

# Announcements

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## Exam Regrades

- Due by Wednesday's lecture.

## Teaching Experiment: Dynamic Deadlines (WordNet)

- Right now, WordNet is due at 11 PM on April 8th.
- Starting Tuesday at 11 PM:
  - Every submission that passes all Dropbox tests shortens the time limit by 30 minutes.
  - Maximum of 12 hours per day.
  - 3 hour grace period still applies.
- Email will be sent out every night at midnight with new deadline.
- I am lying.

“Dynamic Deadlines for Encouraging Earlier Participation on Assignments,” Garcia, Dan. SIGCSE 2013  
<http://db.grinnell.edu/sigcse/sigcse2013/Program/viewAcceptedSession.asp?sessionID=7220>



<http://algs4.cs.princeton.edu>

## 4.3 MINIMUM SPANNING TREES

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- ▶ *MST Basics, Kruskal, Prim*
- ▶ *Why Kruskal and Prim work*
- ▶ *Kruskal Implementation*
- ▶ *Prim Implementation*
- ▶ *Harder Problems*



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## 4.3 MINIMUM SPANNING TREES

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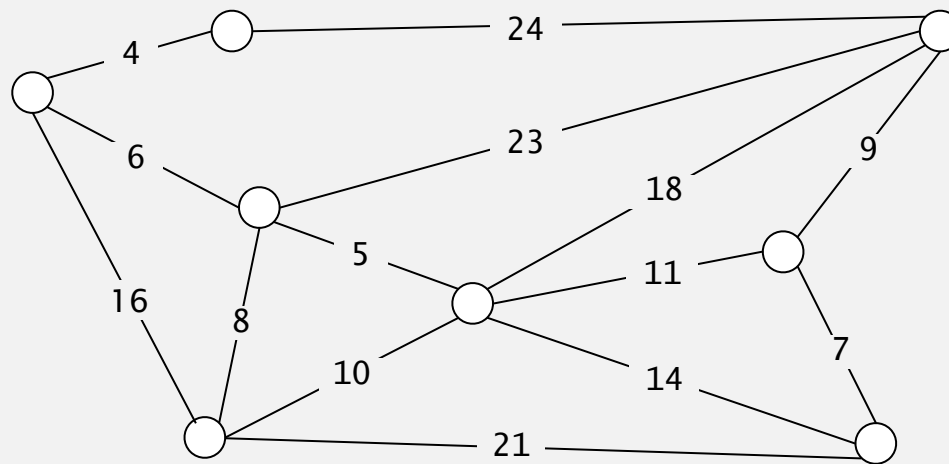
# Minimum spanning tree and edge weighted graphs

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**Given.** Undirected graph  $G$  with positive edge weights (connected).

**Def.** A **spanning tree** of  $G$  is a subgraph  $T$  that is connected and acyclic.

**Goal.** Find a min weight spanning tree.



**graph G**

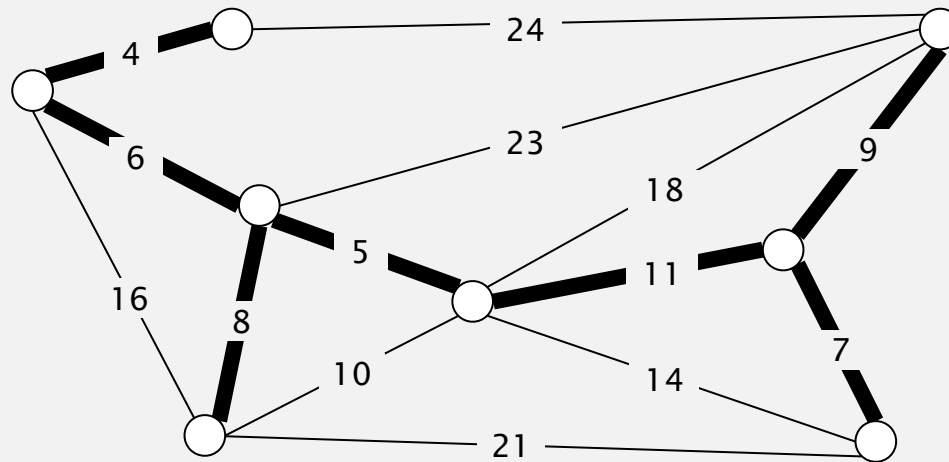
# Minimum spanning tree

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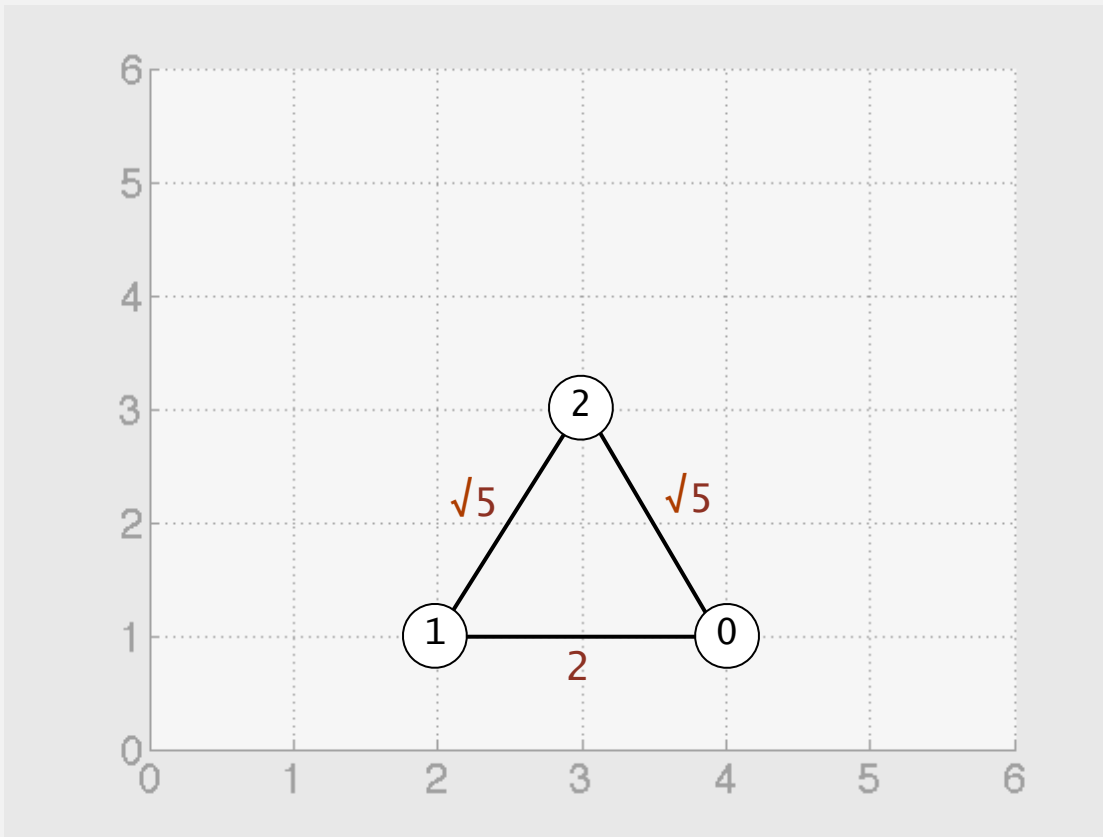
spanning tree  $T$ :  $\text{cost} = 50 = 4 + 6 + 8 + 5 + 11 + 9 + 7$

**Brute force.** Try all spanning trees? There are  $\sim V^V$  of them.

# Drawing conventions

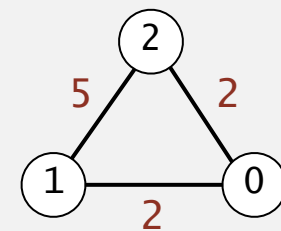
**Textbook Convention.** Edges are drawn with length proportional to weight.

**Constraint.** This convention constrains the set of possible graphs.



Allowable graph

Can be drawn with length = weight



Allowable graph

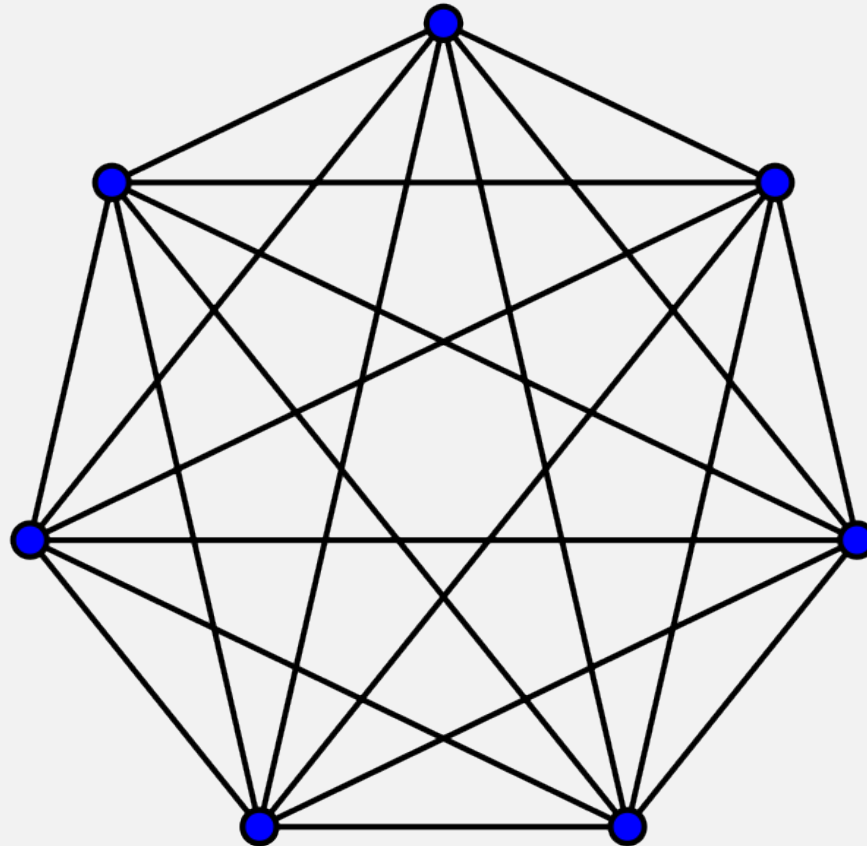
Cannot be drawn with length = weight

## Drawing convention

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**Textbook Convention #2.** Edges are straight lines and never cross.

**Constraint.** This convention constrains the set of possible graphs.



[http://en.wikipedia.org/wiki/File:Complete\\_graph\\_K7.svg](http://en.wikipedia.org/wiki/File:Complete_graph_K7.svg)

Textbook graphs typically avoid crossings because they're hard to read

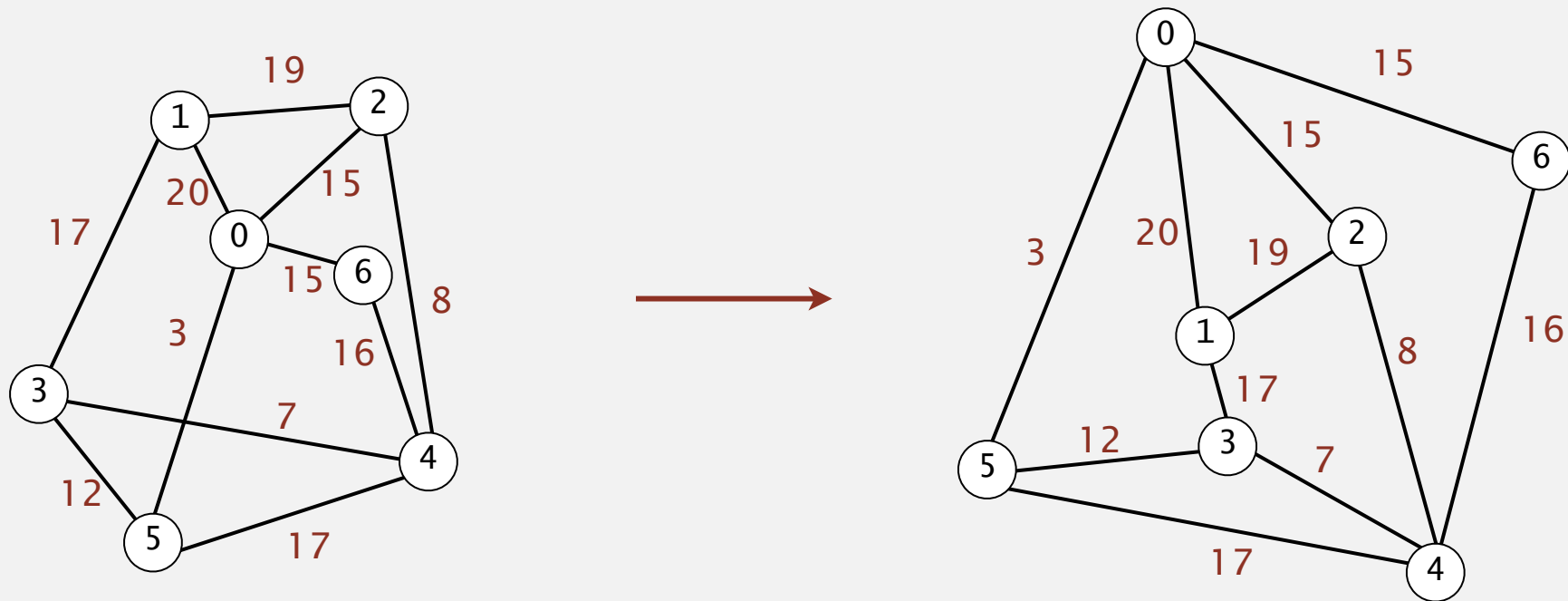
## Drawing convention

---

**Textbook Convention #2.** Edges are straight lines and never cross.

**Constraint.** This convention constrains the set of possible graphs.

Q: How hard is it to determine whether a graph can be redrawn in a plane?



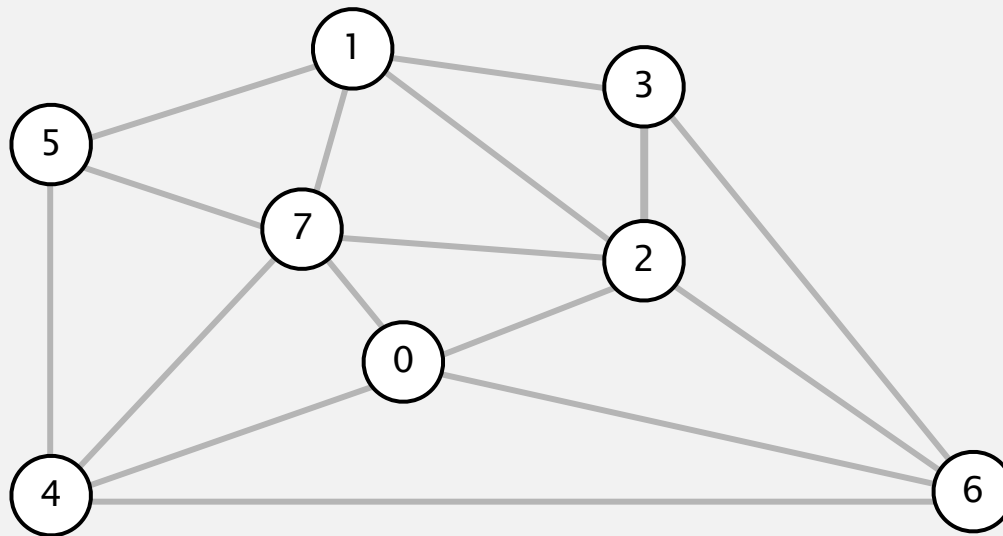
<http://www.cs.princeton.edu/courses/archive/spring13/cos226/studyGuide.html>



# Kruskal's algorithm demo

Consider edges in ascending order of weight.

- Add next edge to tree  $T$  unless doing so would create a cycle.



an edge-weighted graph

graph edges  
sorted by weight

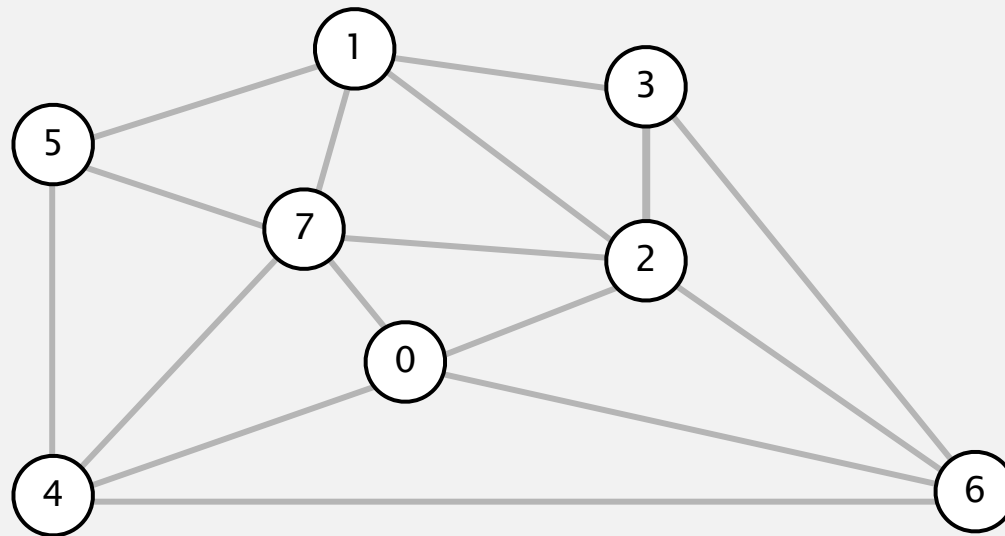


|     |      |
|-----|------|
| 0-7 | 0.16 |
| 2-3 | 0.17 |
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| 2-7 | 0.34 |
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| 6-4 | 0.93 |

