

# Algorithms, Complexity, and Combinatorics

## Objects of this area of study:

Develop good algorithms

Analyze the complexity of algorithms

Provide lower bounds on the complexity of problems

(We will deal only with sequential, not parallel, algorithms)

## Historical approach:

Algorithm development

Empirical study

Theoretical analysis rare

Lower bounds non-existent

Algorithm: Step-by-step  
problem solving method.

Although "algorithms" existed  
thousands of years ago,  
the birth of the computer  
was necessary and  
sufficient to power their  
study.

Techniques are borrowed from

logic:

simulation, diagonalization

This work occurred just before  
the advent of computers  
(1930's).

Hilbert, at the turn of the  
century, was aware of the issue:

Hilbert's 10<sup>th</sup> problem, proved  
undecidable in 1970 by Matijasevic,  
building on work of Davis, Robinson

The next questions:

How efficient is an algorithm?

How efficient can algorithms  
for a given problem be?

## Complexity Theory

Complexity measures:

program length } function  
only of the  
problem

(sequential) running time }  
storage space } function  
of the  
input

parallel running time }  
number of processors }

# Possible Complexity measures

## Static (data independent)

1. Program size (number of instructions)

## Dynamic (data dependent)

1. Running time as a function of data size.
2. Storage space as a function of data size.

## Data for dynamic measures

1. Worst case.
2. Representative (average) case.

## Special measures for lower bounds

1. Tests in decision tree.
2. Arithmetic operations in straight-line program.
3. Memory accesses.

Program length and  
programming time:  
a digression

Programming, from at least one  
point of view (Dijkstra's)  
is a rigorous, logical  
activity

akin to theorem-proving  
and equally demanding  
of correctness.

## Our Complexity Measure

Worst-case running time

as a function of input size.

Constant-time operations:

Accessing a single cell or node.

Performing a single arithmetic or  
logical operation.

Asymptotic analysis:

We ignore constant factors and

concentrate on large problem sizes.

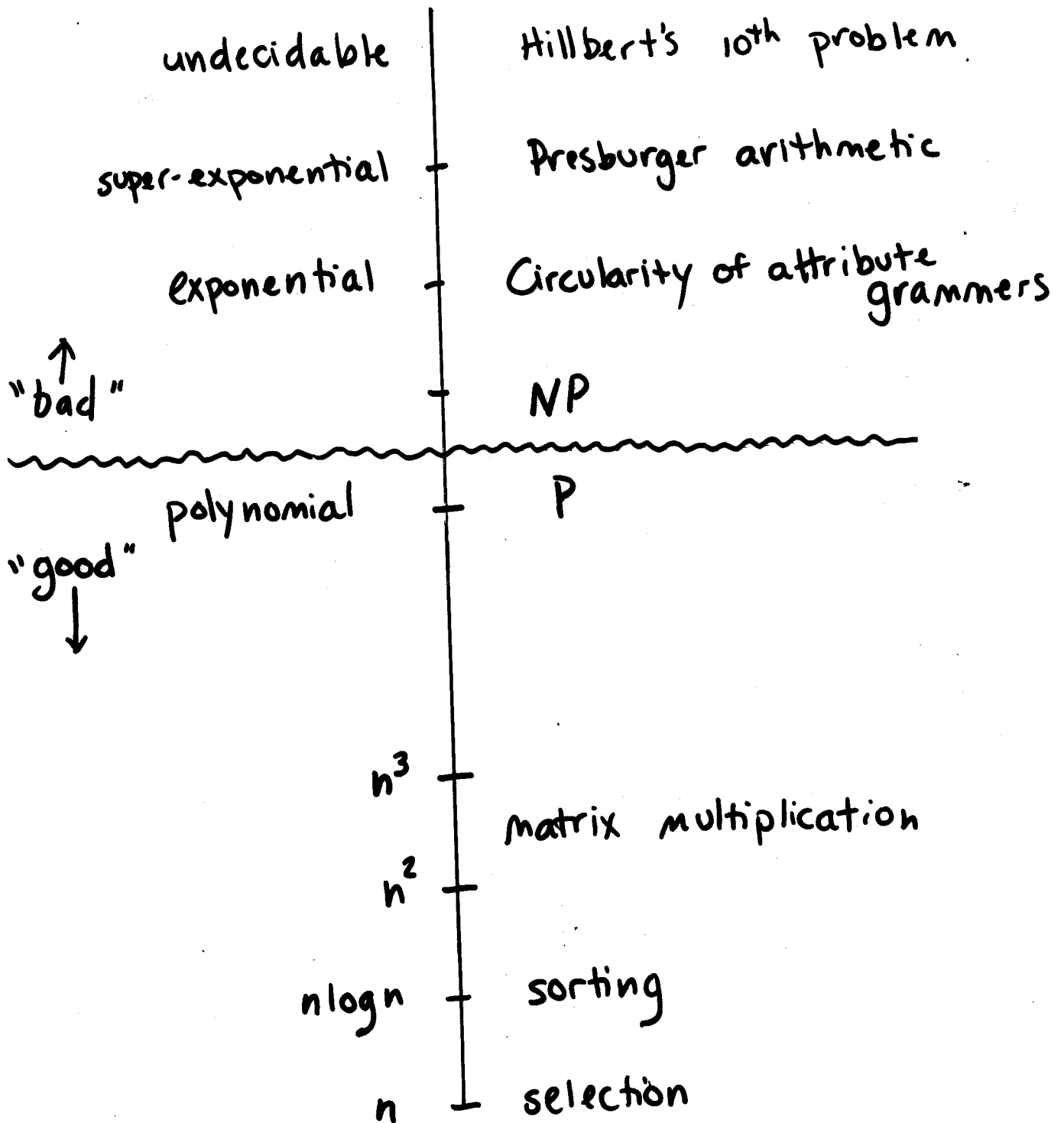
size complexity	N					
	20	50	100	200	500	1000
$1000 N$	.02 sec	.05 sec	.1 sec	.2 sec	.5 sec	1 sec
$1000 N \log N$	.09 sec	.3 sec	.6 sec	1.5 sec	4.5 sec	10 sec
$100 N^2$	.04 sec	.25 sec	1 sec	4 sec	25 sec	2 min
$10 N^3$	.02 sec	1 sec	10 sec	1 min	21 min	2.7 hr
$N \log N$	.4 sec	1.1 hr	220 days	125 cent	$5 \cdot 10^8$ cent	
$2^{N/3}$	.0001 sec	.1 sec	2.7 hr	$3 \cdot 10^4$ cent		
$2^N$	1 sec	35 yr	$3 \cdot 10^4$ cent			
$3^N$	58 min	$2 \cdot 10^9$ cent				

