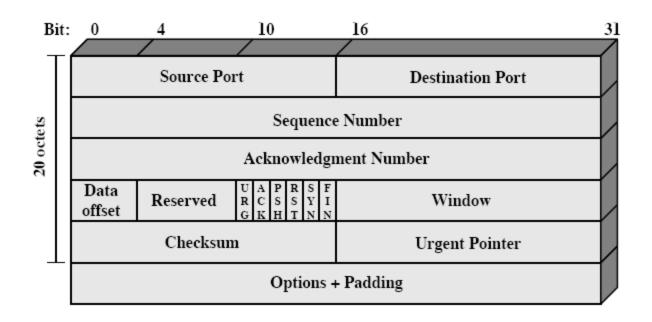
Goal: window size = RTT × bottleneck rate

e.g.: to achieve a bottleneck rate of 1 Mbps across a 70 ms RTT, need a window size:

 $(10^6 \text{ bps} \times .07 \text{ s}) = 70 \text{ Kbits} = 8.75 \text{ Kbytes}$ 



#### **TCP Packet Header**



- TCP header: 20 bytes long
- Checksum covers TCP packet + "pseudo header"
  - IP header source and destination addresses, protocol
  - Length of TCP segment (TCP header + data)

#### **TCP Header Details**

- Connections inherently bidirectional; all TCP headers carry both data & ACK sequence numbers
- 32-bit sequence numbers are in units of bytes
- Source and destination port numbers
  - Multiplexing of TCP by applications
  - UNIX: local ports below 1024 reserved (only root may use)
- Window field: advertisement of number of bytes advertiser willing to accept

#### **TCP: Data Transmission**

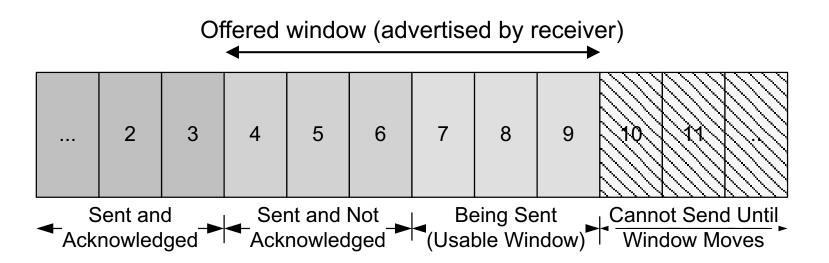
- Each byte numbered sequentially (modulo 2<sup>32</sup>)
- Sender buffers data in case retransmission required
- Receiver buffers data for in-order reassembly
- Sequence number (sequo) field in TCP header indicates first user payload byte in packet

#### **TCP: Receiver functionality**

- Receiver indicates offered window size W explicitly to sender in window field in TCP header
  - Corresponds to available buffer space at receiver

- Receiver sends cumulative ACKs:
  - ACK number in TCP header names highest contiguous byte number received thus far, +1
  - one ACK per received packet, or:
    - Delayed ACK: receiver batches ACKs, sends one for every pair of data packets (200 ms max delay)

#### **TCP: Sender's Window**



- Usable window at sender:
  - Left edge advances as packets sent
  - Right edge advances as receive window updates arrive

### **Today**

#### 1. Transmission Control Protocol (TCP)

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- Retransmissions and congestion control

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#### **TCP: Retransmit Timeouts**

- Recall: Sender sets timer for each sent packet
  - Expected time for ACK to return: RTT
  - when ACK returns, timer canceled
  - if timer expires before ACK returns, packet resent
- TCP estimates RTT using measurements mi from timed packet/ACK pairs
  - $RTTi = ((1 \alpha) \times RTTi 1 + \alpha \times mi)$
- Original TCP retransmit timeout: RTOi = β × RTTi
  - original TCP:  $\beta = 2$

#### Mean and Variance: Jacobson's RTT Estimator

- Above 30% link load at router,  $\beta \times RTT_i$  retransmits too early!
  - Response to increasing load: waste bandwidth on duplicate packets; result: congestion collapse!

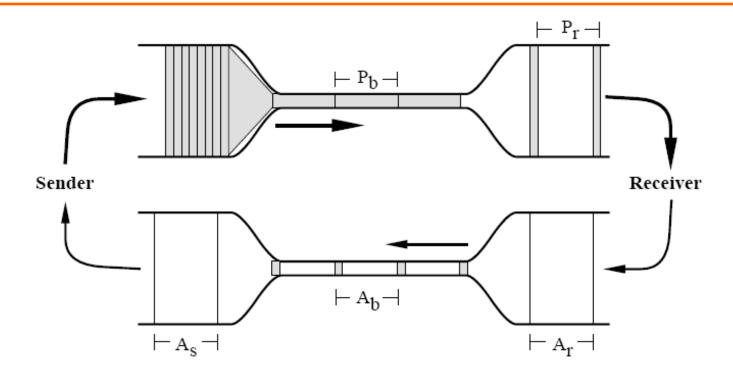
- Idea [Jacobson 88]: Estimate *mean deviation* v<sub>i</sub>, (EWMA of |m<sub>i</sub> – RTT<sub>i</sub>), a stand-in for variance:

$$v_i = v_{i-1} \times (1-\gamma) + \gamma \times |m_i - RTT_i|$$

Then use retransmission timeout RTO; = RTT; + 4v;