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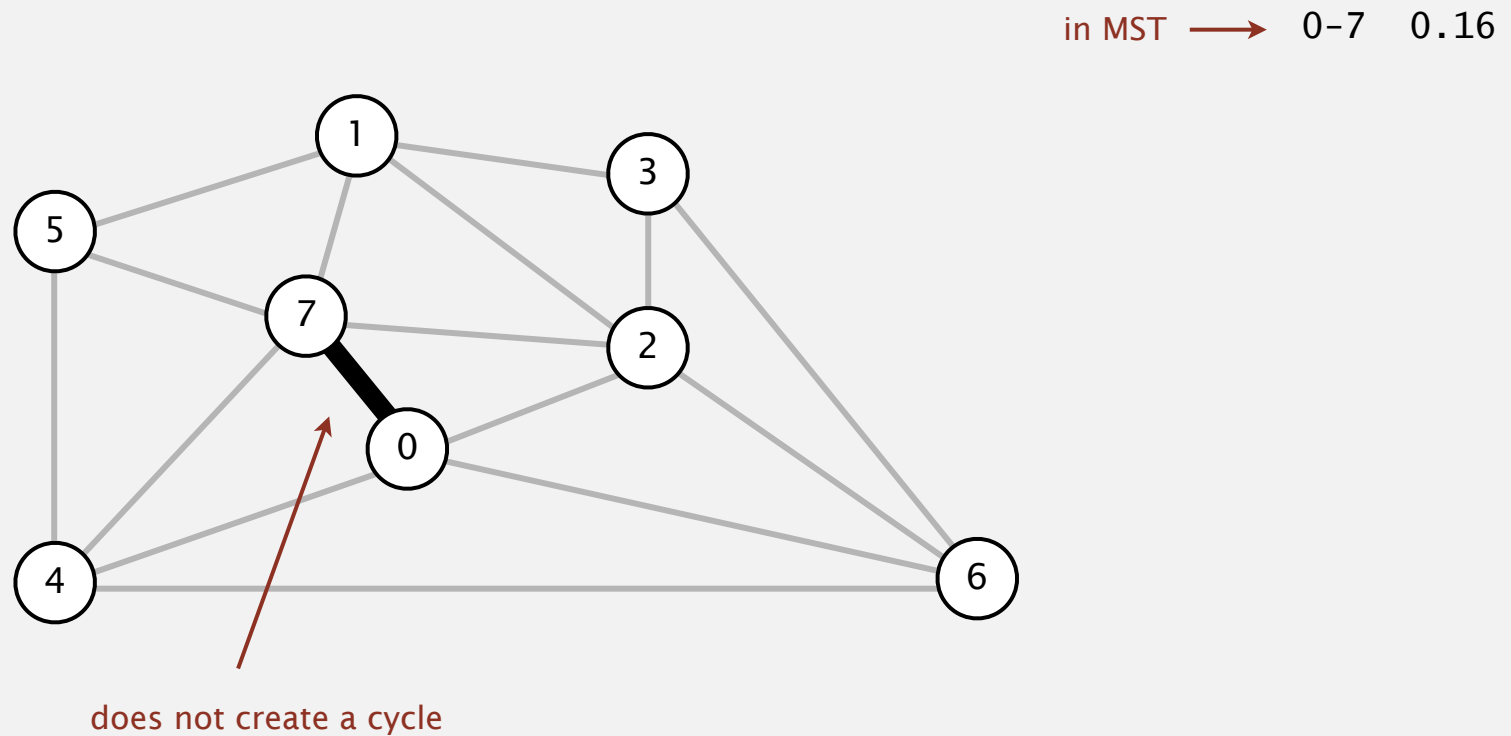
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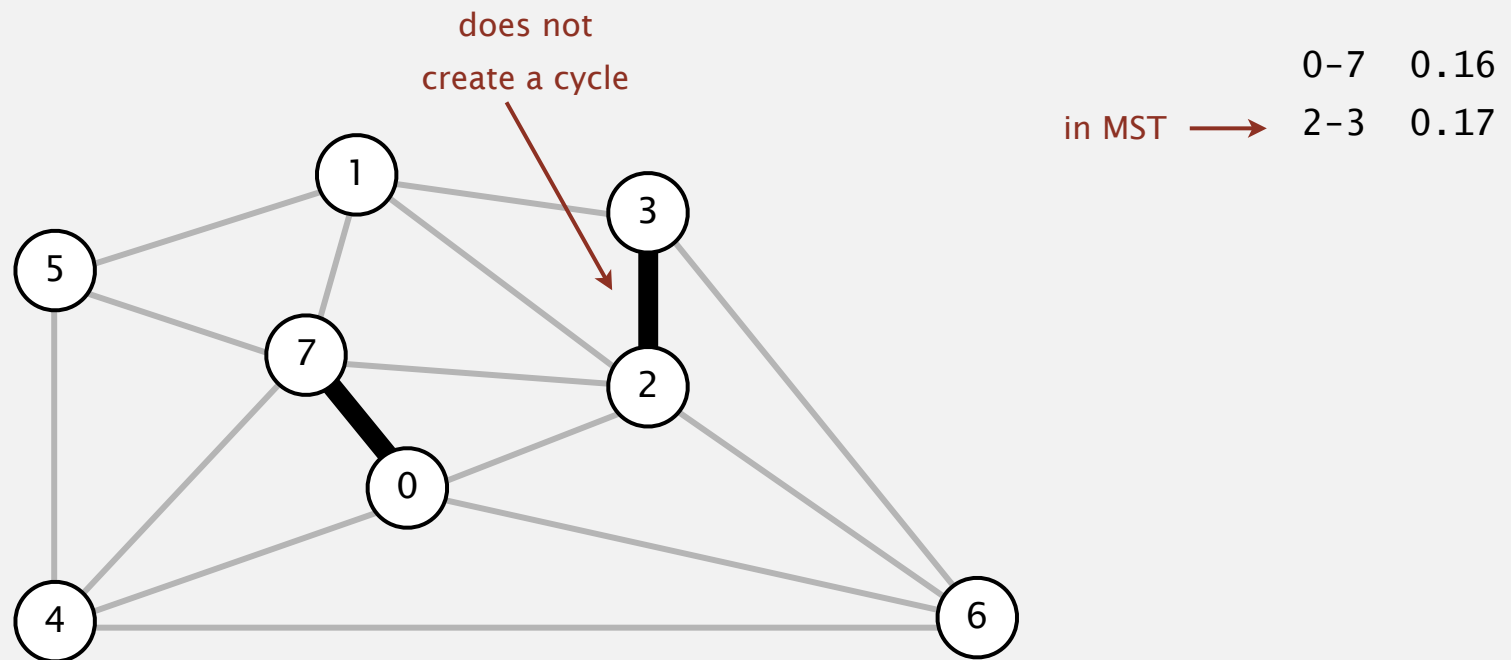


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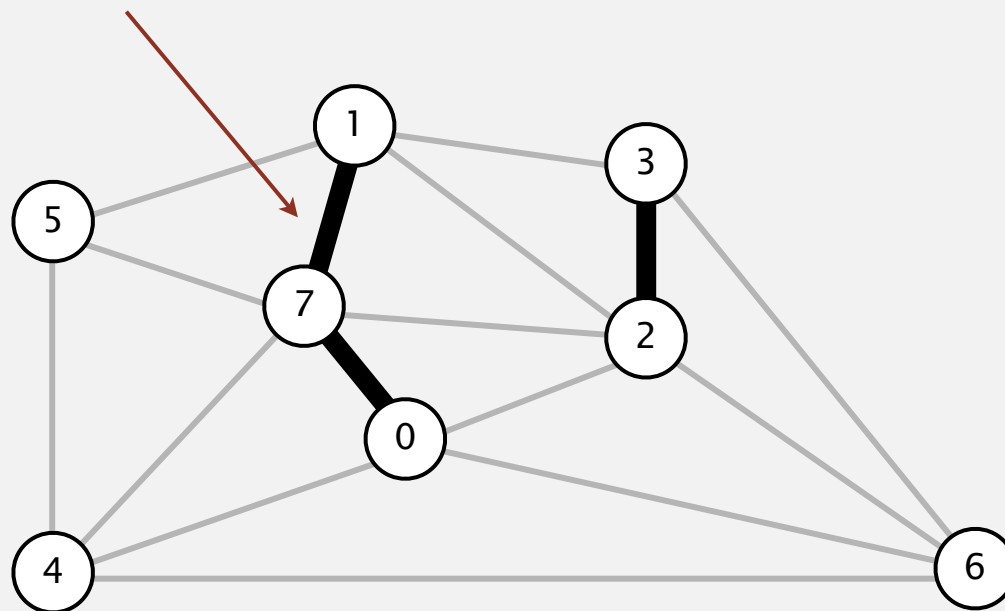


# Kruskal's algorithm demo

Consider edges in ascending order of weight.

- Add next edge to tree  $T$  unless doing so would create a cycle.

does not create a cycle



|          |     |      |
|----------|-----|------|
|          | 0-7 | 0.16 |
|          | 2-3 | 0.17 |
| in MST → | 1-7 | 0.19 |

[pollEv.com/jhug](http://pollEv.com/jhug)

text to **37607**

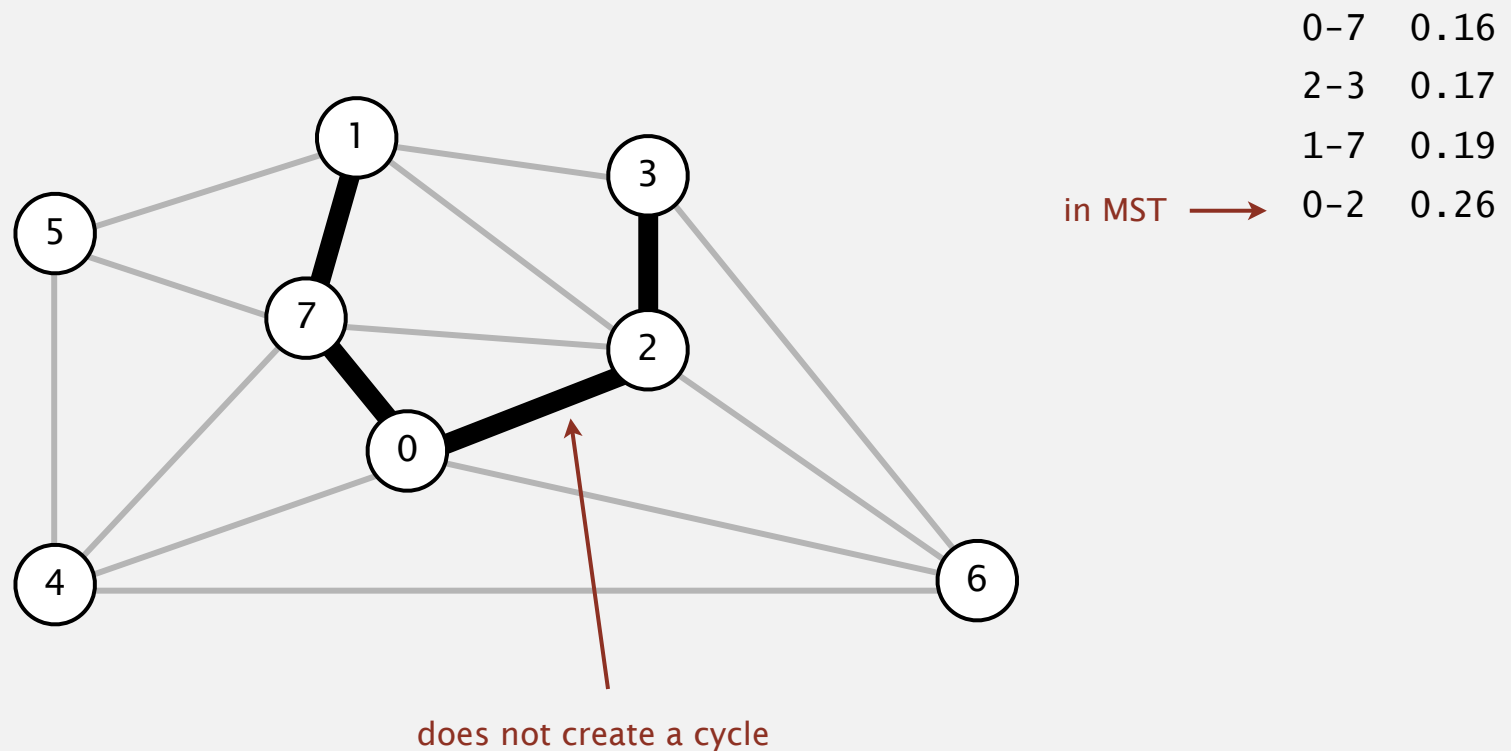
Q: Which edge comes next?

- A. 4-5 [127350]
- B. 4-0 [127809]
- C. 2-0 [127963]

# Kruskal's algorithm demo

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- Add next edge to tree  $T$  unless doing so would create a cycle.



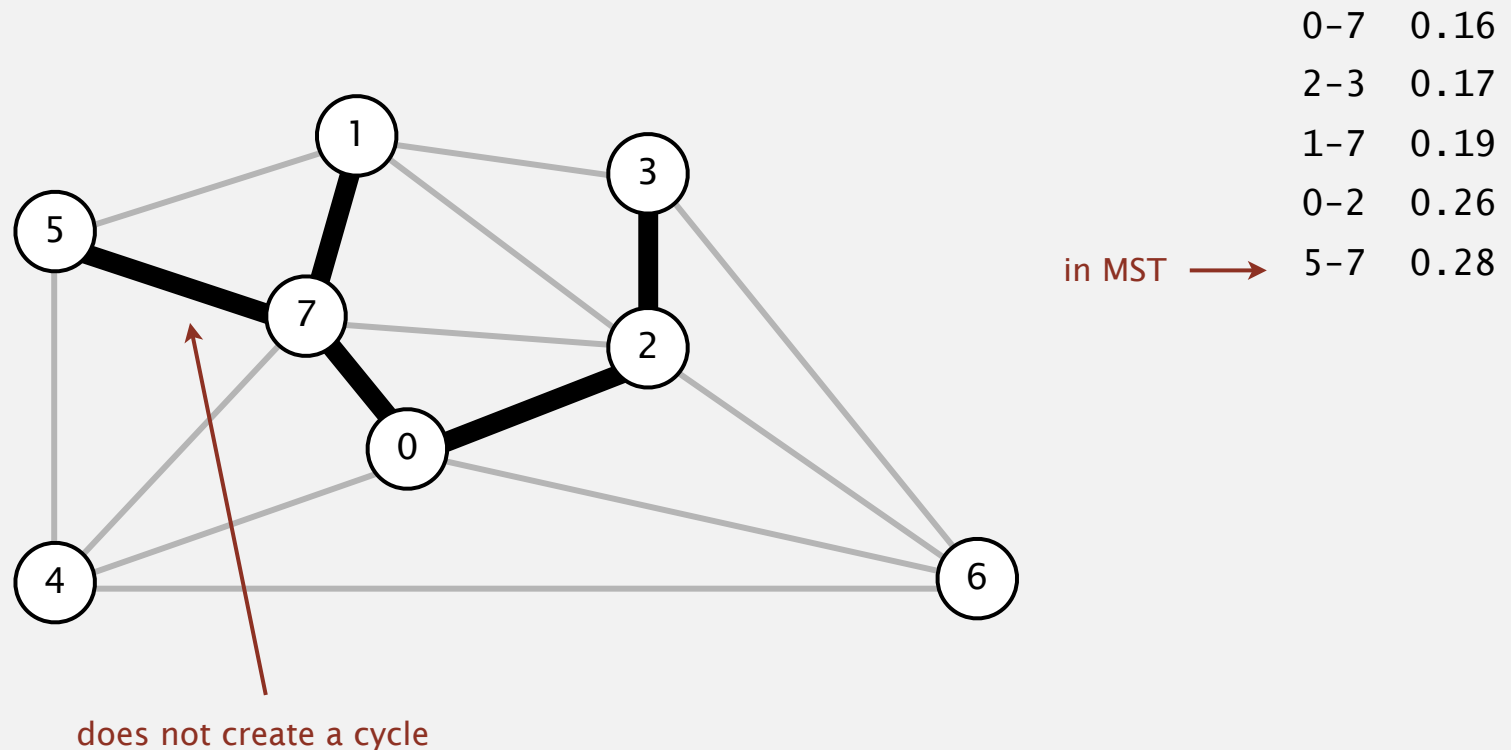
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C. 2-0 [127963]

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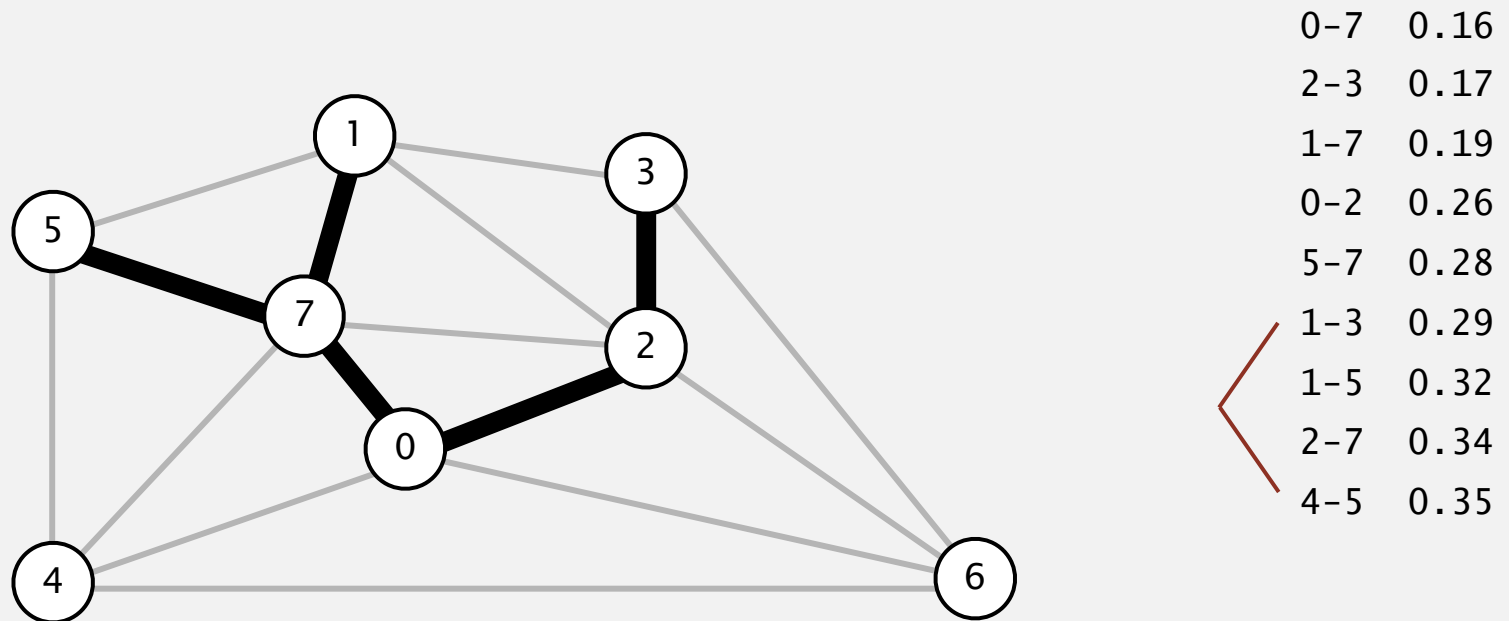
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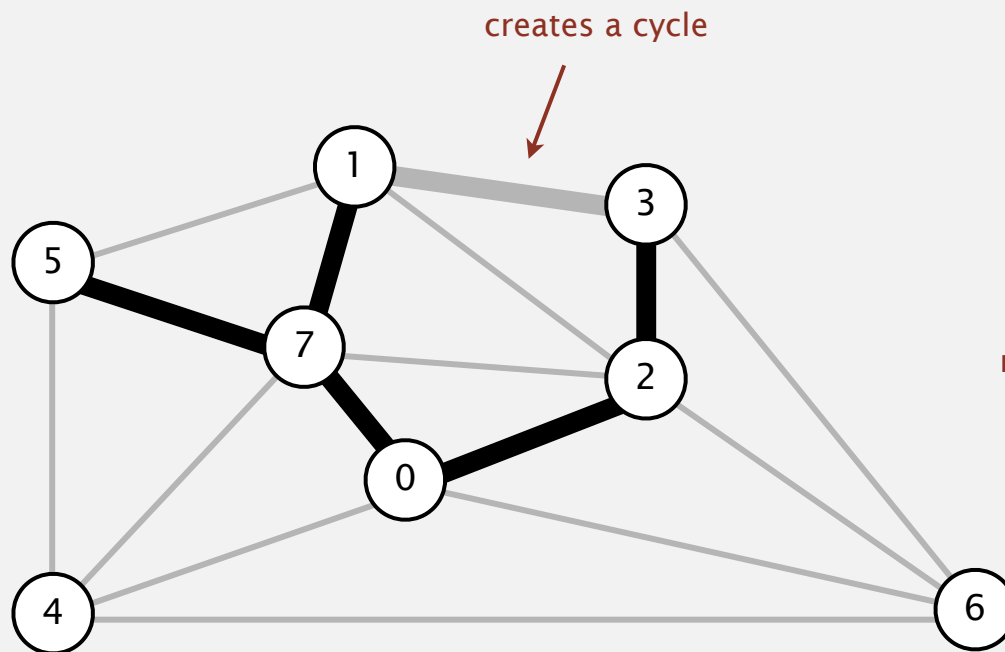
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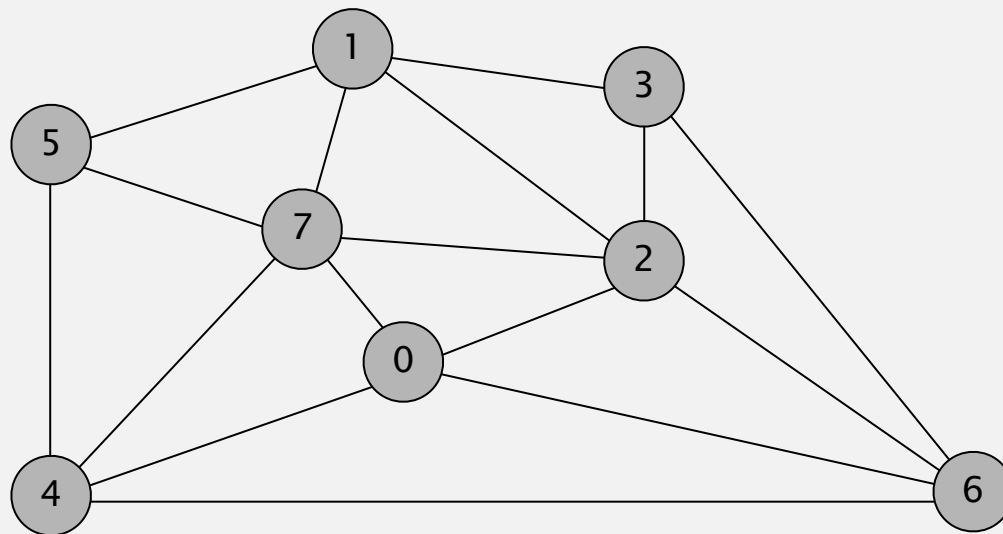
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# Prim's algorithm demo

- Start with vertex 0 and greedily grow tree  $T$ .
- Add to  $T$  the min weight edge with exactly one endpoint in  $T$ .
- Repeat until  $V - 1$  edges.



