

<http://introc.cs.princeton.edu>

## 4.1 PERFORMANCE

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- ▶ *empirical analysis*
- ▶ *mathematical analysis*

# Performance

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**Goal.** Estimate running time (or memory) as a function of input size  $n$ .

**Q.** Aren't computers fast enough that it doesn't matter.

**A.** No. Program could take 1 second or 50 years. You need to know which.

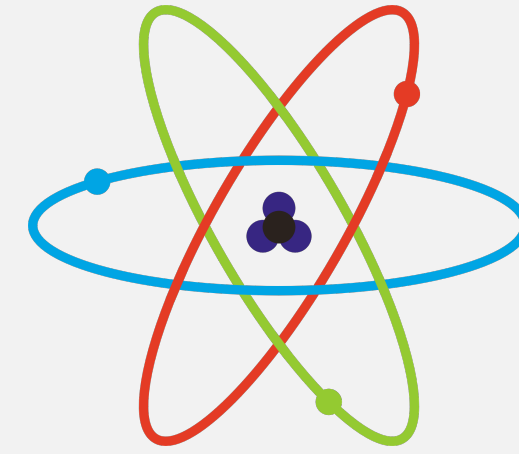


# Empirical vs. mathematical analysis

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## Empirical analysis.

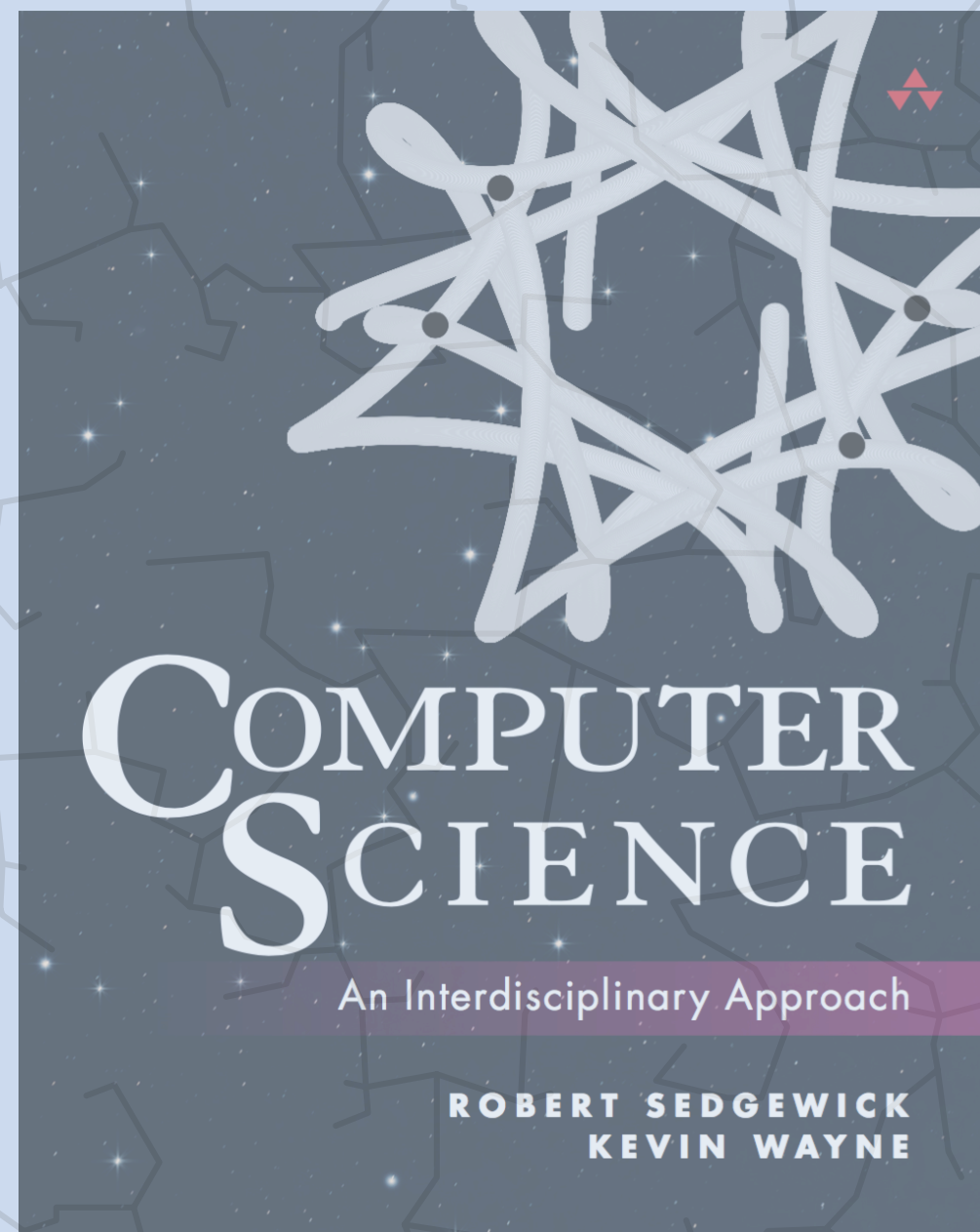
- Execute program to perform experiments.
- Formulate a hypotheses for running time.
- Model enables us to **make predictions**.