Mean and Variance RTT estimator used by all modern TCPs

## **Self-Clocking Transmission**

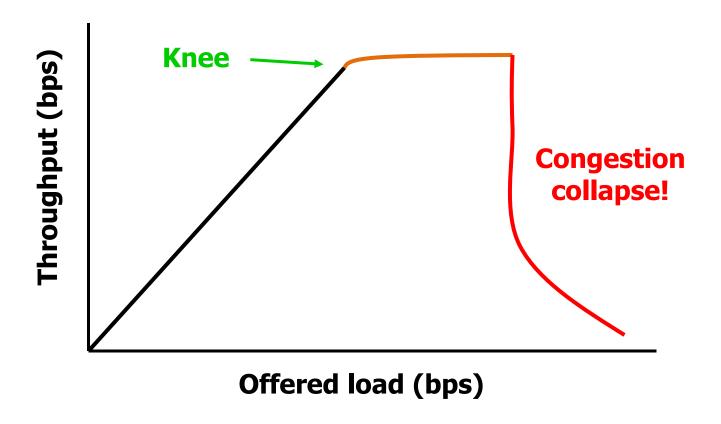


- Self-clocking transmission: Conservation of Packets
  - each ACK returns, one data packet sent
  - spacing of returning ACKs: matches spacing of packets in time at slowest link on path

## **Goals in Congestion Control**

- Achieve high utilization on links; don't waste capacity!
- 2. Divide bottleneck link capacity fairly among users
- 3. Be stable: converge to steady allocation among users
- 4. Avoid congestion collapse

## **Congestion Collapse**



Cliff behavior observed in [Jacobson 88]

## **Congestion Requires Slowing Senders**

- Bigger buffers cannot prevent congestion: senders must slow down
- Absence of ACKs implicitly indicates congestion
- TCP sender's window size determines sending rate
- Recall: Correct window size is bottleneck link bandwidth-delay product
- How can the sender learn this value?
  - Search for it, by adapting window size
  - Feedback from network: ACKs return (window OK) or do not return (window too big)

## Reaching Equilibrium: Slow Start

- At connection start, sender sets congestion window size, cwnd, to pktSize (one packet's worth of bytes), not whole window
- Sender sends up to min(cwnd, W)
  - Upon return of each ACK, increase cwnd by pktSize bytes until W reached
  - "Slow" means exponential window increase!
- Takes log<sub>2</sub>(W / pktSize) RTTs to reach receiver's advertised window size W

# **Avoiding Congestion: Multiplicative Decrease**

- Recall sender uses window of size min(cwnd, W), where W is receiver's advertised window
- Upon timeout for sent packet, sender presumes packet lost to congestion, and:
  - 1. sets ssthresh = cwnd / 2
  - 2. sets cwnd = pktSize
  - 3. uses slow start to grow cwnd up to ssthresh
- End result: cwnd = cwnd / 2, via slow start

## Taking Your Fair Share: Additive Increase

- Drops indicate sending more than fair share of bottleneck
- No feedback to indicate using less than fair share
- Solution: Speculatively increase window size as ACKs return
  - Additive increase: For each returning ACK, cwnd = cwnd + (pktSize × pktSize) / cwnd
  - Increases cwnd by ≈ pktSize bytes per RTT

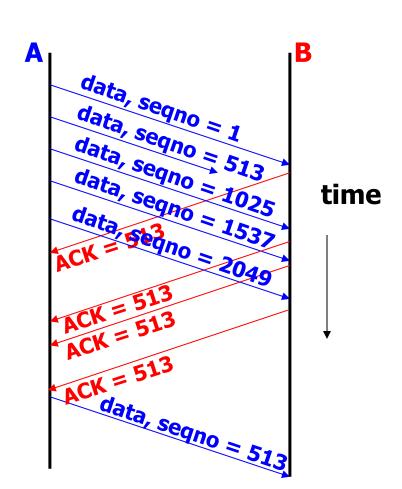
Combined algorithm: Additive Increase, Multiplicative Decrease (AIMD)

