

### Symbol table ADT

A symbol table is an ADT whose values are sets of key-value pairs, with keys all different.

### Basic symbol-table operations

- Associate a given key with a given value.
  [If the key is *not* in the table, add it to the table.]
  [If the key *is* in the table, change its value.]
- Return the value associated with a given key.
- Test if a given key is in the table.
- Iterate though the keys.

### Useful additional assumptions

- Keys are comparable and iteration is in order.
- No limit on number of key-value pairs.
- All keys not in the table associate with null.





### Benchmark example of symbol-table operations

Application. Count frequency of occurrence of strings in StdIn.

Keys. Strings from a sequence.

### Values. Integers.

key	it		wa	s	the	•	bes	t	of		time	s	it		was		the		wor	st
value	1		1		1		1		1		1		2		2		2		1	
	it	1	it	1	it	1	best	1	best	1	best	1	best	1	best	1	best	1	best	1
			was	1	the	1	it	1	of	1	of	1	of	1	of	1	of	1	of	1
symbol-table					was	1	the	1	it	1	it	1	it	2	it	2	it	2	it	2
contents after							was	1	the	1	the	1	the	1	\ the	1	the	2	the	2
operation									was	1	times	1	times	1	times	1	times	1	times	1
											was	1	was	1	was	2	was	2	was	2
																	/		worst	: 1
																W han e va				

### Parameterized API for symbol tables

Goal. Simple, safe, and clear client code for symbol tables holding any type of data.

### Java approach: Parameterized data types (generics)

- Use placeholder type names for both keys and values.
- Substitute concrete types for placeholder in clients.

		"implements compareTo()"
	public class ST <key comparable<="" extends="" th=""><th>Key&gt;, Value&gt;</th></key>	Key>, Value>
	ST <key, value="">()</key,>	create a symbol table
Complex Little	<pre>void put(Key key, Value val)</pre>	associate key with val
Symbol Table API	Value get(Key key)	return value associated with key, null if none
	boolean contains(Key key)	is there a value associated with key?
	<pre>Iterable<key> keys()</key></pre>	all the keys in the table

### Aside: Iteration (client code)

Q. How to print the contents of a stack/queue	2?
A. Use Java's <i>foreach</i> construct.	Java foreach construct
<ul> <li>Enhanced for loop.</li> <li>Useful for any collection.</li> <li>Iterate through each item in the collection.</li> <li>Order determined by implementation.</li> <li>Substantially simplifies client code.</li> </ul>	<pre>Stack<string> stack = new Stack<string>(); for (String s : stack)     StdOut.println(s); public class Stack<item (implements="" iterable<item)<="" pre=""></item></string></string></pre>
• Works when API "implements Iterable".	Stack <item>() create a stack of objects, all of type Item</item>
	void push(Item item) add item to stack
	Item pop() remove and return item most recently pushed
	<pre>boolean isEmpty() is the stack empty?</pre>
	<pre>int size() # of items on the stack</pre>
Performance specification. Constant-time per	item.

### Aside: Iteration (implementation)

Q. How to	"implement	Iterable"?
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A. We did it for Stack and Queue, so you don't have to.

public class Stack <item< th=""><th>implements Iterable<item></item></th></item<>	implements Iterable <item></item>
<pre>Stack<item>()</item></pre>	create a stack of objects, all of type Item
<pre>void push(Item item)</pre>	add item to stack
<pre>Item pop()</pre>	remove and return item most recently pushed
<pre>boolean isEmpty()</pre>	is the stack empty?
int size()	# of objects on the stack

A. Implement an Iterator (see text)



Meets performance specification. Constant-time per entry.

Bottom line. Use iteration in client code that uses collections.

### Natural for many applications

- Numeric types.
- Strings.
- Date and time.
- Client-supplied types (color, length).

### Enables useful API extensions

- Provide the keys in sorted order.
- Find the *k*th smallest key.

### Enables efficient implementations

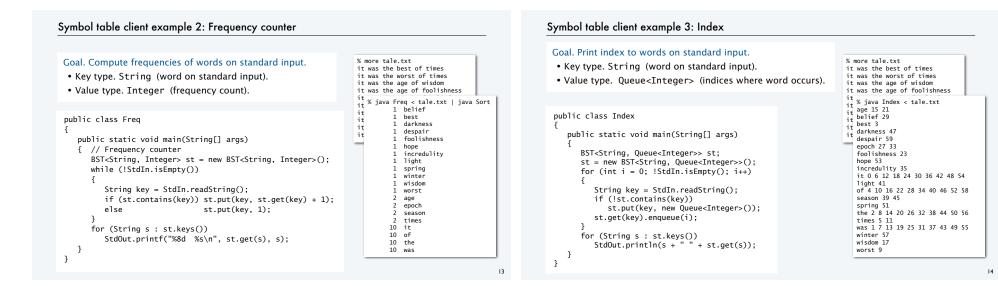
- Mergesort.
- Binary search.
- BSTs (this lecture).