Running time estimates:

one step = one microsecond  
logarithms are base two



# The spectrum of Computational Complexity



High-Level  
Complexity

vs.

Low-Level  
Complexity

Ignorance of e.g. •  
polynomial functions

Ignorance of  
Constant Factors  
(maybe)

Emphasis on •  
Lower bounds

Emphasis on  
upper bounds

Techniques are •  
those of logic

Techniques are  
eclectic

simulation  
diagonalization  
quantifier elimination  
(to get algorithms)

High order complexity

(What can't we do?)

Undecidability

Turing's halting problem



Hilbert's tenth problem  
(solution of Diophantine equations)

Good (polynomial time) vs bad (exponential time)  
algorithms

Exponential or super-exponential lower bounds

Equivalence of extended regular expressions

$2^{2^n}$  Validity in Presburger arithmetic

Circularity in semantic definitions  
for context-free languages

## NP-complete problems

P is the class of problems solvable in polynomial time.

NP is the class of problems whose solution can be checked in polynomial time.

## NP-complete problems

Hardest problems in NP; if any has a polynomial time algorithm, they all do.

## Examples

Validity in propositional calculus

Travelling salesman problem

Maximum independent set problem

Graph coloring

High order complexity results are machine independent;  
complexity in all machine models is polynomially related.

Turing machine is usually used.

Key ideas

simulation (reducibility, transformability)

diagonalization

These are not powerful enough to resolve the

$P = NP?$

question.

Low order complexity

(What can we do?)

Instead of lower bounds, emphasis is on developing fast algorithms.

(Lower bounds almost non-existent)

Better-and-better polynomial upper bounds

# Low-Level Complexity

Lower bounds are based on  
problem-specific computation  
models

count only the relevant  
or dominant operations

eg. comparisons (sorting)  
multiplications  
(matrix multiplication)

model "natural" algorithms

Fast algorithms rely on:

algorithmic techniques:

recursion, dynamic

programming, divide-

and-conquer, graph

search, etc.

data structures: lists,

stacks, queues,

trees, etc.

Analysis of algorithms and  
related questions requires  
eclectic mathematics



# Techniques

## Recursion

Dynamic programming  
Divide and Conquer

## Data structures

### Linear lists

stack, queue, deque

list of lists (radix sorting)

partitioned stack (planarity testing)

### Trees

compressed trees

heaps (priority queues)

search trees

dynamic trees

permutable trees

} basic

} advanced

## Graph search

Depth-first (maze traversal (Trenaux):

connectivity problems)

Breadth-first (network flow)

Shortest-first (shortest paths)

Oldest-last (maze traversal (Tarry):

Eulerian cycles)

Maximum cardinality

Lexicographic

## Optimization

Greedy

Augmentation

## The Role of Theory in Algorithm Design

Whereas improvements in hardware  
and in coding can produce constant  
factor improvements, theoretical  
insights can lead to asymptotic  
improvements and gains in  
simplicity and generality  
(and correctness)

## Key Points

As computers become faster and as computer memories become larger, theoretical analysis yielding asymptotic complexity becomes more, not less important.

More "room" is available for the efficiency of clever algorithms to show up.

Often, the key to solving a problem efficiently is to use the right data structure.

Algorithmic questions are often at heart data structure questions.