

### 2.1 Elementary Sorts

- rules of the game
- selection sort
- insertion sort
- shuffling
- comparators
http://algs4.cs.princeton.edu


## Sorting problem

Ex. Student records in a university.

|  | Chen | 3 | A | (991) 878-4944 | 308 Blair |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Rohde | 2 | A | (232) 343-5555 | 343 Forbes |
|  | Gazsi | 4 | B | (800) 867-5309 | 101 Brown |
| item | Furia | 1 | A | (766) 093-9873 | 101 Brown |
|  | Kanaga | 3 | B | (898) 122-9643 | 22 Brown |
|  | Andrews | 3 | A | (664) 480-0023 | 097 Little |
| key $\longrightarrow$ | Battle | 4 | C | (874) 088-1212 | 121 Whitman |

Sort. Rearrange array of $N$ items in ascending order by key.

| Andrews | 3 | A | $(664) 480-0023$ | 097 Little |
| :---: | :---: | :---: | :---: | :---: |
| Battle | 4 | C | $(874) 088$-1212 | 121 Whitman |
| Chen | 3 | A | $(991) 878-4944$ | 308 Blair |
| Furia | 1 | A | $(766) 093-9873$ | 101 Brown |
| Cazsi | 4 | B | $(800) 867-5309$ | 101 Brown |
| Kanaga | 3 | B | $(898) 122-9643$ | 22 Brown |
| Rohde | 2 | A | $(232) 343-5555$ | 343 Forbes |



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## Sorting applications



Library of Congress numbers


FedEx packages


## Sample sort client 1

## Goal. Sort any type of data.

Ex 1. Sort random real numbers in ascending order.

```
seems artificial (stay tuned for an application)
```

```
public class Experiment
{
    public static void main(String[] args)
    {
        int N = Integer.parseInt(args[0]);
        Double[] a = new Double[N];
        for (int i = 0; i < N; i++)
            a[i] = StdRandom.uniform();
        Insertion.sort(a);
        for (int i = 0; i < N; i++)
            StdOut.println(a[i]);
    }
}
```

\% java Experiment 10 0.08614716385210452 0.09054270895414829 0.10708746304898642 0.21166190071646818 0.363292849257276 0.460954145685913 0.5340026311350087 0.7216129793703496 0.9003500354411443 0.9293994908845686

## Sample sort client 2

Goal. Sort any type of data.
Ex 2. Sort strings in alphabetical order.

```
public class StringSorter
{
    public static void main(String[] args)
    {
        String[] a = StdIn.readA11Strings();
        Insertion.sort(a);
        for (int i = 0; i < a.length; i++)
            StdOut.println(a[i])
    }
}
% more words3.txt
bed bug dad yet zoo ... all bad yes
% java StringSorter < words3.txt
    all bad bed bug dad ... yes yet zoo
    [suppressing newlines]
```


## Total order

Goal. Sort any type of data (for which sorting is well defined).

A total order is a binary relation $\leq$ that satisfies:

- Antisymmetry: if both $v \leq w$ and $w \leq v$, then $v=w$.
- Transitivity: if both $v \leq w$ and $w \leq x$, then $v \leq x$.
- Totality: either $v \leq w$ or $w \leq v$ or both.

Ex.

- Standard order for natural and real numbers.
- Chronological order for dates or times.
- Lexicographic order for strings.

Not transitive. Ro-sham-bo.
Not total. PU course prerequisites.

olas totality

## Callbacks

Goal. Sort any type of data (for which sorting is well defined).
Q. How can sort() compare data of type Double, String, and java.io. File without hardwiring in type-specific information.

Callback = reference to executable code.

- Client passes array of objects to sort() function.
- The sort() function calls object's compareTo() method as needed.

Implementing callbacks.

- Java: interfaces.
- C: function pointers.
- C++: class-type functors.
- C\#: delegates.
- Python, Perl, ML, Javascript: first-class functions.