### Refinement: Fast Retransmit (I)

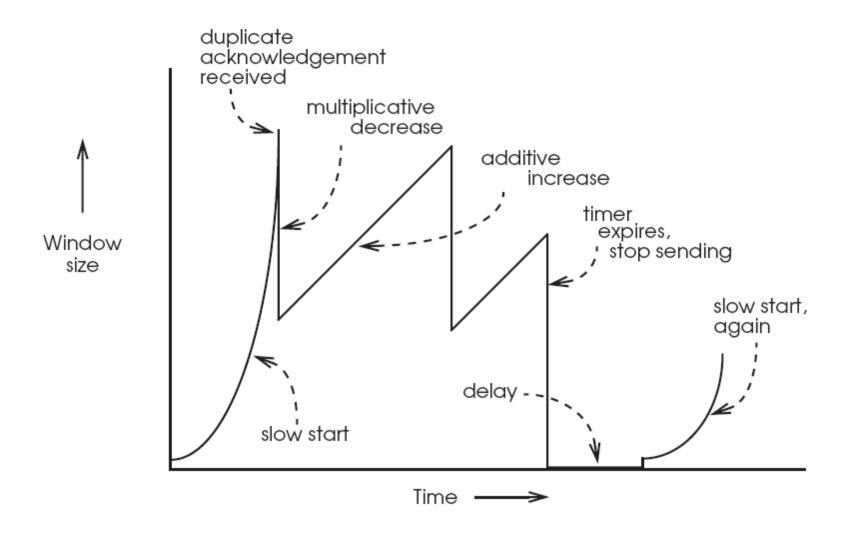
- Sender must wait well over RTT for timer to expire before loss detected
- TCP's minimum retransmit timeout: 1 second
- Another indicator of loss:
  - Suppose sender sends: 1, 2, 3, 4, 5 (...but 2 is lost)
  - Receiver receives: 1, 3, 4, 5
  - Receiver sends cumulative ACKs: 2, 2, 2, 2
    - Loss causes duplicate ACKs

## Fast Retransmit (II)

- Upon arrival of three duplicate ACKs, sender:
- 1. sets cwnd = cwnd / 2
- 2. retransmits "missing" packet
- 3. no slow start
- Not only loss causes dup ACKs
  - Packet reordering, too



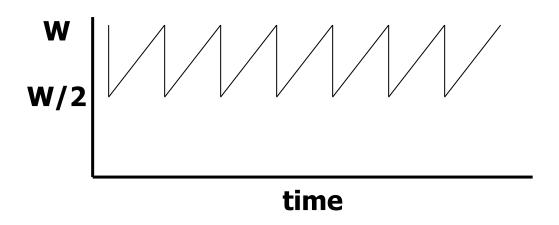
## **AIMD** in Action



### Modeling Throughput, Loss, and RTT

- How do packet loss rate and RTT affect throughput TCP achieves?
- Assume:
- 1. Only fast retransmits
- 2. No timeouts (so no slow starts in steady-state)

#### **Evolution of Window Over Time**



- Average window size: ¾W
- One window of packets is sent per RTT
- Bandwidth:
  - ¾W packets per RTT
  - (¾W x packet size) / RTT bytes per second
  - W depends on loss rate...

#### Window Size Versus Loss

- Assume no delayed ACKs, fixed RTT
- cwnd grows by one packet per RTT
  - So it takes W/2 RTTs to go from window size W/2 to window size W; this period is one cycle
- How many packets sent in total, in a cycle?
  - $(\sqrt[3]{4}\text{W packets} / \text{RTT}) \times (W/2 \text{ RTTs}) = 3W^2/8 \text{ packets}$
- One loss per cycle (as window reaches W)
  - So, the packet loss rate  $p = 8/3W^2$
  - $W = \sqrt{(8/3p)}$

## Throughput, Loss, and RTT Model

- W =  $\sqrt{(8/3p)}$  = (4/3) x  $\sqrt{(3/2p)}$
- Recall, bandwidth B = (3W/4 x packet size) / RTT

$$B = packet size / (RTT x \sqrt{(2p/3)})$$

- Consequences:
- 1. Increased loss quickly reduces throughput
- 2. At same bottleneck, flow with **longer RTT** achieves **less throughput** than flow with shorter RTT!

#### Stretch Break, Q&A

- Suppose a Princeton Plasma Physics Lab experiment is generating scientific data at 5 Gbit/sec
- Want to send this data across the Internet to CERN, Switzerland for analysis with a 5 Gbit/s backbone link
  - Arrange for 10 Gbit/s links from PPPL to Internet Service Provider (ISP), and ISP to CERN
  - PPPL-CERN round trip time is 200 milliseconds

How large would the TCP sender's send window need to be at a minimum in order to achieve a throughput of 5 Gbit/s?

### **Today**

1. Transmission Control Protocol (TCP) primer, cont'd

#### 2. TCP over Wireless

- TCP Snoop
- Explicit Loss Notification

#### **Review: TCP on Wireless Links**

- TCP interprets any packet loss as a sign of congestion
  - TCP sender reduces congestion window

- On wireless links, packet loss can also occur due to random channel errors, or interference
  - Temporary loss not due to congestion
  - Reducing window may be too conservative
  - Leads to poor throughput

#### **Review: Two Broad Approaches**

- 1. Mask wireless losses from TCP sender
  - Then TCP sender will not reduce congestion window
  - Split Connection Approach
  - TCP Snoop