## Mathematical analysis.

- Analyze code to count core operations.
- Simplify by discarding lower-order terms.
- Model enables us to **explain behavior**.

$$1 + 2 + \dots + n = \frac{1}{2}n(n + 1)$$
$$\sim \frac{1}{2}n^2$$



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## 4.1 PERFORMANCE

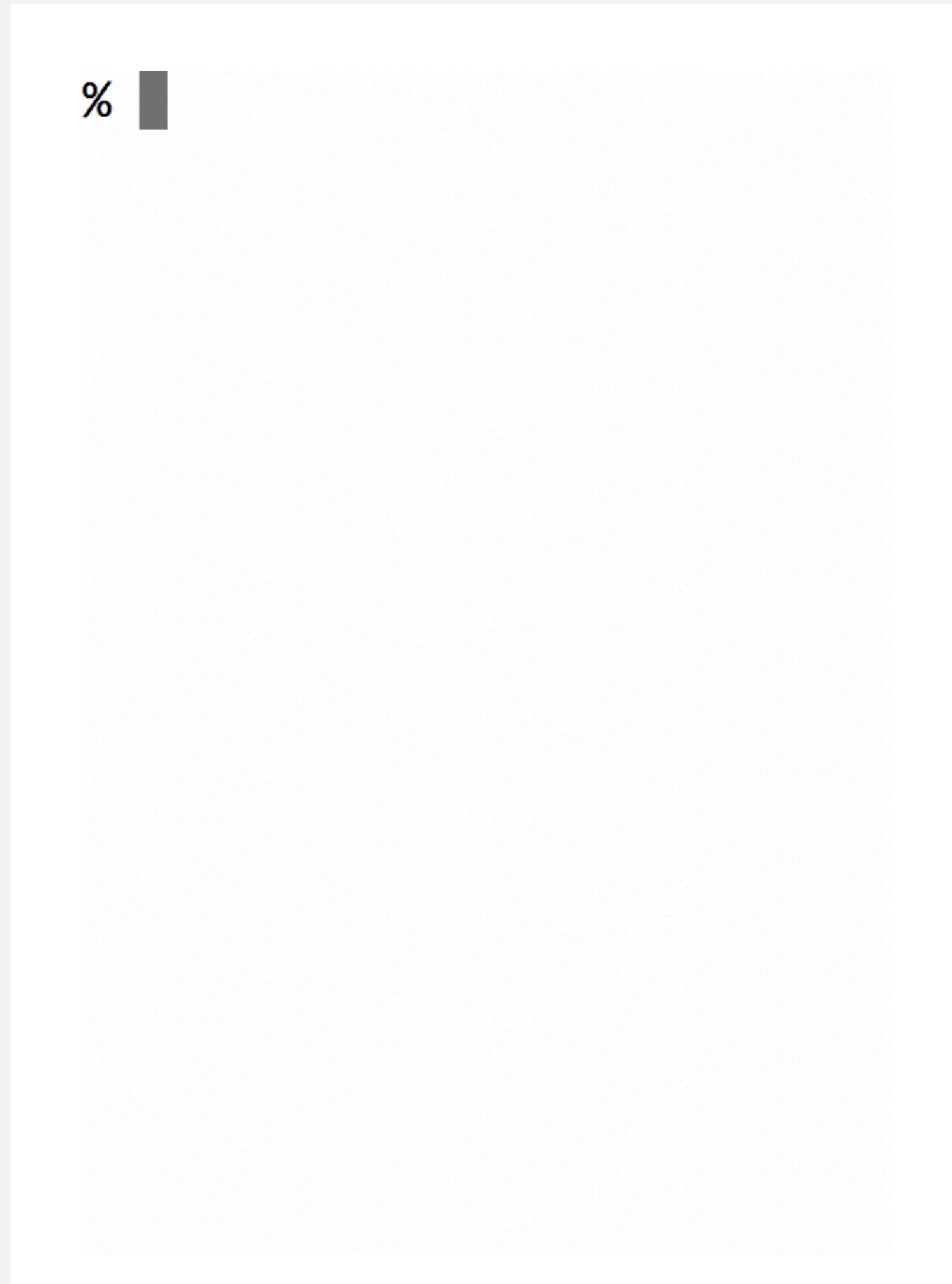
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- ▶ *empirical analysis*
- ▶ *mathematical analysis*