### Symbol table client example 1: Sort (with dedup)

Goal. Sort lines on standard input (and remove duplicates). • Key type. String (line on standard input). • Value type. (ignored).	<pre>% more tale.txt it was the best of times it was the worst of times it was the age of wisdom it was the age of foolishness it was the epoch of belief</pre>
	it was the epoch of incredulity it was the season of light it was the season of darkness it was the spring of hope it was the winter of despair
<pre>public class Sort {     public static void main(String[] args)     { // Sort lines on StdIn         BST<string, integer=""> st = new BST<string, integer="">();         while (StdIn.hasNextLine())             st.put(StdIn.readLine(), 0);         for (String s : st.keys())             StdOut.println(s); \ </string,></string,></pre>	<pre>% java Sort &lt; tale.txt it was the age of foolishness it was the age of wisdom it was the best of times it was the epoch of belief it was the epoch of incredulity it was the season of darkness it was the season of light it was the spring of hope it was the winter of despair</pre>
} \foreach } construct	it was the worst of times

ш



15

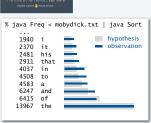
### Symbol-table applications

Symbol tables	application	key	value
are ubiquitous	contacts	name	phone number, address
in today's	credit card	account number	transaction details
computational infrastructure.	file share	name of song	computer ID
innastructure.	dictionary	word	definition
	web search	keyword	list of web pages
	book index	word	list of page numbers
	cloud storage	file name	file contents
We're going to need	domain name service	domain name	IP address
a good symbol-table implementation!	reverse DNS	IP address	domain name
	compiler	variable name	value and type
	internet routing	destination	best route
	· //		
	1		





### Application. Linguistic analysis MOBY-DICK Zipf's law (for a natural language corpus) • Suppose most frequent word occurs about *t* times. • 2nd most frequent word occurs about *t*/2 times. • 3rd most frequent word occurs about t/3 times. • 4th most frequent word occurs about t/4 times. 1940 i 2370 it 2481 his 2911 that 4037 in Goal. Validate Zipf's law for real natural language data. % java Freq < data.txt | java Sort Required. Efficient symbol-table implementation.



### **Benchmark statistics**

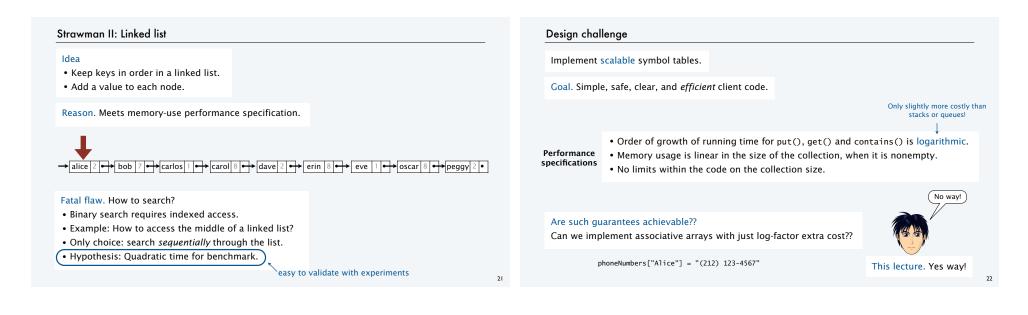
Goal. Valid	late Zipf's law for real	natural language data.		
Method.	% java Freq < data	.txt   java Sort		WORTSCHA UNIVERSITÄT LEIP
	file	description	words	distinct
	mobydick.txt	Melville's Moby Dick	210,028	16,834
	liepzig100k.txt	100K random sentences	2,121,054	144,256
	liepzig200k.txt	200K random sentences	4,238,435	215,515
	liepzig1m.txt	1M random sentences	21,191,455	534,580
			/ortschatz corpus, l /corpora.informati	

