

Algorithms

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# 6.5 REDUCTIONS

- introduction
- designing algorithms
- establishing lower bounds
- classifying problems
- intractability

# Exercise

Imagine that founding father Alexander Hamilton\* has offered to find a **Hamiltonian cycle** in any given graph (if one exists).

Design an efficient algorithm to find a **Hamiltonian path** in a graph (if one exists) by making queries to Hamilton.



**Solution**. Given graph G = (V, E):

- Query Hamilton with  ${\it G}.$
- If cycle found, remove last vertex and return rest.
- For every nonexistent edge  $e \notin E$ :
- Query Hamilton with (V, E U {e})
- If cycle found, "rotate" it so that final two vertices are incident on e;
   remove final vertex and return the rest.
   The cycle must traverse e. Why?
- Return "no Hamiltonian path".

Why is this correct?

\*Hamiltonian cycle/path are named after William Rowan Hamilton.

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#### Reductions: overview

#### Main topics.

- · Reduction: relationship between two problems.
- · Algorithm design: paradigms for solving problems.

#### Shifting gears.

- · From individual problems to problem-solving models.
- From linear/quadratic to polynomial/exponential scale.
- From implementation details to conceptual frameworks.

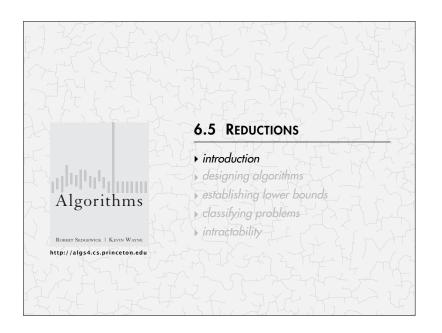


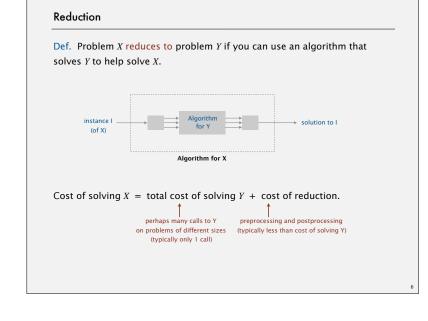
#### Goals.

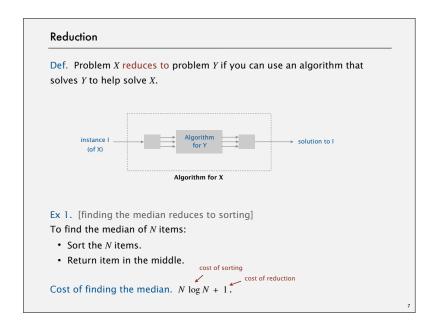
- Place algorithms and techniques we've studied in a larger context.
- · Introduce you to important and essential ideas.
- · Inspire you to learn more about algorithms!

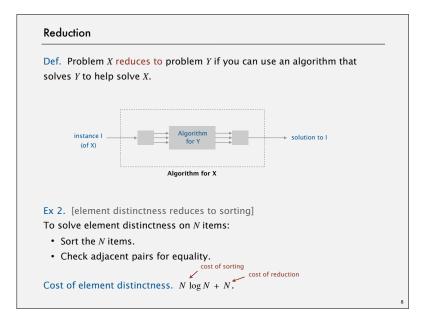
Reductions: practical tip

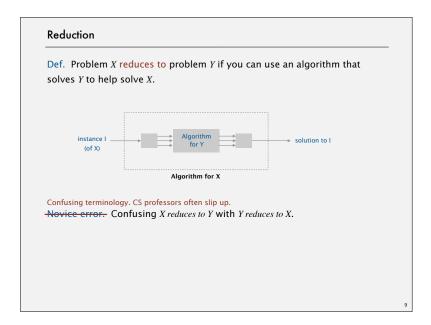
Reductions require ingenuity, but a few tricks recur. Practice them.

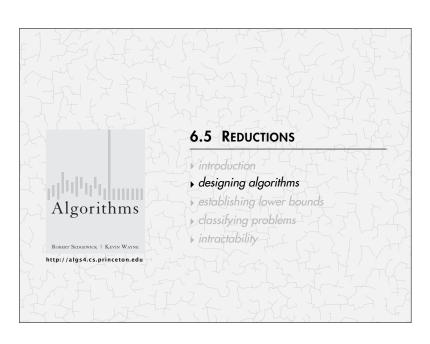












Which of the following reductions have we encountered in this course?

