



Introduction to Audio Compression and Representation

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(also Music)

Audio Compression Overview



- *Compression in General*
- *Waveform Sampling, Storage, etc.*
- *Limits of Human Audio Perception*
- *Sound and Music Representation*
- *Audio Compression Techniques*
- *Two Contrasting Compressors*
- *References and Resources*

Compression in General: Why Compress?



So Many Bits, So Little Time (Space)

- CD audio rate: $2 * 2 * 8 * 44100 = 1,411,200$ bps
- CD audio storage: 10,584,000 bytes / minute
- A CD holds only about 70 minutes of audio
- An ISDN line can only carry 128,000 bps

Security: Best compressor removes all that is recognizable about the original sound

Graphics people eat up all the space

Compression in General



Classical Data Compression View:

Take advantage of

- Redundancy/Correlation
- Statistics (Local / Global)
- Assumptions / Models

Problem: Much of this doesn't work directly on sound waveform data

Waveform Sampling and Playback



- *Sample and Hold*

Sample Rate vs. Aliasing

- *Quantize*

Word Size vs. Quantization Noise

- *Reconstruct: Hold and Smooth (filter)*

Filter Order vs. Error and Latency

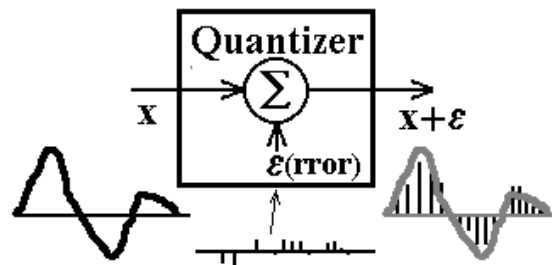
Waveform Sampling: Quantization



Quantization

Introduces

Noise



Examples: 16, 12, 8, 6, 4 bit music

16, 12, 8, 6, 4 bit speech

Audio Compression



Limits of Human Perception

- Time, Frequency, Amplitude, Masking, etc.

Survey of Audio Compression Techniques

- Perception-Based Compression
- Production-Based Compression
- (Event-Based Compression)

Two Specific Compression Algorithms

- Production Model-Based Speech Coder
- Frequency Transform (Subband) Coder

Views of Sound



- **Sound is Perceived: Perception-Based
Psychoacoustically Motivated Compression**
- **Sound is Produced: Production-Based
Physics/Source Model Motivated Compression**
- **Music(Sound) is Performed/Published/Represented:
Event-Based Compression**
- **Sound is a Waveform / Statistical Distribution / etc.
(these are not very good ideas in general,
unless we get lucky (LPC))**

Psychoacoustics



Limits of Human Hearing

- Time Domain Considerations
- Frequency Domain (Spectral) Considerations
- Amplitude vs. Power
- Masking in Time and Frequency Domains
- Sampling Rate and Signal Bandwidth

Limits of Human Hearing



Time and Frequency

Events longer than 0.03 seconds are resolvable *in time*
shorter events are perceived as *features in frequency*

20 Hz. < Human Hearing < 20 KHz.
(for those under 15 or so)

“Pitch” is PERCEPTION related to FREQUENCY
Human Pitch Resolution is about 40 - 4000 Hz.

Limits of Human Hearing



Amplitude or Power???

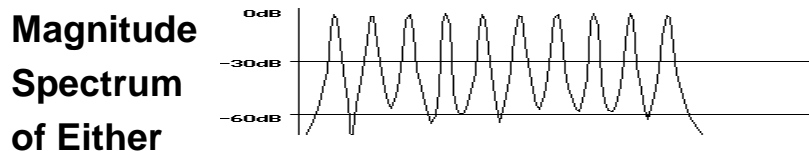
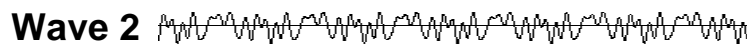
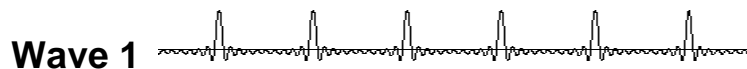
- “Loudness” is PERCEPTION related to POWER,
not AMPLITUDE
- Power is proportional to (integrated) square of signal
- Human Loudness perception range is about 120 dB,
where +10 db = 10 x power = 20 x amplitude
- Waveform shape is of little consequence. Energy
at each frequency, and how that changes in time,
is the most important feature of a sound.