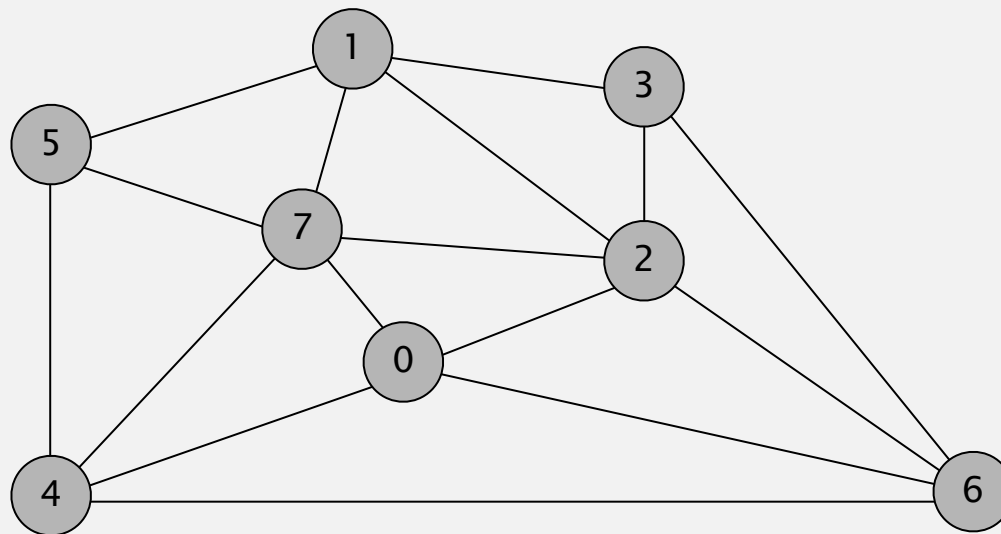
an edge-weighted graph

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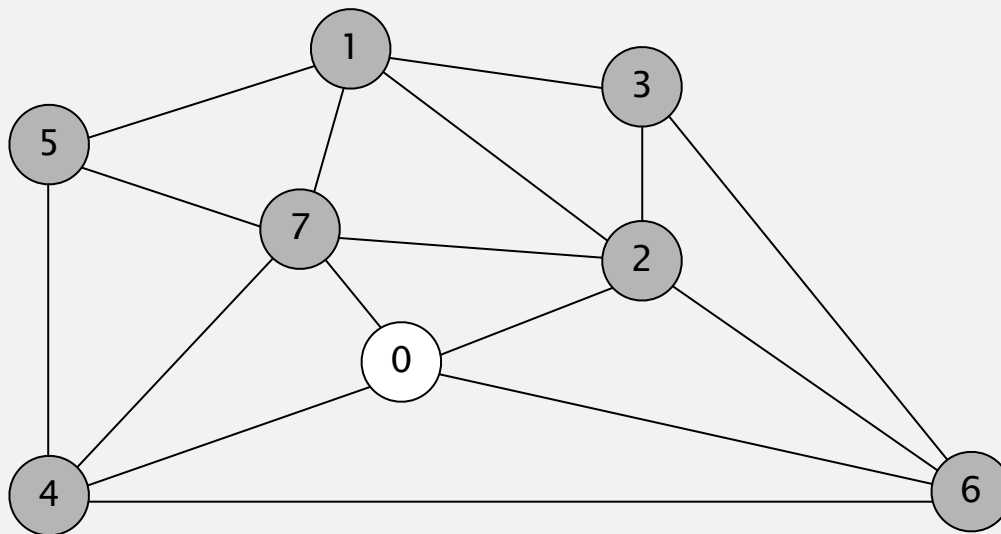
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## Prim's algorithm demo

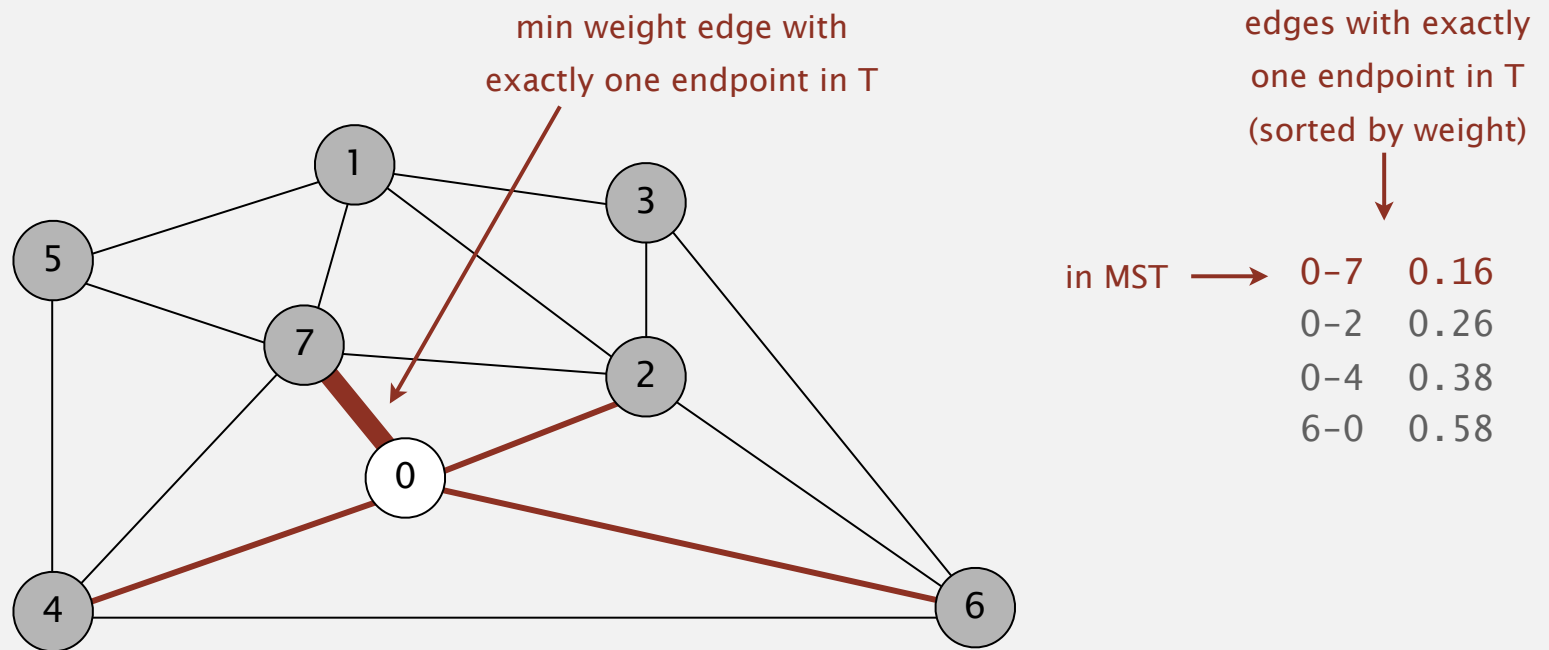
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# Prim's algorithm demo

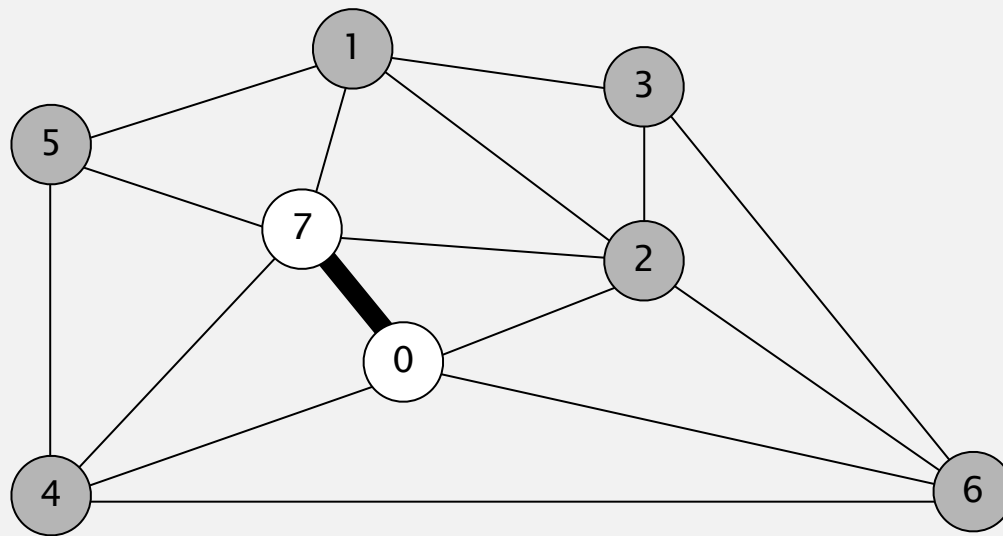
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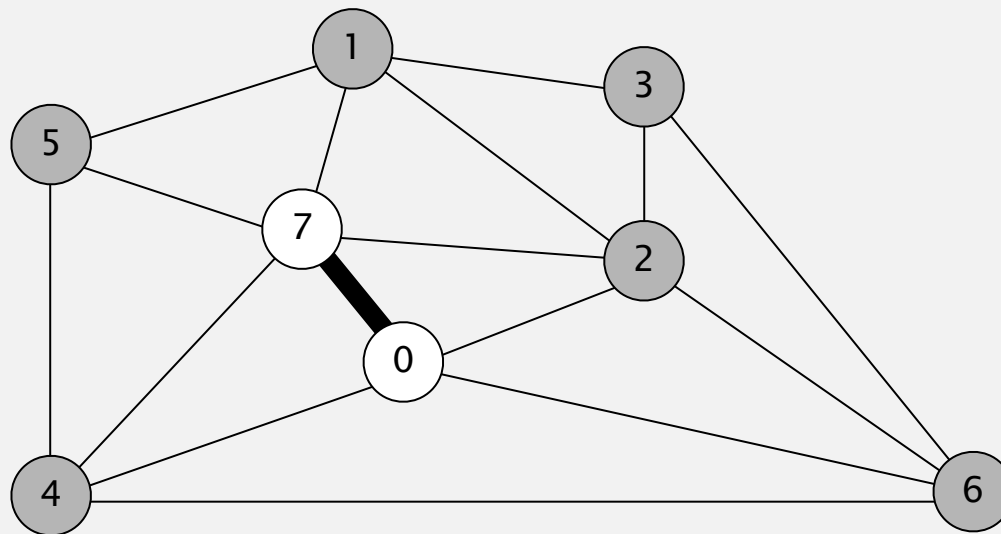


**MST edges**

**0-7**

# Prim's algorithm demo

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[pollEv.com/jhug](http://pollEv.com/jhug)

text to 37607

Q: Which edge is added next to the MST?

A. 2-3

[149931]

C. 6-0

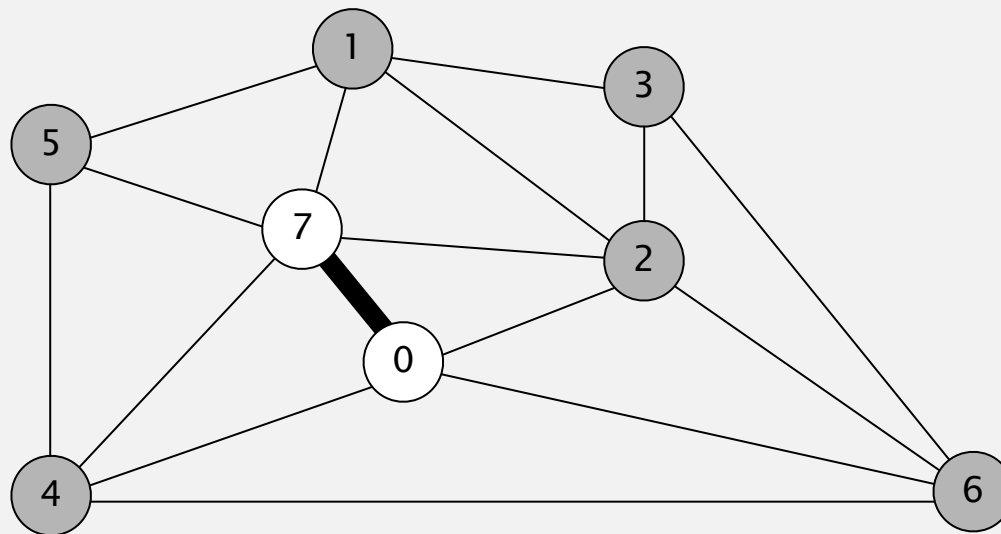
[149934]

B. 1-7

[149933]

# Prim's algorithm demo

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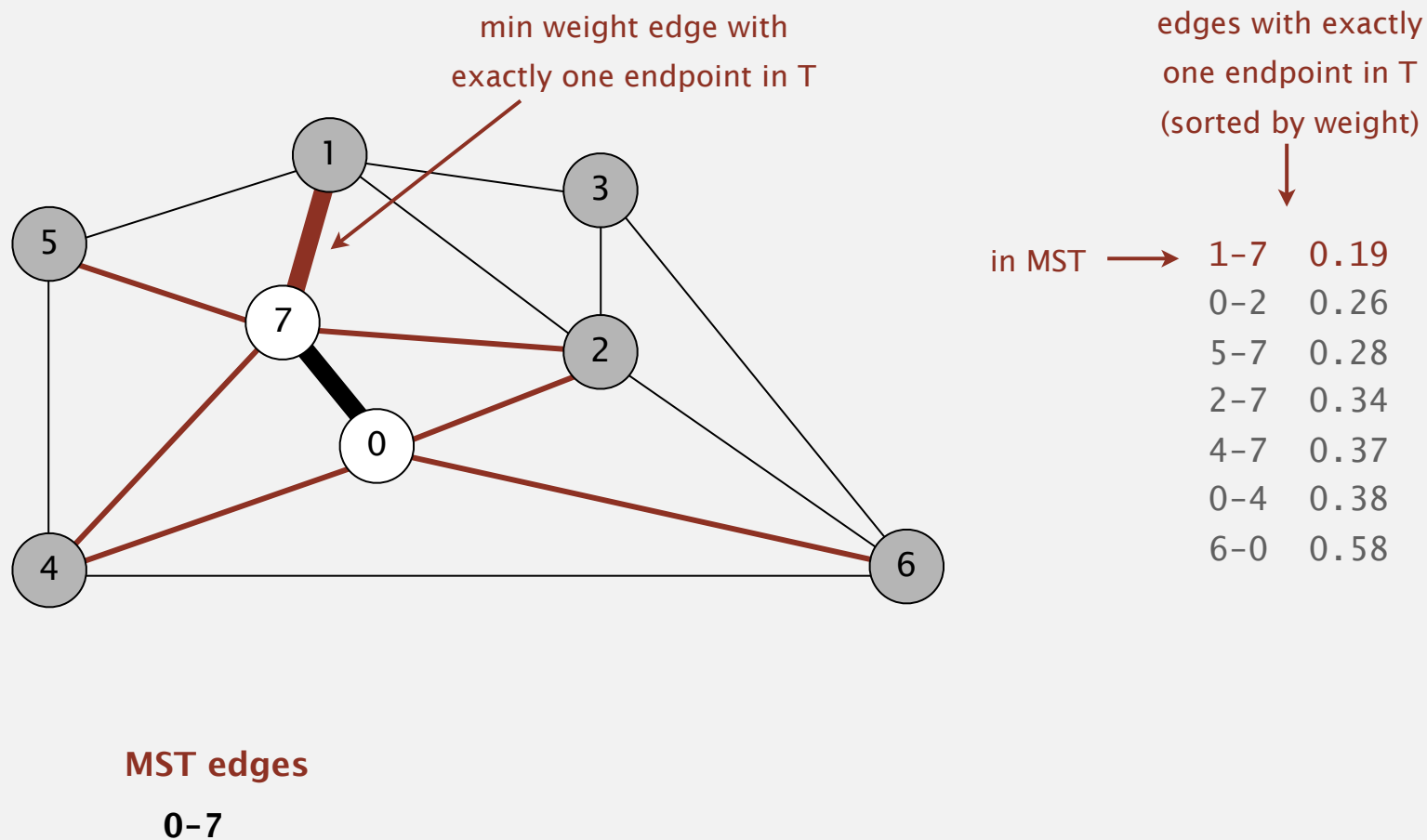
Q: Which edge is added next to the MST?

B. 1-7



# Prim's algorithm demo

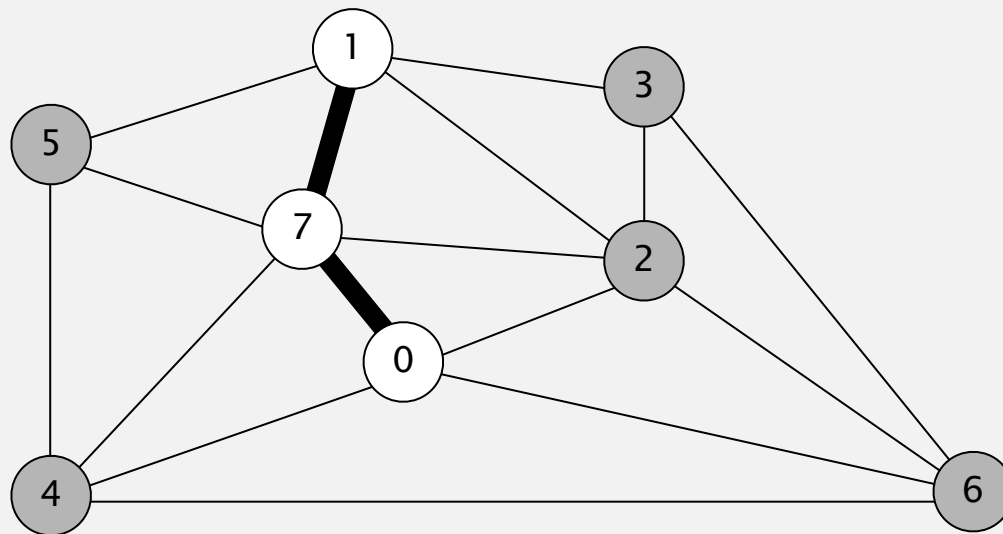
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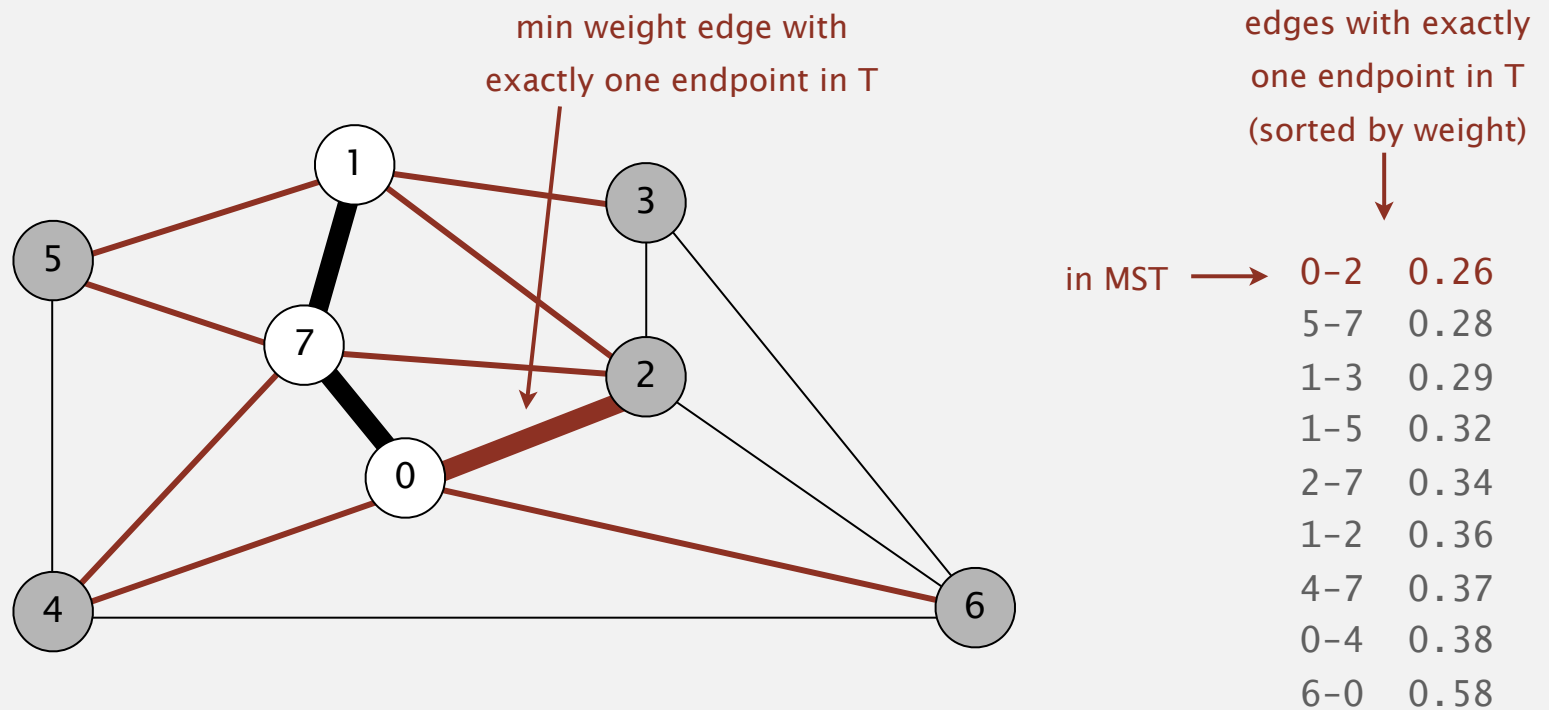