# Empirical analysis

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Run the program for various input sizes and measure running time.



# Empirical analysis

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**Measurements.** Run the program for various input sizes and measure running time.

n	time (seconds) †
250	0
500	0
1,000	0.1
2,000	0.8
4,000	6.4
8,000	51.1
16,000	?

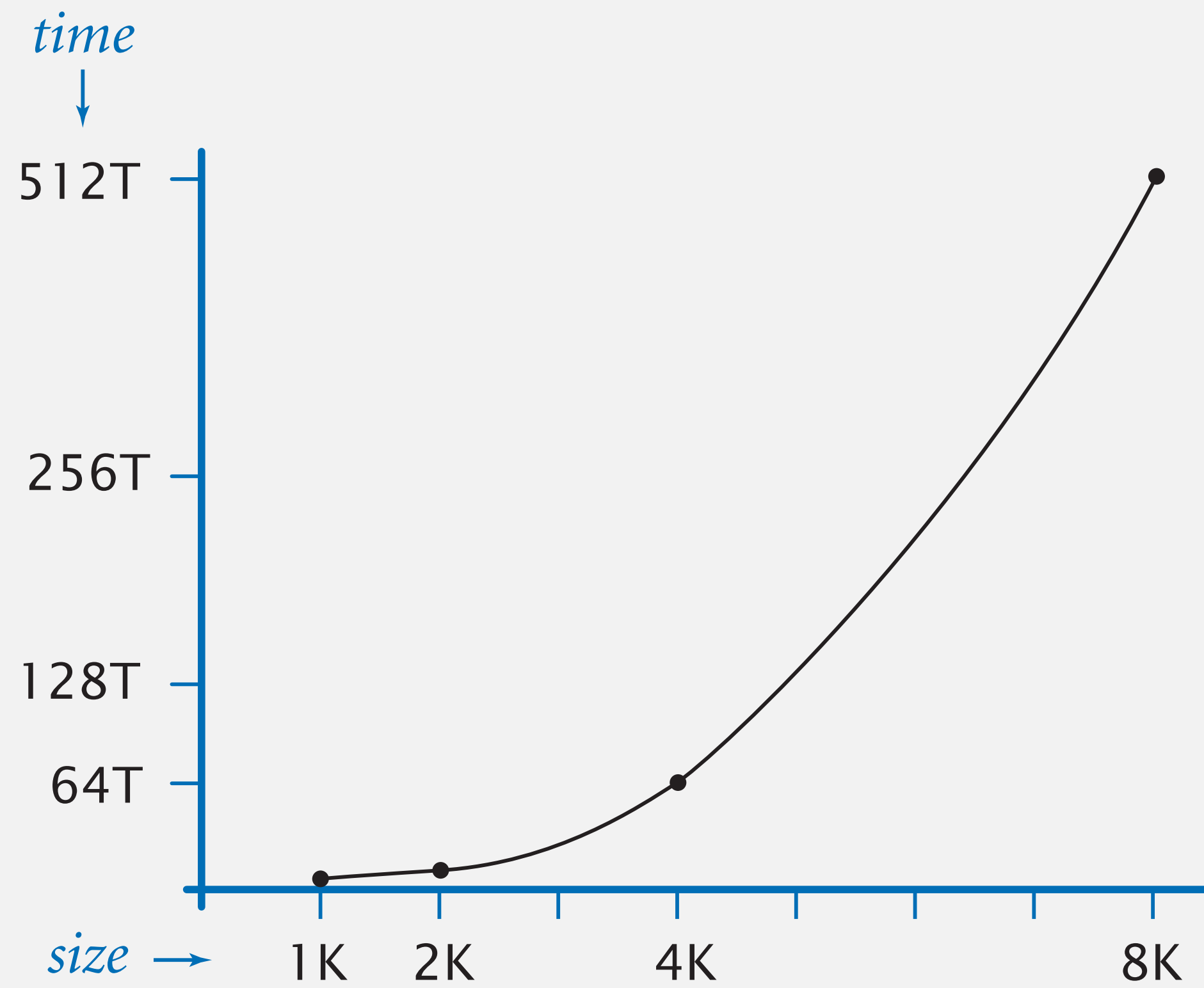
† on a 2.8GHz Intel PU-126 with 64GB DDR E3 memory and 32MB L3 cache;  
running OpenJDK 1.8.0\_71-b15 on Springdale Linux v. 7.2