## Callbacks: roadmap

lient (StringSorter.java)
sort implementation (Insertion.java)
public static void sort(Comparable[] a)
\{ int $\mathrm{N}=$ a. length
for (int i = 0; i < N; i++)
for (int $j=i ; j>0 ; j--$ )
(a[j].compareTo(a[j-1]) < 0 )
else break;

```
public class StringSorter
```

public class StringSorter
public static void main(String[] args)
public static void main(String[] args)
{
{
String[] a = StdIn.readAl1Strings();
String[] a = StdIn.readAl1Strings();
Insertion.sort(a);
Insertion.sort(a);
for (int i = 0; i < a.length; i++)
for (int i = 0; i < a.length; i++)
StdOut.println(a[i]).
StdOut.println(a[i]).
}
}
java.lang.Comparable interface
Insertion.sort(a)
Insertion.sort(a)
StdOut = 0,i <
StdOut = 0,i <
}
}
}
}
\}
\{ public interface Comparable<Item>
public int compareTo(Item that)
data type implementation (String.java)
data type implementation (String.java)
ublic class String
ublic class String
implements Comparable<String>
implements Comparable<String>

## Callbacks: Java interfaces

Interface. Specifies a set of methods that a concrete class can provide.


Concrete class. Can provide the set of methods in the interface


Impact.
"polymorphism"

- You can treat any String object as an object of type Comparable.
- On a Comparable object, you can invoke (only) the compareTo() method.
- Enables callbacks.


## Elementary sorts: quiz 1

Suppose that the Java architects leave out implements Comparable<String> in the class declaration for String. What would be the effect?
A. String.java won't compile.
B. StringSorter.java won't compile.
C. Insertion.java won't compile.
D. Insertion.java will throw a run-time exception.
E. I don't know.

## ¡ava.lang.Comparable API

Implement compareTo() so that v.compareTo(w)

- Defines a total order.
- Returns a negative integer, zero, or positive integer if $v$ is less than, equal to, or greater than $w$, respectively.
- Throws an exception if incompatible types (or either is nul1).


Built-in comparable types. Integer, Double, String, Date, File, ... User-defined comparable types. Implement the Comparable interface.

### 2.1 Elementary Sorts

-rules of the game

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## Implementing the Comparable interface

Date data type. Simplified version of java.util. Date.

```
public class Date implements Comparable<Date>
{
    private final int month, day, year;
    public Date(int m, int d, int y)
    {
        month = m;
        day = d;
        year = y;
    }
    public int compareTo(Date that)
        {
            if (this.year < that.year ) return -1;
            if (this.year > that.year ) return +1;
            if (this.month < that.month) return -1;
            if (this.month > that.month) return +1;
            if (this.day < that.day ) return -1;
            if (this.day < that.day ) return -1;
            if (this.d
        }
}
http://algs4.cs.princeton.edu/12oop/Date.java.htm
```


## Selection sort demo

- In iteration $i$, find index min of smallest remaining entry.
- Swap a[i] and a[min].

initial



## Selection sort

Algorithm. $\uparrow$ scans from left to right.

## Invariants.

- Entries the left of $\uparrow$ (including $\uparrow$ ) fixed and in ascending order.
- No entry to right of $\uparrow$ is smaller than any entry to the left of $\uparrow$.



## Two useful sorting abstractions

Helper functions. Refer to data only through compares and exchanges.

Less. Is item v less than w?
private static boolean less(Comparable v, Comparable w)
\{ return v.compareTo(w) < 0; \}

Exchange. Swap item in array $a[]$ at index $i$ with the one at index $j$.

```
private static void exch(Object[] a, int i, int j)
```

private static void exch(Object[] a, int i, int j)
{
{
Object swap = a[i];
Object swap = a[i];
a[i] = a[j];
a[i] = a[j];
a[j] = swap;
a[j] = swap;
}

```
}
```


## Selection sort inner loop

To maintain algorithm invariants:

- Move the pointer to the right.

```
i++;
```



- Identify index of minimum entry on right.

```
int min = i;
for (int j = i+1; j < N; j++)
    if (less(a[j], a[min]))
        min = j;
```

- Exchange into position.

```
exch(a, i, min);
```



## Selection sort: Java implementation

```
public class Selection
{
public static void sort(Comparable[] a)
    {
int N = a.length;
for (int i = 0; i < N; i++)
{
            int min = i;
            for (int j = i+1; j < N; j++)
                    if (less(a[j], a[min]))
                    min = j;
            exch(a,i,min);
        }
    }
    private static boolean less(Comparable v, Comparable w)
    { /* see previous slide */ }
    private static void exch(Object[] a, int i, int j)
    { /* see previous slide */ }
}
```


## Generic methods

Oops. The compiler complains.
\% javac Selection.java
Note: Selection.java uses unchecked or unsafe operations.
Note: Recompile with -Xlint:unchecked for details.