**MST edges**

**0-7 1-7**

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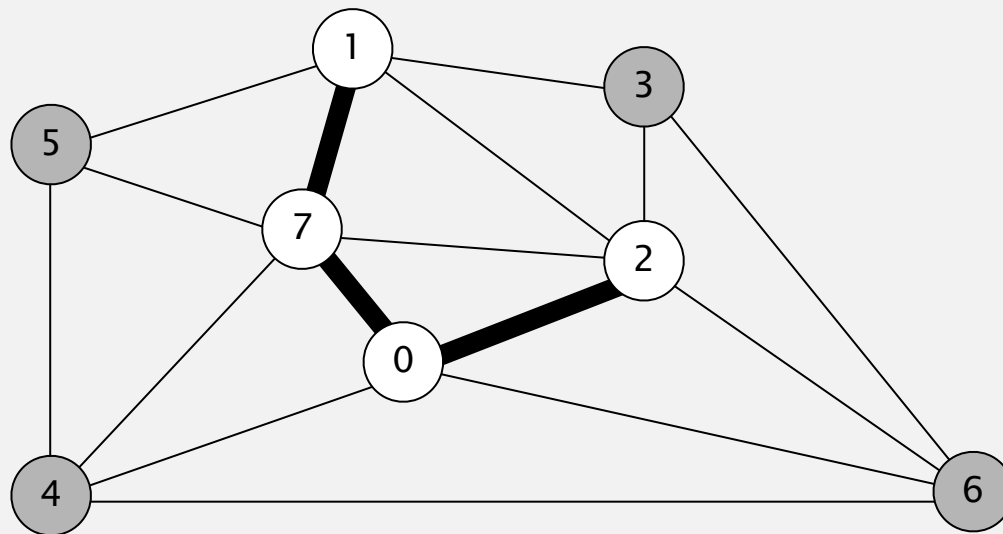
**MST edges**

**0-7 1-7**

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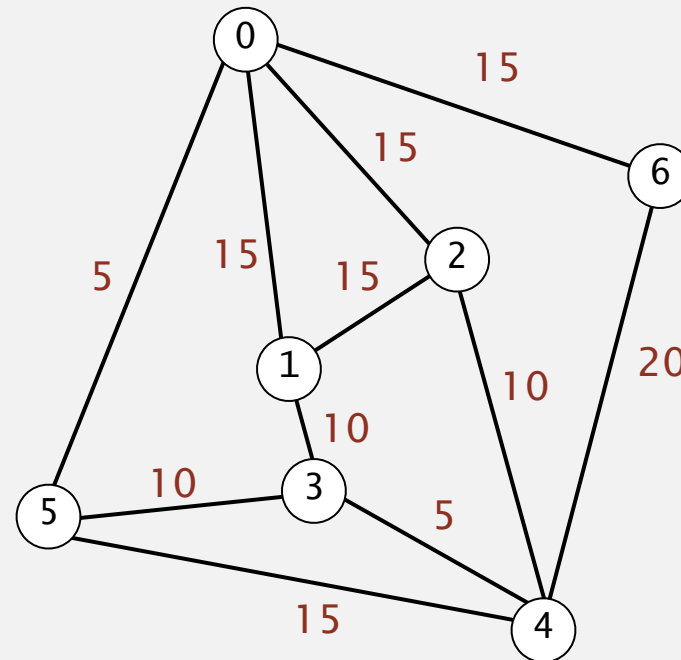


**MST edges**

**0-7 1-7 0-2**

# MST

---



[pollEv.com/jhug](http://pollEv.com/jhug)

text to 37607

Q: What is the weight of the MST?

A. 45 [540123]

B. 50 [540124]

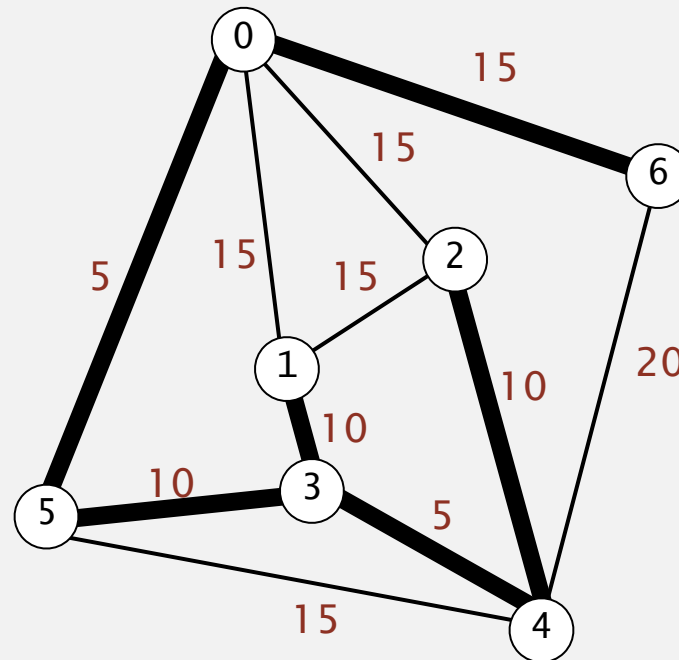
C. 55 [520104]

D. 60 [520105]

E. 65 [370101]

# MST

---



[pollEv.com/jhug](http://pollEv.com/jhug)

text to 37607

Q: What is the weight of the MST?

C. 55



<http://algs4.cs.princeton.edu>

## 4.3 MINIMUM SPANNING TREES

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- ▶ *What*
- ▶ *Why Kruskal and Prim work*
- ▶ *How - Kruskal's (data structures)*
- ▶ *How - Prim's (data structures)*
- ▶ *context*

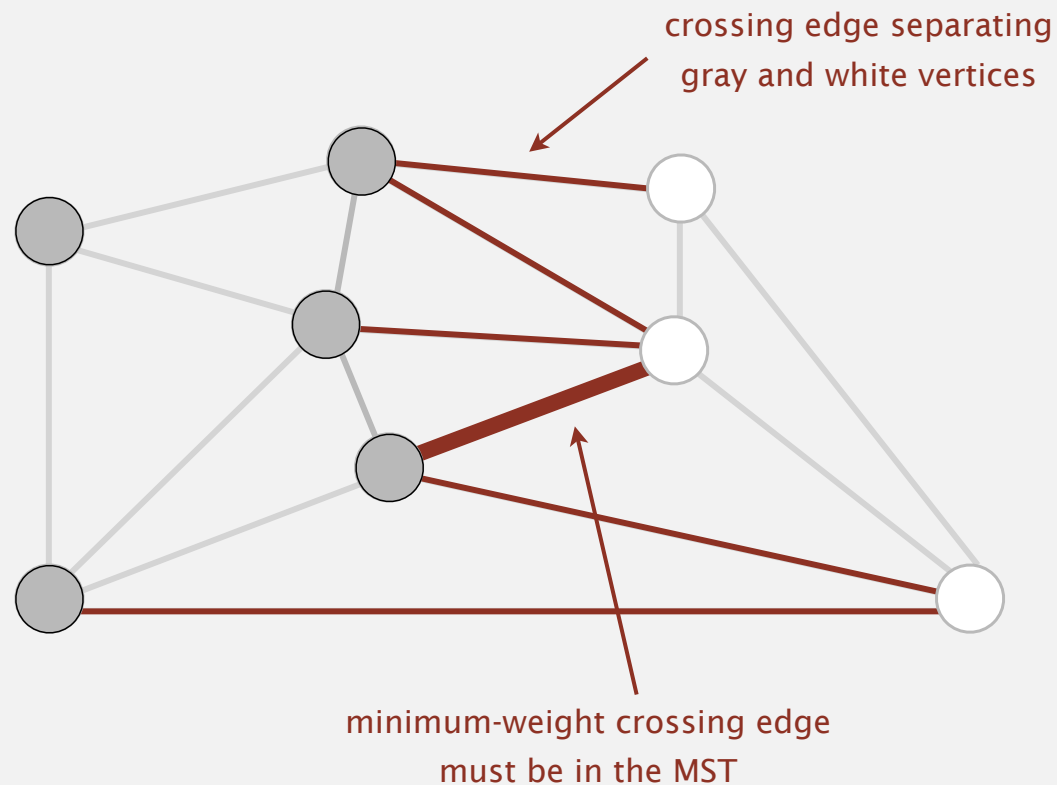
# Cut property

---

**Def.** A **cut** in a graph is a partition of its vertices into two (nonempty) sets.

**Def.** A **crossing edge** connects a vertex in one set with a vertex in the other.

**Cut property.** Given any cut, the crossing edge of min weight is in the MST.





## Cut property: correctness proof

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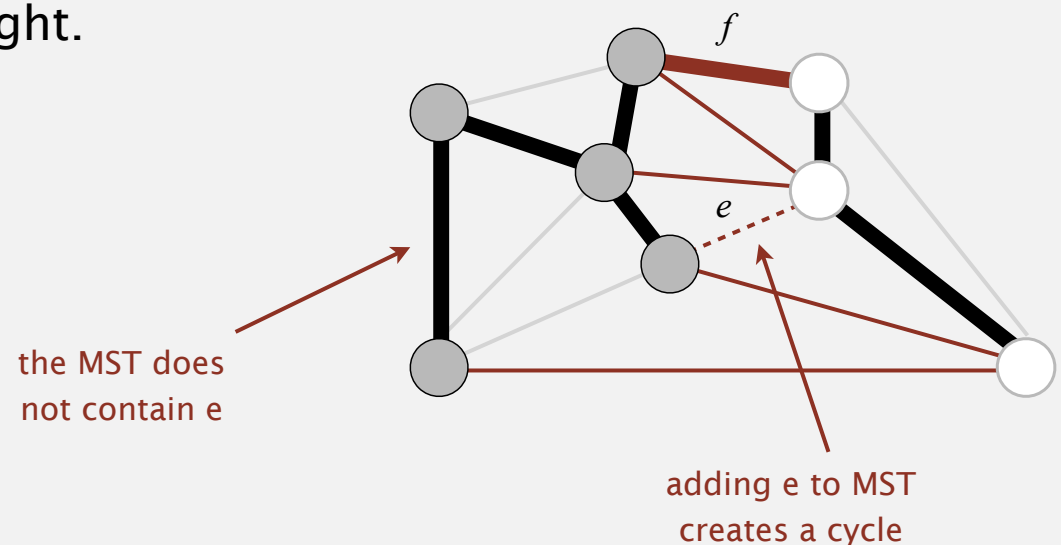
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**Pf.** Suppose min-weight crossing edge  $e$  is not in the MST.

- Adding  $e$  to the MST creates a cycle.
- Some other edge  $f$  in cycle must be a crossing edge.
- Removing  $f$  and adding  $e$  is also a spanning tree.
- Since weight of  $e$  is less than the weight of  $f$ , that spanning tree is lower weight.
- Contradiction. ▀

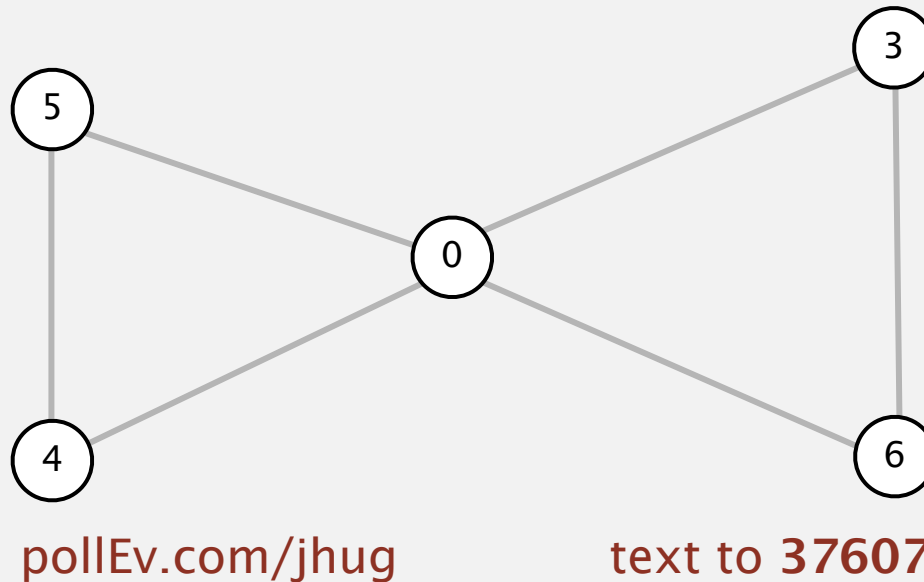


## Cut property

---

**Def.** A **cut** in a graph is a partition of its vertices into two (**nonempty**) sets.

**Def.** A **crossing edge** connects a vertex in one set with a vertex in the other.



Q: How many distinct cuts are there for the graph above?

- |       |          |       |          |
|-------|----------|-------|----------|
| A. 7  | [229703] | D. 16 | [229801] |
| B. 14 | [229704] | E. 30 | [229802] |
| C. 15 | [229705] | F. 32 | [229803] |

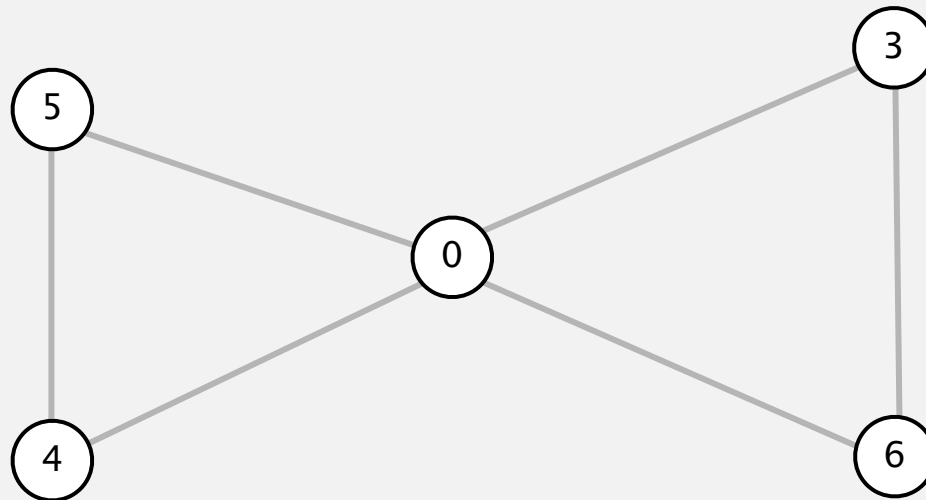
Extra: How does the number of distinct cuts grow with  $V$  for a general graph?

## Cut property

---

**Def.** A **cut** in a graph is a partition of its vertices into two (**nonempty**) sets.

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Q: How many distinct cuts are there for the graph above? C. 15

Choice of cut is basically a 5 bit binary number: 32 total choices.

Two of these involve an empty set. Total -> 30.

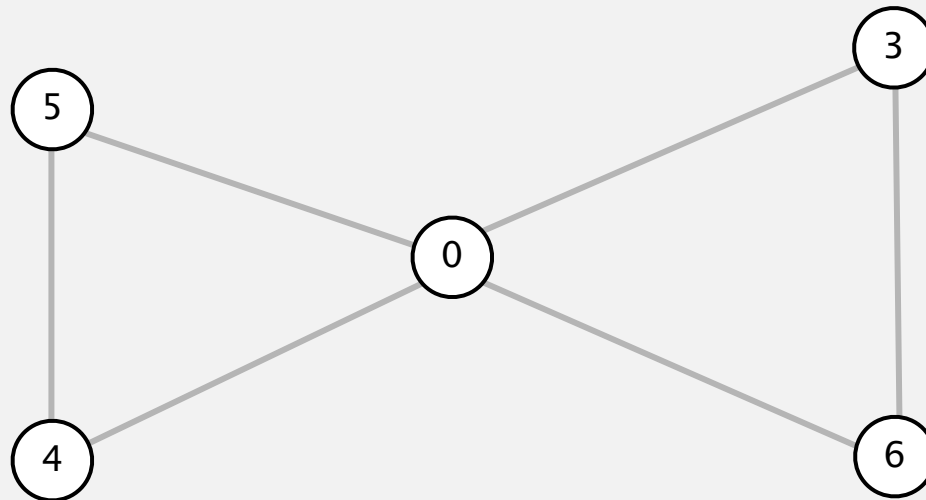
Half are redundant (e.g. 00100 is the same thing as 11011). Total -> 15.

## Cut property

---

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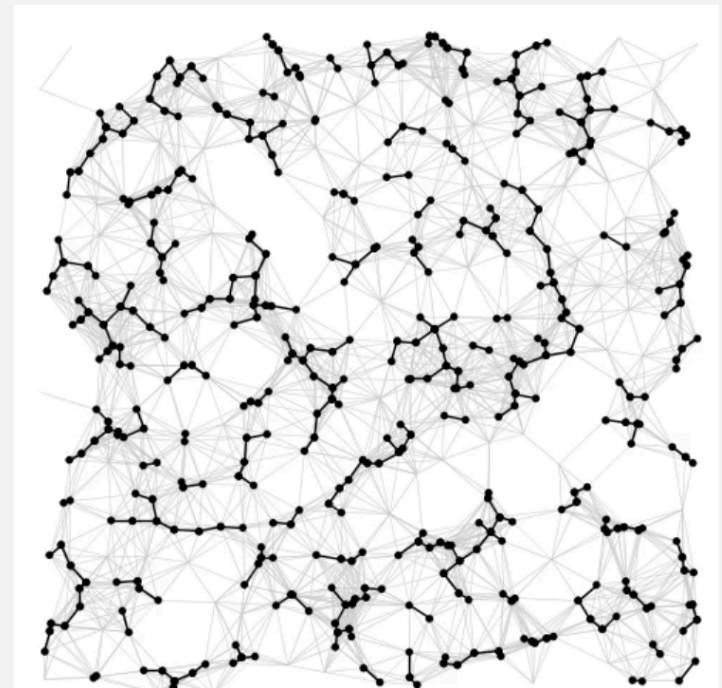
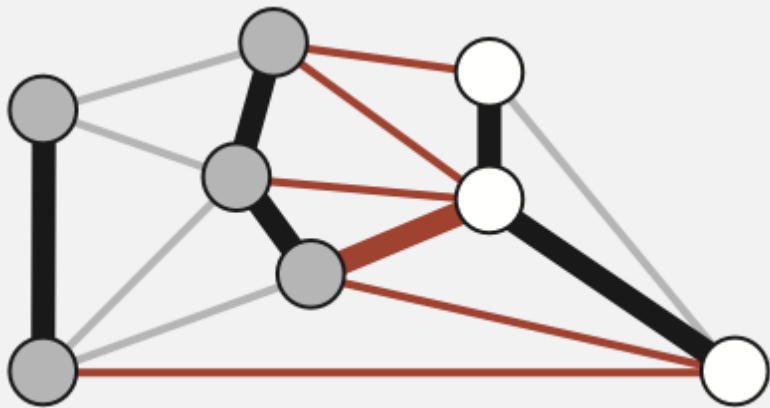
$2^{V-1}-1$

# 226 MST algorithms



## Fundamental Idea

- Our algorithms grow an MSSapling until it becomes a full MST.
- The MSSapling starts as  $V$  disjoint components.
- Each step of the algorithm connects two MSSapling components.
  - Given 2 **cuts**, always connect by the smallest connecting edge.
  - This smallest edge belongs to MST by cut property.
  - Each connection reduces number of components by 1.
- Once the MSSapling has 1 component, it is the MST.



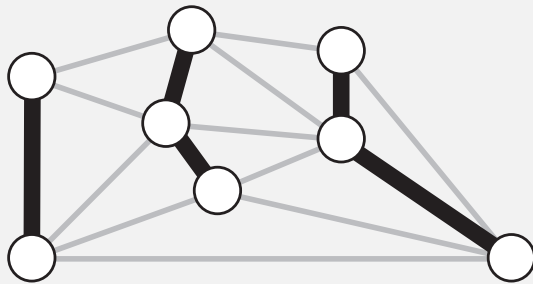
# Greedy MST algorithm: correctness proof

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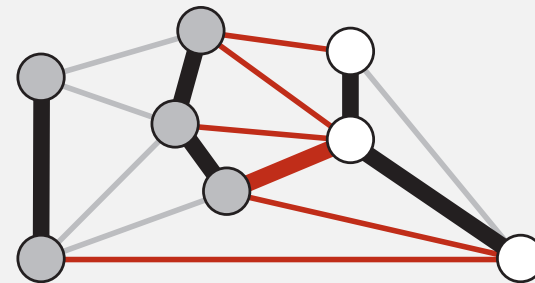
**Proposition.** Once the MSSapling has 1 component, it is the MST.

