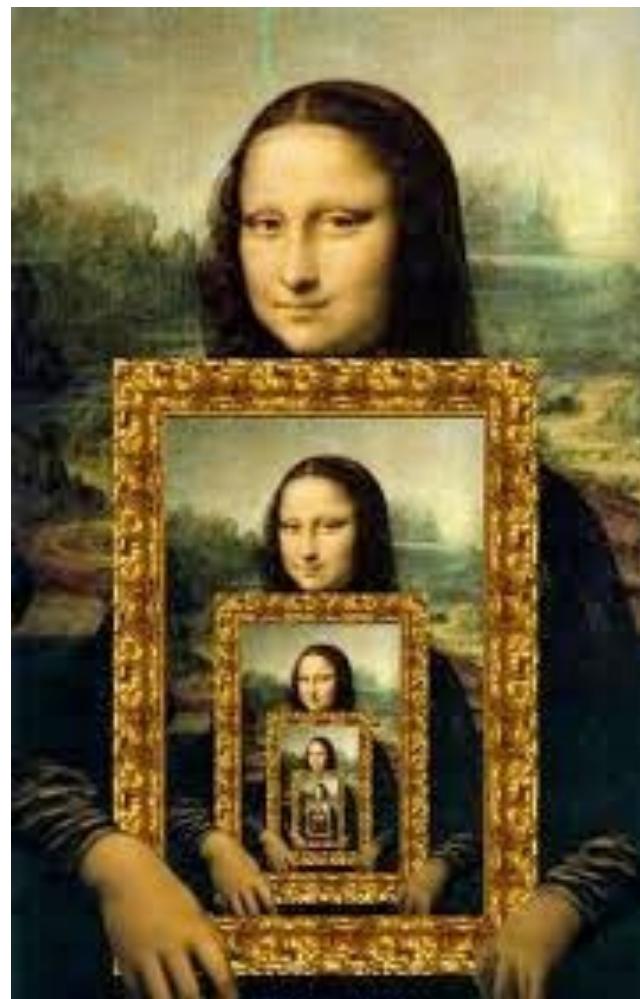




## 2.3 Recursion

---

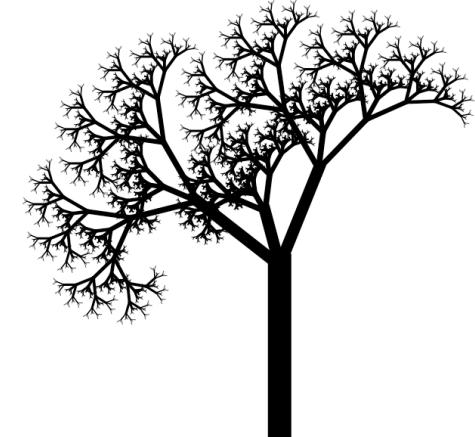


# Overview

What is recursion? When one function calls **itself** directly or indirectly.

Why learn recursion?

- New mode of thinking.
- Powerful programming paradigm.



Many computations are naturally self-referential.

- Binary search, mergesort, FFT, **GCD**.
- Linked data structures.
- A folder contains files and other folders.

Closely related to mathematical induction.



M. C. Escher, 1956

# Mathematical Induction

**Mathematical induction.** Prove a statement involving an integer  $N$  by

- **base case:** Prove it for some specific  $N$  (usually 0 or 1).
- **induction step:** Assume it to be true for all positive integers less than  $N$ , use that fact to prove it for  $N$ .

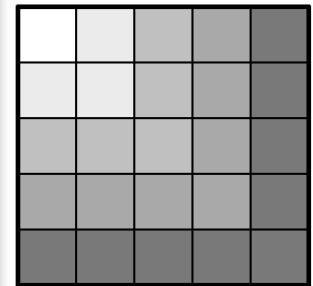
Ex. Sum of the first  $N$  odd integers is  $N^2$ .

Base case: True for  $N = 1$ .

Induction step:

- Let  $T(N)$  be the sum of the first  $N$  odd integers:  $1 + 3 + 5 + \dots + (2N - 1)$ .
- Assume that  $T(N-1) = (N-1)^2$ .
- $$\begin{aligned} T(N) &= T(N-1) + (2N - 1) \\ &= (N-1)^2 + (2N - 1) \\ &= N^2 - 2N + 1 + (2N - 1) \\ &= N^2 \end{aligned}$$

$$\begin{array}{rcl} 1 & = 1 \\ 1 + 3 & = 4 \\ 1 + 3 + 5 & = 9 \\ 1 + 3 + 5 + 7 & = 16 \\ 1 + 3 + 5 + 7 + 9 & = 25 \\ \dots & \dots \end{array}$$



# Recursive Program

Recursive Program. Implement a function having integer arguments by

- **base case:** Do something specific in response to "base" argument values.
- **reduction step:** Assume the function works for all smaller argument values, and use the function to implement **itself** for general argument values.

```
public static String convert(int x)
{
    if (x == 1) return "1";
    return convert(x/2) + (x % 2);
}
```

automatic cast to  
String  
(either "0" or "1")

Ex 1. Convert positive int to binary string.

Base case: return "1" for  $x = 1$ .

Reduction step:

- convert  $x/2$  to binary
- append "0" if  $x$  even
- append "1" if  $x$  odd

$$\begin{array}{rccc} & 37 & & 18 \\ & \text{"100101"} & = & \text{"10010"} + \text{"1"} \end{array}$$

# Recursive Program

Recursive Program. Implement a function having integer arguments by

- **base case:** Implementing it for some specific values of the arguments.
- **reduction step:** Assume the function works for smaller values of its arguments and use it to implement the function for the given values.

```
public class Binary
{
    public static String convert(int x)
    {
        if (x == 1) return "1";
        return convert(x/2) + (x % 2);
    }

    public static void main(String[] args)
    {
        int x = Integer.parseInt(args[0]);
        System.out.println(convert(x));
    }
}
```

```
% java Binary 6
110
% java Binary 37
100101
% java Binary 999999
11110100001000111111
```

x = 6

environment

convert(6)

```
public static String convert(int x)
{
    if (x == 1) return "1";
    return convert(x / 2) + (x % 2);
}
```