**Tip.** To get cleaner data, use `-Xint` option: `java-introcs -Xint MyProgram`.

# Data analysis

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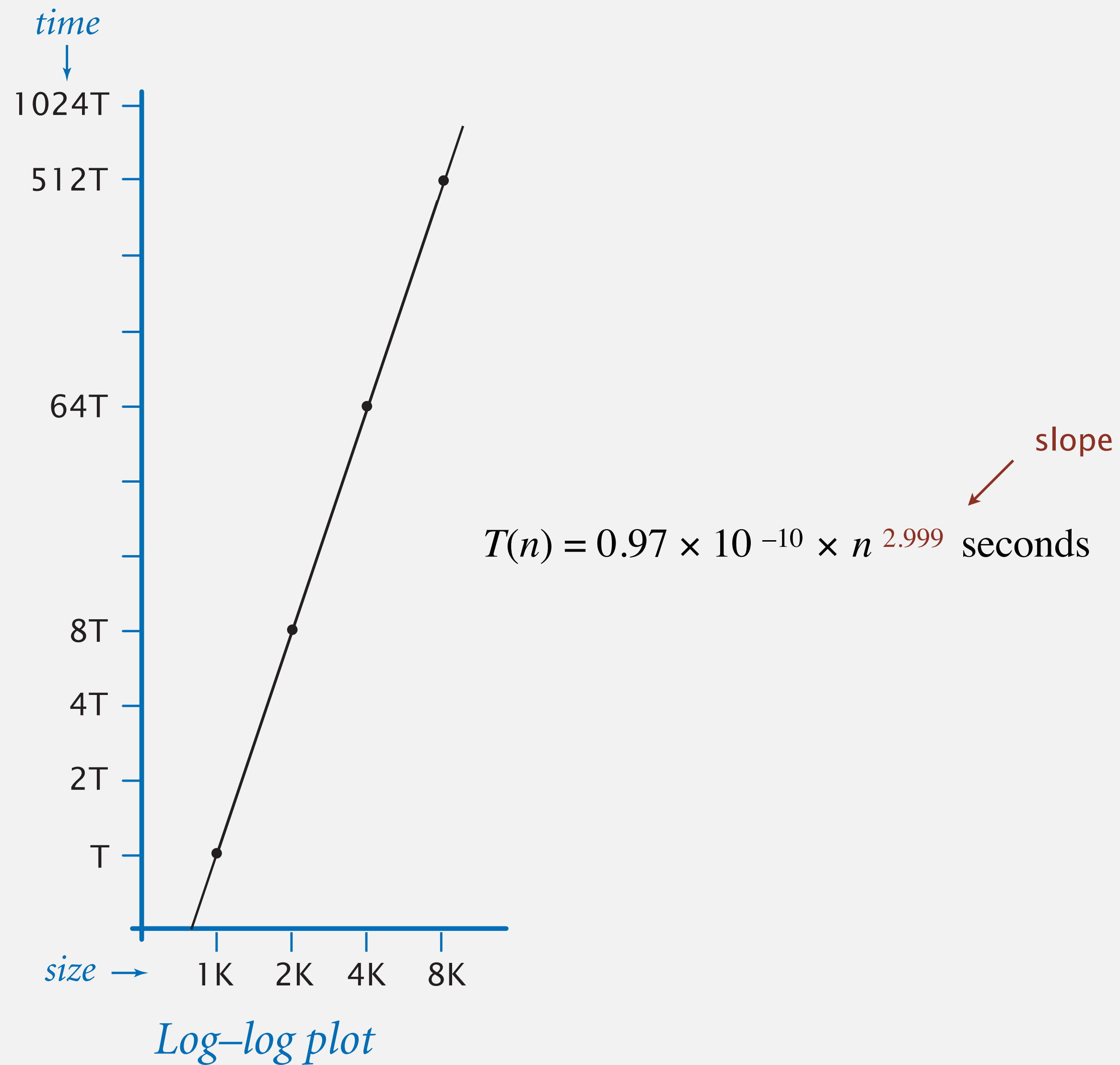
Approach 1. Plot running time  $T(n)$  vs. input size  $n$ .