**Pf.**

- Any edge in the MSSapling is in the MST (via cut property).
- Fewer than  $V - 1$  black edges  $\Rightarrow$  There is more than one component.



*fewer than  $V-1$  edges colored black*



*a cut with no black crossing edges*



<http://algs4.cs.princeton.edu>

## 4.3 MINIMUM SPANNING TREES

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- ▶ *Prim Implementation*
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## 226 MST algorithms

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### Kruskal's and Prim's

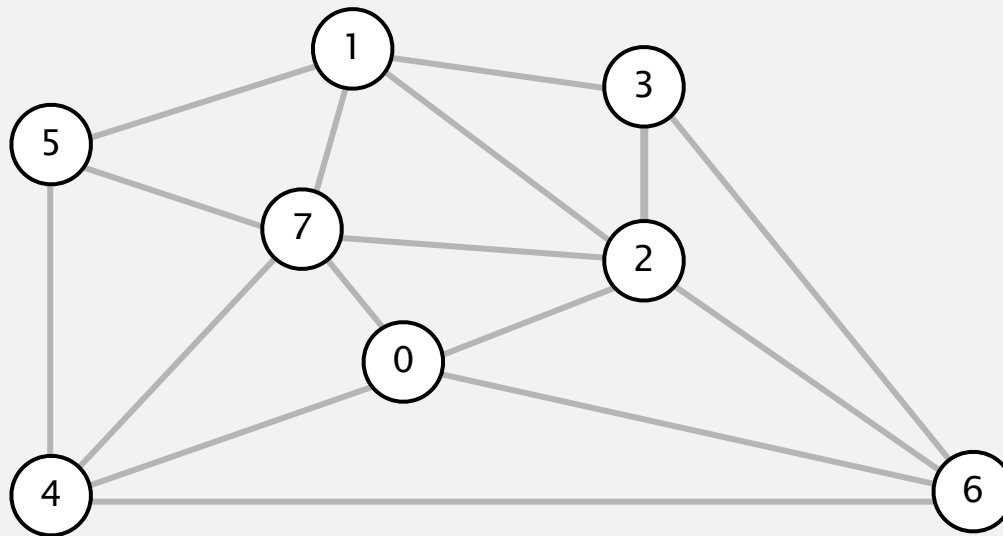
- Specific ways to pick our two MSSapling components.



# Kruskal's algorithm demo

Consider edges in ascending order of weight.

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an edge-weighted graph

graph edges  
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| 2-3 | 0.17 |
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| 0-2 | 0.26 |
| 5-7 | 0.28 |
| 1-3 | 0.29 |
| 1-5 | 0.32 |
| 2-7 | 0.34 |
| 4-5 | 0.35 |
| 1-2 | 0.36 |
| 4-7 | 0.37 |
| 0-4 | 0.38 |
| 6-2 | 0.40 |
| 3-6 | 0.52 |
| 6-0 | 0.58 |
| 6-4 | 0.93 |

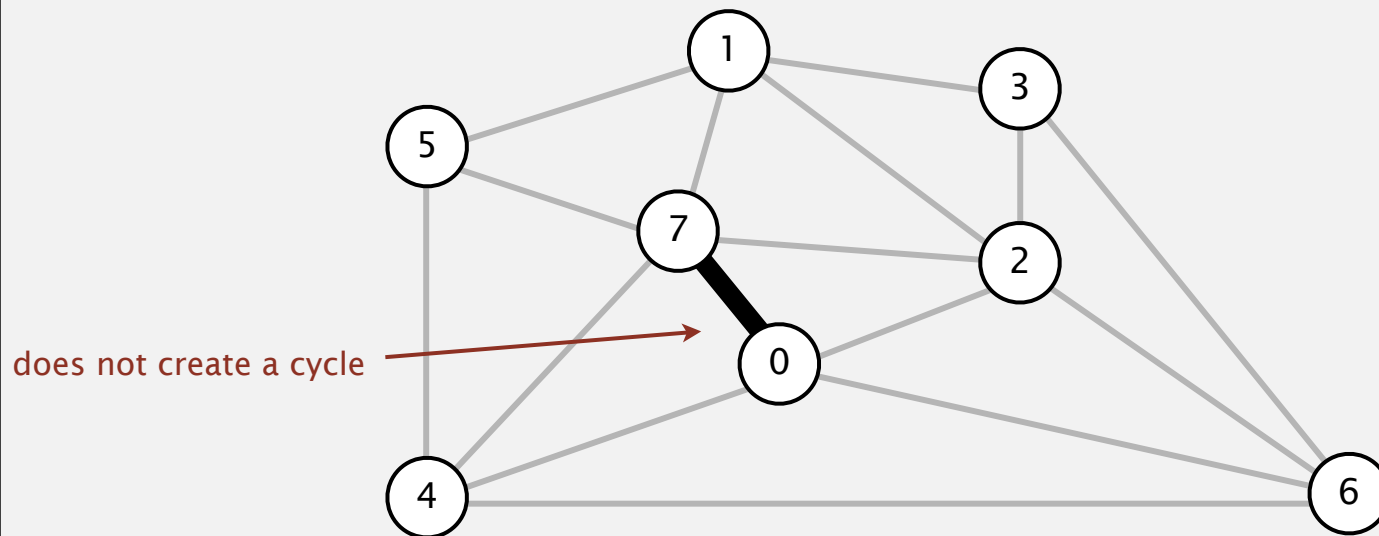
# Kruskal's algorithm demo

---

Consider edges in ascending order of weight.

- Add next edge to tree  $T$  unless doing so would create a cycle (cycle equivalent to having a black crossing edge).

in MST → 0-7 0.16

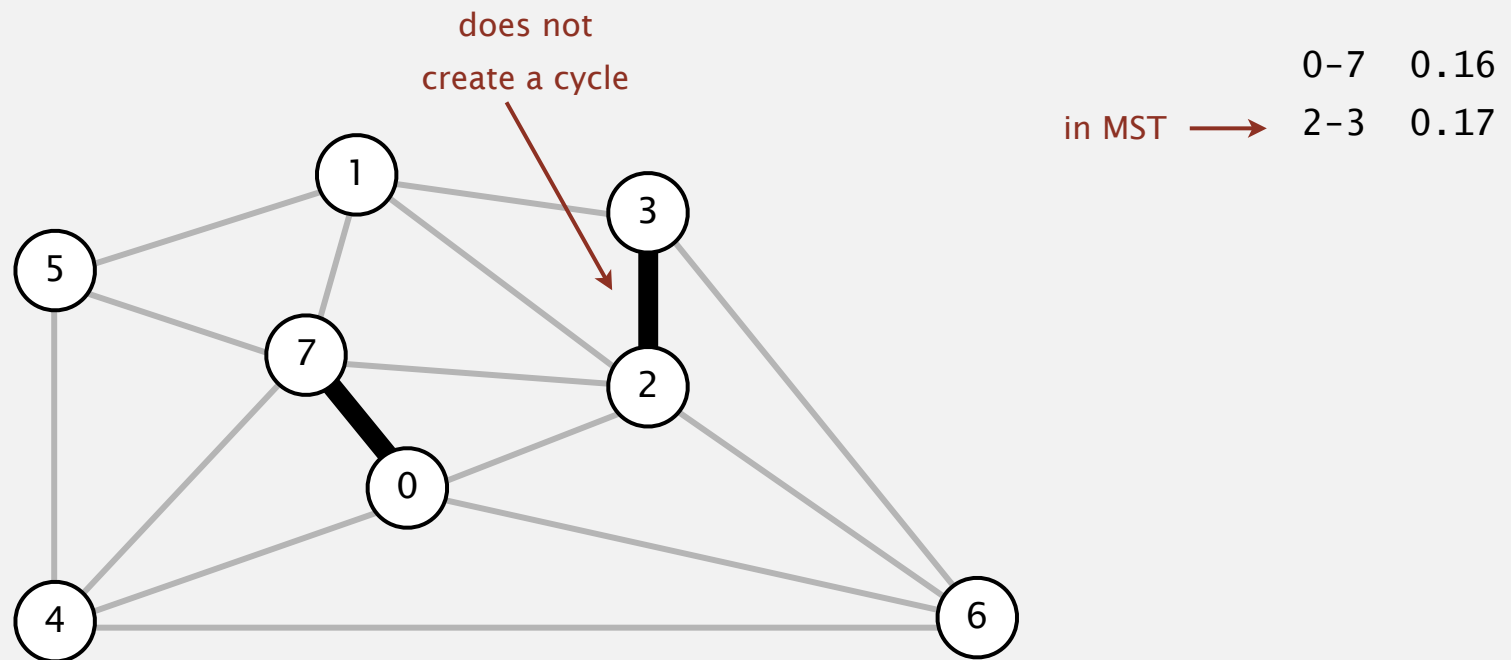


# Kruskal's algorithm demo

---

Consider edges in ascending order of weight.

- Add next edge to tree  $T$  unless doing so would create a cycle (cycle equivalent to having a black crossing edge).

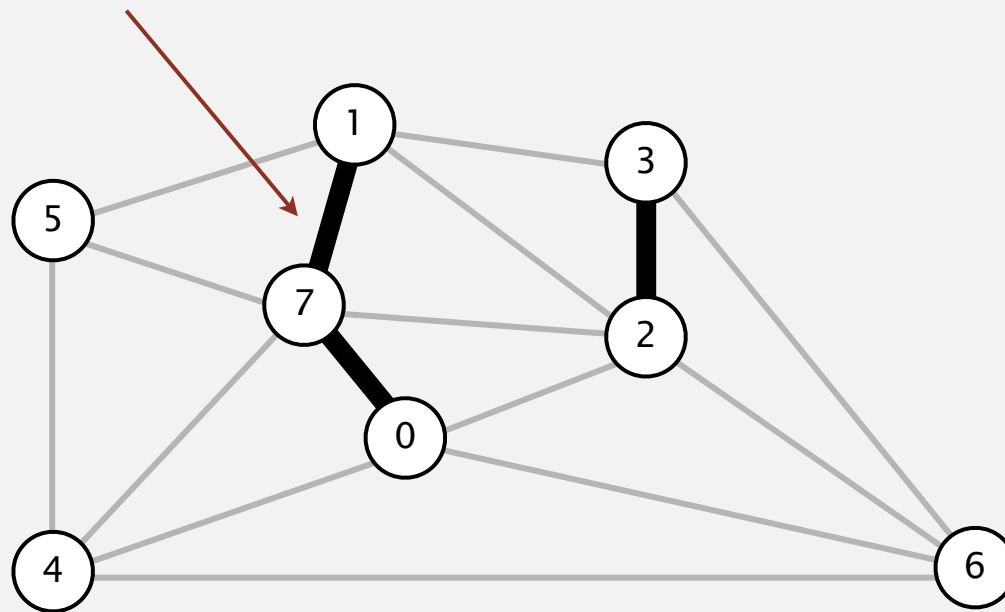


# Kruskal's algorithm demo

Consider edges in ascending order of weight.

- Add next edge to tree  $T$  unless doing so would create a cycle (cycle equivalent to having a black crossing edge).

does not create a cycle



|          |     |      |
|----------|-----|------|
|          | 0-7 | 0.16 |
|          | 2-3 | 0.17 |
| in MST → | 1-7 | 0.19 |

[pollEv.com/jhug](http://pollEv.com/jhug)

text to **37607**

Q: How many components are there?

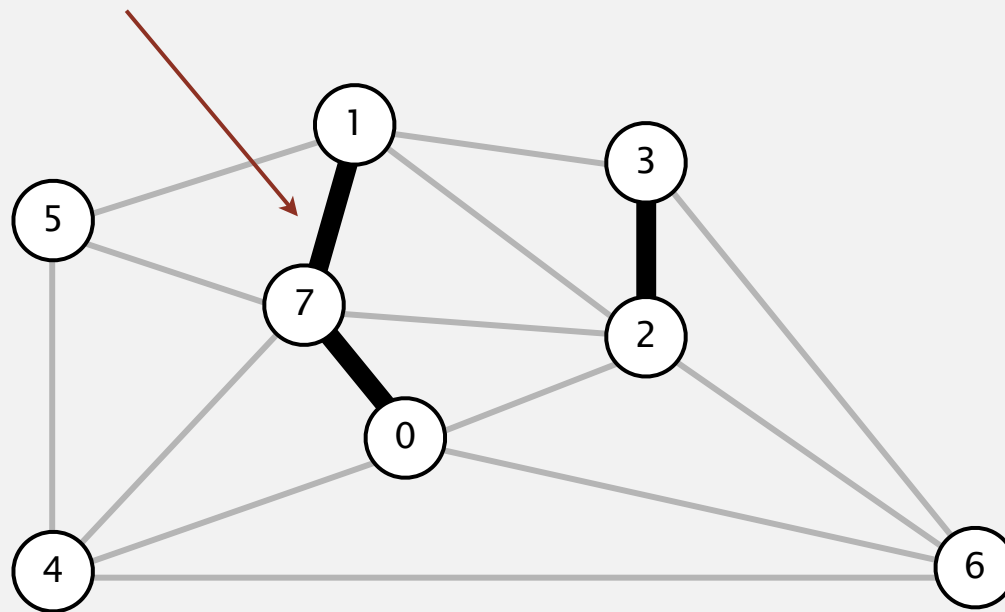
- |      |          |      |          |
|------|----------|------|----------|
| A. 1 | [219103] | D. 4 | [602202] |
| B. 2 | [219104] | E. 5 | [602302] |
| C. 3 | [602201] |      |          |

# Kruskal's algorithm demo

Consider edges in ascending order of weight.

- Add next edge to tree  $T$  unless doing so would create a cycle (cycle equivalent to having a black crossing edge).

does not create a cycle



|          |     |      |
|----------|-----|------|
|          | 0-7 | 0.16 |
|          | 2-3 | 0.17 |
| in MST → | 1-7 | 0.19 |

Q: How many components are there?

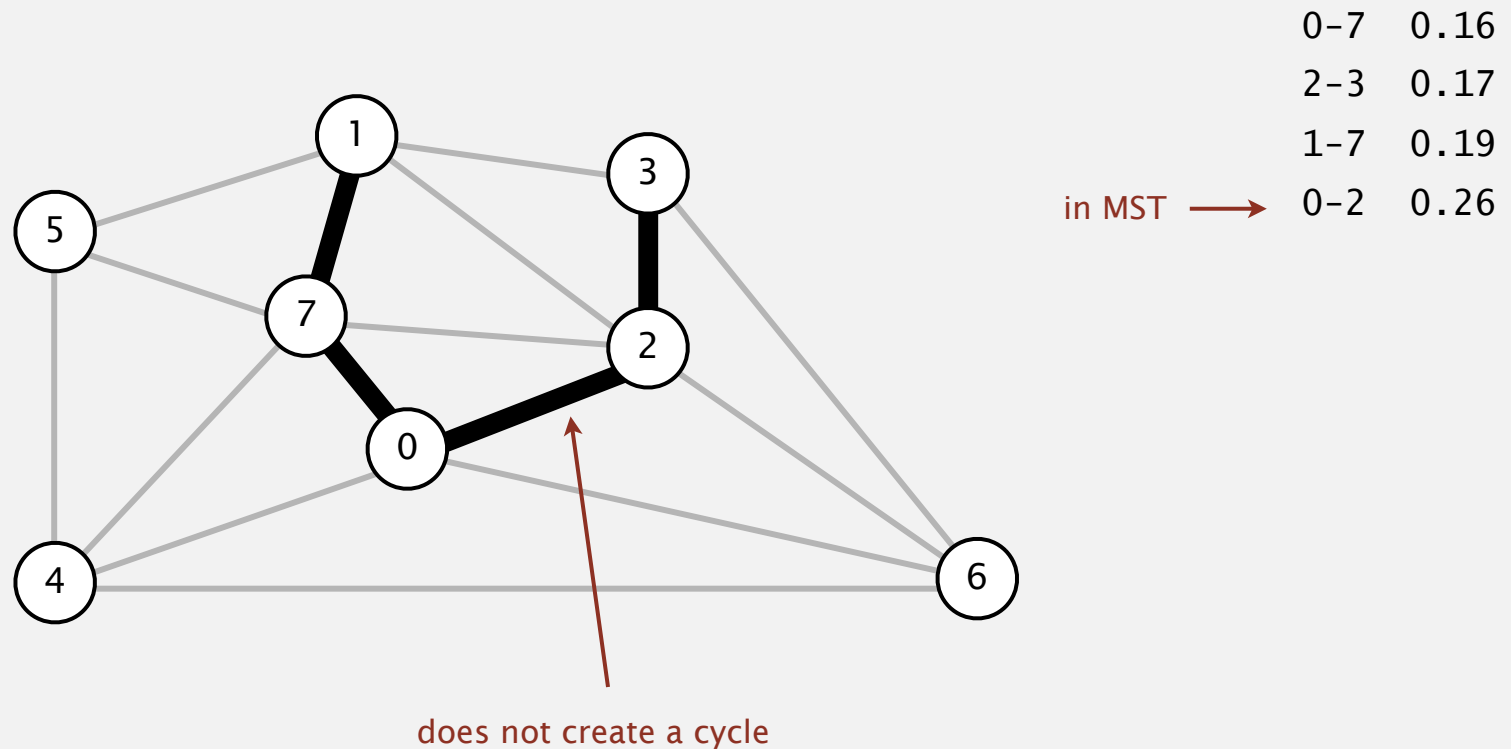
5

# Kruskal's algorithm demo

---

Consider edges in ascending order of weight.

- Add next edge to tree  $T$  unless doing so would create a cycle (cycle equivalent to having a black crossing edge).





<http://algs4.cs.princeton.edu>

## 4.3 MINIMUM SPANNING TREES

---

- ▶ *MST Basics, Kruskal, Prim*
- ▶ *Why Kruskal and Prim work*
- ▶ *Kruskal Implementation*
- ▶ *Prim Implementation*
- ▶ *Harder Problems*

# Kruskal's algorithm implementation

---

## Kruskal's algorithm

- Given a collection of all the edges in a graph:
  - Take out the minimum edge.
  - Add this edge to the MST as long as no cycle is created.

## Challenges.

- What is the smallest weight edge that has not been considered?
- Would adding edge  $v-w$  to tree  $T$  create a cycle?

## In Groups of 3.

- Choose appropriate data structures and algorithms to solve these two subproblems.
- Extra task: How much time does your scheme take to perform each task above? To build the entire MST?

graph edges  
sorted by weight



|     |      |
|-----|------|
| 0-7 | 0.16 |
| 2-3 | 0.17 |
| 1-7 | 0.19 |
| 0-2 | 0.26 |
| 5-7 | 0.28 |
| 1-3 | 0.29 |
| 1-5 | 0.32 |
| 2-7 | 0.34 |
| 4-5 | 0.35 |
| 1-2 | 0.36 |
| 4-7 | 0.37 |
| 0-4 | 0.38 |
| 6-2 | 0.40 |
| 3-6 | 0.52 |
| 6-0 | 0.58 |
| 6-4 | 0.93 |

```
private Queue<Edge> mst;
```



# Debrief - which data structures should we use?

---

## Challenges.

- What is the smallest weight edge that has not been considered?
  - MinPQ<Edge> - compared by weight
  - Edge[] - sorted (comparing by weight)
- Would adding edge  $v-w$  to tree  $T$  create a cycle?
  - [array that tracks connected components], a.k.a. Union find
  - DFS based graph search every time [very slow]
  - DYNAMIC CONNECTIVITY - UF is fast, DFS is slow
- Calls which interact with edges:
  - `int v = e.either();`
  - `int w = e.other(v);`
  - `mst.enqueue(e);`

graph edges  
sorted by weight



|     |      |
|-----|------|
| 0-7 | 0.16 |
| 2-3 | 0.17 |
| 1-7 | 0.19 |
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| 3-6 | 0.52 |
| 6-0 | 0.58 |
| 6-4 | 0.93 |

```
private Queue<Edge> mst;
```

## Kruskal's algorithm: Java implementation – live coding answer.

---

```
public class KruskalMST
{
    private Queue<Edge> mst = new Queue<Edge>();

    public KruskalMST(EdgeWeightedGraph G)
    {
        UF uf = new UF(G.V());
        MinPQ<Edge> pq = new MinPQ<Edge>();

        for (Edge e : G.edges())
            pq.insert(e);

        while (!pq.isEmpty() && mst.size() == G.V()-1) {
            Edge e = pq.delMin();
            int v = e.either(); int w=e.other(v);
            if (uf.connected(v, w))
                continue;
            uf.union(v, w); mst.enqueue(e);
        }
    }

    public Iterable<Edge> edges()
    { return mst; }
}
```

# Kruskal's algorithm: Java implementation – (book implementation)

```
public class KruskalMST
{
    private Queue<Edge> mst = new Queue<Edge>();

    public KruskalMST(EdgeWeightedGraph G)
    {
        MinPQ<Edge> pq = new MinPQ<Edge>();
        for (Edge e : G.edges())
            pq.insert(e);

        UF uf = new UF(G.V());
        while (!pq.isEmpty() && mst.size() < G.V()-1)
        {
            Edge e = pq.delMin();
            int v = e.either(), w = e.other(v);
            if (!uf.connected(v, w))
            {
                uf.union(v, w);
                mst.enqueue(e);
            }
        }

        public Iterable<Edge> edges()
        { return mst; }
    }
}
```

← build priority queue  
(or sort)

← greedily add edges to MST

← edge v-w does not create cycle

← merge sets

← add edge to MST

# Kruskal's algorithm: Java implementation – (book implementation)

```
public class KruskalMST
{
    private Queue<Edge> mst = new Queue<Edge>();

    public KruskalMST(EdgeWeightedGraph G)
    {
        MinPQ<Edge> pq = new MinPQ<Edge>();
        for (Edge e : G.edges())
            pq.insert(e);

        UF uf = new UF(G.V());
        while (!pq.isEmpty() && mst.size() < G.V()-1)
        {
            Edge e = pq.delMin();
            int v = e.either(), w = e.other(v);
            if (!uf.connected(v, w))
            {
                uf.union(v, w);
                mst.enqueue(e);
            }
        }
    }

    public Iterable<Edge> edges()
    { return mst; }
}
```

← build priority queue  
(or sort)

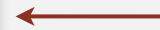
| operation  | frequency             | time per op   |
|------------|-----------------------|---|
| build pq   | 1                     | <b><math>E \lg E</math></b><br>could be <b><math>E</math></b> |
| delete-min | <b><math>E</math></b> | <b><math>\lg E</math></b>                                     |
| union      | <b><math>V</math></b> | <b><math>\log^* V</math></b>                                  |
| connected  | <b><math>E</math></b> | <b><math>\log^* V</math></b>                                  |

# Kruskal's algorithm: running time

**Proposition.** Kruskal's algorithm computes MST in time proportional to  $E \log E$  (in the worst case).

**Pf.**

| operation  | frequency | time per op        |
|------------|-----------|--------------------|
| build pq   | 1         | $E \log E$         |
| delete-min | $E$       | $\log E$           |
| union      | $V$       | $\log^* V \dagger$ |
| connected  | $E$       | $\log^* V \dagger$ |



How do we get time  $E$ ?

