# Finding near-duplicate documents

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## Duplicate versus near duplicate documents

- Duplicate = identical?
- Near duplicate:
  small structural differences
  - not just content similarity
- define "small"
  - date change?
  - small edits?
  - metadata change?
  - other?

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## Applications

- creating collection
  - indexing
- Crawling network
- Returning query results
  - cluster near duplicates; return 1
- Plagiarism

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## Framework

- Algorithm to assign quantitative degree of similarity between documents
- Issues
  - What is basic token for documents?
    - character
    - word/term
  - What is threshold for "near duplicate"?
  - What are computational costs?

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## Classic document comparison

- Edit distance
  - count deletions, additions, substitutions to convert $Doc_1$ into $Doc_2$
  - each action can have different cost
  - applications
    - UNIX "diff"
    - similarity of genetic sequences
- Edit distance algorithm
  - dynamic programming
  - time O(m*n) for strings length m and n

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## Edit distance for collections

- token = word
  - compare other applications
- Cost is $O(\sum_{i,j} |Doc_i|*|Doc_j|)$
- Right sense of similarity?

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## Addressing computation cost

A general paradigm to find duplicates in N docs:

1. Define function *f* capturing contents of each document in one number
   "Hash function", "signature", "fingerprint"
2. Create <*f*(doc$_i$), ID of doc$_i$> pairs
3. Sort the pairs
4. Recognize duplicate or near-duplicate documents as having the same *f* value or *f* values within a small threshold

Compare: computing a similarity score on pairs of documents



## Optimistic cost

A general paradigm to find duplicates in N docs:

1. Define function *f* capturing contents of each document in one number O(|doc|)
   "Hash function", "signature", "fingerprint"
2. Create <*f*(doc$_i$), ID of doc$_i$> pairs O( $\Sigma_{i=1...N}$ (|doc$_i$|) )
3. Sort the pairs O(N log N )
4. Recognize duplicate or near-duplicate documents as having the same *f* value or *f* values within a small threshold O(N)

Compare: computing a similarity score on pairs of documents



## General paradigm: details

1. Define function *f* capturing contents of each document in one number
   "Hash function", "signature", "sketch", "fingerprint"
2. Create <*f*(doc$_i$), ID of doc$_i$> pairs
3. Sort the pairs
4. Recognize duplicate or near-duplicate documents as having the same *f* value or *f* values within a small threshold
   - recognize exact duplicates:
     - threshold = 0
     - examine documents to verify duplicates
   - recognize near-duplicates
     Use small "small threshold"
     => "near duplicate" not transitive



## "Syntactic clustering"

We will look at this one example:

Andrei Z. Broder, Steven C. Glassman, Mark S. Manasse, and Geoffrey Zweig, Syntactic Clustering of the Web
*Sixth International WWW Conference*, 1997.

- "syntactic similarity" versus semantic
  Sequences of words
- Finding near duplicates
- Doc = sequence of words
  Word = Token
- Uses **sampling**
- Similarity based on **shingles**
- Does compare documents



## Shingles

- A *w*-shingle is a contiguous subsequence of *w* words
- The *w*-shingling of doc D, S(D, *w*) is the set of ***unique*** w-shingles of D



## Similarity of docs with shingles

➢ For **fixed *w*,** resemblance of docs A and B :
r(A, B) = |S(A) ∩ S(B)| / |S(A) U S(B)|
Jaccard coefficient

- For **fixed *w*,** containment of doc A in doc B :
  C(A, B) = |S(A) ∩ S(B)| / |S(A)|
- For **fixed *w*,** resemblance distance betwn docs A and B :
  D(A, B) = 1- r(A, B)
  Is a metric (triangle inequality)

**Note we are now comparing documents!**

## Example

A: "a rose is red a rose is white"

4-shingles:

"a rose is red"
"rose is red a"
"is red a rose"
"red a rose is"
"a rose is white"

B: "a rose is white a rose is red"

4-shingles:

"a rose is white"
"rose is white a"
"is white a rose"
"white a rose is"
"a rose is red"

r(A, B) = 0.25

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## Compare

A: "a rose is red a rose is white"

3-shingles:

"a rose is"
"rose is red"
"is red a"
"red a rose"
"rose is white"

B: "a rose is white a rose is red"

4-shingles:

"a rose is"
"rose is white"
"is white a"
"white a rose"
"rose is red"

r(A, B) = 0.43

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## *Sample* of shingles

Want to ***estimate*** r and/or c
Do this by calculating approximation on a sample of shingles **for fixed *w***

- 1-to-1 map each shingle to integer in fixed, large range R
  - 64-bit hash, R=[0, $2^{64-1}$]
- Let $\Pi$ be a random permutation from R to R
- For any S(D) define:
  H(D) = Set of integer hash values corresponding to shingles in S(D)
  $\Pi$(D) = Set of permuted values in H(D)
  ***x*($\Pi$, D) = smallest integer in $\Pi$(D)**