- MAX-FLOW reduces to MIN-CUT.

  need to find max st-flow and min st-cut
  (not simply compute the value)

  (not simply compute the value)
- A. I only.
- B. II only.
- C. Both I and II.
- D. Neither I nor II.
- E. I don't know.

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# Reduction: design algorithms

Def. Problem X reduces to problem Y if you can use an algorithm that solves Y to help solve X.

Design algorithm. Given an algorithm for *Y*, can also solve *X*.

#### More familiar reductions.

- · Mincut reduces to maxflow.
- · Arbitrage reduces to negative cycles.
- · Bipartite matching reduces to maxflow.
- · Seam carving reduces to shortest paths in a DAG.
- Burrows-Wheeler transform reduces to suffix sort.

•••

Reasoning. Since I know how to solve *Y*, can I use that algorithm to solve *X*?

programmer's version: I have code for Y. Can I use it for X?

# Convex hull reduces to sorting

Sorting. Given N distinct integers, rearrange them in ascending order.

Convex hull. Given *N* points in the plane, identify the extreme points of the convex hull (in counterclockwise order).



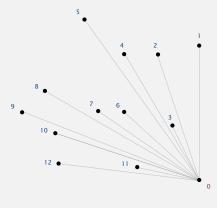
Proposition. Convex hull reduces to sorting.

Pf. Graham scan algorithm.

Cost of convex hull.  $N \log N + N$ . cost of reduction

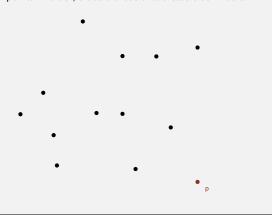
#### Graham scan demo

- Choose point *p* with smallest *y*-coordinate.
- Sort points by polar angle with p.
- Consider points in order; discard those that create clockwise turn.

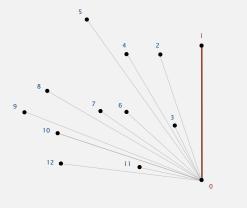


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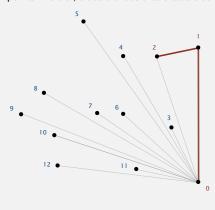
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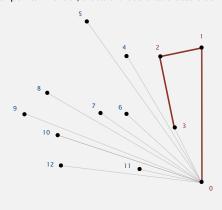


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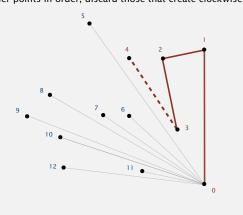
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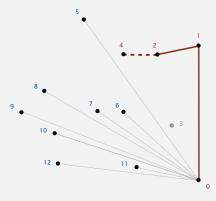


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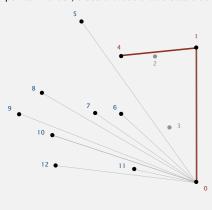
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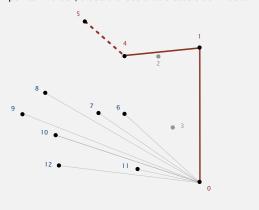


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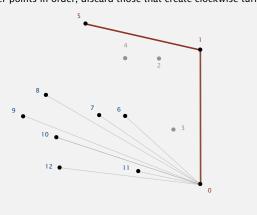
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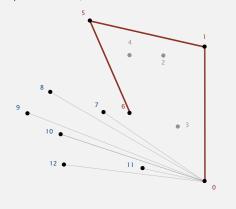


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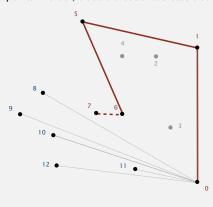
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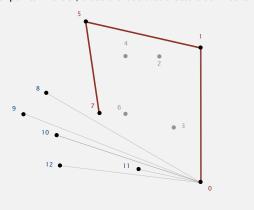