"110"

```
public class Binary
{
    public static String convert(int x)
    {
        if (x == 1) return "1";
        return convert(x/2) + (x % 2);
    }

    public static void main(String[] args)
    {
        int x = Integer.parseInt(args[0]);
        System.out.println(convert(x));
    }
}
```

"110"

% java Binary 6  
110

# Recursion vs. Iteration

Every program with 1 recursive call corresponds to a loop.

```
public static String convert(int x)
{
    if (x == 1) return "1";
    return convert(x/2) + (x % 2);
}
```

```
public static String convertNR(int x)
{
    String s = "1";
    while (x > 1)
    {
        s = (x % 2) + s;
        x = x/2;
    }
    return s;
}
```

Reasons to use recursion:

- code more compact
- easier to understand
- easier to reason about correctness
- easy to add multiple recursive calls (stay tuned)

Reasons **not** to use recursion: (stay tuned)

## Greatest Common Divisor

**Gcd.** Find largest integer that evenly divides into p and q.

**Ex.**  $\gcd(4032, 1272) = 24$ .

$$4032 = 2^6 \times 3^2 \times 7^1$$

$$1272 = 2^3 \times 3^1 \times 53^1$$

$$\gcd(4032, 1272) = 2^3 \times 3^1 = 24$$

### Applications.

- Simplify fractions:  $1272/4032 = 53/168$ .
- RSA cryptosystem.

# Greatest Common Divisor

**GCD.** Find largest integer that evenly divides into p and q.

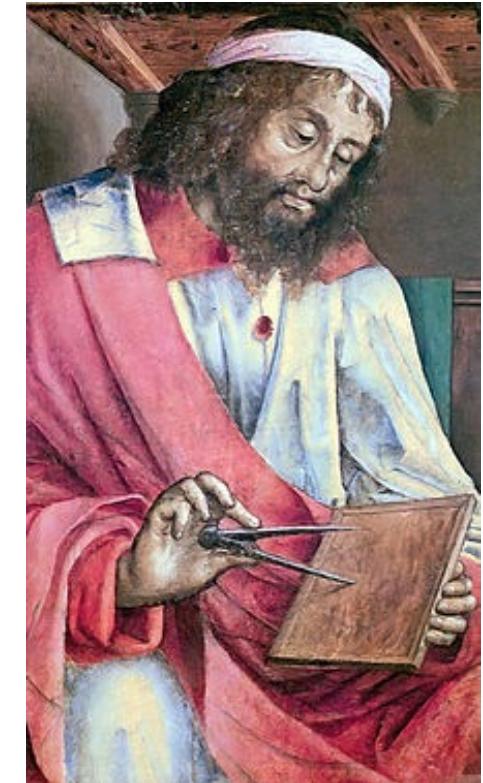
Euclid's algorithm. [Euclid 300 BCE]

$$\text{gcd}(p, q) = \begin{cases} p & \text{if } q = 0 \\ \text{gcd}(q, p \% q) & \text{otherwise} \end{cases}$$

← base case  
← reduction step,  
converges to base case

$$\begin{aligned} \text{gcd}(4032, 1272) &= \text{gcd}(1272, 216) \\ &= \text{gcd}(216, 192) \\ &= \text{gcd}(192, 24) \\ &= \text{gcd}(24, 0) \\ &= 24. \end{aligned}$$

$$4032 = 3 \times 1272 + 216$$



# Euclid's Algorithm

**GCD.** Find largest integer d that evenly divides into p and q.

$$\text{gcd}(p, q) = \begin{cases} p & \text{if } q = 0 \\ \text{gcd}(q, p \% q) & \text{otherwise} \end{cases}$$

← base case  
← reduction step,  
converges to base case

p							
q		q		p % q			
x	x	x	x	x	x	x	x

↑  
*gcd*

$$\begin{aligned} p &= 8x \\ q &= 3x \end{aligned}$$

$$\text{gcd}(p, q) = \text{gcd}(3x, 2x) = x$$

# Euclid's Algorithm

GCD. Find largest integer d that evenly divides into p and q.

$$\text{gcd}(p, q) = \begin{cases} p & \text{if } q = 0 \\ \text{gcd}(q, p \% q) & \text{otherwise} \end{cases}$$

← base case  
← reduction step,  
converges to base case

Recursive program

```
public static int gcd(int p, int q)
{
    if (q == 0) return p;
    else return gcd(q, p % q);
}
```

← base case  
← reduction step

$p = 1272, q = 216$

environment

$\text{gcd}(1272, 216)$

```
static int gcd(int p, int q)
{
    if (q == 0) return p;
    else return gcd(q, p % q);
}
```

24

```
public class Euclid
{
    public static int gcd(int p, int q)
    {
        if (q == 0) return p;
        else return gcd(q, p % q);
    }

    public static void main(String[] args)
    {
        int p = Integer.parseInt(args[0]);
        int q = Integer.parseInt(args[1]);
        System.out.println(gcd(p, q));
    }
}
```

24

% java Euclid 1272 216

24

## Possible debugging challenges with recursion

Missing base case.

```
public static double BAD(int N)
{
    return BAD(N-1) + 1.0/N;
}
```

No convergence guarantee.

```
public static double BAD(int N)
{
    if (N == 1) return 1.0;
    return BAD(1 + N/2) + 1.0/N;
}
```

Both lead to INFINITE RECURSIVE LOOP (bad news).

Try it!

so that you can recognize and deal with it if it later happens to you

# Collatz Sequence

Collatz sequence.

- If  $n$  is 1, stop.
- If  $n$  is even, divide by 2.
- If  $n$  is odd, multiply by 3 and add 1.