## \% javac -Xlint:unchecked Selection.java

Selection.java:83: warning: [unchecked] unchecked call to compareTo(T) as a member of the raw type java. 7ang. Comparable return (v.compareTo $(w)<0$ );
1 warning
Q. How to fix?

## Selection sort: animations



## Generic methods

Pedantic (type-safe) version. Compiles cleanly.

```
                                    generic type variable
(type inferred from argument; must be Comparable)
```

```
public class SelectionPedantic
```

public class SelectionPedantic
{ub
{ub
public static <Key extends Comparable<Key>> void sort(Key[] a)
public static <Key extends Comparable<Key>> void sort(Key[] a)
{ /* as before */ }
{ /* as before */ }
private static <Key extends Comparable<Key>> boolean less(Key v, Key w)
private static <Key extends Comparable<Key>> boolean less(Key v, Key w)
{ /* as before */ }
{ /* as before */ }
private static Object void exch(Object[] a, int i, int j)
private static Object void exch(Object[] a, int i, int j)
{ /* as before */ }
{ /* as before */ }
}

```
http://algs4.cs.princeton.edu/21elementary/SelectionPedantic.java.htmI

Remark. Use type-safe version in system code (but not in lecture).

\section*{Selection sort: animations}

\section*{Elementary sorts: quiz 1}

How many compares does selection sort make to sort an array of \(N\) keys?
A. \(\sim N\)
B. \(\sim 1 / 4 N^{2}\)
C. \(\sim 1 / 2 N^{2}\)
D. \(\sim N^{2}\)
E. I don't know.

\section*{Selection sort: mathematical analysis}

Proposition. Selection sort uses \((N-1)+(N-2)+\ldots+1+0 \sim N^{2} / 2\) compares and \(N\) exchanges to sort any array of \(N\) items.


Running time insensitive to input. Quadratic time, even if input is sorted. Data movement is minimal. Linear number of exchanges.

\section*{Insertion sort demo}
- In iteration \(\mathbf{i}\), swap a[i] with each larger entry to its left.


\section*{Insertion sort}

Algorithm. \(\uparrow\) scans from left to right.

\section*{Invariants.}
- Entries to the left of \(\uparrow\) (including \(\uparrow\) ) are in ascending order.
- Entries to the right of \(\uparrow\) have not yet been seen.


\section*{Insertion sort: inner loop}

To maintain algorithm invariants:
- Move the pointer to the right.
i++;

- Moving from right to left, exchange \(a[i]\) with each larger entry to its left.
```

for (int j = i; j > 0; j--)
if (less(a[j], a[j-1]))
exch(a, j, j-1);
else break;

```

\section*{Insertion sort: animation}



40 reverse-sorted items
http://www.sorting-algorithms.com/insertion-sort

\section*{Elementary sorts: quiz 2}

How many compares does insertion sort make to sort an array of \(N\) distinct keys in reverse order?
A. \(\sim N\)
B. \(\sim 1 / 4 N^{2}\)
C. \(\sim 1 / 2 N^{2}\)
D. \(\sim N^{2}\)
E. I don't know.

\section*{Insertion sort: mathematical analysis}

Proposition. To sort a randomly-ordered array with distinct keys, insertion sort uses \(\sim 1 / 4 N^{2}\) compares and \(\sim 1 / 4 N^{2}\) exchanges on average.

Pf. Expect each entry to move halfway back.
Trace of insertion sort (array contents just after each insertion)

\section*{Insertion sort: analysis}

Worst case. If the array is in descending order (and no duplicates), insertion sort makes \(\sim 1 / 2 N^{2}\) compares and \(\sim 1 / 2 N^{2}\) exchanges.

\section*{X T S R P OMLFEA}

Best case. If the array is in ascending order, insertion sort makes \(N-1\) compares and 0 exchanges.

A E ELMOPRSTX

\section*{Insertion sort: partially-sorted arrays}

Def. An inversion is a pair of keys that are out of order.
A E E L M OTRXPS

T-R T-P T-S R-P X-P X-S
(6 inversions)

Def. An array is partially sorted if the number of inversions is \(\leq c N\).
- Ex 1. A sorted array has 0 inversions.
- Ex 2. A subarray of size 10 appended to a sorted subarray of size \(N\).

Proposition. For partially-sorted arrays, insertion sort runs in linear time. Pf. Number of exchanges equals the number of inversions.
```