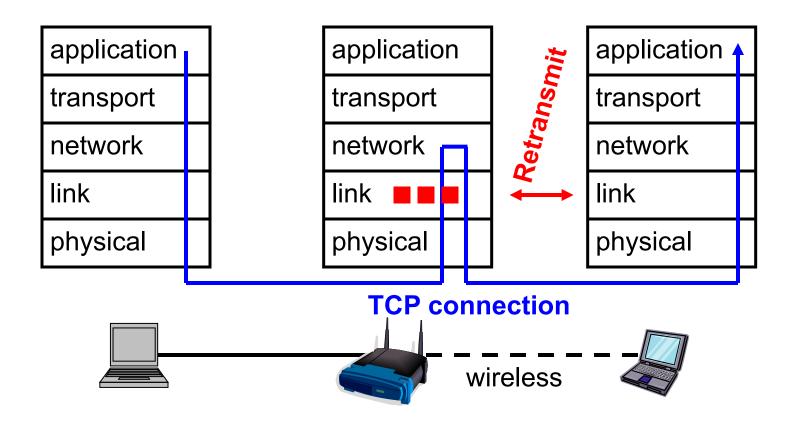
2. Explicitly notify TCP sender about cause of packet loss

### **TCP Snoop: Introduction**

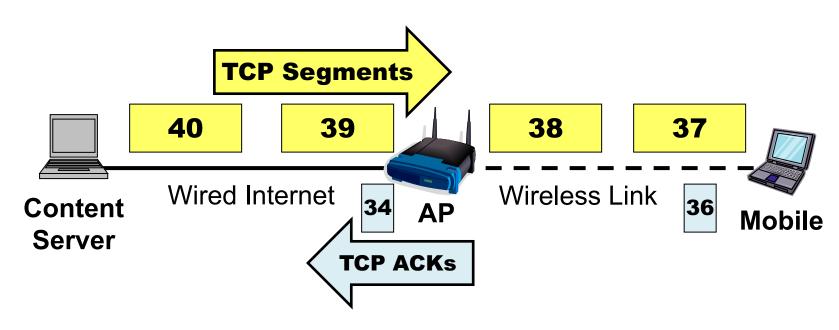
- Removes most significant problem of split connection: breaking end-to-end semantics
  - No more split connection
  - Single end-to-end connection like regular TCP
- TCP Snoop only modifies the AP
- Basic Idea (Downlink traffic):
  - AP "snoops" on TCP traffic to and from the mobile
    - Quickly retransmits packets it thinks may be lost over the wireless link

#### **Snoop Protocol: High-level View**

Per TCP-connection state



#### TCP Snoop: Downlink traffic case

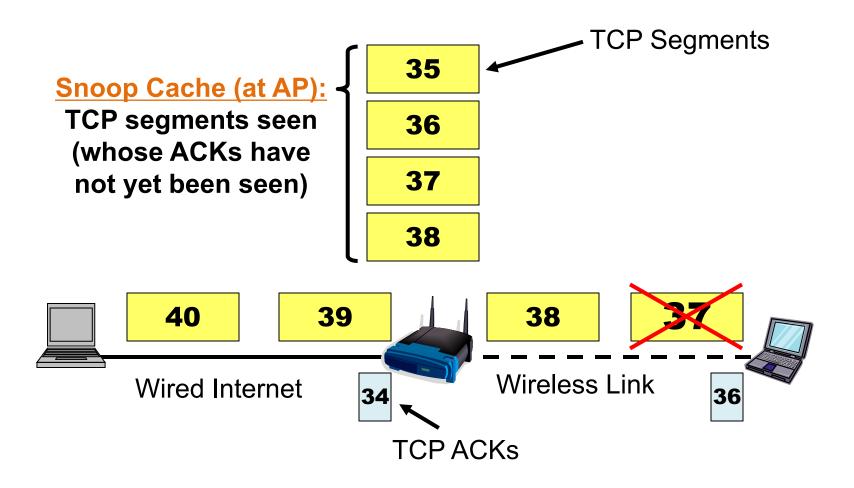


- AP buffers downlink TCP segments
  - Until it receives corresponding ACK from mobile
- AP snoops on uplink TCP ACKs
  - Detects downlink wireless TCP segment loss via duplicate ACKs or time-out

#### TCP Snoop Goal: Recover wireless downlink loss

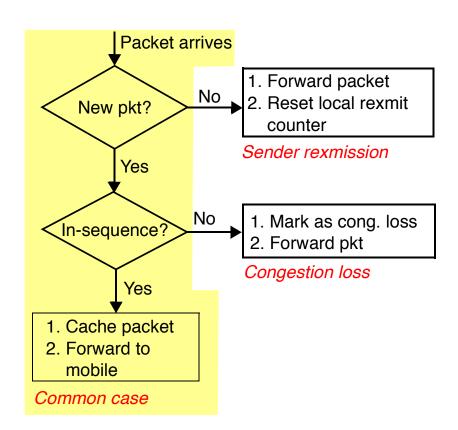
- When AP detects a lost TCP segment:
  - Locally, quickly retransmit that segment over the wireless link
  - Minimize duplicate ACKs flowing back to server

