## Dynamic Trees

- Motivation (Online MSTs)
- Problem Definition
- A Data Structure for Dynamic Paths
- A Data Structure for Dynamic Trees
- Extensions

Dynamic Trees

## Online Minimum Spanning Trees

- The online minimum spanning trees problem:
- Input: a sequence of edges (with costs), one at a time.
- Goal: keep the minimum spanning forest of the graph.
- An algorithm:
- For each new edge $(v, w)$ :
- If $v$ and $w$ belong to different components, insert the edge.
- If $v$ and $w$ are in the same component:
- insert $(v, w)$ into the solution; and
- remove the most expensive edge on the cycle created.


| Online Minimum Spanning Trees |  |
| :---: | :---: |
|  | edge cost <br> $(f, g)$ 6 <br> $\rightarrow(f, h)$ 7 <br> $(a, d)$ 6 <br> $(a, e)$ 5 <br> $(a, b)$ 7 <br> $(d, f)$ 5 <br> $(b, f)$ 8 <br> $(c, h)$ 5 <br> $(d, e)$ 2 <br> $(e, f)$ 4 <br> $(c, g)$ 4 <br> $(g, h)$ 3 <br> $(b, c)$ 5 <br> $(b, e)$ 6 <br> $(b, g)$ 6 |
| Dynamic Trees |  |



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| Dynamic Trees |  |


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| Dynamic Trees |  |


| Online Minimum Spanning Trees | edge cost <br> $(f, g)$ |
| :---: | :---: | :---: |

Online Minimum Spanning Trees |  |
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| Online Minimum Spanning Trees |  |
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| Dynamic Trees |  |


| Online Minimum Spanning Trees | edge |
| :---: | :---: | :---: |


| Online Minimum Spanning Trees |  |
| :---: | :---: |
|  | edge cost <br> $(f, g)$ 6 <br> $(f, h)$ 7 <br> $(a, d)$ 6 <br> $(a, e)$ 5 <br> $(a, b)$ 7 <br> $(d, f)$ 5 <br> $(b, f)$ 8 <br> $(c, h)$ 5 <br> $(d, e)$ 2 <br> $(e, f)$ 4 <br> $(c, g)$ 4 <br> $\rightarrow(g, h)$ 3 <br> $(b, c)$ 5 <br> $(b, e)$ 6 <br> $(b, g)$ 6 |
| Dynamic Trees |  |


| Online Minimum Spanning Trees |  |
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## Online Minimum Spanning Trees



- How fast is the algorithm?
- How fast can we find the most expensive edge of a cycle?
- $\mathrm{O}(\log n)$, with the right data structure.
- Total running time: $\mathrm{O}(m \log n) \quad$ ( $m$ edges, $n$ vertices)

Dynamic Trees

Online Minimum Spanning Trees 

| Dynamic Trees |
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| Dynamic Trees |

## Dynamic Trees - Problem Definition

- Goal: maintain a forest of rooted trees with costs on vertices.
- Each tree has a root, every edge directed towards the root.
- Operations allowed:
- $\operatorname{link}(v, w)$ : creates an edge between $v$ (a root) and $w$.
- cut $(v)$ : deletes edge $(v, p(v)$ ) (where $p(v)$ is $v$ 's parent).
- findcost $(v)$ : returns the cost of vertex $v$.
- findroot $(v)$ : returns the root of the tree containing $v$.
- findmin $(v)$ : returns the minimum-cost vertex $w$ on the path from $v$ to the root.
- A possible extension:
- $\operatorname{evert}(w)$ : makes $w$ the root of its tree.




## Dynamic Trees


$\operatorname{cut}(q)$
$\Longleftarrow$


Dynamic Trees

## Applications

- Used as a building block of several graph algorithms:
- online minimum spanning trees
- dynamic graphs
- directed minimum spanning trees
- network flows (e.g., maximum flow)
- ...


## Dynamic Trees and Online MST

- How can dynamic trees help us in the online MST problem?
- We must answer the following (equivalent) questions:
- Should we insert $(c, g)$, with cost 4 , into the following tree?
- Is $(c, g)$ cheaper than some other edge on the cycle it creates?
- What is the most expensive edge on the path between $c$ and $g$ ?
- Imagine the tree is rooted at $g$ : now, what is the most expensive edge on the path from $c$ to the root?


Dynamic Trees

## Obvious Implementation of Dynamic Trees

- Each node represents a vertex.
- Each node $x$ points to its parent $p(x)$ :
- cut, link, findcost: constant time.
- findroot, findmin: time proportional to path length.
- Acceptable if paths are small, but $\mathrm{O}(n)$ in the worst case.
- We can get $\mathrm{O}(\log n)$ for all operations.


Dynamic Trees

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## Simple Paths as Lists

- Natural representation: doubly-linked list:
- Path characterized by two endpoints.
- findcost: constant time.
- concatenate: constant time.
- split: constant time.
- findmin: linear time (not good).
- Can we do it $\mathrm{O}(\log n)$ time?