Construct array of edges and pass to MinPQ constructor.

$\dagger$  amortized bound using weighted quick union with path compression

recall:  $\log^* V \leq 5$  in this universe



**Remark.** If edges are already sorted, order of growth is  $E \log^* V$ .



<http://algs4.cs.princeton.edu>

## 4.3 MINIMUM SPANNING TREES

---

- ▶ *MST Basics, Kruskal, Prim*
- ▶ *Why Kruskal and Prim work*
- ▶ *Kruskal Implementation*
- ▶ *Prim Implementation*
- ▶ *Harder Problems*

## Prim's algorithm

---

- Starting with vertex 0.
- Add to  $T$  the min weight edge with exactly one endpoint in  $T$ .
- Repeat until  $V - 1$  edges.

# Three flavors of Prim's

---

## Prim's algorithm

- Intuitive - easy to discover
- Lazy - easy to code version of human
- Eager - optimized version of human



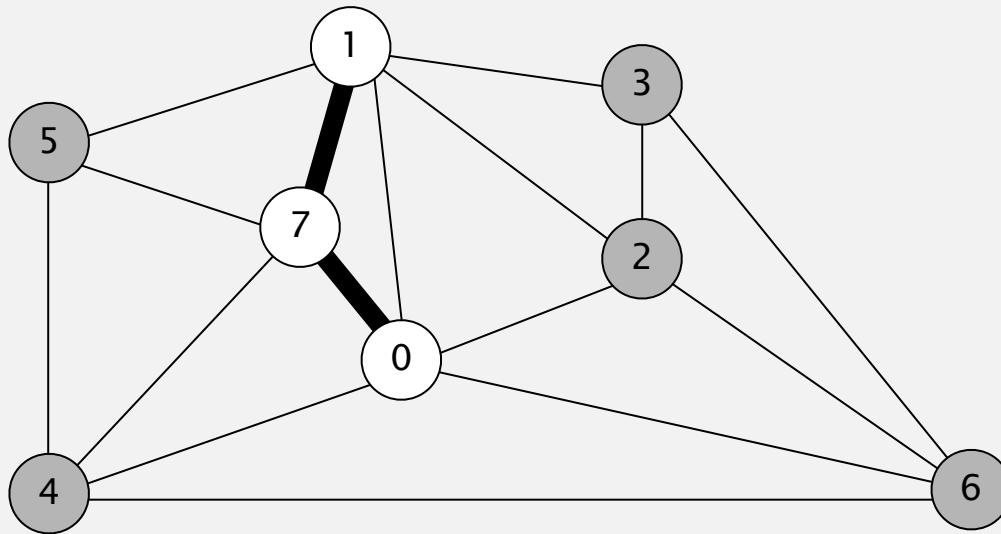


# Prim's algorithm implementation

---

## Prim's algorithm

- In Kruskal's, picked MSSaplins by tracking all of the edges in the entire graph and selecting the smallest one.
- In Prim's, what is the most natural thing to track?

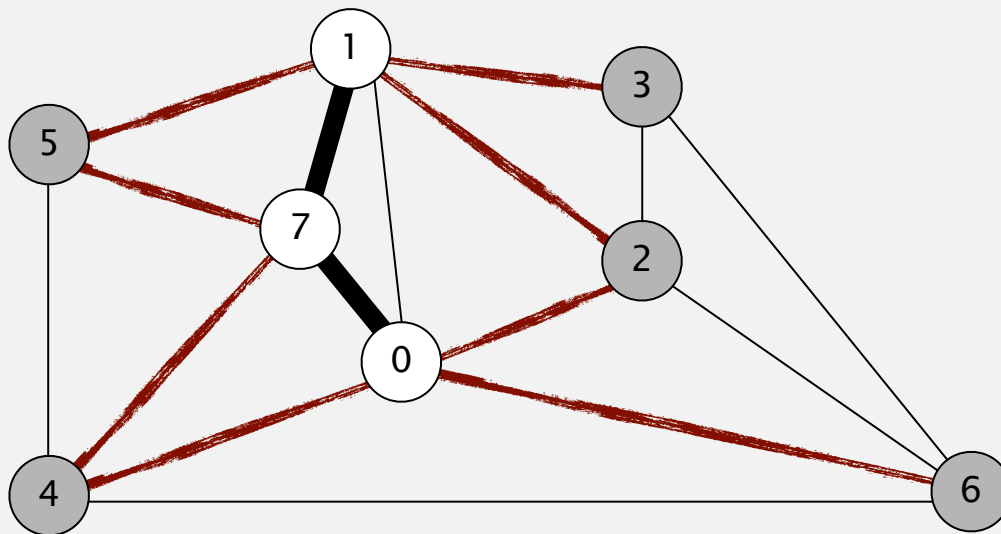


# Prim's algorithm implementation

---

## Prim's algorithm

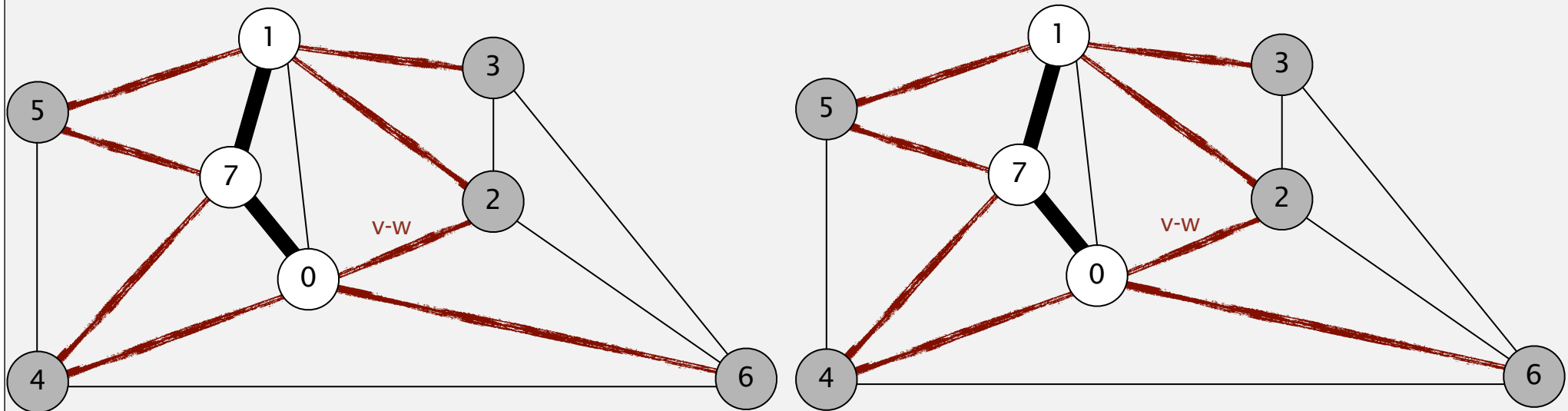
- In Kruskal's, picked MSSaplins by tracking all of the edges in the entire graph and selecting the smallest one.
- In Prim's, what is the most natural thing to track?
  - All outbound edges from core of the MSSapling.



# Prim's algorithm implementation

## Intuitive Prim's algorithm

- Given a collection  $C$  of all edges outbound from core:
  - Add  $C$ 's minimum edge  $v-w$  to the MSSapling.

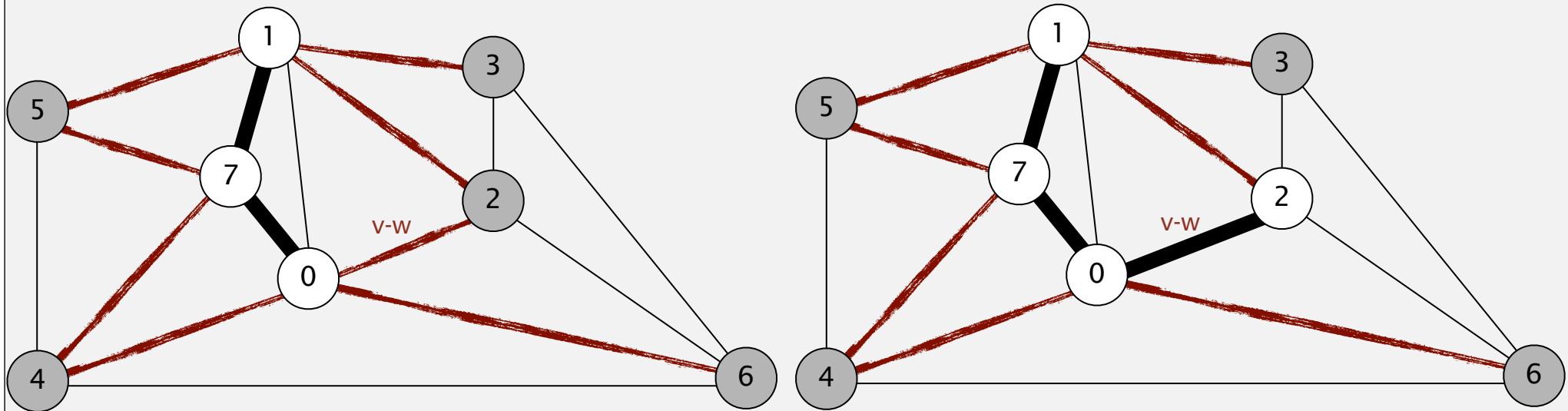


# Prim's algorithm implementation

---

## Intuitive Prim's algorithm

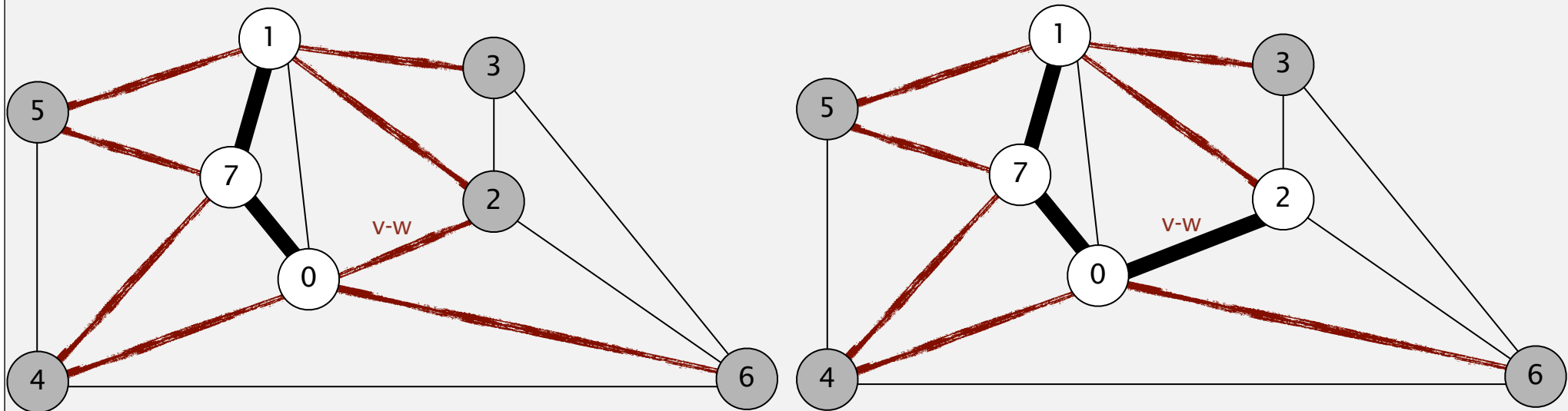
- Given a collection  $C$  of all edges outbound from core:
  - Add  $C$ 's minimum edge  $v-w$  to the MSSapling.



# Prim's algorithm implementation

## Intuitive Prim's algorithm

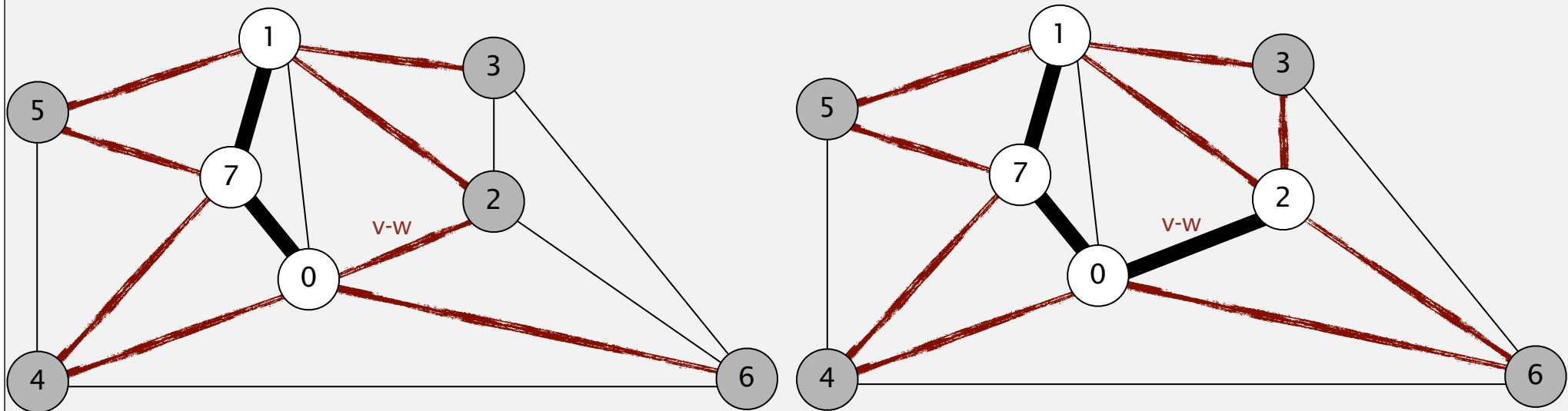
- Given a collection  $C$  of all edges outbound from core:
  - Add  $C$ 's minimum edge  $v-w$  to the MSSapling.
  - Add to  $C$  any outward pointing edges from  $w$ .



# Prim's algorithm implementation

## Intuitive Prim's algorithm

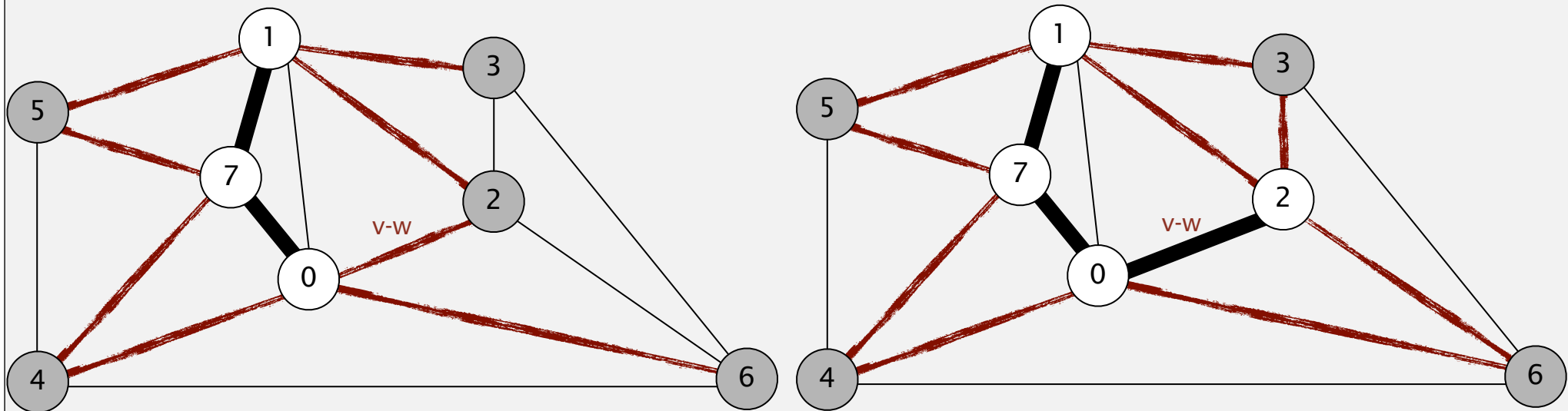
- Given a collection  $C$  of all edges outbound from core:
  - Add  $C$ 's minimum edge  $v-w$  to the MSSapling.
  - Add to  $C$  any outward pointing edges from  $w$ .



# Prim's algorithm implementation

## Intuitive Prim's algorithm

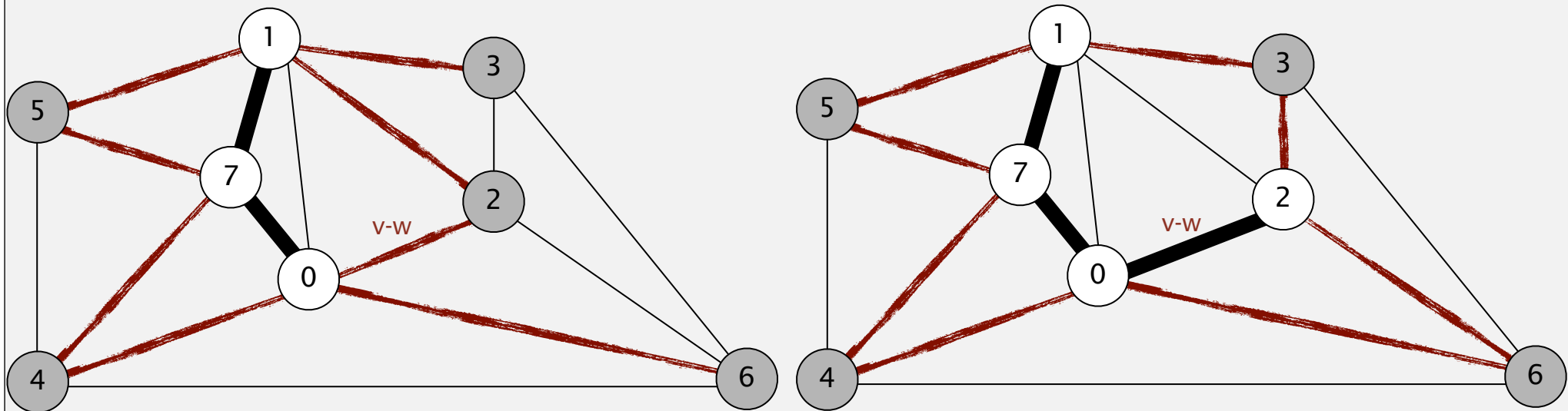
- Given a collection  $C$  of all edges outbound from core:
  - Add  $C$ 's minimum edge  $v-w$  to the MSSapling.
  - Add to  $C$  any outward pointing edges from  $w$ .
  - Remove from  $C$  any edges  $v-x$ , where  $x$  is also in the core.



# Prim's algorithm implementation

## Intuitive Prim's algorithm

- Given a collection  $C$  of all edges outbound from core:
  - Add  $C$ 's minimum edge  $v-w$  to the MSSapling.
  - Add to  $C$  any outward pointing edges from  $w$ .
  - Remove from  $C$  any edges  $v-x$ , where  $x$  is also in the core.