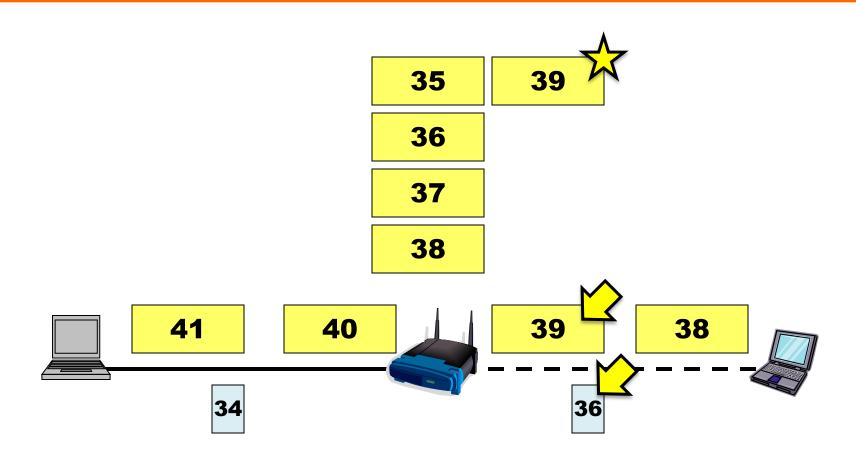
- Goal: Content server unaware of wireless loss and retransmission
  - No reduction in cwnd



## Downlink traffic operation, at Snoop AP

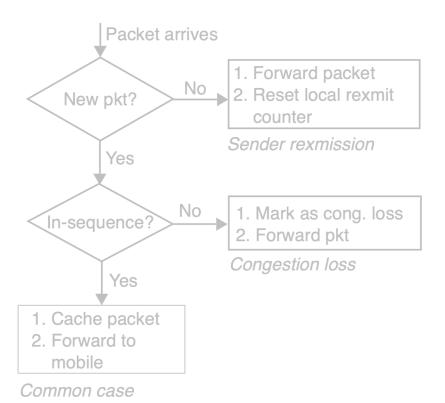
#### **Downlink TCP segments:**



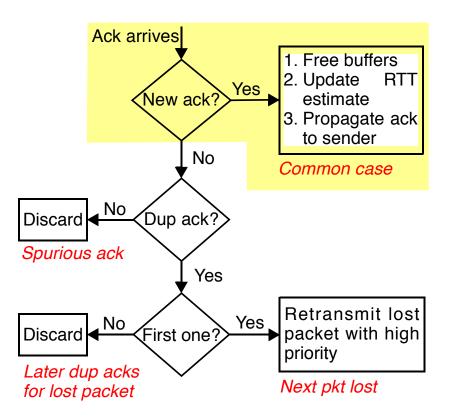


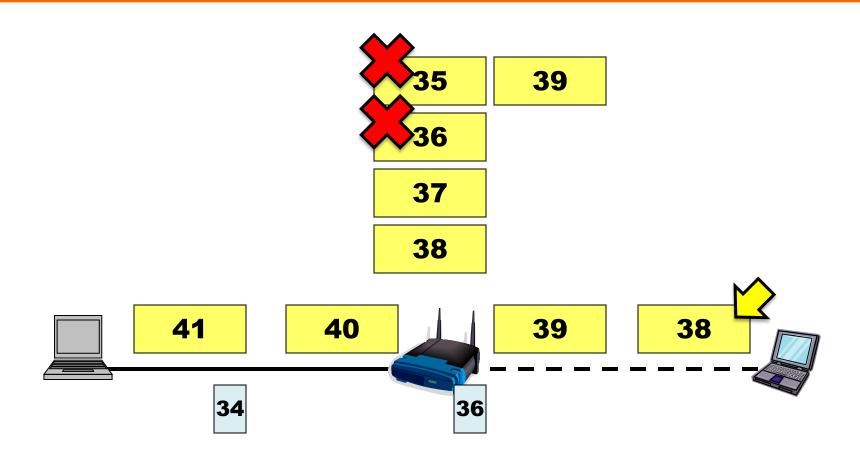
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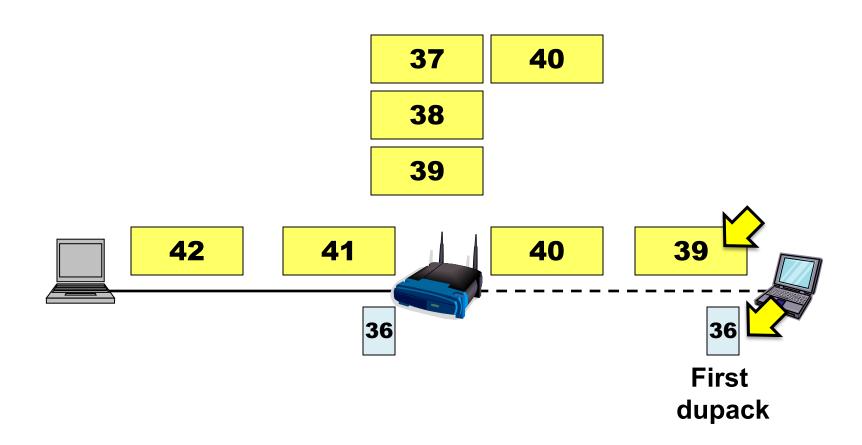
#### **Downlink TCP segments:**