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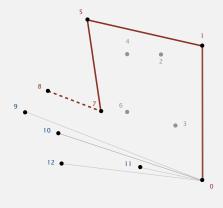
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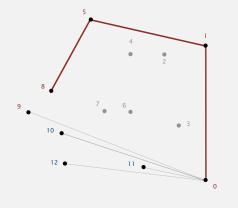


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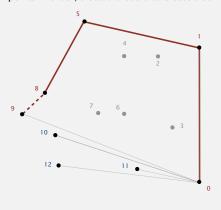
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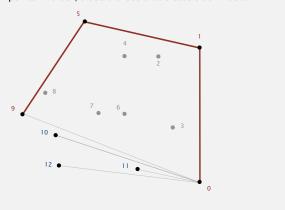


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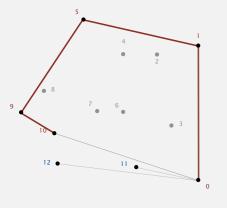
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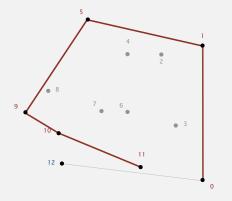


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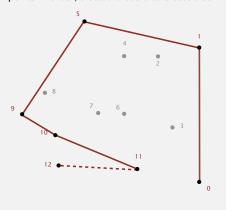
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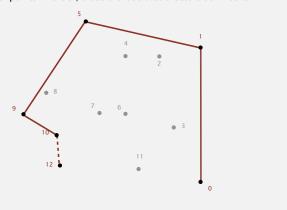


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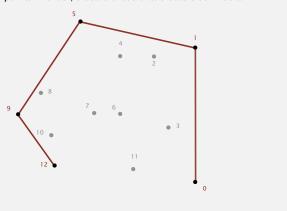
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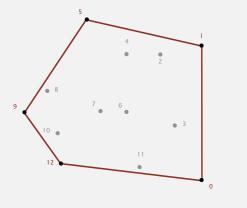


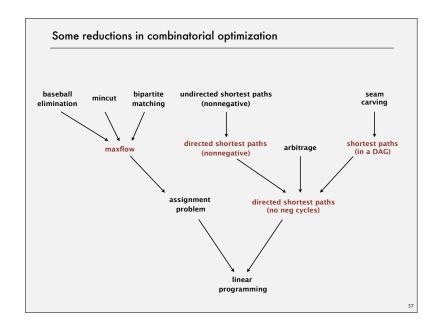
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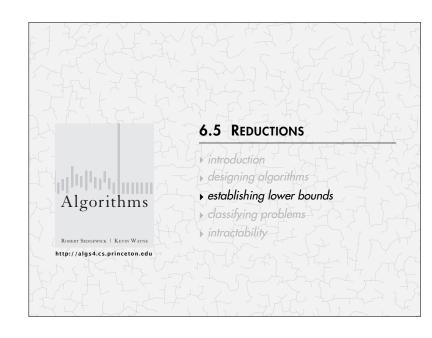
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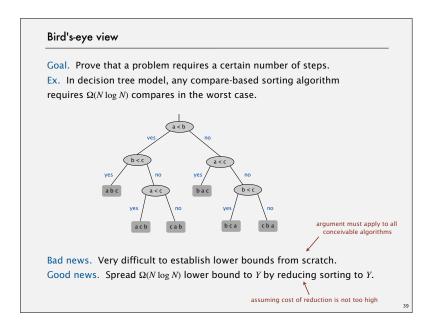


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## Linear-time reductions

Def. Problem *X* linear-time reduces to problem *Y* if *X* can be solved with:

- · Linear number of standard computational steps.
- Constant number of calls to Y.

## Establish lower bound:

- If X takes  $\Omega(N \log N)$  steps, then so does Y.
- If X takes  $\Omega(N^2)$  steps, then so does Y.
- Intuition: X = known problem; Y = new problem.

#### Reasoning.

- If I could easily solve Y, then I could easily solve X.
- I can't easily solve X.
- Therefore, I can't easily solve Y.

Which of the following reductions is not a linear-time reduction?

- A. ELEMENT-DISTINCTNESS reduces to SORTING.
- B. MIN-CUT reduces to MAX-FLOW.

not the one we saw earlier,

- C. HAMILTONIAN-PATH reduces to HAMILTONIAN-CYCLE.
- D. Burrows-Wheeler-Transform reduces to Suffix-Sorting.
- E. I don't know.