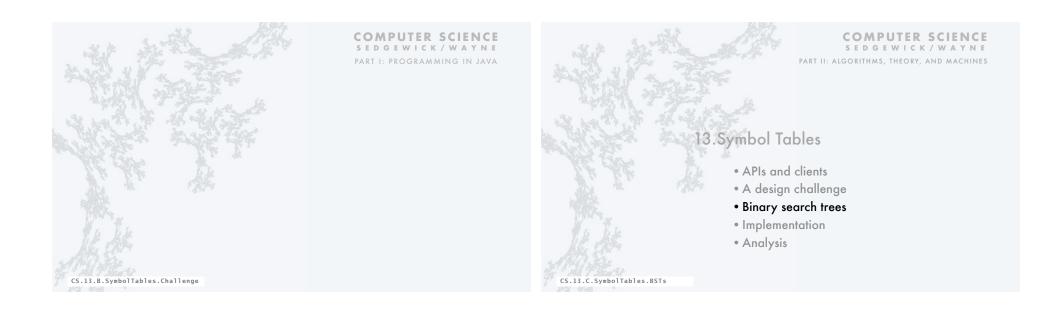
Required. Efficient symbol-table implementation.

### Strawman I: Ordered array

Idea	keys	values	keys	values
• Keep keys in order in an array.	alice	121	alice	121
• Keep values in a parallel array.	bob	873	bob	873
	carlos	884	carlos	884
Reasons (see "Sorting and Searching" lecture)	carol	712	carol	712
• Takes advantage of fast sort (mergesort).	dave	585	craig	999
• Enables fast search (binary search).	erin	247	dave	585
	eve	577	erin	247
Known challenge. How big to make the arrays?	oscar	675	eve	577
	peggy	895	oscar	675
	trent	557	peggy	895
Fatal flaw. How to insert a new key?	trudy	926	trent	557
To keep key array in order, need to move	walter	51	trudy	926
larger entries à la insertion sort.	wendy	152	walter	51
Hypothesis: Quadratic time for benchmark.			wendy	152

20



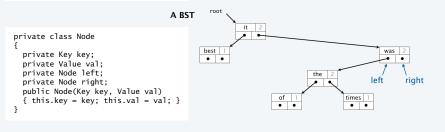


# Doubly-linked data structuresWith two links ( )) a wide variety of data structures are possible.Doubly-linked listImage: Colspan="2">Tree (this lecture)Doubly-linked circular listImage: Colspan="2">Ceneral caseImage: Colspan="2">General caseImage: Colspan="2">Form the point of view of a particular object, all of these structures look the same.

### A doubly-linked data structure: binary search tree

### Binary search tree (BST)

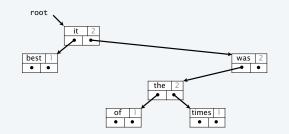
- A recursive data structure containing distinct comparable keys that is ordered.
- Def. A BST is a null or a reference to a BST node (the root).
- Def. A *BST node* is a data type that contains references to a key, a value, and two BSTs, a *left* subtree and a *right* subtree.
- Ordered. All keys in the *left* subtree of each node are *smaller* than its key and all keys in the *right* subtree of each node are *larger* than its key.



### BST processing code

### Standard operations for processing data structured as a binary search tree

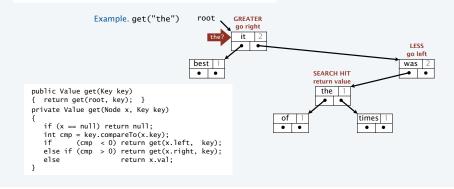
- Search for the value associated with a given key.
- Add a new key-value pair.
- Traverse the BST (visit every node, in order of the keys).
- Remove a given key and associated value (not addressed in this lecture).



### BST processing code: Search

Goal. Find the value associated with a given key in a BST.

- If *less* than the key at the current node, go *left*.
- If greater than the key at the current node, go right.

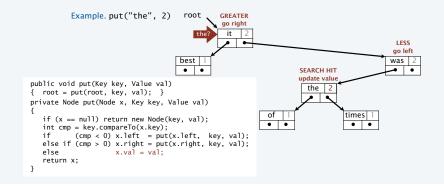


25

### BST processing code: Associate a new value with a key

Goal. Associate a new value with a given key in a BST.

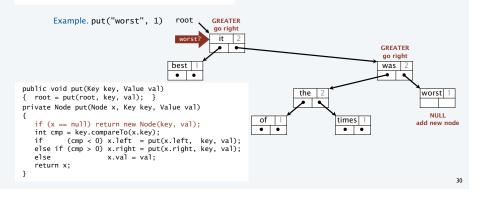
- If *less* than the key at the current node, go *left*.
- If greater than the key at the current node, go right.