Limits of Human Hearing



Waveshape or Frequency Content??

- Here are two waveforms with identical power spectra,
and which are (nearly) perceptually identical:



Limits of Human Hearing



Masking in Amplitude, Time, and Frequency

- Masking in Amplitude: Loud sounds ‘mask’ soft ones.
Example: Quantization Noise
- Masking in time: A soft sound just before a louder sound is more likely to be heard than if it is just after.
Example (and reason): Reverb vs. “Preverb”
- Masking in Frequency: Loud ‘neighbor’ frequency masks soft spectral components. Low sounds mask higher ones more than high masking low.

Limits of Human Hearing



Masking in Amplitude

Intuitively, a soft sound will not be heard if there is a competing loud sound. Reasons:

- Gain controls in the ear
stapedes reflex and more
- Interaction (inhibition) in the cochlea
- Other mechanisms at higher levels

Limits of Human Hearing



Masking in Time

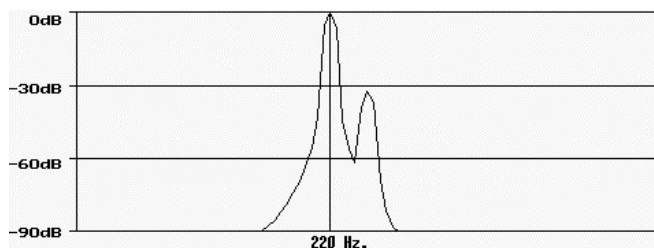
- In the time range of a few milliseconds:
- A soft event following a louder event tends to be grouped perceptually as part of that louder event
- If the soft event precedes the louder event, it might be heard as a separate event (become audible)

Limits of Human Hearing



Masking in Frequency

Only one component in this spectrum is audible because of frequency masking



Sampling Rates



***For Cheap Compression, Look at
Lowering the Sampling Rate First***

44.1kHz 16 bit = CD Quality

8kHz 8 bit MuLaw = Phone Quality

Examples:

Music: 44.1, 32, 22.05, 16, 11.025kHz

Speech: 44.1, 32, 22.05, 16, 11.025, 8kHz

Views of Sound (revisited)



***Two (mainstream) views of sound
and their implications for compression***

1) Sound is *Perceived*

**The auditory system doesn't
hear everything present**

- Bandwidth is limited**
- Time resolution is limited**
- Masking in all domains**

2) Sound is *Produced*

- “Perfect” model could provide perfect compression**

Perceptual Models



Exploit masking, etc., to discard perceptually irrelevant information.

- **Example:** Quantize soft sounds more accurately, loud sounds less accurately

Benefits: *Generic, does not require assumptions about what produced the sound*

Drawbacks: *Highest compression is difficult to achieve*

Production Models



Build a model of the sound production system, then fit the parameters

- **Example:** If signal is speech, then a well-parameterized vocal model can yield highest quality and compression ratio

Benefits: *Highest possible compression*

Drawbacks: *Signal source(s) must be assumed, known, or identified*

MIDI and Other 'Event' Models



Musical Instrument Digital Interface

*Represents Music as Notes and Events
and uses a synthesis engine to "render" it.*

An Edit Decision List (EDL) is another example.

*A history of source materials, transformations,
and processing steps is kept. Operations can
be undone or recreated easily. Intermediate
non-parametric files are not saved.*

Event Based Compression



MIDI and Other Scorefiles

- A Musical Score is a very compact representation of music
- Even the score itself can be compressed further

Benefits: Highest possible compression

*Drawbacks: Cannot guarantee the "performance"
Cannot assure the quality of the sounds
Cannot make arbitrary sounds*

Event Based Compression



Enter General MIDI

- Guarantees a base set of instrument sounds,
- and a means for addressing them,
- but doesn't guarantee any quality