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## *Sketch* of shingles

- Let $\Pi_1, \ldots, \Pi_m$ be m random permutations R → R
  - text: m=20

The sketch of doc D for $\Pi_1, \ldots, \Pi_m$ is
$\psi(D) = \{x(\Pi_i, D) \mid 1 \le i \le m\}$

doc → set shingles → set integers
→ m sets permuted integers
→ m smallest integers: one per permutation
Sketch is a ***sampling***

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## Approximation of resemblance

Theorem:
For random permutation $\Pi$:
$r(A, B) = P(x(\Pi, A) = x(\Pi, B))$

Estimate $P(x(\Pi, A) = x(\Pi, B))$ as
$|\psi(A) \cap \psi(B)| / m$

recall m is # permutations

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## Algorithm used (text's version)

1. Calculate *sketch* $\psi(D_i)$ for every doc $D_i$
2. Calculate $|\psi(D_i) \cap \psi(D_j)| = ct_{ij}$ for each non-empty intersection:
   i. Produce list of <shingle value, docID> pairs for all shingle values $x(\Pi_k, D_i)$ in the sketch for each doc.
   ii. Sort the list by shingle value
   iii. Produce all triples $<ID(D_i), ID(D_j), ct_{i,j}>$ for which $ct_{i,j}>0$
   This *not linear-time* for the list of docs for one shingle value
3. Build clusters of similar/almost identical docs
   Degree of similarity depends on threshold …

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## Revisit the original paradigm

A general paradigm to find duplicates in N docs:

1. Define function *f* capturing contents of each document in one number O(|doc|)
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2. Create <*f*(doc$_i$), ID of doc$_i$> pairs $O(\Sigma_{i=1...N} (|doc_i|))$
3. Sort the pairs O(N log N )
4. Recognize duplicate or near-duplicate documents as having the same *f* value or *f* values within a small threshold O(N)

Compare: computing a similarity score on pairs of documents

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## Paradigm?

- Does compare docs, so not same as paradigm we started with, but uses ideas
- Contents of doc captured by sketch – a set of shingle values
- Similarity of docs scored by count of common shingle values for docs
- Don't look at all doc pairs, look at all doc pairs that share a shingle value
- Uses clustering by similarity threshold

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## Algorithm cost

1. Calculate *sketch* $\psi(D_i)$ for every $D_i$ $O(\Sigma_i m|D_i|)$
2. Calculate $|\psi(D_i) \cap \psi(D_j)| = ct_{ij}$ for each non-empty intersection:
   i. Produce list of <shingle value, docID> pairs for all shingle values $x(\Pi_k, D_i)$ in the sketch for each doc.
   ii. Sort the list by shingle value O(mN log (mN) )
   iii. Produce all triples <ID($D_i$), ID($D_j$), $ct_{i,j}$> for which $ct_{i,j}>0$
   This *not linear-time* for the list of docs for one shingle value $O(mN^2)$
3. Build clusters of similar/almost identical docs
   Degree of similarity depends on threshold …

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## More efficient : supershingles

**"meta-sketch"**

1. Sort shingle values of a sketch
2. Compute the shingling of the sequence of shingle values
   - Each original shingle value now a token
   - Gives "supershingles"
3. "meta-sketch" = set of supershingles

**One supershingle in common => sequences of shingles in common**

**Documents with ≥1 supershingle in common => similar**

- Each supershingle for a doc. characterizes the doc
- Sort <supershingle, docID> pairs: docs sharing a supershingle are similar => our first paradigm

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## Pros and Cons of Supershingles

+ Faster
- Problems with small documents – not enough shingles
- Can't do containment
  Shingles of superset that are not in subset break up sequence of shingle values

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## Using with Web Crawling

- Want know if new doc. too similar to ones seen
- What this calculation look like?

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## Using with Web Crawling

- Want know if new doc. too similar to ones seen
- No clustering required
  - efficient look-up?
- calculate sketch or supershingle of new document
- Look up to see if have similar document
  - or similar document that is fresh enough

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## Variations of shingling

- Can define different ways to do sampling
- Studies in original paper used modular arithmetic
  - sketch formed by taking shingle hash values mod some selected m

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## Original experiments (1996) by Broder et. al.

- 30 million HTML and text docs (150GB) from Web crawl
- 10-word shingles
- 600 million shingles (3GB)
- 40-bit shingle "fingerprints"
- Sketch using 4% shingles (variation of alg. we've seen)
- Used count of shingles for similarity
- Using threshold *t* = 50%, found
  - 3.6 million clusters of 12.3 million docs
  - 2.1 million clusters of identical docs – 5.3 million docs
  - remaining 1.5 million clusters mixture:
    "exact duplicates and similar"

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