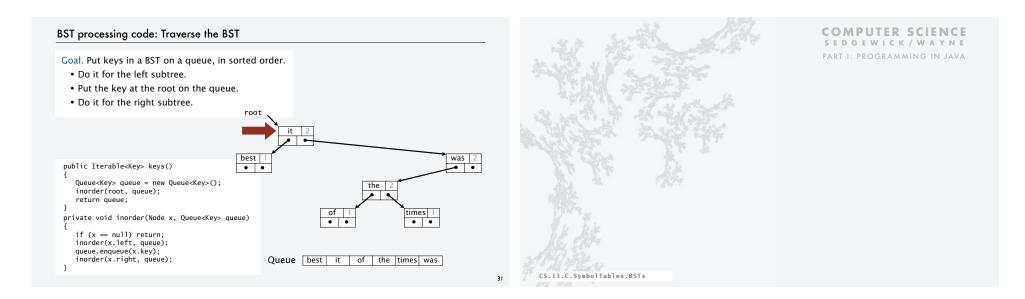


### BST processing code: Add a new key

Goal. Add a new key-value pair to a BST.

- Search for key.
- Return link to new node when null reached.





29



### ADT for symbol tables: review

A symbol table is an idealized model of an associative storage mechanism.

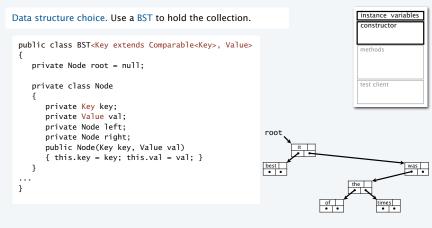
An ADT allows us to write Java programs that use and manipulate symbol tables.

	public class	ST <key comparable<="" extends="" th=""><th><key>, Value&gt;</key></th></key>	<key>, Value&gt;</key>
		ST <key, value="">()</key,>	create a symbol table
	void	<pre>put(Key key, Value val)</pre>	associate key with val
API	Value	get(Key key)	return value associated with key, null if none
	boolean	contains(Key key)	is there a value associated with key?
	Iterable <key></key>	keys()	all the keys in the table

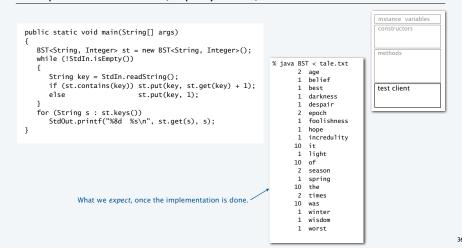
Performance specifications
Order of growth of running time for put(), get() and contains() is logarithmic.
Memory usage is linear in the size of the collection, when it is nonempty.
No limits within the code on the collection size.

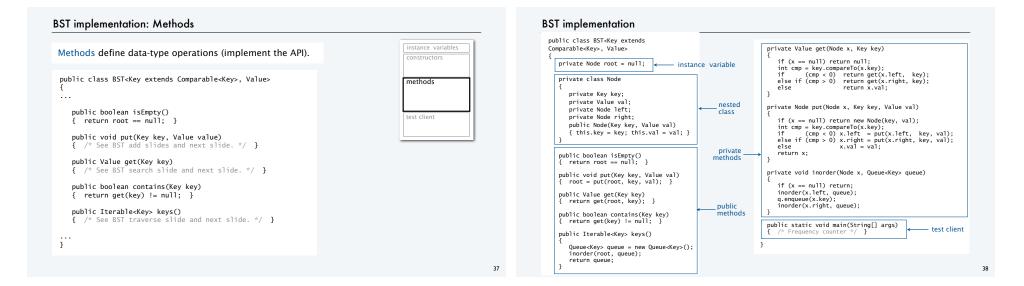
34

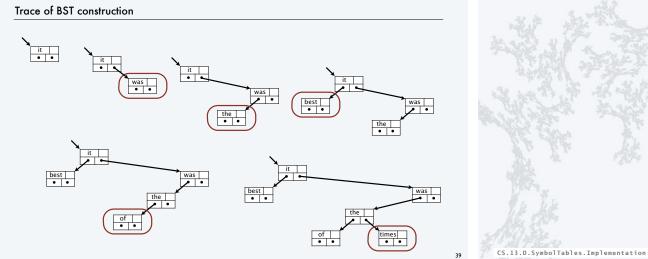
## Symbol table implementation: Instance variables and constructor



### BST implementation: Test client (frequency counter)





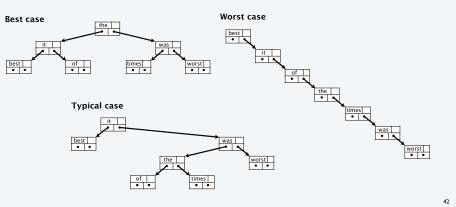


COMPUTER SCIENCE SEDGEWICK/WAYNE PART I: PROGRAMMING IN JAVA



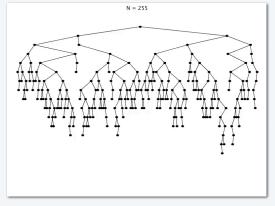
### BST analysis

Costs depend on order of key insertion.