## Simple Paths as Binary Trees

- Compact alternative:
- Each internal node represents both a vertex and a subpath:
- subpath from leftmost to rightmost descendant.


Dynamic Trees


## Splaying

- Simpler alternative to balanced binary trees: splaying.
- Trees may be unbalanced in the worst case.
- Guarantees $\mathrm{O}(\log n)$ amortized access.
- Much simpler to implement.
- Basic characteristics:
- Maintains no balancing information.
- On an access to $v$ :
- moves $v$ to the root;
- roughly halves the depth of other nodes in the access path.
- Primitive operation: rotation.
- All operations (insert, delete, join, split) use splaying.


## Simple Paths: Finding Minima

- Also store $\operatorname{mincost}(x)$, minimum cost in subpath with root $x$.
- findmin $(x)$ now runs in $O(\log n)$ time.



## Splaying

- Three restructuring operations:

An Example of Splaying
An Example of Splaying
An Example of Splaying
An Example of Splaying


## An Example of Splaying



Dynamic Trees
An Example of Splaying

## Amortized Analysis

- Bounds the running time of a sequence of operations.
- Potential function $Ф$ maps configurations to real numbers.
- Amortized time to execute each operation:
- $a_{i}=t_{i}+\Phi_{i}-\Phi_{i-1}$
- $a_{i}$ : amortized time to execute $i$-th operation;
- $t_{i}$ : actual time to execute the operation;
- $\Phi_{i}$ : potential after the $i$-th operation.
- Total time for $m$ operations:
$\Sigma_{i=1 . . m} t_{i}=\Sigma_{i=1 . . m}\left(a_{i}+\Phi_{i-1}-\Phi_{i}\right)=\Phi_{0}-\Phi_{m}+\sum_{i=1 . . m} a_{i}$


## An Example of Splaying



## An Example of Splaying

- Final result:



## Amortized Analysis of Splaying

- Definitions:
- $s(x)$ : size of node $x$ (number of descendants, including $x$ ); - At most $n$, by definition.
- $r(x)$ : rank of node $x$, defined as $\log s(x)$; - At most $\log n$, by definition.
- $\Phi_{i}$ : potential of the data structure (twice the sum of all ranks). - At most $2 n \log n$, by definition.
- Access Lemma [ST85]: The amortized time to splay a tree with root $t$ at a node $x$ is at most

$$
6(r(t)-r(x))+1=O(\log (s(t) / s(x)))
$$

## Proof of Access Lemma

- Access Lemma [ST85]: The amortized time to splay a tree with root $t$ at a node $x$ is at most

$$
6(r(t)-r(x))+1=O(\log (s(t) / s(x)))
$$

- Proof idea:
- $r_{i}(x)=$ rank of $x$ after the $i$-th splay step;
- $a_{i}=$ amortized cost of the $i$-th splay step;
- $a_{i} \leq 6\left(r_{i}(x)-r_{i-1}(x)\right)+1$ (for the zig step, if any)
- $a_{i} \leq 6\left(r_{i}(x)-r_{i-1}(x)\right)$ (for each zig-zig or zig-zag step)
- Total amortized time for all $k$ steps:

$$
\begin{aligned}
& \Sigma_{i=1 . . k} a_{i} \leq \Sigma_{i=1 . k-1}\left[6\left(r_{i}(x)-r_{i-1}(x)\right)\right]+\left[6\left(r_{i}(x)-r_{i-1}(x)\right)+1\right] \\
& \quad=6 r_{k}(x)-6 r_{\mathrm{o}}(x)+1
\end{aligned}
$$



| Proof of Access Lemma: Splaying Step |
| :--- | :--- |
| - Zig: |
| Claim: $a \leq 1+6\left(r^{\prime}(x)-r(x)\right)$ <br> $t+\Phi^{\prime}-\Phi \leq 1+6\left(r^{\prime}(x)-r(x)\right)$ <br> $1+\left(2 r^{\prime}(x)+2 r^{\prime}(y)\right)-(2 r(x)+2 r(y)) \leq 1+6\left(r^{\prime}(x)-r(x)\right)$ <br> $1+2\left(r^{\prime}(x)-r(x)\right) \leq 1+6\left(r^{\prime}(x)-r(x)\right)$, <br> TRUE because $r^{\prime}(x) \geq r(x)$. |

## Splaying

- Summing up:
- No rotation: $a=1$
- Zig: $a \leq 6\left(r^{\prime}(x)-r(x)\right)+1$
- Zig-zig: $a \leq 6\left(r^{\prime}(x)-r(x)\right)$
- Zig-zag: $a \leq 4\left(r^{\prime}(x)-r(x)\right)$
- Total amortized time at most $6(r(t)-r(x))+1=\mathrm{O}(\log n)$
- Since accesses bring the relevant element to the root, other operations (insert, delete, join, split) become trivial.


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## Dynamic Trees

- We know how to deal with isolated paths.
- How to deal with paths within a tree?


Dynamic Trees

## Dynamic Trees

- Main idea: partition the vertices in a tree into disjoint solid paths connected by dashed edges.