\uparrow
number of compares \leq exchanges + (N-1)

```

\section*{Elementary sorts: quiz 3}

Which is faster in practice, selection sort or insertion sort?
A. Selection sort.
B. Insertion sort.
C. No significant difference.
D. I don't know.

Binary insertion sort. Use binary search to find insertion point.
- Number of compares \(\sim N \lg N\).
- But still a quadratic number of array accesses.
```

ACHHIMNNPQ X Y K B I N A R Y
binary search for first key > K

```

\section*{Interview question: shuffle an array}

\subsection*{2.1 Elementary Sorts}
-rules of the game
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insertion sort
, shuffling
- comparators


Robert Sedgewick \| Kevin Wayne http://algs 4.cs.princeton.edu

Goal. Rearrange array so that result is a uniformly random permutation.
all N! permutations
equally likely


Shuffle sort
- Generate a random real number for each array entry.
- Sort the array.

- Generate a random real number for each array entry.
- Sort the array.


\section*{War story (Microsoft)}

Microsoft antitrust probe by EU. Microsoft agreed to provide a randomized ballot screen for users to select browser in Windows 7.
http://www.browserchoice.eu

\section*{Select your web browser(s)}

- Generate a random real number for each array entry.
- Sort the array.


Proposition. Shuffle sort produces a uniformly random permutation.


\section*{War story (Microsoft)}

Microsoft antitrust probe by EU. Microsoft agreed to provide a randomized ballot screen for users to select browser in Windows 7.

Solution? Implement shuffle sort by making comparator always return a random answer.
```

public int compareTo(Browser that)
{
double r = Math.random();
if (r<0.5) return -1;
if (r>0.5) return +1; \& browser comparator
return 0;
}

```

\section*{Knuth shuffle demo}
- In iteration i, pick integer \(r\) between 0 and \(i\) uniformly at random.
- Swap a[i] and a[r].


\section*{Knuth shuffle}
- In iteration i, pick integer \(r\) between 0 and \(i\) uniformly at random.
- Swap a[i] and a[r].
common bug: between 0 and N - 1 correct variant: between i and N - 1
- In iteration i, pick integer \(r\) between 0 and \(i\) uniformly at random.
- Swap a[i] and a[r].


Proposition. [Fisher-Yates 1938] Knuth shuffling algorithm produces a uniformly random permutation of the input array in linear time.
\(\checkmark\) assuming integers
uniformly at random

\section*{Broken Knuth shuffle}
Q. What happens if integer is chosen between 0 and \(\mathrm{N}-1\) ?
A. Not uniformly random!

between 0 and
\begin{tabular}{|c|c|c|}
\hline permutation & Knuth shuffle & broken shuffle \\
\hline A B C & \(1 / 6\) & \(4 / 27\) \\
\hline A C B & \(1 / 6\) & \(5 / 27\) \\
\hline B A C & \(1 / 6\) & \(5 / 27\) \\
\hline B C A & \(1 / 6\) & \(5 / 27\) \\
\hline C A B & \(1 / 6\) & \(4 / 27\) \\
\hline C B A & \(1 / 6\) & \(4 / 27\) \\
\hline
\end{tabular}
probability of each permutation when shuffling \{ A, B, C \}

\section*{War story (online poker)}

Texas hold'em poker. Software must shuffle electronic cards.


How We Learned to Cheat at Online Poker: A Study in Software Security http://www.cigital.com/papers/download/developer_gambling.php

\section*{War story (online poker)}

Shuffling algorithm in FAQ at www.planetpoker.com
```