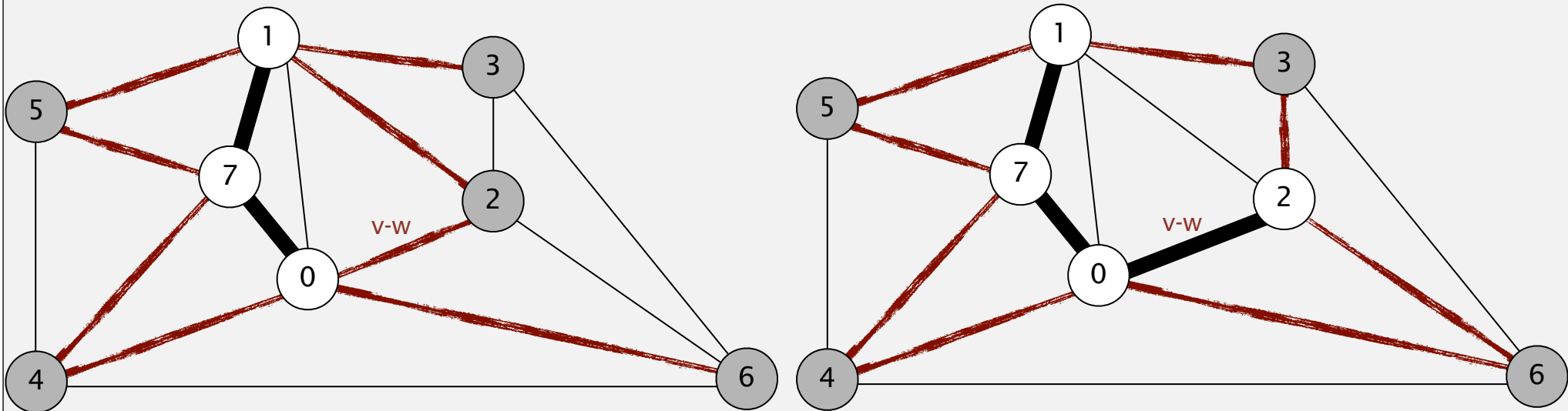




# Prim's algorithm implementation

## Intuitive Prim's algorithm

- Given a collection  $C$  of all edges outbound from core:
  - Add  $C$ 's minimum edge  $v-w$  to the MSSapling.
  - Add to  $C$  any outward pointing edges from  $w$ .
  - Remove from  $C$  any edges  $v-x$ , where  $x$  is also in the core.

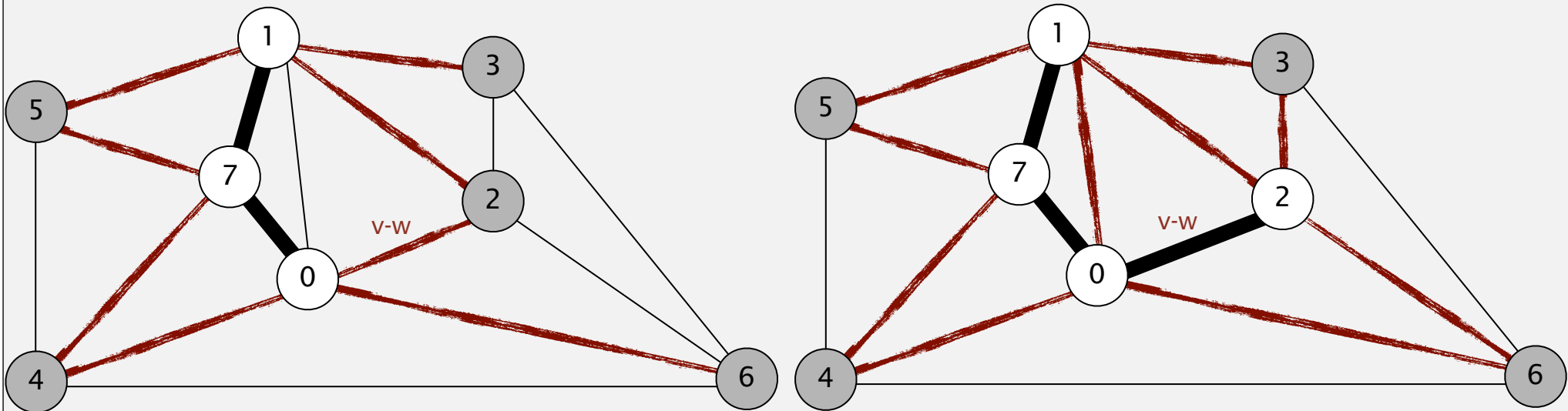


- Turns out this algorithm is a pain to implement (not in textbook).

# Prim's algorithm implementation

## Lazy Prim's algorithm

- Given a collection  $C$  of all edges outbound from core:
  - Add  $C$ 's minimum edge  $v-w$  to the MSSapling
    - If it doesn't create a cycle, otherwise delete  $v-w$ .
  - Add to  $C$  any outward pointing edges from  $w$ .
  - ~~Remove from  $C$  any edges  $v-x$ , where  $x$  is also in the core.~~

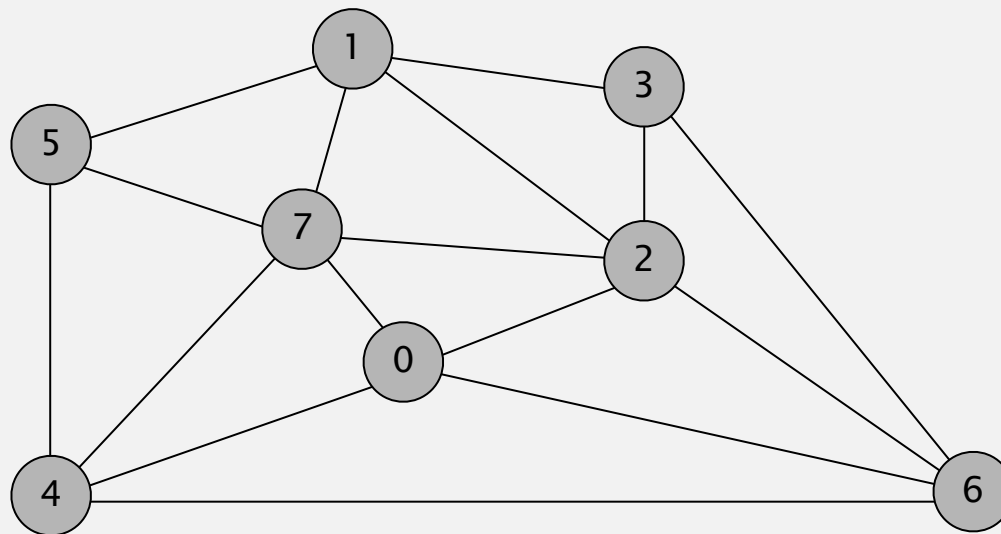


- Much easier to implement.

## Prim's algorithm (lazy) demo

---

- Start with vertex 0 and greedily grow tree  $T$ .
- Add to  $T$  the min weight edge with exactly one endpoint in  $T$ .
- Repeat until  $V - 1$  edges.



an edge-weighted graph

|     |      |
|-----|------|
| 0-7 | 0.16 |
| 2-3 | 0.17 |
| 1-7 | 0.19 |
| 0-2 | 0.26 |
| 5-7 | 0.28 |
| 1-3 | 0.29 |
| 1-5 | 0.32 |
| 2-7 | 0.34 |
| 4-5 | 0.35 |
| 1-2 | 0.36 |
| 4-7 | 0.37 |
| 0-4 | 0.38 |
| 6-2 | 0.40 |
| 3-6 | 0.52 |
| 6-0 | 0.58 |
| 6-4 | 0.93 |

# Prim's algorithm implementation

---

## Lazy Prim's algorithm

- Given a collection  $C$  of all edges outbound from core:
  - Add  $C$ 's minimum edge  $v-w$  to the MSSapling
    - If it doesn't create a cycle, otherwise delete  $v-w$ .
  - Add to  $C$  any outward pointing edges from  $w$ .
  - ~~Remove from  $C$  any edges  $v-x$ , where  $x$  is also in the core.~~

## Lazy Prim's algorithm: running time

---

**Proposition.** Lazy Prim's algorithm computes the MST in time proportional to  $E \log E$  and extra space proportional to  $E$  (in the worst case).

Pf.

| operation  | frequency | binary heap |
|------------|-----------|-------------|
| delete min | $E$       | $\log E$    |
| insert     | $E$       | $\log E$    |

# Prim's algorithm: lazy implementation

---

```
public class LazyPrimMST
{
    private boolean[] marked;    // MST vertices
    private Queue<Edge> mst;     // MST edges
    private MinPQ<Edge> pq;     // PQ of edges

    public LazyPrimMST(WeightedGraph G)
    {
        pq = new MinPQ<Edge>();
        mst = new Queue<Edge>();
        marked = new boolean[G.V()];
        visit(G, 0);

        while (!pq.isEmpty() && mst.size() < G.V() - 1)
        {
            Edge e = pq.delMin();
            int v = e.either(), w = e.other(v);
            if (marked[v] && marked[w]) continue;
            mst.enqueue(e);
            if (!marked[v]) visit(G, v);
            if (!marked[w]) visit(G, w);
        }
    }
}
```

← assume G is connected

← repeatedly delete the  
min weight edge  $e = v-w$  from PQ

← ignore if both endpoints in T

← add edge e to tree

← add v or w to tree

# Prim's algorithm: lazy implementation

---

```
private void visit(WeightedGraph G, int v)
{
    marked[v] = true;
    for (Edge e : G.adj(v))
        if (!marked[e.other(v)])
            pq.insert(e);
}
```

```
public Iterable<Edge> mst()
{ return mst; }
```

← add v to T

← for each edge  $e = v-w$ , add to PQ if w not already in T

# Prim's algorithm demo

---

## Eager Prim's algorithm

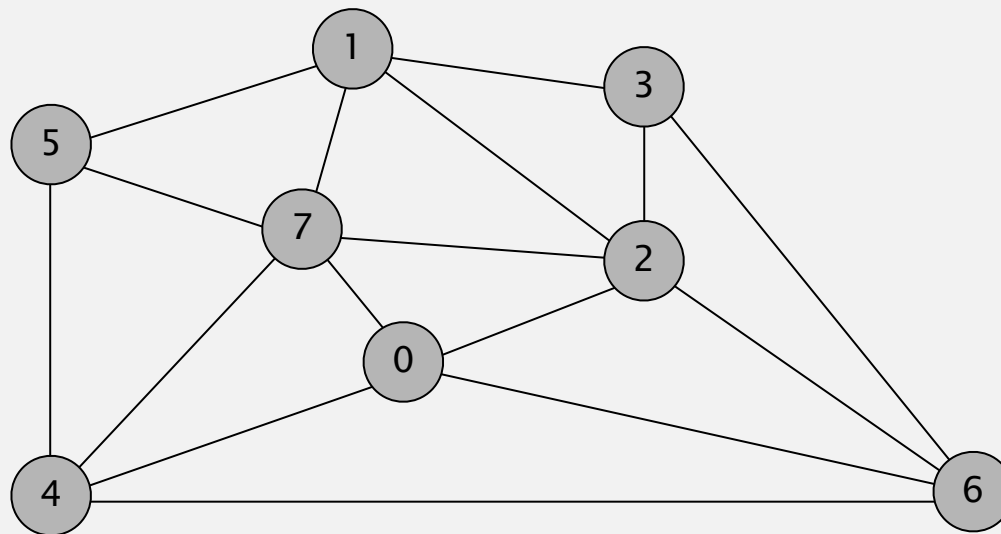
- Given a collection  $C$  of all ~~edges outbound from~~ **vertices adjacent** to core:
  - ~~Add  $C$ 's minimum edge  $v-w$  to the MSSapling.~~
  - **Remove vertex  $w$  that is closest to core, and add edge  $v-w$ .**
  - ~~Add to  $C$  any outward pointing edges from  $w$ .~~
  - ~~Remove from  $C$  any edges  $v-x$ , where  $x$  is also in the core.~~
  - **Update distance to each vertex adjacent to core.**



## Prim's algorithm (eager) demo

---

- Start with vertex 0 and greedily grow tree  $T$ .
- Add to  $T$  the min weight edge with exactly one endpoint in  $T$ .
- Repeat until  $V - 1$  edges.

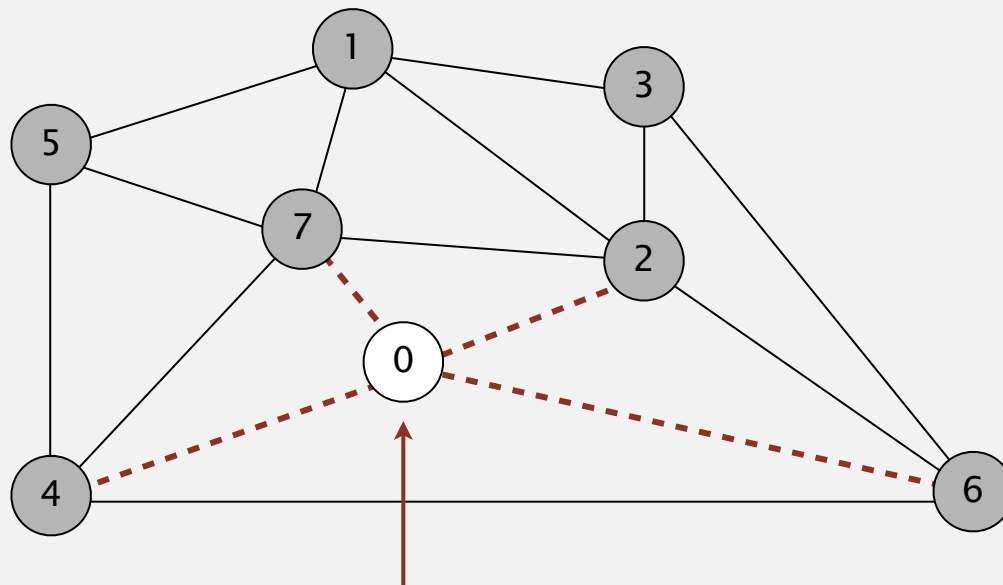


an edge-weighted graph

|     |      |
|-----|------|
| 0-7 | 0.16 |
| 2-3 | 0.17 |
| 1-7 | 0.19 |
| 0-2 | 0.26 |
| 5-7 | 0.28 |
| 1-3 | 0.29 |
| 1-5 | 0.32 |
| 2-7 | 0.34 |
| 4-5 | 0.35 |
| 1-2 | 0.36 |
| 4-7 | 0.37 |
| 0-4 | 0.38 |
| 6-2 | 0.40 |
| 3-6 | 0.52 |
| 6-0 | 0.58 |
| 6-4 | 0.93 |

# Prim's algorithm (eager) demo

- Start with vertex 0 and greedily grow tree  $T$ .
- Add to  $T$  the min weight edge with exactly one endpoint in  $T$ .
- Repeat until  $V - 1$  edges.



| v   | edgeTo[] | distTo[] |
|-----|----------|----------|
| → 0 | -        | -        |
| ⑦   | 0-7      | 0.16     |
| ②   | 0-2      | 0.26     |
| ④   | 0-4      | 0.38     |
| ⑥   | 6-0      | 0.58     |

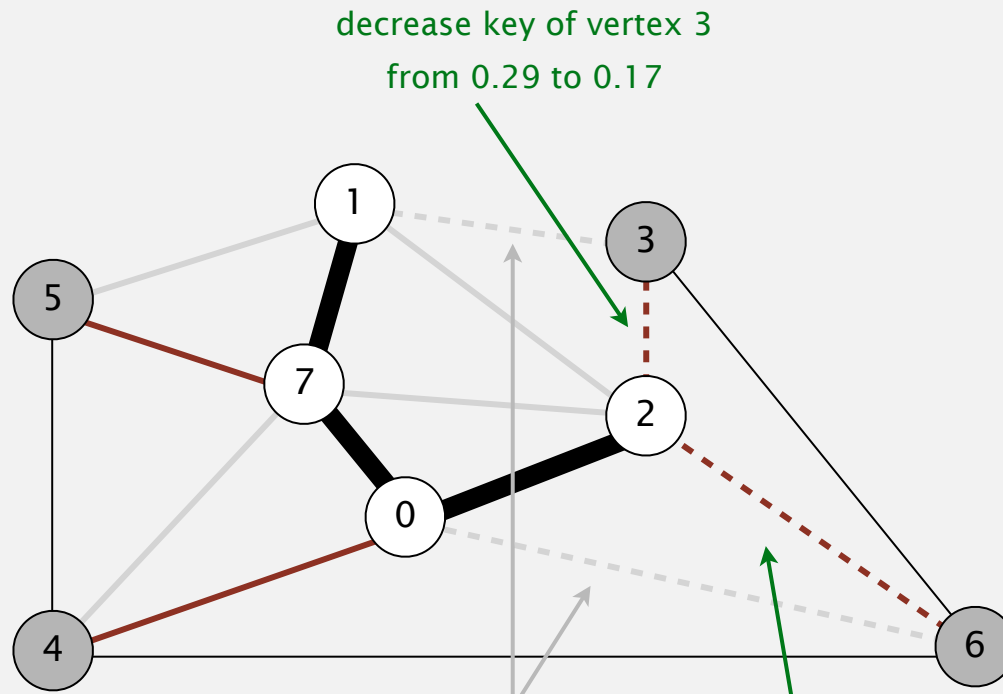
vertices on PQ  
(sorted by weight)

add vertices 7, 2, 4, and 6 to PQ

```
IndexMinPQ<Double> pq = new IndexMinPQ<Double>(G.V());  
pq.insert(7, 0.16); pq.insert(2, 0.26); ...
```

# Prim's algorithm (eager) demo

- Start with vertex 0 and greedily grow tree  $T$ .
- Add to  $T$  the min weight edge with exactly one endpoint in  $T$ .
- Repeat until  $V - 1$  edges.



| v   | edgeTo[]           | distTo[]             |
|-----|--------------------|----------------------|
| 0   | -                  | -                    |
| 7   | 0-7                | 0.16                 |
| 1   | 1-7                | 0.19                 |
| → 2 | 0-2                | 0.26                 |
| ③   | <del>1-3</del> 2-3 | <del>0.29</del> 0.17 |
| 5   | 5-7                | 0.28                 |
| 4   | 0-4                | 0.38                 |
| ⑥   | <del>6-0</del> 6-2 | <del>0.58</del> 0.40 |

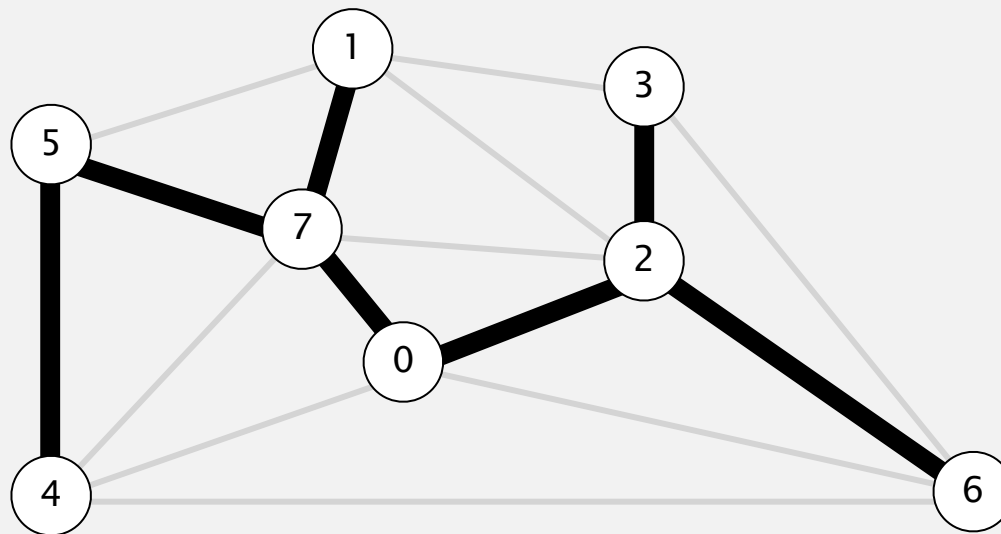
**MST edges**

0-7 1-7 0-2

```
pq.change(3, 0.17); pq.change(6, 0.4);
```

# Prim's algorithm (eager) demo

- Start with vertex 0 and greedily grow tree  $T$ .
- Add to  $T$  the min weight edge with exactly one endpoint in  $T$ .
- Repeat until  $V - 1$  edges.