Better Yet, Downloadable Sounds

- Download samples for instruments
- *Benefits: Does more to guarantee quality*
- *Drawbacks: Samples aren't reality*

Event Based Compression



Downloadable Algorithms

- Specify the algorithm,
the synthesis engine runs it,
and we just send parameter changes
- Part of "Structured Audio" (MPEG4)

*Benefits: Can upgrade algorithms later
Can implement scalable synthesis*

*Drawbacks: Different algorithm for each class of sounds
(but can always fall back on samples)*

Back to Waveforms



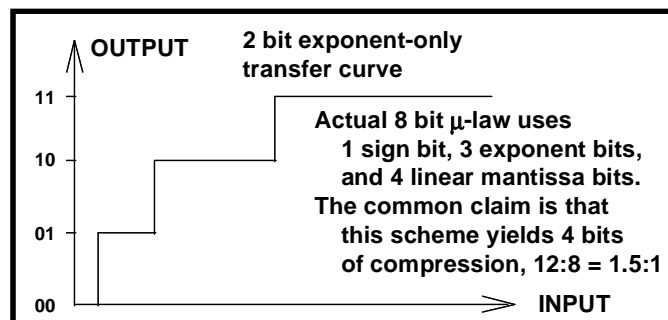
Time Domain Waveform Compression

- μ – Law: Non-linear amplitude quantization
- ADPCM: Adaptive quantization level of changes (deltas) in signal

Time Domain Log Amplitude



μ/a -Law: *More accuracy in low amplitudes, less in higher amplitudes. Decreases perceived quantization noise.*



Adaptive Resolution: ADPCM



Like Log-Compressor, but bit resolution changes as a result of recent signal history

Signal differences are compressed rather than signal values

Adapting the differences (deltas) yields Adaptive Delta PCM coding, claimed to do in 4 bits what μ -law does in 8.

The Frequency Domain



Exploit spectral properties to:

- 1) Remove redundancy in signal
 - *slowly varying nature of real-world signals*
 - *periodic nature of many signals*

- 2) “Manage” error so it is less perceptible

Transform (Subband) Coders



*Split signal into frequency subbands,
then allocate bits to regions adaptively*

Lossless (variable bit rate & comp. ratio):

- Subbands use lower sampling rate (no advantage)
- Bands with less information use less bits
- Adaptive prediction inter/intra bands

Lossy (fixed rate and ratio):

- Fix bit rate, then put bits where ear is most sensitive

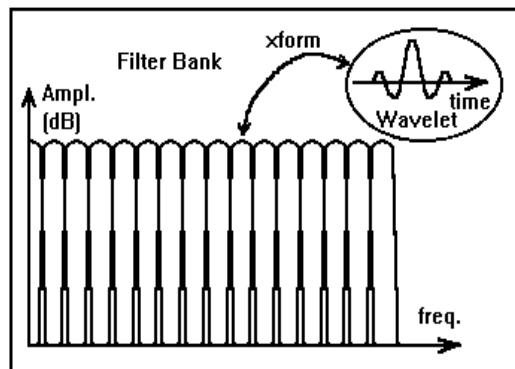
Transform (Subband) Coders



*Filter Bank Decomposition And
Processing Can be Performed in the*

*Frequency Domain
(FFT, etc.) and/or*

*Time Domain
(FIR Filterbank,
Wavelets, etc.)*

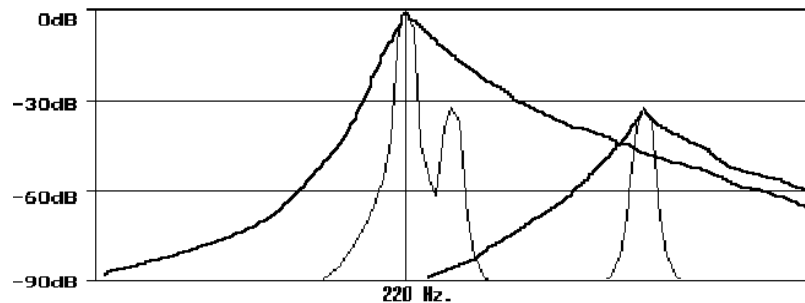


Transform coders



Can reduce perceived quantization noise:

- frequency domain information, plus
- frequency masking knowledge



Production Models



Build a parametric model of the production system, then either

Fit the parameters to a given signal

Use signal processing techniques to extract parameters

Drive the parameters directly (no encoder?)