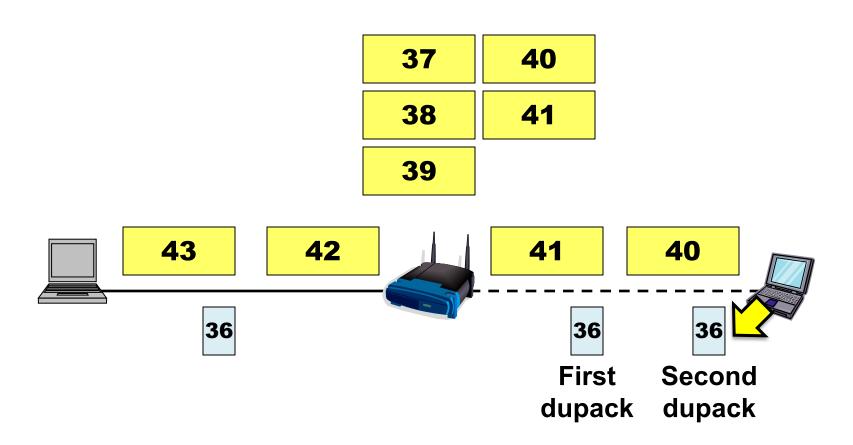
#### **Uplink TCP ACKs:**





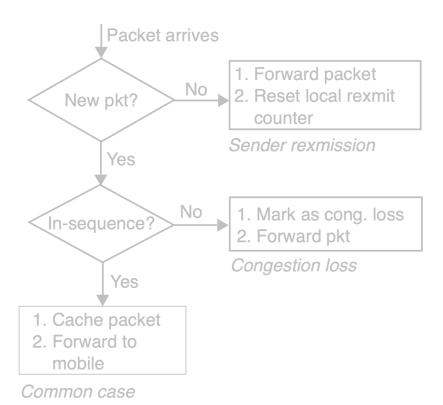


TCP receiver does not delay duplicate ACKs (dupacks)

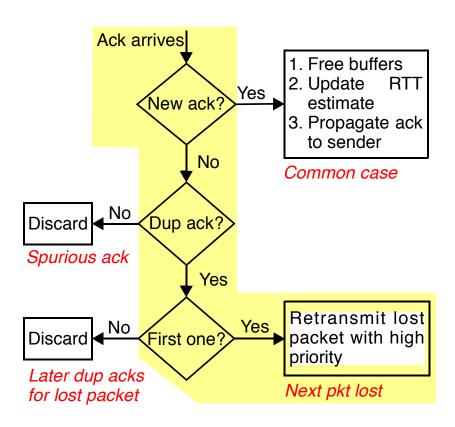


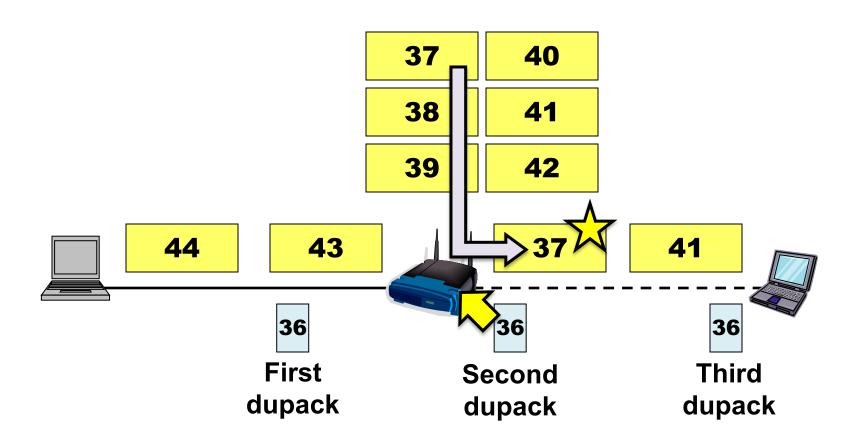
## Downlink traffic operation, at Snoop AP

#### **Downlink TCP segments:**



#### **Uplink TCP ACKs:**

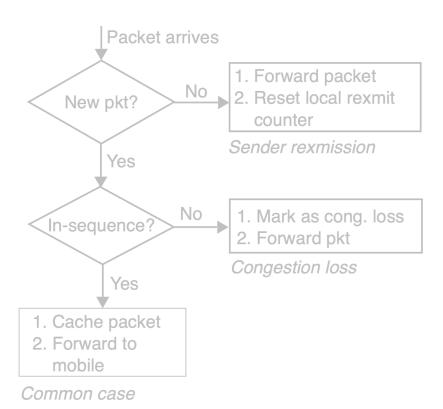




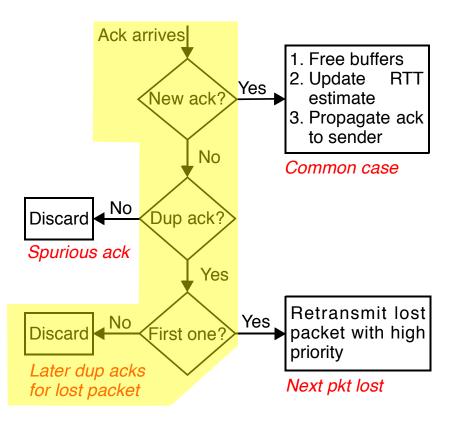
Dupack triggers retransmission of packet 37 from AP