*Standard plot*

# Data analysis

Approach 1. If running time obeys power law  $T(n) = a n^b$ , use log-log plot.





# Doubling hypothesis

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Approach 2. Run program, **doubling** the size of the input.

n	time (seconds) †	ratio	$\log_2(\text{ratio})$
250	0.003	–	–
500	0.015	5.0	2.3
1,000	0.10	6.7	2.7
2,000	0.77	7.7	2.9
4,000	6.14	8.0	3.0
8,000	49.1	8.0	3.0

$49.1 / 6.14 = 8.0$  →

←  $\log_2(49.1 / 6.14) = 3.0$

↑  
seems to converge to a constant  $b \approx 3$

**Hypothesis.** Running time is approximately  $T(n) = a n^b$  with  $b = \log_2(\text{ratio})$ .

# Doubling hypothesis

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Q. Why does  $\log_2(\text{ratio})$  give the exponent  $b$ ?

A. Assuming,  $T(n) = an^b$

$$\frac{T(2n)}{T(n)} = \frac{a(2n)^b}{an^b}$$

$$= \frac{2^b an^b}{an^b}$$

$$= 2^b$$

$$\implies \log_2 \frac{T(2n)}{T(n)} = b$$

# Doubling hypothesis

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Approach 2. Run program, **doubling** the size of the input.

Q. How to estimate  $a$  (assuming we know  $b$ ) ?

A. Run the program (for a sufficient large value of  $n$ ) and solve for  $a$ .

n	time (seconds) †
8,000	49.1
8,000	49
8,000	49.1

$$49.1 = a \times 8,000^3$$

$$\Rightarrow a = 0.96 \times 10^{-10}$$

Hypothesis. Running time is about  $0.96 \times 10^{-10} \times n^3$  seconds.

# Performance quiz 1

Estimate the running time to solve a problem of size  $n = 96,000$ .

- A. 39 seconds
- B. 52 seconds
- C. 117 seconds
- D. 350 seconds

n	time (seconds) †	ratio
1,000	0.02	–
2,000	0.05	2.5
4,000	0.20	4.0
8,000	0.81	4.1
16,000	3.25	4.0
32,000	13.01	4.0