Examples: Rule system to drive speech synthesizer

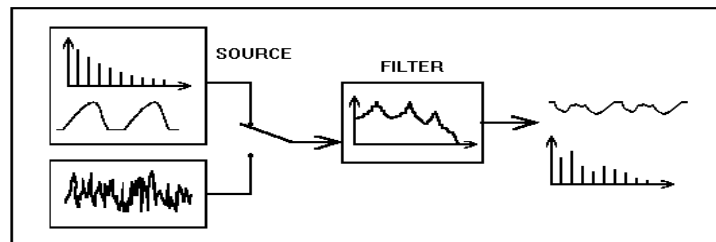
MIDI file to drive music synthesizer

Speech Coders (production)



Assume speech is produced by a source-filter system (vocal folds/noise + vocal tract tube)

Identify filter, type of source, then code parameters



Takes advantage of slowly varying nature of vocal tract shape and other speech parameters

Future: Multi-Model Parametric Compressors?



Analysis front end identifies source(s)

Audio is (separated and) sent to optimal model(s)

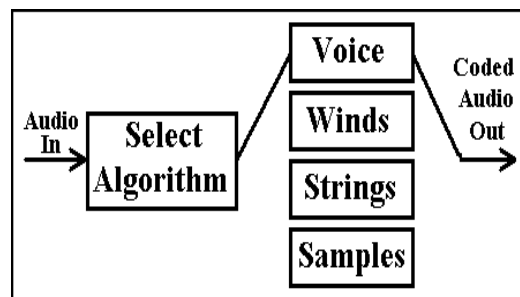
Benefits:

High compression

Other knowledge

Drawbacks:

We don't know how to do all this yet



Two Contrasting Compressors



A simple speech coder

- Assume input is 8kHz, 16 bit
- 18.5 : 1 Ratio
- 7000 bps

A simple transform coder

- Assume input is 22kHz, 16 bit
- 2 (or 4) : 1 Ratio
- 176,400 (or 88200) bps

An LPC Speech Coder



Ten pole Linear Predictive speech Coder

- Frame rate is 30 frames / second (@ 8K sampling rate)
- Frame size is 30 ms.
- Source is encoded as pulse train or white noise
- LPC coefficients: quantized to 2 bytes each (20 total)
- Source type: coded in 1 bit (pitched/noise) per frame.
- Source amplitude: stored in one float per frame.
- Source pitch: stored in one float per frame.
- Total transmission rate: 7000 bps (18.5:1 ratio)

A Cheap Transform Coder



FHT-based Delta Block Adaptive Log Amplitude Transform Coder

- 64 point (32 subbands) FHT Frame (3 ms @ 22kHz)
- Frame rate is 344 frames/second
- Deltas of signal are used
- 4 (or 8) bit logarithmic compression of each band
- Each block peak is detected and stored as a short int
- Compression is 2 (or 4) : 1 (plus silence)

References and Resources



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Old Views

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MIDI and Music Representation



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- Jungleib, *General MIDI*, A-R Editions, 1995
- Selfridge-Field, *Beyond MIDI, The Handbook of Musical Codes*, MIT Press, 1997.
- Grill, Edler, Kaneko, Lee, Nishiguchi, Scheirer, and Väänänen (eds.), ISO 14496-3 (MPEG-4 Audio), Committee Draft, ISO/IEC JTCI/SC29/WG11, document W1903, Fribourg CH, October 1997.
- Wright, White, Fay, and Petkevich, "The Downloadable Sounds Level 1 Specification," Proceedings of the International Computer Music Conference, 1997.

Source Code



Quantization Program (N bit)

MuLaw Coder/Decoder (8 Bit)

SigLaw Coder/Decoder (4 bit)

ADPCM Coder/Decoder (4 bit)

Xform Coder/Decoder (4 and 8 bit)

LPC Speech Coder/Decoder

Utilities