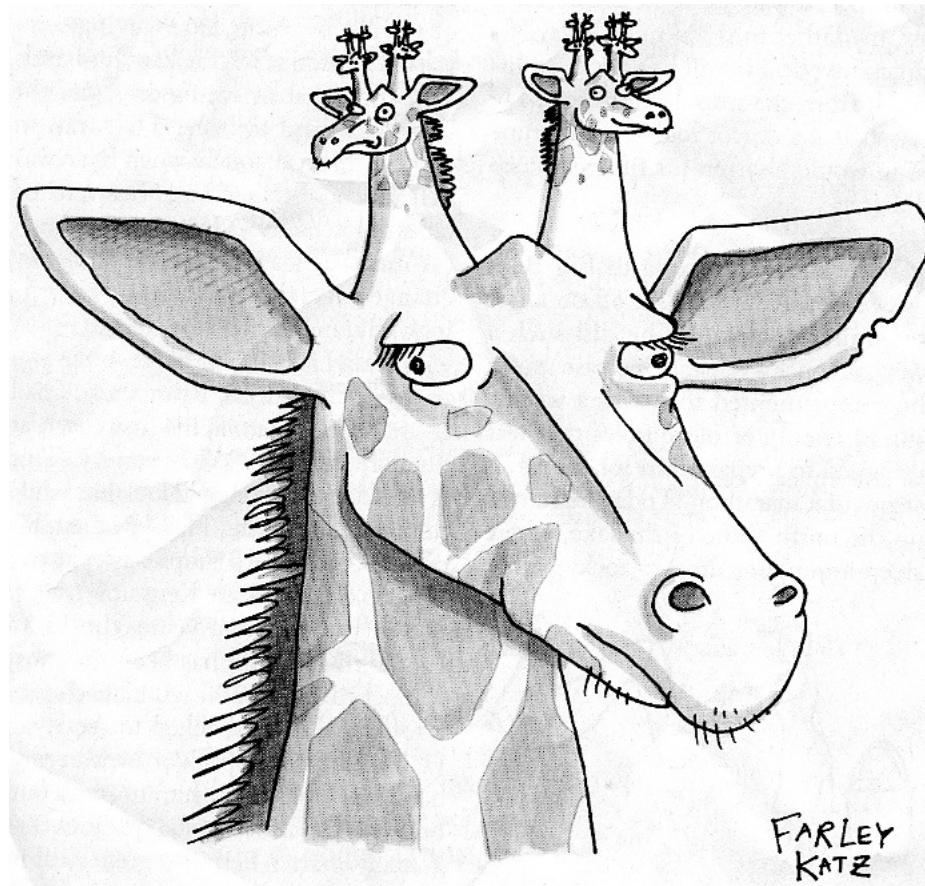
Ex. 35 106 53 160 80 40 20 10 5 16 8 4 2 1.

```
public static void collatz(int N)
{
    StdOut.print(N + " ");
    if (N == 1) return;
    if (N % 2 == 0) collatz(N / 2);
    else collatz(3 * N + 1);
}
```

No one knows whether or not this function terminates for all  $N$  (!)  
[usually we decrease  $N$  for all recursive calls]

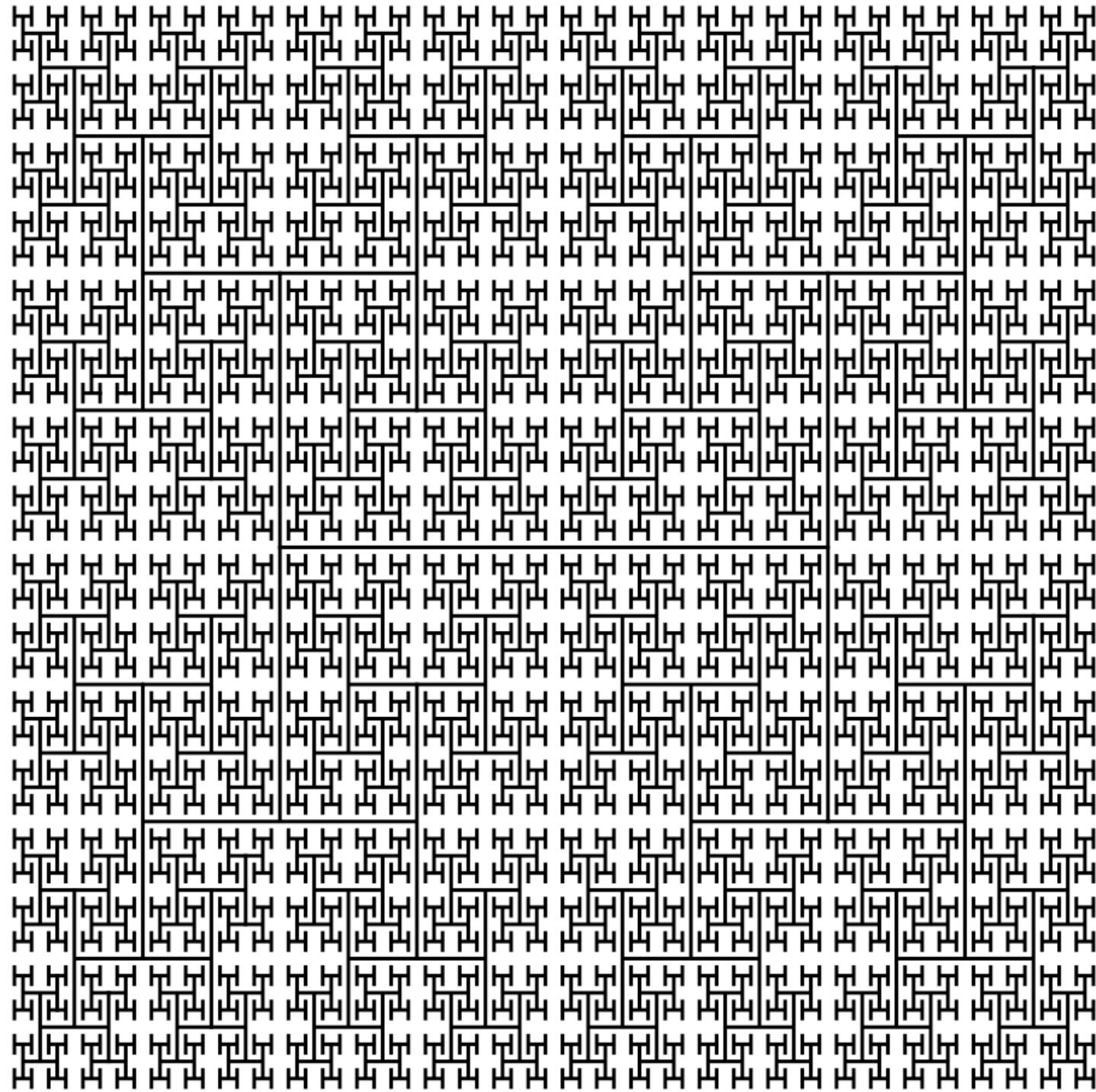
# Recursive Graphics

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New Yorker Magazine, August 11, 2008