for i := 1 to }52\mathrm{ do begin
r := random(51) + 1; \longleftarrow between 1 and 51
swap := card[r];
card[r] := card[i];
card[i] := swap;
end;

```

Bug 1. Random number \(r\) never \(52 \Rightarrow 52^{\text {nd }}\) card can't end up in \(52^{\text {nd }}\) place.
Bug 2. Shuffle not uniform (should be between 1 and i).
Bug 3. random() uses 32 -bit seed \(\Rightarrow 2^{32}\) possible shuffles.
Bug 4. Seed \(=\) milliseconds since midnight \(\Rightarrow 86.4\) million shuffles.
" The generation of random numbers is too important to be left to chance.
- Robert R. Coveyou

\section*{Sort music library by artist}


\section*{Comparable interface: review}

Comparable interface: sort using a type's natural order.


\section*{Sort music library by song name}


\section*{Comparator interface}

Comparator interface: sort using an alternate order.

> public interface Comparator<Item>
\{
public int compare(Item v, Item w)

Required property. Must be a total order.
\begin{tabular}{|c|l|}
\hline string order & \multicolumn{1}{|c|}{ example } \\
\hline natural order & Now is the time \begin{tabular}{c} 
pre-1994 order for \\
case insensitive \\
Spanish language \\
British phone book
\end{tabular} \\
\hline café cafetero cuarto churro nube noño \\
\hline McKinley Mackintosh \\
\hline
\end{tabular}

\section*{Comparator interface: system sort}

To use with Java system sort:
- Create Comparator object
- Pass as second argument to Arrays.sort().


Bottom line. Decouples the definition of the data type from the definition of what it means to compare two objects of that type.

\section*{Comparator interface: implementing}

To implement a comparator:
- Define a (nested) class that implements the Comparator interface.
- Implement the compare() method.
- Provide client access to Comparator.
```

import java.util.Comparator;
public class Student
{
private final String name;
private final int section;
...
public static Comparator<Student> byNameOrder()
{ return new NameOrder(); }
privatestaticclass NameOrder implements Comparator<Student>
{
public int compare(Student v, Student w)
{ return v.name.compareTo(w.name); }
}
}

```

\section*{Comparator interface: using with our sorting libraries}

To support comparators in our sort implementations:
- Pass Comparator to both sort() and less(), and use it in less().
- Use Object instead of Comparable.
```

import java.util.Comparator;
public class Insertion
{
public static void sort(Object[] a, Comparator comparator)
{
int N = a.length;
for (int i = 0; i < N; i++)
for (int j = i; j > 0 \&\& less(comparator, a[j], a[j-1]); j--)
exch(a, j, j-1);
}
private static boolean less(Comparator comparator, Object v, Object w)
{ return comparator.compare(v,w) < 0; }
}

```
http://algs 4.cs.princeton.edu/21elementary/Insertion.java.html

\section*{Comparator interface: implementing}

To implement a comparator:
- Define a (nested) class that implements the Comparator interface.
- Implement the compare() method.
- Provide client access to Comparator.
```