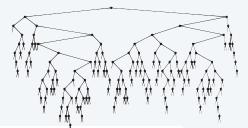
# Insert keys in random order. • Tree is roughly balanced. • Tends to stay that way!

BST insertion: random order visualization



### BST analysis

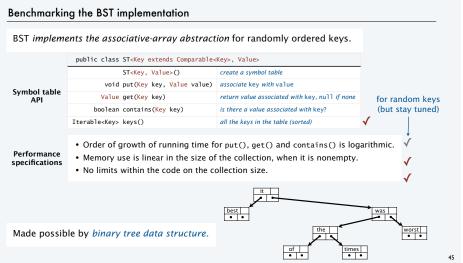
- Model. Insert keys in random order.
- Tree is roughly balanced.
- Tends to stay that way!



Proposition. Building a BST by inserting N randomly ordered keys into an initially empty tree uses ~2 N ln N (about 1.39 N lg N) compares.

Proof. A very interesting exercise in discrete math.





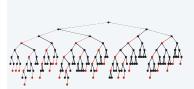
Count number of words that appear more than	Ν	T <sub>N</sub> (seconds)	$T_N/T_{N/2}$	% java Generator 1000000 263934 (5 seconds) % java Generator 2000000
once in StdIn.	1 million	5		593973 (9 seconds) % java Generator 4000000
1	2 million	9	1.8	908795 (17 seconds) % java Generator 8000000
Frequency count	4 million	17	1.9	996961 (34 seconds) % java Generator 16000000
without the output	8 million	34	2	9999997 (72 seconds)
(DupsBST.java)	16 million	72	2.1	= 6 0123456789   java DupsBST
				6-digit integers
	1 BILLION	4608	2	
				WORTSCHATZ
Confirms hypothesis that ord	ler of arowth is	N log N		UNIVERSITÄT LEIPZIG
,	-	1		Easy to process 21M word corpu NOT possible with brute-force
	v	VILL scale		NOT possible with brate-force

### Performance guarantees

- Practical problem. Keys may not be randomly ordered.
- BST may become unbalanced.
- Running time may be quadratic.
- Happens in practice (insert keys in order).

Remarkable resolution.

- *Balanced tree* algorithms perform simple transformations that guarantee balance.
- AVL trees (Adelson-Velskii and Landis, 1962) proved concept.
- Red-black trees (Guibas and Sedgewick, 1979) are implemented in many modern systems.

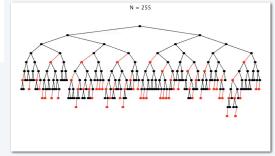


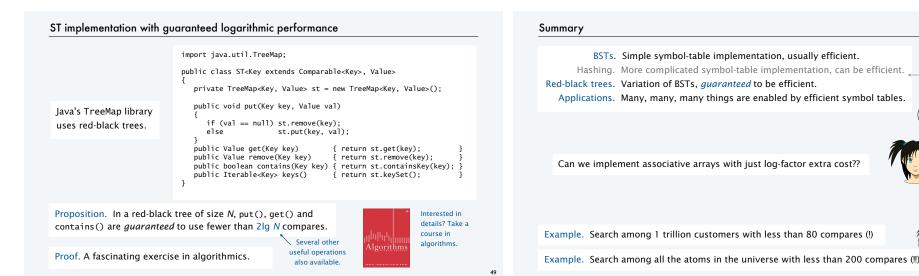
### Red-black tree insertion: random order visualization

Insert keys in random order.

Empirical tests of BSTs

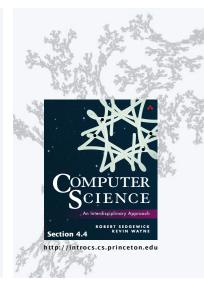
- Same # of black links on every path from root to leaf.
- No two red links in a row.
- Tree is nearly balanced.
- Guaranteed to stay that way!







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COMPUTER SCIENCE SEDGEWICK/WAYNE PART II: ALGORITHMS, THEORY, AND MACHINES

does not support ordered

operations

Whoa. Awesome!

50

YES!

# 13. Symbol Tables