Dynamic Trees

## Dynamic Trees

- A vertex $v$ is exposed if:
- There is a solid path from $v$ to the root;
- No solid edge enters $v$.
- It is unique.


[^0]
## Virtual Tree: An Example


the actual tree



## Dynamic Trees

- Example: expose $(y)$
- Take all edges on the path to the root, ...



## Exposing a Vertex: An Example

- expose(y): (1) splay within each solid tree;
- Does not change the partition into solid paths.


Dynamic Trees

## Exposing a Vertex: An Example

- expose( $y$ ): (2) splice on all vertices from $y$ to the root.
- Original exposed path: (qlifc ba)
- New exposed path: (yvuts mjgdcba)


Dynamic Trees

## Exposing a Vertex: An Example

- expose( $y$ ): (3) splay on $y$.
- Does not change the exposed path.




## Exposing a Vertex: Running Time

- Running time of expose $(x)$ :
- Proportional to initial depth of $x$;
- $x$ is rotated all the way to the root;
- we just need to count the number of rotations.
- Will use the Access Lemma.
- $s(x), r(x)$ and potential are defined as before;
- In particular, $s(x)$ is the size of the whole subtree rooted at $x$. - Includes both solid and dashed edges.
- Update: $\operatorname{mincost}^{\prime}(z)=\min \{\operatorname{cost}(z), \operatorname{mincost}(v), \operatorname{mincost}(x)\}$
 $(x)\}$


## Implementing Dynamic Tree Operations

- $\operatorname{link}(v, w)$ :
- expose $v$ and $w$ (they are in different trees);
- set $p(v)=w$ (that is, make $v$ a middle child of $w$ ).
- $\operatorname{cut}(v)$ :
- expose $v$;
- make $p(\operatorname{right}(v))=$ null and $\operatorname{right}(v)=$ null;
- set $\operatorname{mincost}(v)=\min \{\operatorname{cost}(v), \operatorname{mincost}(l e f t(v))\}$.

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## Network Flow Applications

- Augmenting path:
- path from source to sink with positive residual capacity $C$.


Dynamic Trees

## Network Flow Applications

- Augmenting path:
- path from source to sink with positive residual capacity $C$.
- Flow can be sent along this path (as much as $C$ ).
- Residual capacity of each arc decreases by $C$.


Dynamic Trees

## Network Flow Applications

- Augmenting path:
- path from source to sink with positive residual capacity $C$;
- Flow can be sent along this path (as much as $C$ ).
- Residual capacity of each arc decreases by $C$.
- Maximum flow algorithms usually maintain only a tree.
- findmin(s) can determine the residual capacity C;
- How can we decrease the capacities?


Dynamic Trees

## Adding Costs to Dynamic Paths

- Corresponding operation on dynamic paths:
- addcost $(v, x)$ : adds $x$ to the cost of vertices in path containing $v$;
- current representation takes linear time.



| Adding Costs: Updating Fields |
| :--- |
| - Updating fields during splice: |
| - $\Delta \operatorname{cost}^{\prime}(v)=\Delta \operatorname{cost}(v)-\Delta \operatorname{cost}(z)$ |
| - $\Delta \operatorname{cost}^{\prime}(u)=\Delta \operatorname{cost}(u)+\Delta \operatorname{cost}(z)$ |
| - $\Delta \min ^{\prime}(z)=\max \{0, \Delta \min (v)-\Delta \operatorname{cost}(v), \Delta \min (x)-\Delta \operatorname{cost} t(x)\}$ |
| Recall that $w$ is always the root of a solid tree. |
| Dynamic Trees |

## Adding Costs: Operations

- $\operatorname{addcost}(v, x)$ :
- expose $v$;
- add $x$ to $\Delta \operatorname{cost}(v)$, subtract $x$ from $\Delta \operatorname{cost}(l \operatorname{left}(v))$
- $\operatorname{link}(v, w)$ :
- expose $v$ and $w$ (they are in different trees);
- set $p(v)=w$ (that is, make $v$ a middle child of $w$ ).
- $\operatorname{cut}(v)$ :
- expose $v$;
- add $\Delta \operatorname{cost}(v)$ to $\Delta \operatorname{cost}(\operatorname{right}(v))$;
- make $p(\operatorname{right}(v))=$ null and $\operatorname{right}(v)=$ null
- $\operatorname{set} \Delta \min (v)=\max \{0, \Delta \min (l e f t(v))-\Delta \operatorname{cost}(l e f t(v))\}$




## Other Extensions

- Associate values with edges:
- just interpret $\operatorname{cost}(v)$ as $\operatorname{cost}(v, p(v))$.
- Other path queries (such as length):
- modify values stored in each node appropriately.
- Free (unrooted) trees: use evert to change root.
- Subtree-related operations:
- Can be implemented, but parent must have access to middle children in constant time:
- Tree must have bounded degree.
- Approach for arbitrary trees: "ternarize" them:
- [Goldberg, Grigoriadis and Tarjan, 1991]


[^0]:    Dynamic Trees