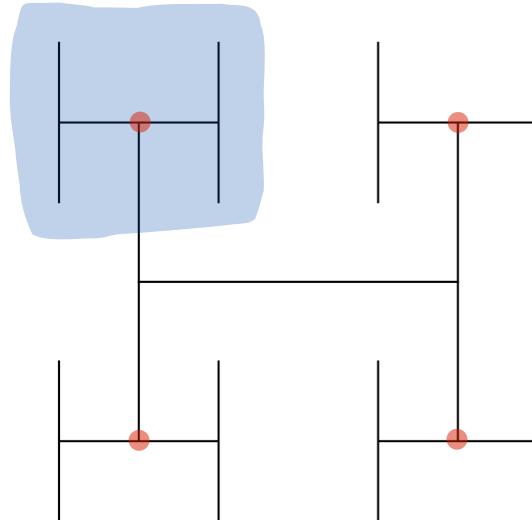
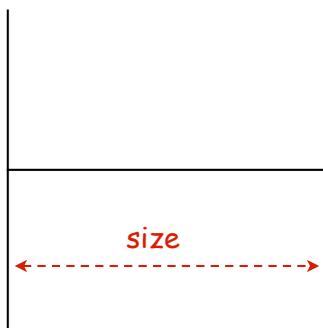


# Htree

H-tree of order n.

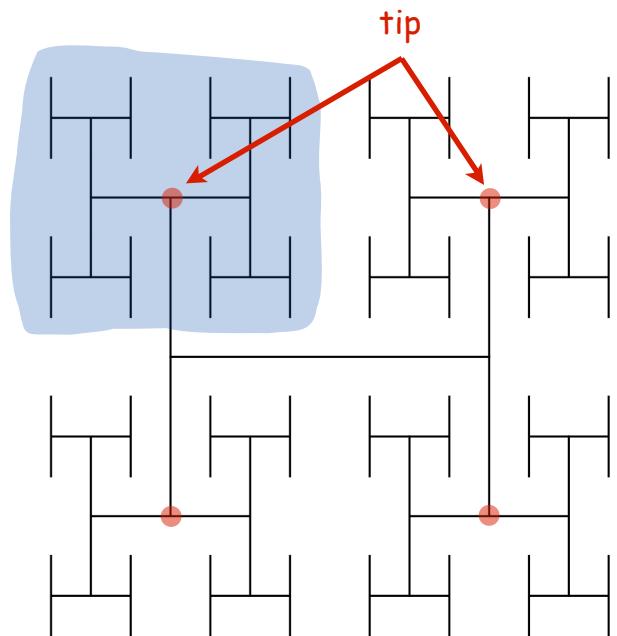
- Return if n is 0
- Draw an H.
- Recursively draw 4 H-trees of order n-1, one connected to each tip.

and half the size



order 1

order 2



order 3

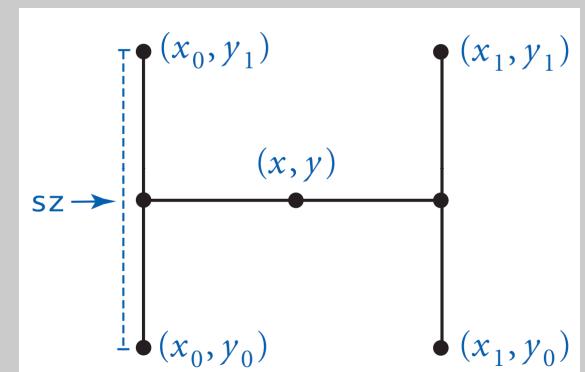
# Htree in Java

```
public class Htree
{
    public static void draw(int n, double sz, double x, double y)
    {
        if (n == 0) return;
        double x0 = x - sz/2, x1 = x + sz/2;
        double y0 = y - sz/2, y1 = y + sz/2;

        StdDraw.line(x0, y, x1, y);
        StdDraw.line(x0, y0, x0, y1); ← draw the H, centered on (x,y)
        StdDraw.line(x1, y0, x1, y1);

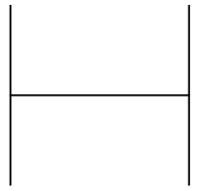
        draw(n-1, sz/2, x0, y0);
        draw(n-1, sz/2, x0, y1); ← recursively draw 4 half-size Hs
        draw(n-1, sz/2, x1, y0);
        draw(n-1, sz/2, x1, y1);
    }

    public static void main(String[] args)
    {
        int n = Integer.parseInt(args[0]);
        draw(n, .5, .5, .5);
    }
}
```

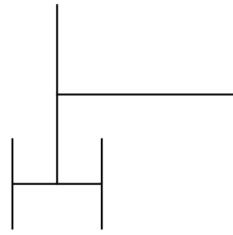


# Animated H-tree

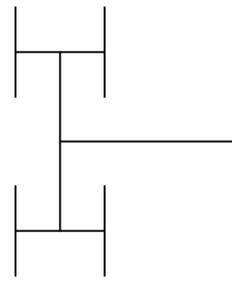
Animated H-tree. Pause after drawing each H.