**MST edges**

0-7 1-7 0-2 2-3 5-7 4-5 6-2

| v | edgeTo[] | distTo[] |
|---|----------|----------|
| 0 | -        | -        |
| 7 | 0-7      | 0.16     |
| 1 | 1-7      | 0.19     |
| 2 | 0-2      | 0.26     |
| 3 | 2-3      | 0.17     |
| 5 | 5-7      | 0.28     |
| 4 | 4-5      | 0.35     |
| 6 | 6-2      | 0.40     |

## Eager Prim's algorithm: which priority queue?

---

Depends on PQ implementation:  $V$  insert,  $V$  delete-min,  $E$  decrease-key.

| PQ implementation                               | insert       | delete-min       | decrease-key | total            |
|---|--------------|------------------|--------------|------------------|
| <b>unordered array</b>                          | 1            | $V$              | 1            | $V^2$            |
| <b>binary heap</b>                              | $\log V$     | $\log V$         | $\log V$     | $E \log V$       |
| <b>d-way heap<br/>(Johnson 1975)</b>            | $d \log_d V$ | $d \log_d V$     | $\log_d V$   | $E \log_{E/V} V$ |
| <b>Fibonacci heap<br/>(Fredman-Tarjan 1984)</b> | $1 \dagger$  | $\log V \dagger$ | $1 \dagger$  | $E + V \log V$   |

$\dagger$  amortized

### Bottom line.

- Array implementation optimal for dense graphs.
- Binary heap much faster for sparse graphs.
- 4-way heap worth the trouble in performance-critical situations.
- Fibonacci heap best in theory, but not worth implementing.



<http://algs4.cs.princeton.edu>

## 4.3 MINIMUM SPANNING TREES

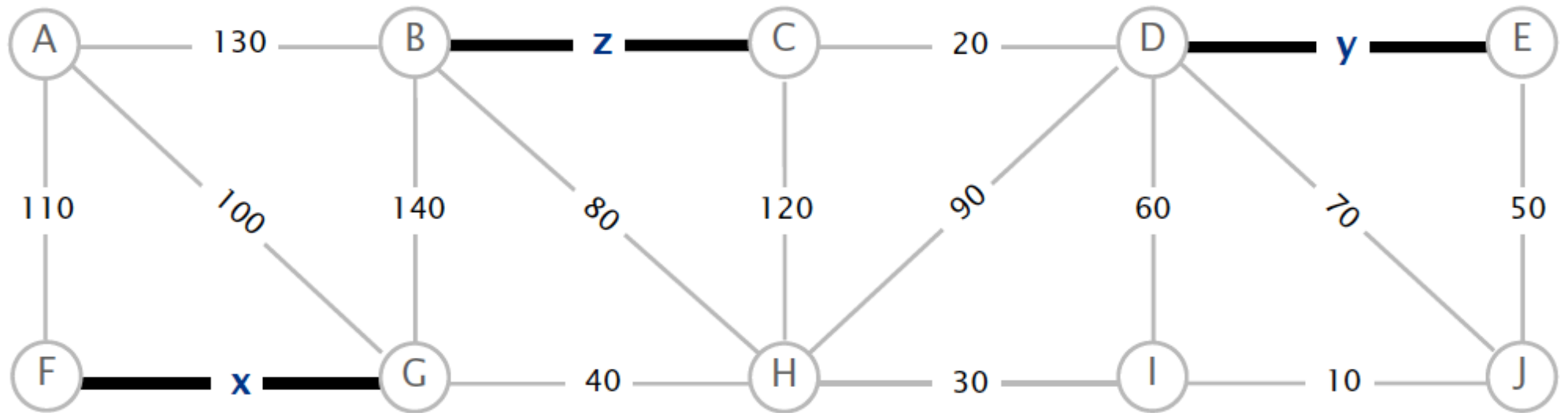
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- ▶ *MST Basics, Kruskal, Prim*
- ▶ *Why Kruskal and Prim work*
- ▶ *Kruskal Implementation*
- ▶ *Prim Implementation*
- ▶ ***Harder Problems***

## B level problems

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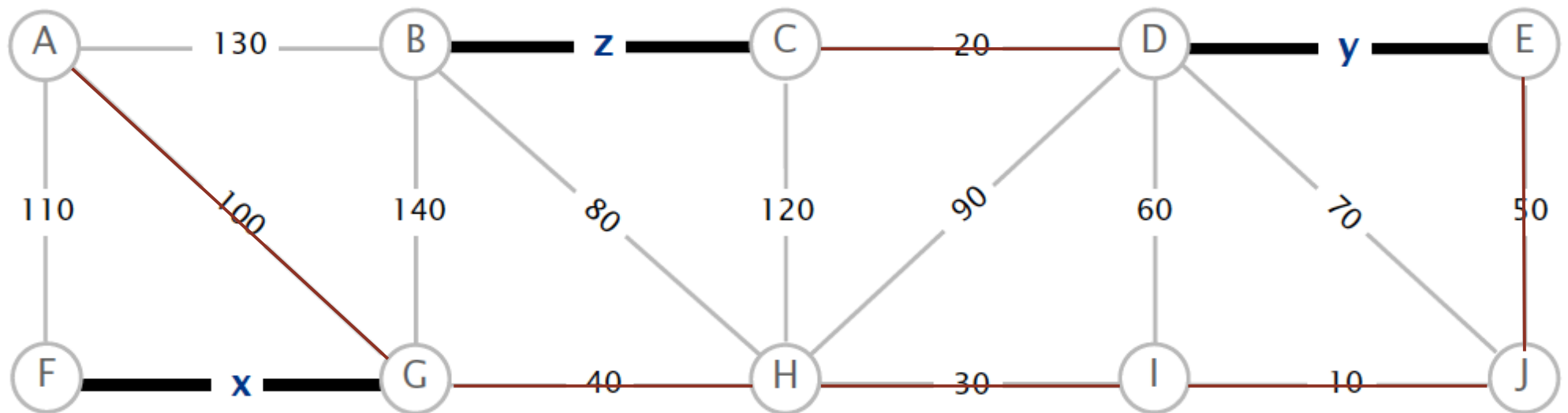
Suppose that the MST of the graph below contains the edges with weights  $x$ ,  $y$ , and  $z$ .



- True or false: The minimum weight edge from every node must be part of the MST.
- List the weights of the **other** edges in the MST:  
10
- What are the possible values for the weights of  $x$ ,  $y$ , and  $z$ ?

## B level problems

Suppose that the MST of the graph below contains the edges with weights  $x$ ,  $y$ , and  $z$ .

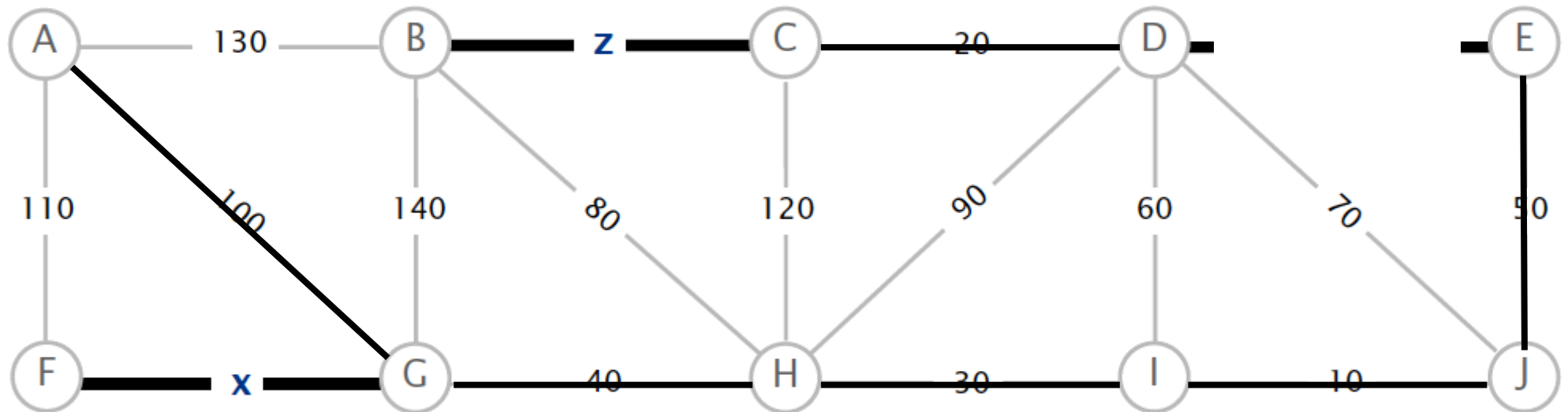


- True or false: The minimum weight edge from every node must be part of the MST - true by cut property!
- List the weights of the **other** edges in the MST:  
10   30   50   20   40   100
- What are the possible values for the weights of  $x$ ,  $y$ , and  $z$ ?



## B level problems

Suppose that the MST of the graph below contains the edges with weights  $x$ ,  $y$ , and  $z$ .

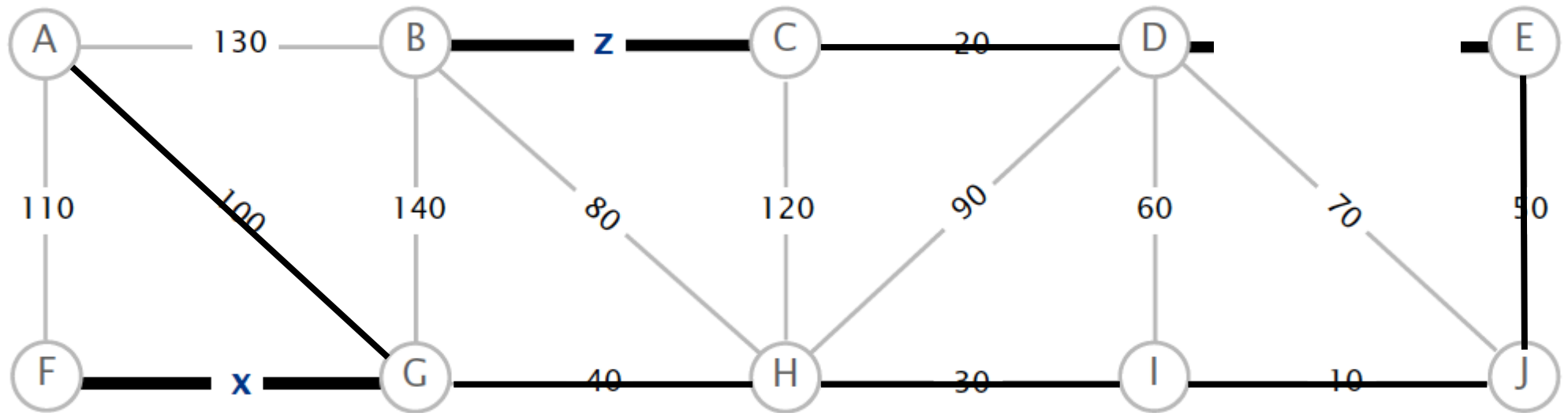


- True or false: The minimum weight edge from every node must be part of the MST - true by cut property!
- List the weights of the **other** edges in the MST:  
10   30   50   20   40   100
- What are the possible values for the weights of  $x$ ,  $y$ , and  $z$ ?
  - $x \leq 110$ ,  $y \leq ?$

## B level problems

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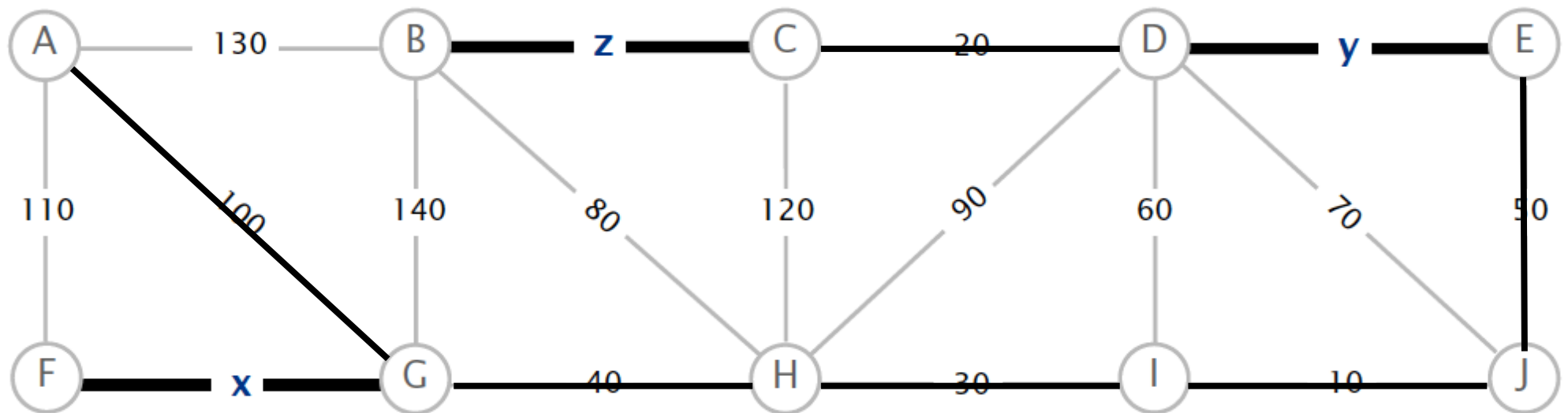
Suppose that the MST of the graph below contains the edges with weights  $x$ ,  $y$ , and  $z$ .



- True or false: The minimum weight edge from every node must be part of the MST - true by cut property!
- List the weights of the **other** edges in the MST:  
10   30   50   20   40   100
- What are the possible values for the weights of  $x$ ,  $y$ , and  $z$ ?
  - $x \leq 110$ ,  $y \leq 60$ ,

## B level problems

Suppose that the MST of the graph below contains the edges with weights  $x$ ,  $y$ , and  $z$ .

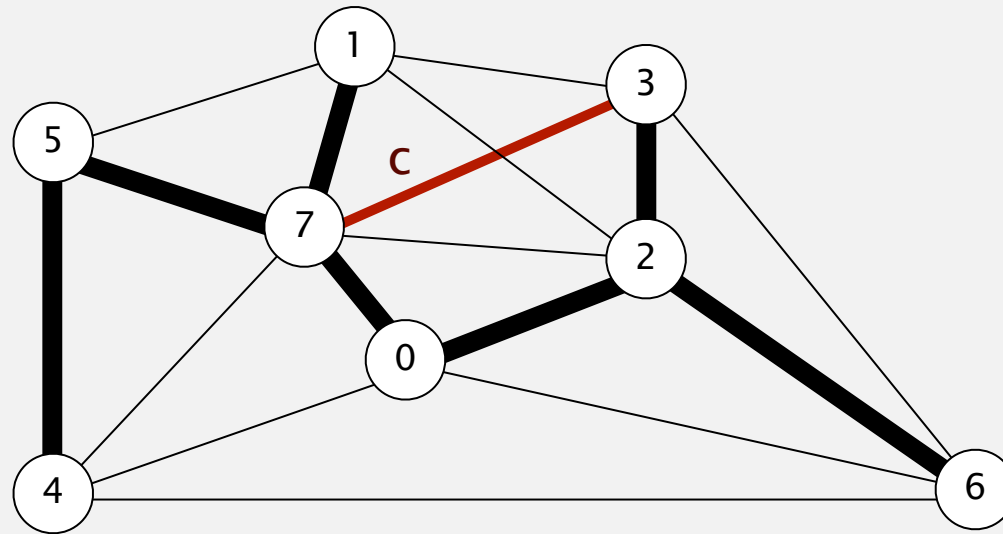


- True or false: The minimum weight edge from every node must be part of the MST - true by cut property!
- List the weights of the **other** edges in the MST:  
10   30   50   20   40   100
- What are the possible values for the weights of  $x$ ,  $y$ , and  $z$ ?
  - $x \leq 110$ ,  $y \leq 60$ ,  $z \leq 80$

## A level problems

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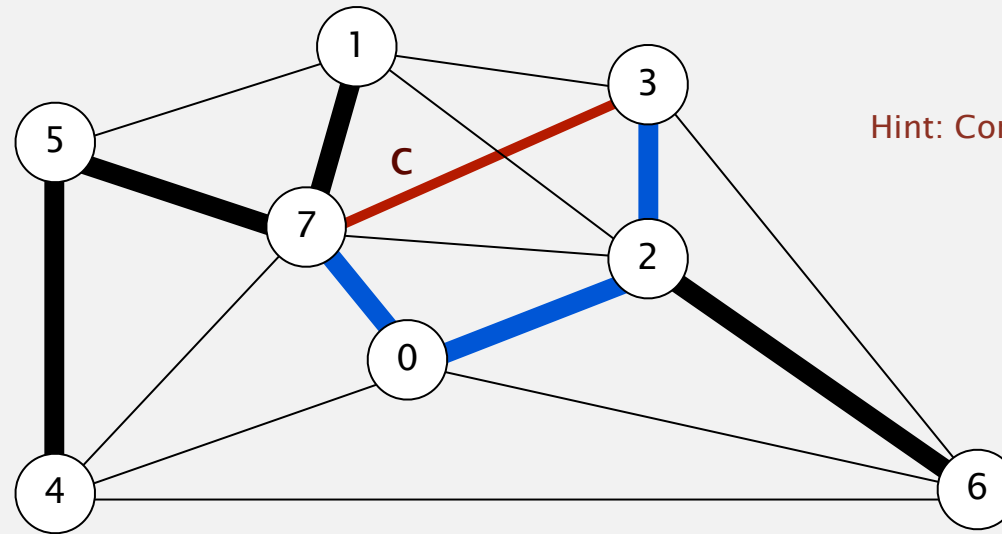
- Suppose you know the MST of  $G$ . Now a new edge  $v-w$  of weight  $c$  is added to  $G$ , resulting in a new graph  $G'$ . Design a  $O(V)$  algorithm to determine if the MST for  $G$  is also an MST for  $G'$ .



- Bonus: Given a graph  $G$  and its MST, if we remove an edge from  $G$  that is part of the MST, how do we find the new MST in  $O(E)$  time?

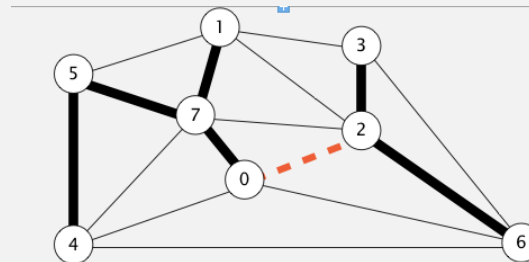
## A level problems

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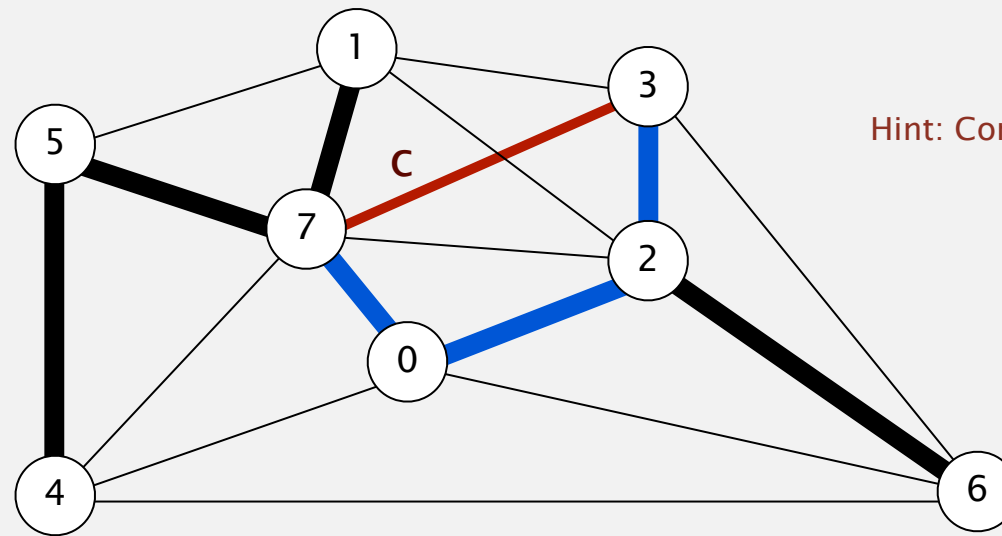
Hint: Consider the blue path.

- Bonus: Given a graph  $G$  and its MST, if we remove an edge from  $G$  that is part of the MST, how do we find the new MST in  $O(E)$  time?



## A level problems

- Suppose you know the MST of  $G$ . Now a new edge  $v-w$  of weight  $c$  is added to  $G$ , resulting in a new graph  $G'$ . Design a  $O(V)$  algorithm to determine if the MST for  $G$  is also an MST for  $G'$ .



Hint: Consider the blue path.

- If any edge on the blue path is longer than  $c$ :
  - Replace that edge with  $c$  - you get a new MST with shorter distance.
- If every edge on the blue path is shorter than  $c$ :
  - Then we know original MST was the best.
- Finding the blue path: Run DFS from one of  $c$ 's vertices to the other, only taking steps along the MST.

## A level problems

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- Given a graph  $G$  and its MST, if we remove an edge from  $G$  that is part of the MST, how do we find the new MST in  $O(E)$  time?