#### Exercise: linear-time reduction

Imagine that founding father Alexander Hamilton has offered to find a **Hamiltonian cycle** in any given graph (if one exists).

Design an efficient algorithm to find a **Hamiltonian path** in a graph (if one exists) by making queries to Hamilton. The Treasury Secretary's time is valuable, so you must minimize the number of queries.



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#### Exercise: linear-time reduction

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**Solution**. Given graph G = (V, E):

- Add a virtual vertex v and connect it to all vertices.
- · Query Hamilton with the resulting graph:



Exercise: linear-time reduction

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**Solution**. Given graph G = (V, E):

- Add a virtual vertex v and connect it to all vertices.
- · Query Hamilton with the resulting graph:
- If cycle found, rotate so that v is first/last vertex;
   remove v and return the rest.
- Else return "no Hamiltonian path".

Why is this correct?

# Lower bound for convex hull

Proposition. Sorting linear-time reduces to convex hull.

Pf. [see next slide]



convex hull

lower-bound reasoning:

I can't sort in linear time, so I can't solve convex hull

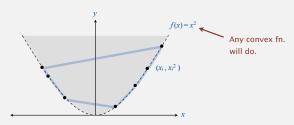
in linear time either

Implication. Any convex hull algorithm requires  $\Omega(N \log N)$  ops.

# Sorting linear-time reduces to convex hull

Proposition. Sorting linear-time reduces to convex hull.

- Sorting instance:  $x_1, x_2, ..., x_N$ .
- Convex hull instance:  $(x_1, x_{1^2}), (x_2, x_{2^2}), ..., (x_N, x_{N^2}).$



Pf.

- Region  $\{(x, y): y \ge x^2\}$  is convex  $\Rightarrow$  all N points are on hull.
- Starting at point with most negative x, counterclockwise order of hull points yields integers in ascending order.

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# Establishing lower bounds: summary

sorting

Establishing lower bounds through reduction is an important tool in guiding algorithm design efforts.

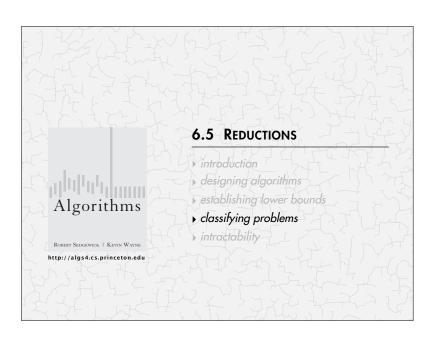
- Q. How to convince yourself no linear-time CONVEX-HULL algorithm exists?
- A1. [hard way] Long futile search for a linear-time algorithm.
- A2. [easy way] Linear-time reduction from sorting.

# Reductions: quiz 3

Our lower bound proof strategy for CONVEX-HULL would work even if:

- A. Our reduction invoked CONVEX-HULL  $\Theta(\log N)$  times instead of once.
- B. Our pre-/post-processing was linearithmic instead of linear.
- C. Both A. and B.
- D. Neither A. nor B.
- E. I don't know.

Cost of solving SORTING = total cost of CONVEX-HULL + cost of reduction.



## Bird's-eye view

Desiderata. Classify problems according to computational requirements.

complexity	order of growth	examples
linear	N	min, max, median, Burrows-Wheeler transform,
linearithmic	N log N	sorting, element distinctness, closest pair, Euclidean MST,
quadratic	$N^2$	?
÷	ŧ	ŧ
exponential	c N	?

Frustrating news. Huge number of problems have defied classification.

го.

# Bird's-eye view

Desiderata. Classify problems according to computational requirements.

Desiderata'. Suppose we could (could not) solve problem *X* efficiently. What else could (could not) we solve efficiently?



"Give me a lever long enough and a fulcrum on which to place it, and I shall move the world." — Archimedes

# Classifying problems: summary

Desiderata. Problem with algorithm that matches lower bound.

Ex. Sorting and element distinctness have complexity  $N \log N$ .

Desiderata'. Prove that two problems *X* and *Y* have the same complexity.

- First, show that problem *X* linear-time reduces to *Y*.
- Second, show that Y linear-time reduces to X.
- Conclude that *X* has complexity *T*(*N*) iff *Y* has complexity *T*(*N*).