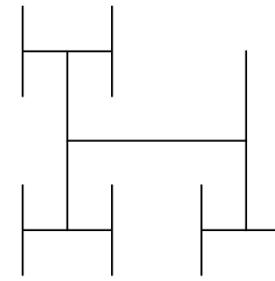
20%



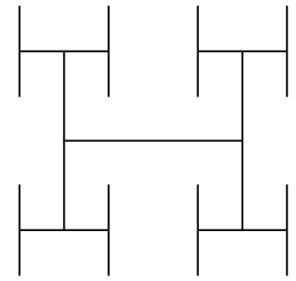
40%



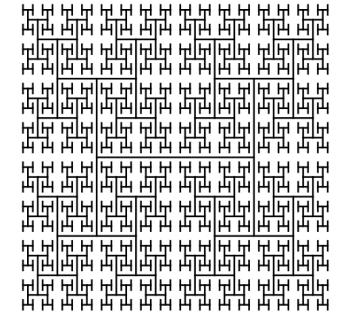
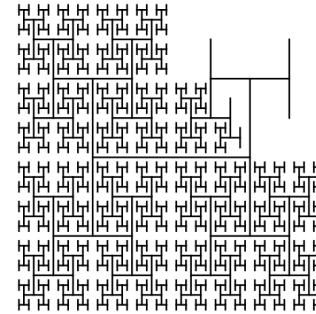
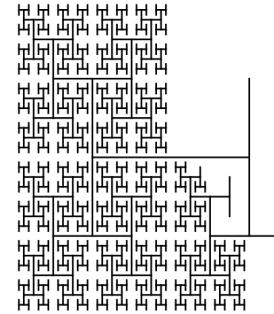
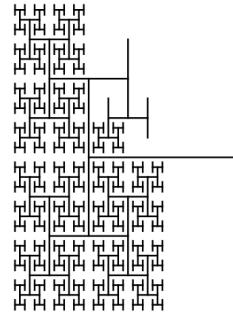
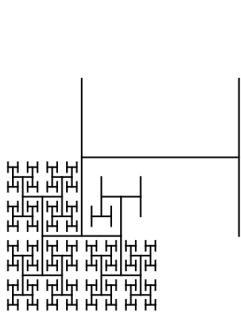
60%



80%

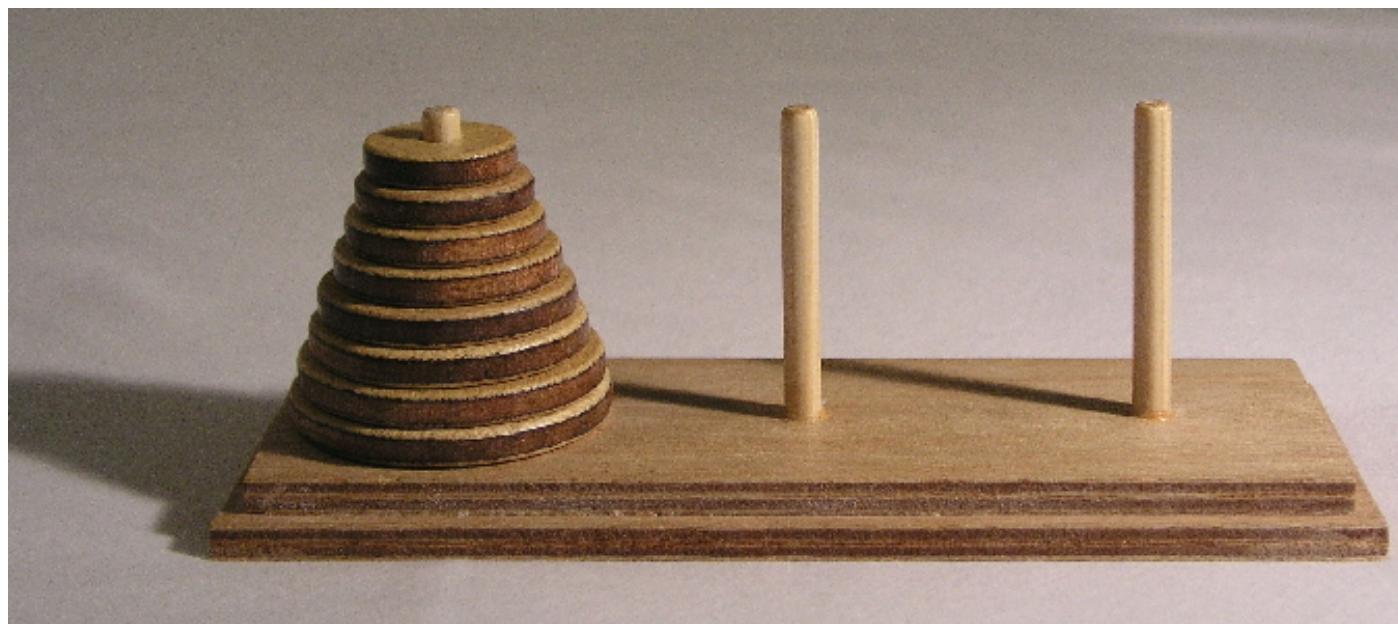


100%



# Towers of Hanoi

---

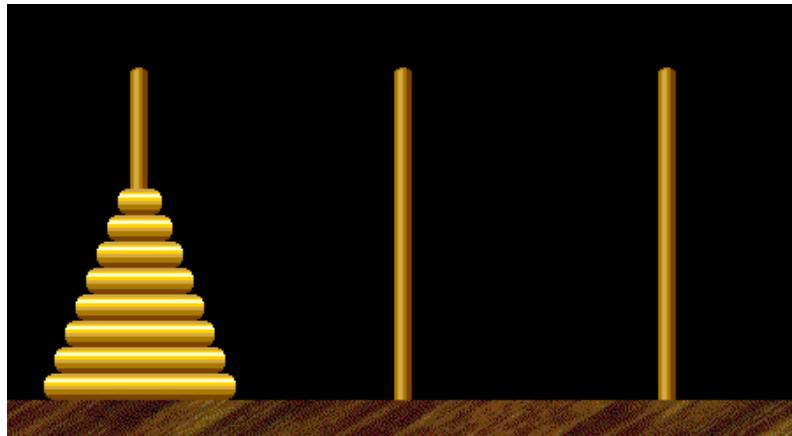


<http://en.wikipedia.org/wiki/Image:Hanoiklein.jpg>

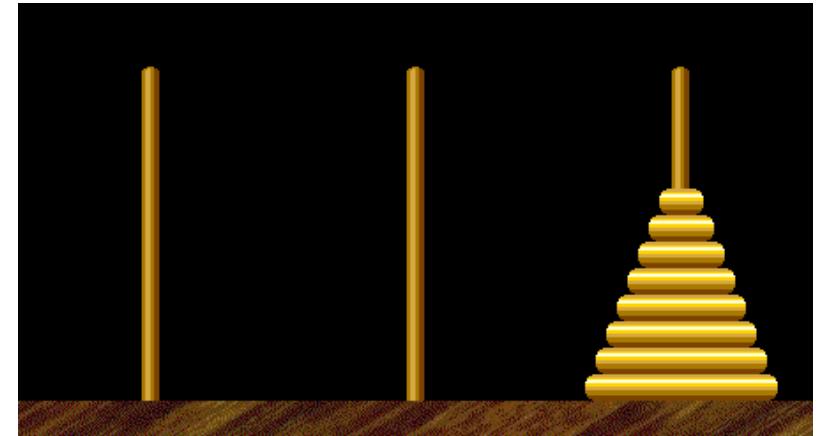
## Towers of Hanoi

Move all the discs from the leftmost peg to the rightmost one.