$$T(n) = a n^2 \text{ seconds}$$

$$T(32,000) = 13 \text{ seconds}$$

$$T(3n) = a (3n)^2$$

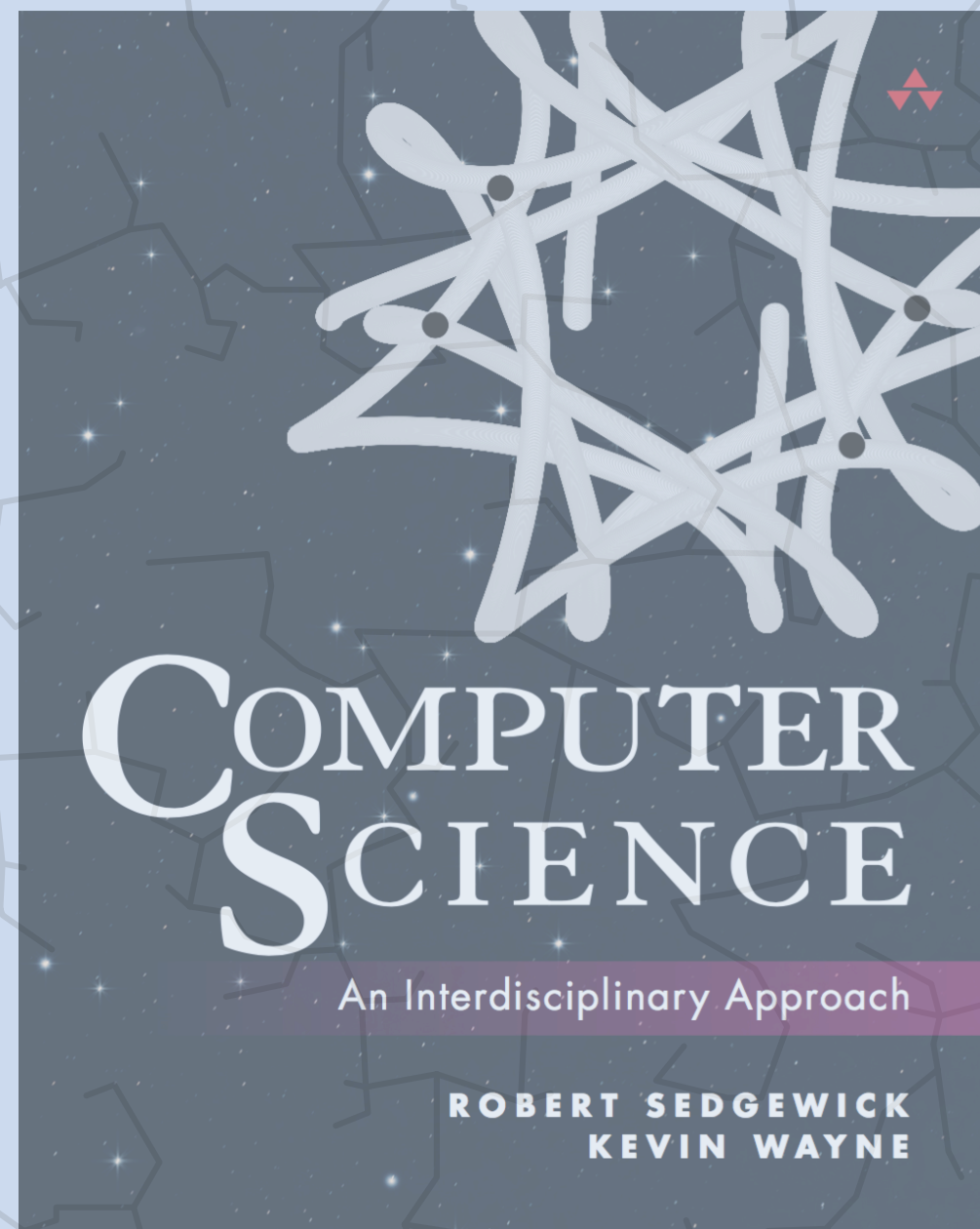
$$= 9 a n^2$$

$$= 9 T(n) \text{ seconds}$$

QuizSocket.com

4XFNCV|

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# Mathematical notations

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**Tilde notation.** Simplify functions by ignoring lower-order terms.