import java.util.Comparator;
public class Student
{
private final String name;
private final int section;
...
public static Comparator<Student> bySectionOrder()
{ return new SectionOrder(); }
private static class SectionOrder implements Comparator<Student>
{
public int compare(Student v, Student w)
{ return v.section - w.section; }
}
}
this trick works here

```

\section*{Comparator interface: implementing}

To implement a comparator:
- Define a (nested) class that implements the Comparator interface
- Implement the compare() method.
- Provide client access to Comparator.

Insertion.sort(a, Student.byNameOrder());
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|}
\hline Andrews & 3 & A & (664) 480-0023 & 097 Little & Furia & 1 & A & (766) 093-9873 & 101 Brown \\
\hline Battle & 4 & C & (874) 088-1212 & 121 Whitman & Rohde & 2 & A & (232) \(343-5555\) & 343 Forbes \\
\hline Chen & 3 & A & (991) 878-4944 & 308 Blair & Andrews & 3 & A & (664) 480-0023 & 097 Little \\
\hline Fox & 3 & A & (884) \(232-5341\) & 11 Dickinson & Chen & 3 & A & (991) \(878-4944\) & 308 Blair \\
\hline Furia & 1 & A & (766) 093-9873 & 101 Brown & Fox & 3 & A & (884) \(232-5341\) & 11 Dickinson \\
\hline Gazsi & 4 & B & (800) 867-5309 & 101 Brown & Kanaga & 3 & B & (898) 122-9643 & 22 Brown \\
\hline Kanaga & 3 & B & (898) 122-9643 & 22 Brown & Battle & 4 & C & (874) 088-1212 & 121 Whitman \\
\hline Rohde & 2 & A & (232) \(343-5555\) & 343 Forbes & Gazsi & 4 & B & (800) 867-5309 & 101 Brown \\
\hline
\end{tabular}

Insertion.sort(a, Student.bySectionOrder());

\section*{Stability}

A typical application. First, sort by name; then sort by section.

Selection.sort(a, Student.byNameOrder());
Selection.sort(a, Student.bySectionOrder());
\begin{tabular}{|c|c|c|c|c|}
\hline Andrews & 3 & A & \((664) 480-0023\) & 097 Little \\
\hline Battle & 4 & C & \((874) 088\)-1212 & 121 Whitman \\
\hline Chen & 3 & A & \((991) 878-4944\) & 308 Blair \\
\hline Fox & 3 & A & \((884) 232-5341\) & 11 Dickinson \\
\hline Furia & 1 & A & \((766) 093-9873\) & 101 Brown \\
\hline Gazsi & 4 & B & \((800) 867-5309\) & 101 Brown \\
\hline Kanaga & 3 & B & \((898) 122-9643\) & 22 Brown \\
\hline Rohde & 2 & A & \((232) 343-5555\) & 343 Forbes \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|}
\hline Furia & 1 & A & (766) 093-9873 & 101 Brown \\
\hline Rohde & 2 & A & \((232) 343\)-5555 & 343 Forbes \\
\hline Chen & 3 & A & \((991) 878-4944\) & 308 Blair \\
\hline Fox & 3 & A & \((884) 232\)-5341 & 11 Dickinson \\
\hline Andrews & 3 & A & \((664) 480-0023\) & 097 Little \\
\hline Kanaga & 3 & B & \((898) 122-9643\) & 22 Brown \\
\hline Gazsi & 4 & B & \((800) 867-5309\) & 101 Brown \\
\hline Battle & 4 & C & \((874) 088\)-1212 & 121 Whitman \\
\hline
\end{tabular}
@\#\%\&@! Students in section 3 no longer sorted by name.

A stable sort preserves the relative order of items with equal keys.

\section*{Elementary sorts: quiz 4}

Which sorting algorithms are stable?
A. Selection sort.
B. Insertion sort.
C. Both A and B.
D. Neither A nor B.
E. I don't know.

\section*{Stability: insertion sort}

\section*{Proposition. Insertion sort is stable.}

\section*{public class Insertion}
\{

\section*{public static void sort(Comparable[] a}

\section*{\{}
int \(N=\) a.length;
for (int \(\mathbf{i}=0 ; \mathbf{i}<N ; i++\) )
for (int \(j=1 ; j>0\) \&\& less(a[j], a[j-1]); j--) exch(a, j, j-1);
\} \({ }^{\}}\)


Pf. Equal items never move past each other.

\section*{Stability: selection sort}

Proposition. Selection sort is not stable.
```

public class Selection
{
public static void sort(Comparable[] a)
int N = a.length;
for (int i = 0; i < N; i++)
{or
int min = i
for (int j = i+1; j < N; j++)
if (less(a[j], a[min]))
min = j;
exch(a, i, min)
}
}

```

Pf by counterexample. Long-distance exchange can move one equal item past another one.```