- Only one disc may be moved at a time.
- A disc can be placed either on empty peg or on top of a larger disc.



start

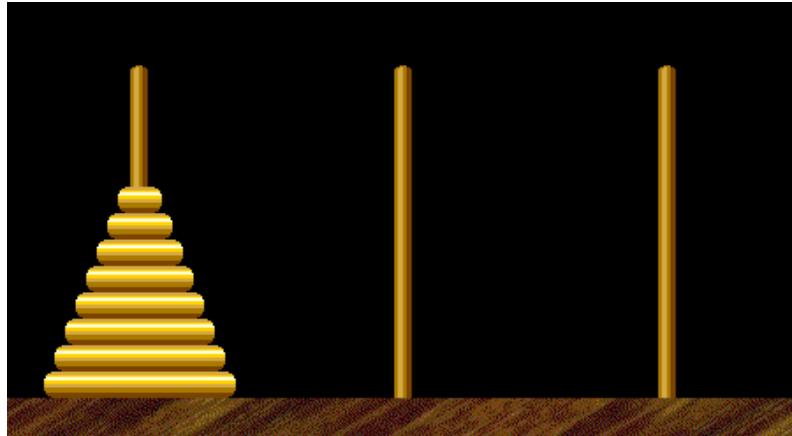


finish



Edouard Lucas (1883)

## Towers of Hanoi: Recursive Solution

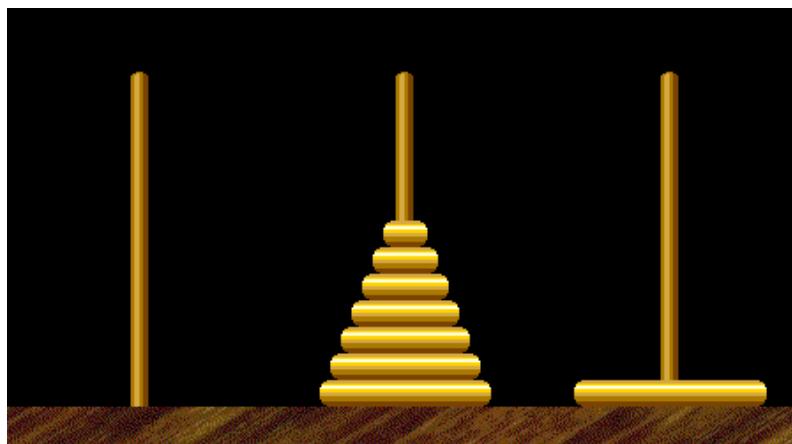


Move n-1 smallest discs right.

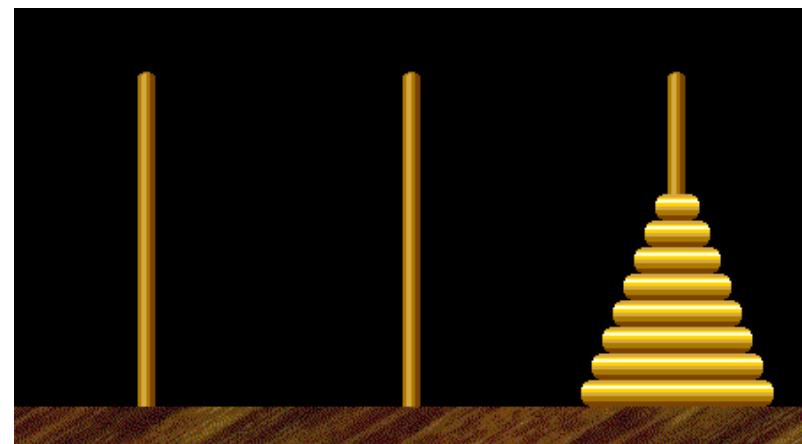


Move largest disc left.

cyclic wrap-around



Move n-1 smallest discs right.



## Towers of Hanoi Legend

Q. Is world going to end (according to legend)?

- 64 golden discs on 3 diamond pegs.
- World ends when certain group of monks accomplish task.

Q. Will computer algorithms help?

## Towers of Hanoi: Recursive Solution

```
public class TowersOfHanoi
{
    public static void moves(int n, boolean left)
    {
        if (n == 0) return;
        moves(n-1, !left);
        if (left) System.out.println(n + " left");
        else      System.out.println(n + " right");
        moves(n-1, !left);
    }

    public static void main(String[] args)
    {
        int N = Integer.parseInt(args[0]);
        moves(N, true);
    }
}
```

`moves (n, true)` : move discs 1 to n one pole to the left

`moves (n, false)`: move discs 1 to n one pole to the right

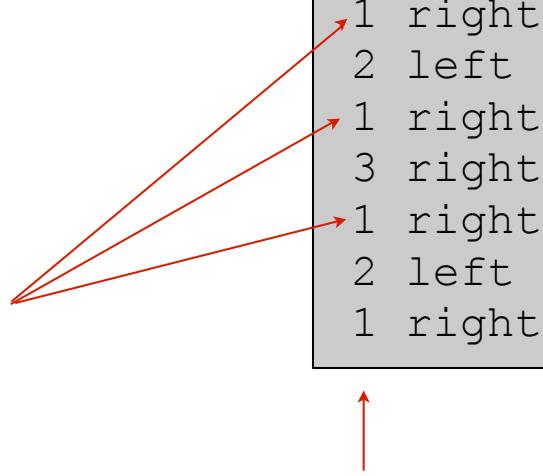
smallest disc

# Towers of Hanoi: Recursive Solution

```
% java TowersOfHanoi 3  
1 left  
2 right  
1 left  
3 left  
1 left  
2 right  
1 left
```