**Order-of-growth notation.** Simplify functions by ignoring both lower-order terms and coefficient of leading term.

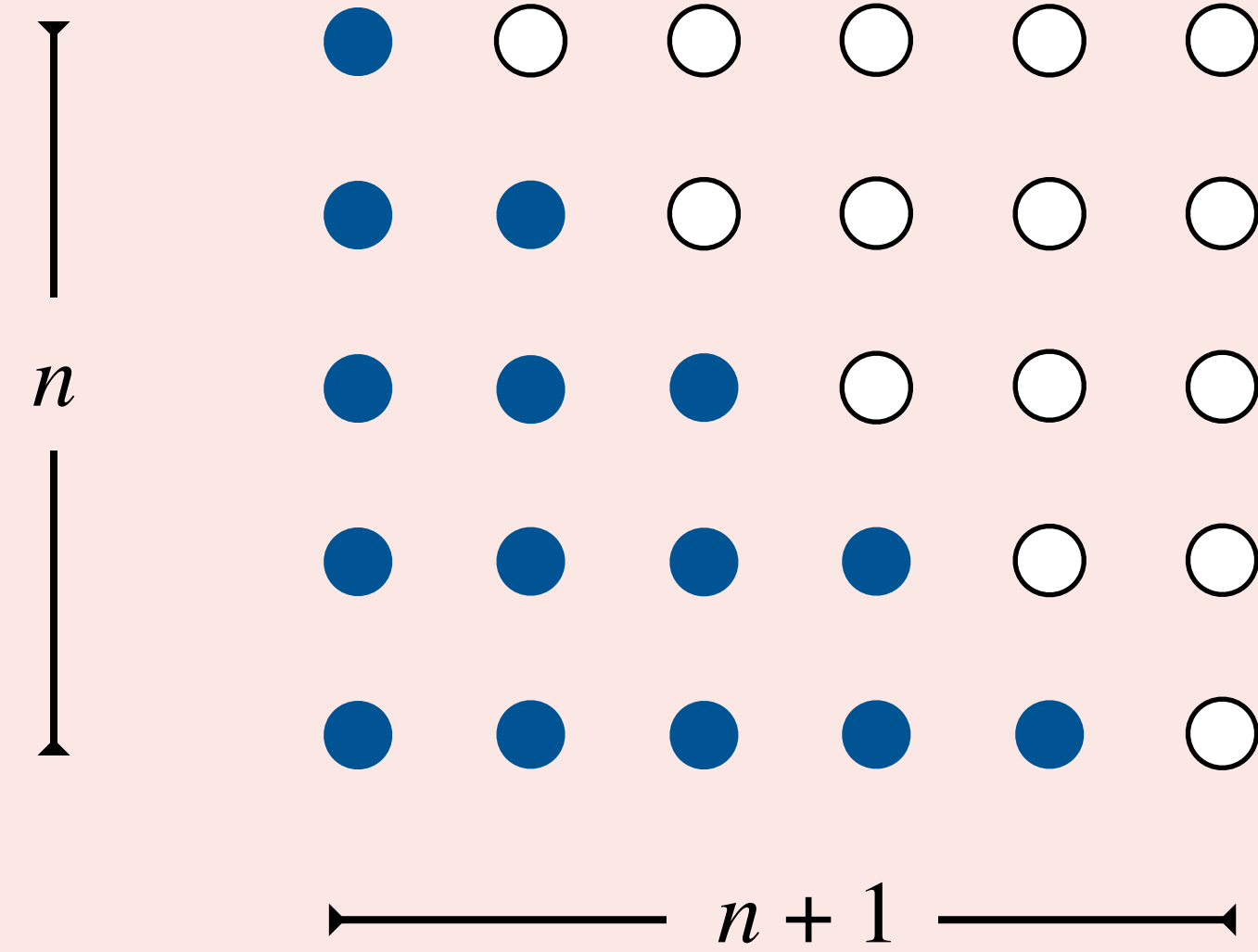
	function	tilde notation	order of growth
coefficient of leading term	$10n^3 + 50n^2 + 13$	$\sim 10n^3$	$n^3$
lower-order terms	$\frac{1}{2}n^2 + 6n + 72$	$\sim \frac{1}{2}n^2$	$n^2$
	$\frac{1}{2}n(n+1)$	$\sim \frac{1}{2}n^2$	$n^2$
	$(4n^2 + 26n) \cdot (3n^2 + 6n^{3/2} + \log_2 n)$	$\sim 12n^4$	$n^4$

## Performance quiz 2

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Which is the order of growth of the function  $1 + 2 + 3 + \dots + n$  ?