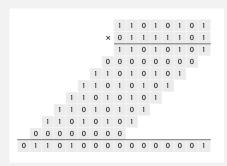
even if we don't know what it is





# Integer arithmetic reductions

Integer multiplication. Given two N-bit integers, compute their product. Brute force.  $N^2$  bit operations.



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# Integer arithmetic reductions

Integer multiplication. Given two N-bit integers, compute their product. Brute force.  $N^2$  bit operations.

problem	arithmetic	order of growth
integer multiplication	$a \times b$	M(N)
integer division	$a/b$ , $a \mod b$	M(N)
integer square	a <sup>2</sup>	M(N)
integer square root	[√a ]	M(N)

integer arithmetic problems with the same complexity as integer multiplication

Q. Is brute-force algorithm optimal?

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# History of complexity of integer multiplication

year	algorithm	order of growth
?	brute force	N <sup>2</sup>
1962	Karatsuba	N 1.585
1963	Toom-3, Toom-4	N 1.465, N 1.404
1966	Toom-Cook	N 1 + ε
1971	Schönhage-Strassen	$N \log N \log \log N$
2007	Fürer	$N \log N 2^{\log^e N}$
?	?	N

number of bit operations to multiply two N-bit integers

used in Maple, Mathematica, gcc, cryptography, ...

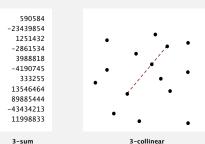
Remark. GNU Multiple Precision Library uses one of five different algorithm depending on size of operands.



# Lower bound for 3-COLLINEAR

3-SUM. Given N distinct integers, are there three that sum to 0?

3-COLLINEAR. Given N distinct points in the plane, are there 3 (or more) that lie on the same line?



3-collineai

#### Lower bound for 3-COLLINEAR

3-Sum. Given N distinct integers, are there three that sum to 0?

3-COLLINEAR. Given N distinct points in the plane, are there 3 (or more) that lie on the same line?

Proposition. 3-Sum linear-time reduces to 3-Collinear.

Pf. [next two slides]

lower-bound reasoning: if I can't solve 3-SUM in N1.99 time, I can't solve 3-COLLINEAR in N1.99 time either

Conjecture. No sub-quadratic algorithm for 3-SUM.

Implication. No sub-quadratic algorithm for 3-Collinear likely.

our N2 log N algorithm was pretty good

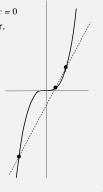
 $f(x) = x^3$ 

# 3-SUM linear-time reduces to 3-COLLINEAR

Reduction. 3-Sum linear-time reduces to 3-Collinear.

- 3-Sum instance: *x*<sub>1</sub>, *x*<sub>2</sub>, ... , *x*<sub>N</sub>.
- 3-COLLINEAR instance:  $(x_1, f(x_1)), (x_2, f(x_2)), ..., (x_N, f(x_N)).$

We hope to prove: If a, b, and c are distinct, then a+b+c=0if and only if  $(a_1, f(a_1)), (b_2, f(b_2)), \dots, (c_N, f(c_N))$  are collinear.



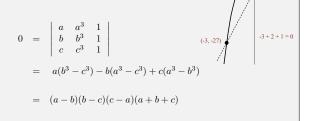
#### 3-SUM linear-time reduces to 3-COLLINEAR

Proposition. 3-Sum linear-time reduces to 3-Collinear.

- 3-Sum instance:  $x_1, x_2, ..., x_N$ .
- 3-COLLINEAR instance:  $(x_1, x_1^3), (x_2, x_2^3), \dots, (x_N, x_N^3)$ .

Lemma. If a, b, and c are distinct, then a + b + c = 0if and only if  $(a, a^3)$ ,  $(b, b^3)$ , and  $(c, c^3)$  are collinear.

Pf. Three distinct points  $(a, a^3), (b, b^3), (c, c^3)$  are collinear iff:



# Complexity of 3-SUM

Some recent (2014) evidence that the complexity might be  $N^{3/2}$ .