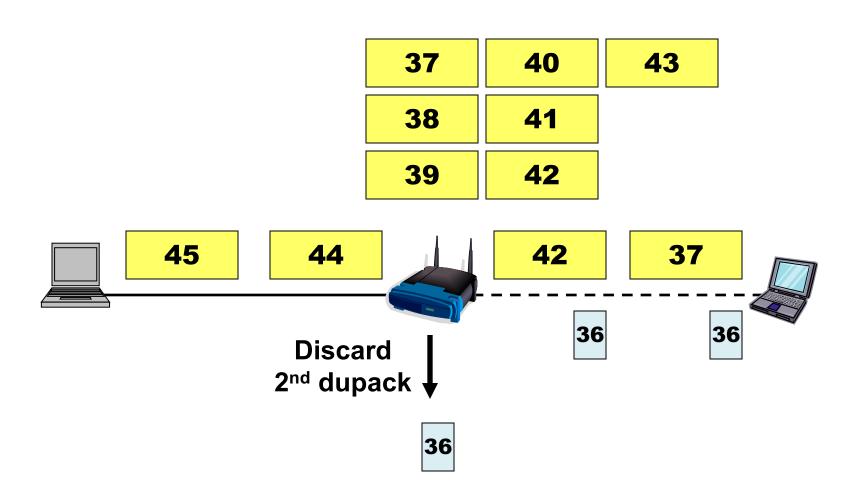
## Downlink traffic operation, at Snoop AP