- A. 1
- B.  $n$
- C.  $n \log n$
- D.  $n^2$



# Constant order of growth

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Number of machine instruction (e.g., TOY instructions) is a constant.

```
int sum = a + b;
```

← variable declaration;  
integer addition;  
and assignment

```
int product = a * b;  
int quotient = a / b;  
int xor = a ^ b;
```

← constant number of  
constant-time operations  
is constant time

```
double root = Math.sqrt(x);  
double power = Math.pow(x, 0.5);  
double sine = Math.sin(x);  
double log = Math.log(x);
```

← constants for some operations  
are much larger than for others  
(and machine dependent)

**Bottom line.** Most primitive operations in Java take constant time.



# Linear order of growth

---

Number of machine instruction is proportional to  $n$ .

```
double sum = 0.0;
for (int i = 0; i < n; i++)
    sum += x[i] * y[i];
```

← prototypical linear-time loop

```
int[] a = new int[n];
```

← implicit linear-time loop

```
int n = s.length();
String t = s.substring(0, n-1);
String u = s + "\n";
```

← substring extraction and string concatenation take time linear in the length of resulting string

**Caveat.** Non-primitive operations can take more than constant time.

# Quadratic order of growth

---

Number of machine instruction is proportional  $n^2$ .

```
int count = 0;
for (int i = 0; i < n; i++)
    for (int j = 0; j < n; j++)
        if (a[i] == b[j])
            count++;
```

← prototypical quadratic-time loop

```
String s = "";
int n = a.length;
for (int i = 0; i < n; i++)
    s += a[i]; ← not constant time!
```

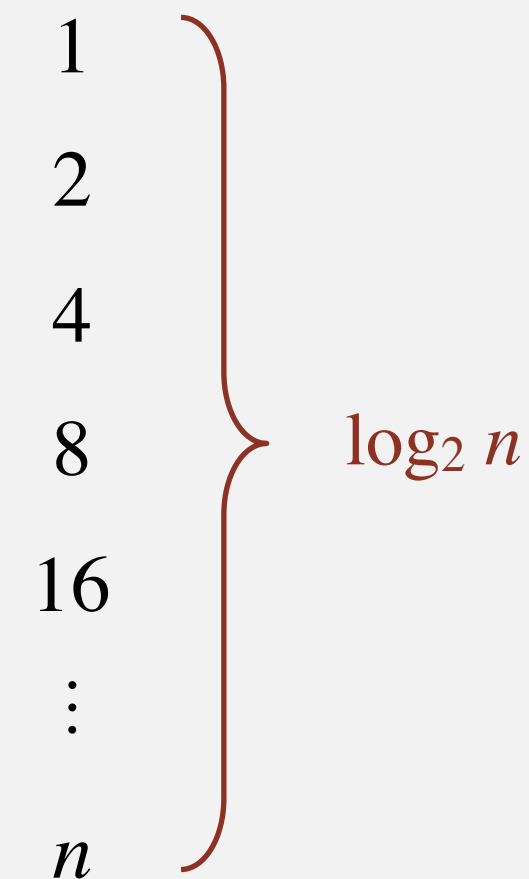
← performance gotcha:  
abusive string concatenation

# Logarithmic order of growth

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Number of machine instruction is proportional to  $\log_2 n$ .

```
for (int i = 1; i <= n; i = i*2) {  
    count++;  
}
```



**Note.** We use the notation  $\log n$  with order of growth (because the base is irrelevant).

- Binary logarithm:  $\lg n = \log_2 n$ .
- Natural logarithm:  $\ln n = \log_e n$ .

## Logarithmic order of growth

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Number of machine instruction is proportional to  $\log_2 n$ .



<http://www.20q.net>

**Note.** Generalizes `TwentyQuestions.java` from book.

## Performance quiz 3

---

Which is the order of growth of the following code fragment?

- A.  $n^2$
- B.  $n^2 \log n$
- C.  $n^3$
- D.  $n^3 \log n$

```
for (int i = 0; i < n; i++)  
    for (int j = 0; j < n; j++)  
        for (int k = 1; k <= n; k = k*2)  
            count++;
```

$$n \times n \times \log n$$

## Performance quiz 4

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Which is the order of growth of the following code fragment?

- A.  $n^2$
- B.  $n^3$
- C.  $n^5$
- D.  $n^7$

$$1 + n^2 + n^3 + n^2$$

```
int count = 0;
```

```
for (int i = 0; i < n; i++)  
    for (int j = 0; j < n; j++)  
        count++;
```

```
for (int i = 0; i < n; i++)  
    for (int j = 0; j < n; j++)  
        for (int k = 0; k < n; k++)  
            count++;
```

```
for (int i = 0; i < n; i++)  
    for (int j = 0; j < n; j++)  
        count++;
```