Threesomes, Degenerates, and Love Triangles\*

Allan Grønlund Seth Pettie MADALGO, Aarhus University University of Michigan

April 4, 2014

#### Abstract

The 3SUM problem is to decide, given a set of n real numbers, whether any three sum to zero. We prove that the decision tree complexity of 3SUM is  $O(n^{3/2}/\log n)$ , that there is a randomized SUM algorithm running in  $O(n^{2}(\log \log n)^{2})/\log n)$  time, and a deterministic algorithm running in  $O(n^{2}(\log \log n)^{2/3})/(\log n)^{2/3}$  time. These results refute the strongest version of the 3SUM conjecture, namely that it decision tree (and algorithmic) complexity is  $\Omega(n^{2})$ .

## Reductions: summary

Reduction: relationship between two problems.

How to apply:

- Reduction to solved problem: paradigm for designing algorithms.
- Reduction **from** solved problem: technique for proving lower bounds.
- Putting the two together: classify **problems** into complexity classes.
- Especially useful for proving NP-completeness.

Reductions require ingenuity, but a few tricks recur.

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# Bird's-eye view

Def. A problem is intractable if it can't be solved in polynomial time. Desiderata. Prove that a problem is intractable.

input size = c + lg K

#### Two problems that provably require exponential time.

- Given a constant-size program, does it halt in at most K steps?
- Given N-by-N checkers board position, can the first player force a win?

using forced capture rule





Frustrating news. Very few successes.

6.5 REDUCTIONS

Introduction
designing algorithms
establishing lower bounds
classifying problems
intractability (next lecture)
http://algs4.cs.princeton.edu

# A core problem: satisfiability

SAT. Given a system of boolean equations, find a solution.

Fv

3-SAT. All equations of this form (with three variables per equation).

#### Key applications.

- · Automatic verification systems for software.
- · Mean field diluted spin glass model in physics.
- · Electronic design automation (EDA) for hardware.
- ...

# Satisfiability is conjectured to be intractable

- Q. How to solve an instance of 3-SAT with *N* variables?
- A. Exhaustive search: try all  $2^N$  truth assignments.



Q. Can we do anything substantially more clever?

Conjecture ( $P \neq NP$ ). 3-SAT is intractable (no poly-time algorithm).

consensus opinion

## Polynomial-time reductions

Problem *X* poly-time (Cook) reduces to problem *Y* if *X* can be solved with:

- · Polynomial number of standard computational steps.
- Polynomial number of calls to Y.



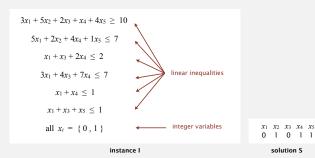
Establish intractability. If 3-SAT poly-time reduces to *Y*, then *Y* is intractable. (assuming 3-SAT is intractable)

#### Reasoning.

- If I could solve Y in poly-time, then I could also solve 3-SAT in poly-time.
- 3-SAT is believed to be intractable.
- Therefore, so is Y.

# Integer linear programming

ILP. Given a system of linear inequalities, find an integral solution.



Context. Cornerstone problem in operations research.

solution S

Remark. Finding a real-valued solution is tractable (linear programming).

# 3-SAT poly-time reduces to ILP

3-SAT. Given a system of boolean equations, find a solution.

ILP. Given a system of linear inequalities, find a 0-1 solution.

solution to this ILP instance gives solution to original 3-SAT instance

Suppose that Problem *X* poly-time reduces to Problem *Y*. Which of the following can you infer?

- **A.** If X can be solved in poly-time, then so can Y.
- **B.** If *X* cannot be solved in cubic time, *Y* cannot be solved in poly-time.
- **C.** If *Y* can be solved in cubic time, then *X* can be solved in poly-time.
- **D.** If *Y* cannot be solved in poly-time, then neither can *X*.
- E. I don't know.

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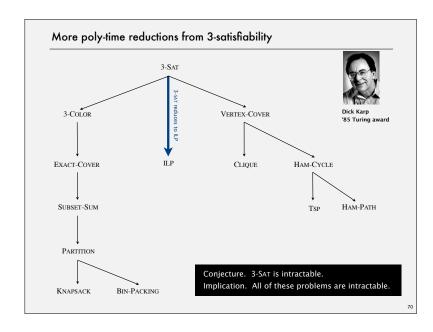
# Implications of poly-time reductions from 3-satisfiability

Establishing intractability through poly-time reduction is an important tool in guiding algorithm design efforts.