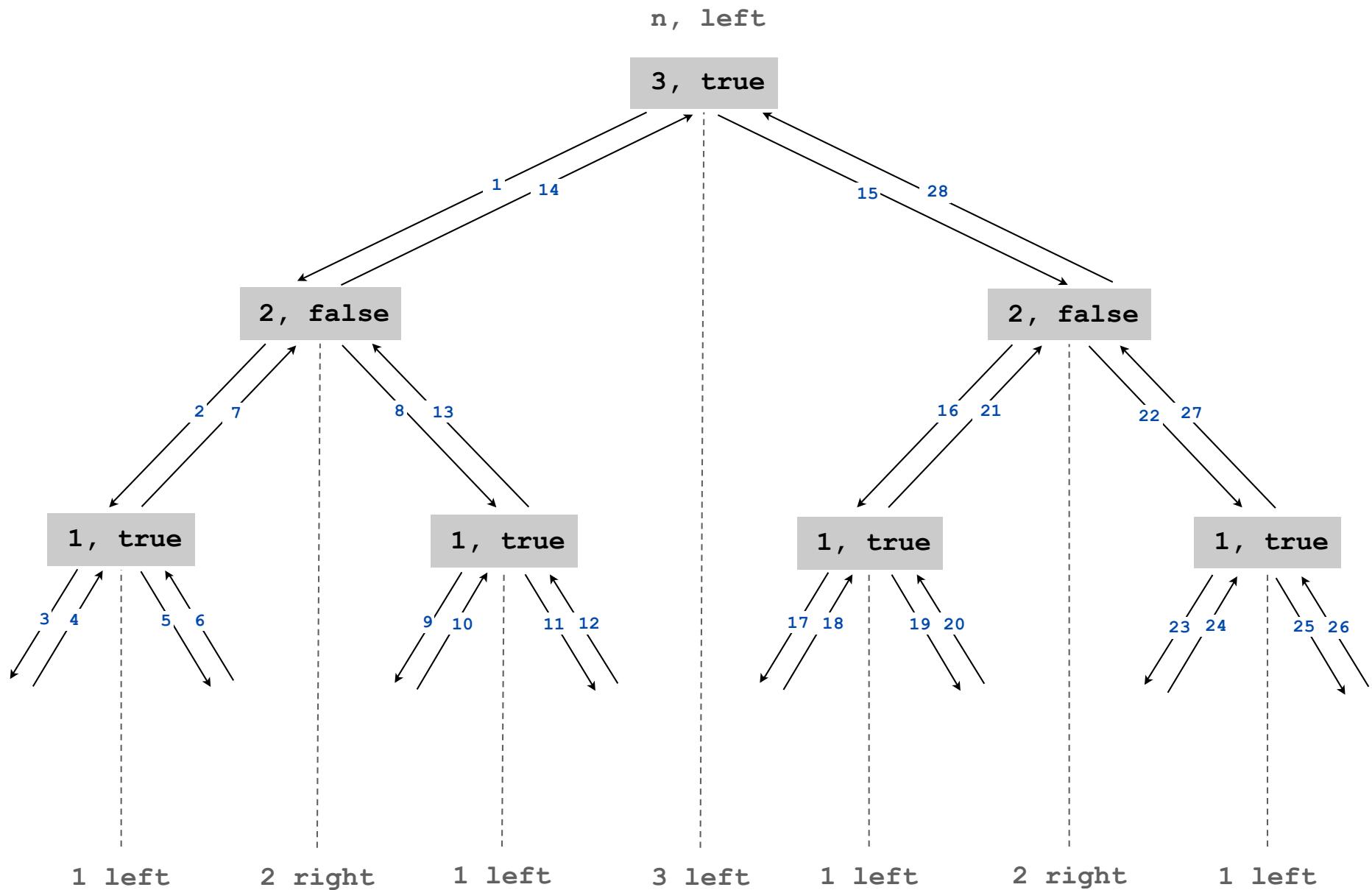
```
% java TowersOfHanoi 4  
1 right  
2 left  
1 right  
3 right  
1 right  
2 left  
1 right  
4 left  
1 right  
2 left  
1 right  
3 right  
1 right  
2 left  
1 right
```

every other move is smallest disc



subdivisions  
of  
ruler

# Towers of Hanoi: Recursion Tree



## Towers of Hanoi: Properties of Solution

Remarkable properties of recursive solution.

- Takes  $2^n - 1$  moves to solve  $n$  disc problem.
- Sequence of discs is same as subdivisions of ruler.
- Every other move involves smallest disc.

Recursive algorithm yields non-recursive solution!

- Alternate between two moves:
  - move smallest disc to right if  $n$  is even
  - make only legal move not involving smallest disc

to left if  $n$  is odd

Recursive algorithm may reveal fate of world.

- Takes 585 billion years for  $n = 64$  (at rate of 1 disc per second).
- Reassuring fact: any solution takes at least this long!

# Divide-and-Conquer

## Divide-and-conquer paradigm.

- Break up problem into smaller subproblems of same structure.
- Solve subproblems recursively using same method.
- Combine results to produce solution to original problem.

## Many important problems succumb to divide-and-conquer.

- Midpoint displacement method for fractional Brownian motion.
- FFT for signal processing.
- Parsers for programming languages.
- Multigrid methods for solving PDEs.
- Quicksort and mergesort for sorting.
- Hilbert curve for domain decomposition.
- Quad-tree for efficient N-body simulation.

