

# COS 522: Complexity Theory : Boaz Barak

## Handout 4: Interactive Proofs.

**Reading:** Chapter 8

**Continued from last time** randomness efficient error reduction using walks on expander graphs.

**randomized reduction** if UNIQESAT has a polynomial-time algorithm than  $\mathbf{NP} \subseteq \mathbf{BPP}$ .

Main tool: *pairwise independent hash functions*.

**Interactive proofs** Formal definition of deterministic interaction, show this is the same as  $\mathbf{NP}$ .

**The class IP** Definition of  $\mathbf{IP}$ .

**Few observations** (1) probabilistic provers don't matter. (2)  $\mathbf{IP} \subseteq \mathbf{PSPACE}$  (3) soundness constant can be arbitrary but noticeably smaller than 1 (4) completeness constant can be 1 (5) private coins.

$\mathbf{GNI} \in \mathbf{IP}$

**public coin proofs**  $\mathbf{GNI} \in \mathbf{AM}[O(1)]$ . Note: corollary is that  $\mathbf{GI}$  is not  $\mathbf{NP}$ -complete unless the hierarchy collapses. Also, under assumptions this means that  $\mathbf{GI} \in \mathbf{NP} \cap \mathbf{coNP}$ .

$\mathbf{coNP} \subseteq \mathbf{IP}$  (If you know/read about  $\mathbf{PSPACE}$ , see Section 8.5.3 for the proof that  $\mathbf{IP} = \mathbf{PSPACE}$ ).

Note that this is a non-relativizing result.

**multi-prover proofs and PCP**

The story of the discovery of the power of interactive proofs is described in an entertaining survey by Babai (see website).

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## Homework Assignments

§1 (40 points)

- Prove that if  $\mathbf{p}$  is a probability vector then  $\|\mathbf{p}\|_2^2$  is equal to the probability that if  $i$  and  $j$  are chosen from  $\mathbf{p}$ , then  $i = j$ .
- Prove that if  $\mathbf{s}$  is the probability vector denoting the uniform distribution over some subset  $S$  of vertices of a graph  $G$  with normalized adjacency matrix  $A$ , then  $\|A\mathbf{p}\|_2^2 \geq 1/|\Gamma(S)|$ , where  $\Gamma(S)$  denotes the set of  $S$ 's neighbors.
- Prove that if  $G$  is an  $(n, d, \lambda)$ -graph, and  $S$  is a subset of  $\epsilon n$  vertices, then

$$|\Gamma(S)| \geq \frac{|S|}{2\lambda^2(1 - o(1))},$$

where by  $o(1)$  we mean a function of  $\lambda$  and  $\epsilon$  that tends to 0 as  $\epsilon$  tends to 0.

A graph where  $|\Gamma(S)| \leq c|S|$  for every not-too-big set  $S$  (say,  $|S| \leq n/(10d)$ ) is said to have *vertex expansion*  $c$ . This exercise shows that graphs with the minimum possible second eigenvalue  $\frac{2}{\sqrt{d}}(1 + o(1))$  have vertex expansion roughly  $d/4$ . It is known that such graphs have in fact vertex expansion roughly  $d/2$  (Kahale95), and there are counterexamples showing this is tight. In contrast, random  $d$ -regular graphs have vertex expansion  $(1 - o(1))d$ .

§2 (25 points) Solve all items except (b) in Exercise 8.1 (if you know the class **PSPACE**, you can solve (b) for extra 10 points).

§3 (30 points) Exercise 8.3

§4 (30 points) Exercise 8.4

<p><b>For next week:</b> Think how you would mathematically <i>define</i> an unbreakable (or unbreakable in polynomial time) <i>encryption scheme</i>. (That is, a method that given a secret key <math>k</math> and a message <math>m</math>, outputs a “scrambled” message <math>c</math> such that <math>m</math> can be recovered from <math>c</math> using <math>k</math>, but <math>c</math> “hides” the contents of <math>m</math>.)</p>
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