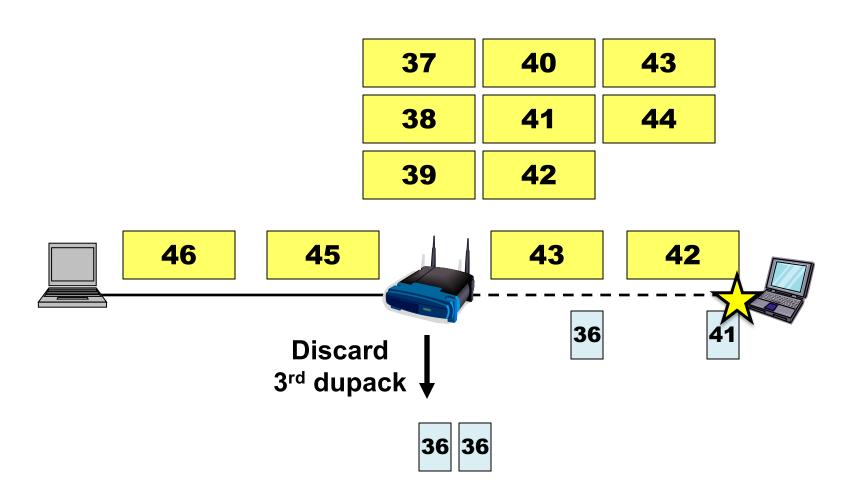
#### **Downlink TCP segments:**

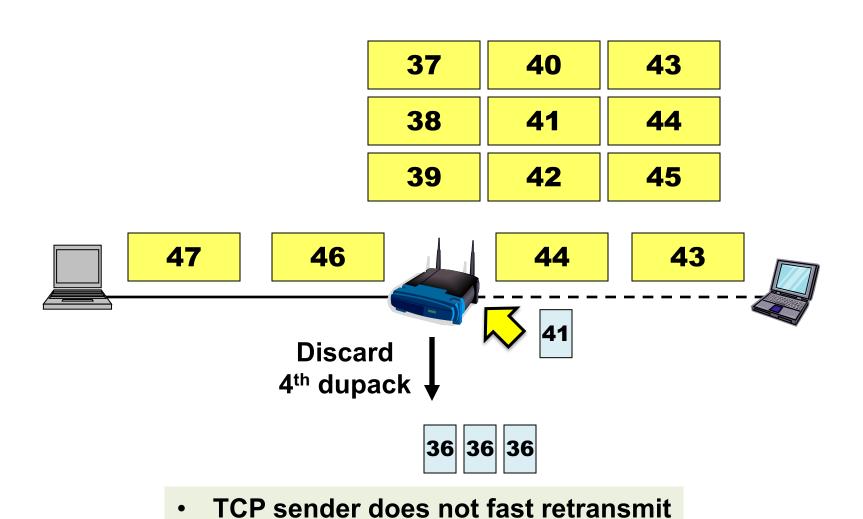


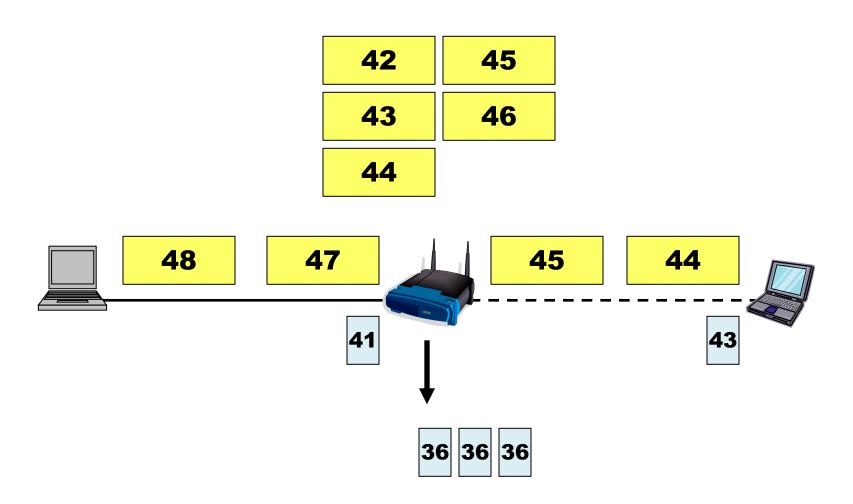
#### **Uplink TCP ACKs:**



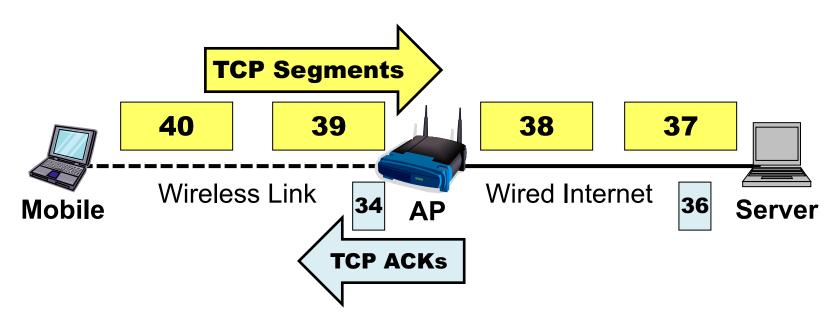








#### **Uplink traffic case**



- Less-common case but becoming more prevalent
- Buffer & retransmit TCP segments at AP? Not likely useful
- Run Snoop agent on the Mobile? Not likely useful

#### **Snoop TCP: Advantages**

- Works without modification to mobile or server
- Preserves end-to-end semantics. Crash does not affect correctness, only performance.
- After an AP handoff: New AP needn't Snoop TCP
  - Can automatically fall back to regular TCP operation
  - No state need be migrated (but if done, can improve performance)
  - Note such "state" is called soft state
    - Good if available, but correct functionality otherwise

#### **Two Broad Approaches**

- 1. Mask wireless losses from TCP sender
  - Then TCP sender will not reduce congestion window
  - Split Connection Approach
  - TCP Snoop

2. Explicitly notify TCP sender about cause of packet loss

## **Explicit Loss Notification (ELN)**

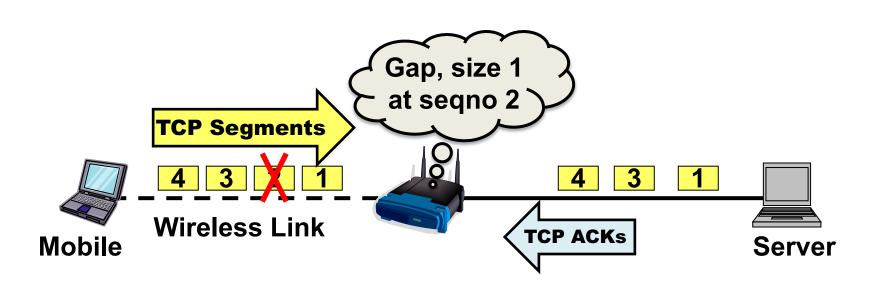
 Notify the TCP sender that a wireless link (not congestion) caused a certain packet loss

Upon notification, TCP sender retransmits packet, but doesn't reduce congestion window

- Many design options:
  - Who sends notification? How is notification sent? How is notification interpreted at sender?
    - We'll discuss one example approach

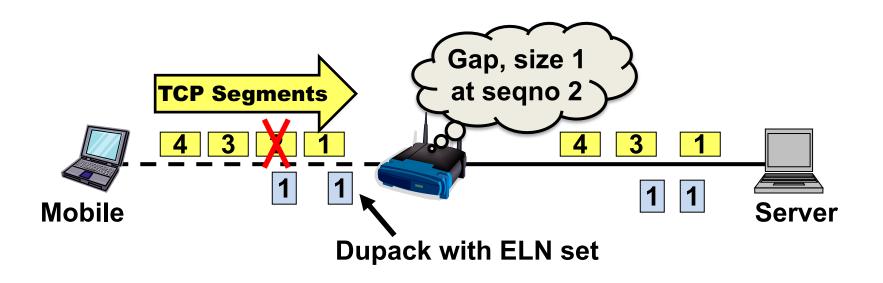
## **ELN** for uplink TCP traffic

 AP keeps track of gaps in the TCP packet sequence received from the mobile sender



#### **ELN for uplink TCP traffic**

- When AP sees a dupack:
  - AP compares dupack seqno with its recorded gaps
    - If match: AP sets ELN bit in dupack and forwards it
- When mobile receives dupack with ELN bit set:
  - Resends packet, but doesn't reduce congestion window



# Thursday Topic: Link Layer I: Time, Frequency, and Code Division

Precepts Next Week: Introduction to Lab 1