- Q. How to convince yourself that a new problem is (probably) intractable?
- A1. [hard way] Long futile search for an efficient algorithm (as for 3-SAT).
- A2. [easy way] Reduction from 3-SAT.

Caveat. Intricate reductions are common.





# Search problems

Search problem. Problem where you can check a solution in poly-time.

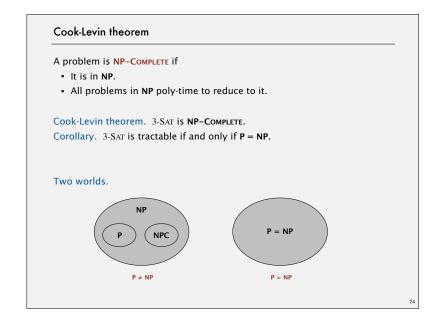
Ex 1. 3-SAT.

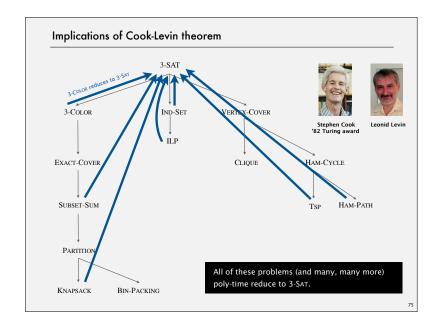
Ex 2. FACTOR. Given an *N*-bit integer *x*, find a nontrivial factor.

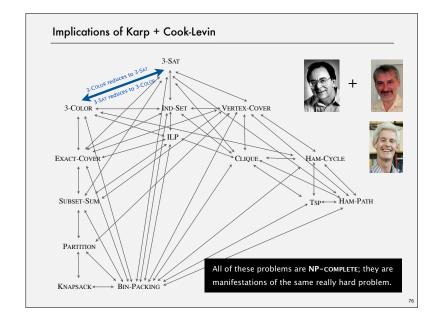
147573952589676412927 193707721 instance I solution S

# P vs. NP P. Set of search problems solvable in poly-time. Importance. What scientists and engineers can compute feasibly. NP. Set of search problems (checkable in poly-time). Importance. What scientists and engineers aspire to compute feasibly. Fundamental question. P=NP?

Consensus opinion. No.







Suppose that X is NP-Complete, Y is in NP, and X poly-time reduces to Y. Which of the following statements can you infer?

- I. Y is NP-COMPLETE.
- II. If Y cannot be solved in poly-time, then  $P \neq NP$ .
- III. If  $P \neq NP$ , then neither X nor Y can be solved in poly-time.
- A. I only.
- B. II only.
- C. I and II only.
- D. I, II, and III.
- E. I don't know.

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# Birds-eye view: revised

Desiderata. Classify problems according to computational requirements.

complexity	order of growth	examples
linear	N	min, max, median, Burrows-Wheeler transform,
linearithmic	$N \log N$	sorting, element distinctness,
M(N)	?	integer multiplication, division, square root,
MM(N)	?	matrix multiplication, $Ax = b$ , least square, determinant,
÷	:	ŧ
NP-complete	probably not N <sup>b</sup>	3-SAT, IND-SET, ILP,

Good news. Can put many problems into equivalence classes.

Birds-eye view: review

Desiderata. Classify problems according to computational requirements.

complexity	order of growth	examples
linear	N	min, max, median, Burrows-Wheeler transform,
linearithmic	$N \log N$	sorting, element distinctness,
quadratic	N <sup>2</sup>	?
i	i	ŧ
exponential	C N	?

Frustrating news. Huge number of problems have defied classification.

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# Complexity zoo

Complexity class. Set of problems sharing some computational property.



https://complexityzoo.uwaterloo.ca

Bad news. Lots of complexity classes (496 animals in zoo).

# Summary

# Reductions are important in theory to:

- · Design algorithms.
- Establish lower bounds.
- · Classify problems according to their computational requirements.

# Reductions are important in practice to:

- · Design algorithms.
- Design reusable software modules.
- stacks, queues, priority queues, symbol tables, sets, graphs
- sorting, regular expressions, suffix arrays
- MST, shortest paths, maxflow, linear programming
- Determine difficulty of your problem and choose the right tool.