Divide et impera. Veni, vidi, vici. - Julius Caesar

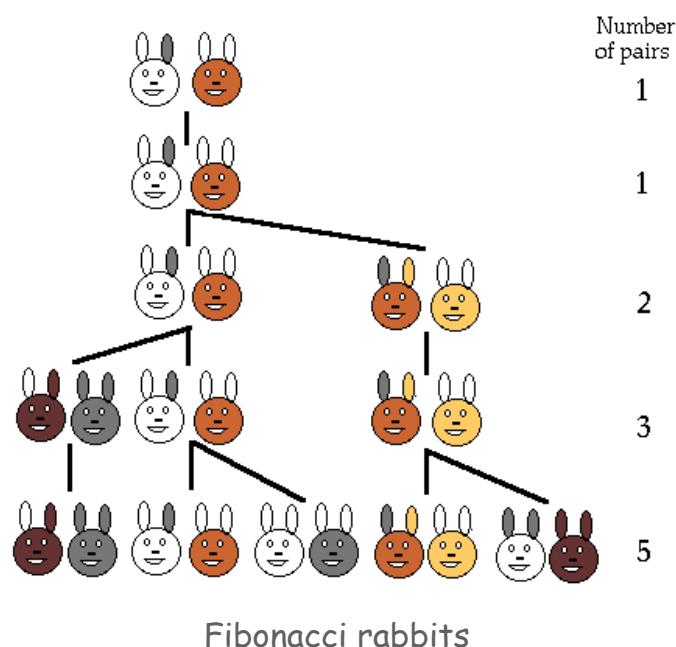
# Fibonacci Numbers

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# Fibonacci Numbers

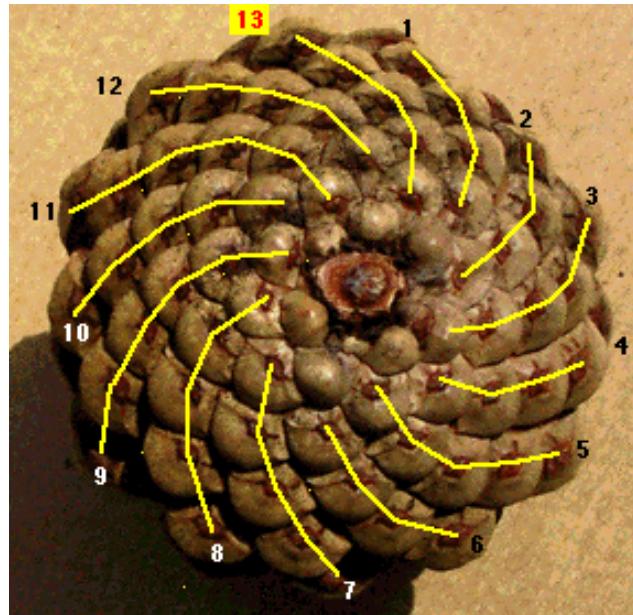
Fibonacci numbers. 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, ...

$$F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{otherwise} \end{cases}$$

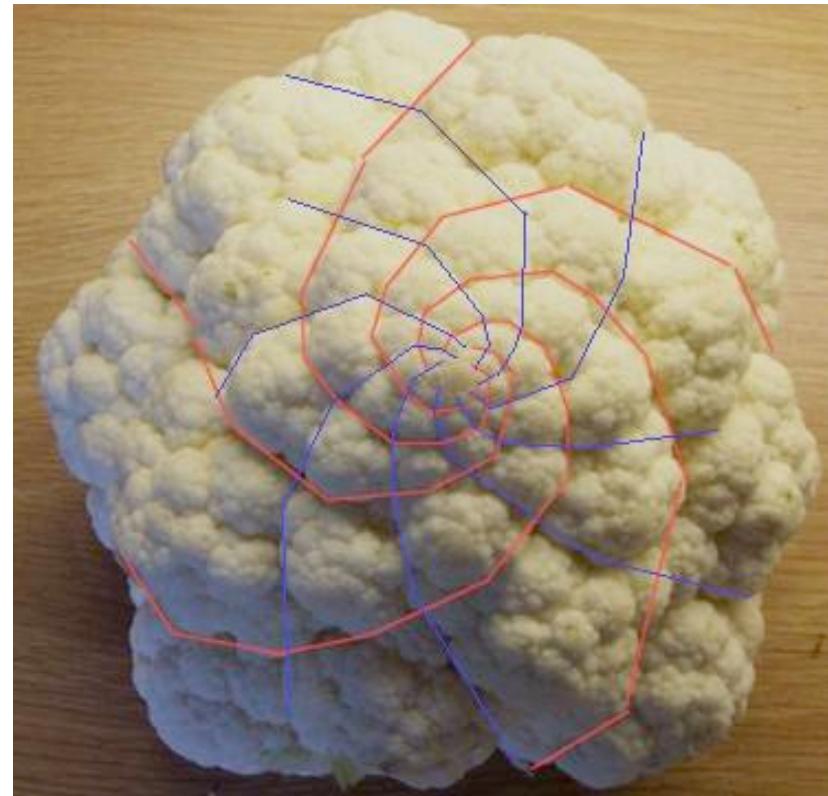


L. P. Fibonacci  
(1170 - 1250)

# Fibonacci Numbers



pinecone



cauliflower

see much, much more at [www.youtube.com/user/Vihart](https://www.youtube.com/user/Vihart)

# A Possible Pitfall With Recursion

Fibonacci numbers. 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, ...

FYI (classical math):

$$F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{otherwise} \end{cases}$$

$$\begin{aligned} F(n) &= \frac{\phi^n - (1-\phi)^n}{\sqrt{5}} \\ &= \left\lfloor \phi^n / \sqrt{5} \right\rfloor \\ \phi &= \text{golden ratio} \approx 1.618 \end{aligned}$$

Ex:  $F(50) \approx 1.2 \times 10^{10}$

A natural for recursion?

```
public static long F(int n)
{
    if (n == 0) return 0;
    if (n == 1) return 1;
    return F(n-1) + F(n-2);
}
```

## Recursion Challenge 1 (difficult but important)

Is this an efficient way to compute F(50)?

```
public static long F(int n)
{
    if (n == 0) return 0;
    if (n == 1) return 1;
    return F(n-1) + F(n-2);
}
```

## Recursion Challenge 2 (easy and also important)

Is this an efficient way to compute F(50)?

```
long[] F = new long[51];
F[0] = 0; F[1] = 1;
if (n == 1) return 1;
for (int i = 2; i <= 50; i++)
    F[i] = F[i-1] + F[i-2];
```

## Summary

### How to write simple recursive programs?

- Base case, reduction step.
- Trace the execution of a recursive program.
- Use pictures.

### Why learn recursion?

- New mode of thinking.
- Powerful programming tool.

Divide-and-conquer. Elegant solution to many important problems.

### Exponential time.

- Easy to specify recursive program that takes exponential time.
- Don't do it unless you plan to (and are working on a small problem).