

## Lecture 19. Compilers

- The compiler translates a high-level language to a machine-level language

lcc: C → SPARC assembly language → ... → SPARC machine code  
 compile: arithmetic expressions → TOY instructions

- Most compilers have the basic phases

Lexical Analysis	source code → ‘tokens’
Syntax Analysis	tokens → abstract syntax trees
Code Generation	abstract syntax trees → machine-level code

- A compiler is a good example of

Application of theoretical computer science to a practical problem  
 Interaction between programming language design and computer architecture  
 Building a program from independent modules — ‘software engineering’

- For much more

Take COS 320, Compiler Design

Read A. W. Appel, *Modern Compiler Implementation in Java*, Cambridge Univ. Press, 1997 (used in COS 320)

Read C. W. Fraser and D. R. Hanson, *A Retargetable C Compiler: Design and Implementation*, Addison-Wesley, 1995

## Lexical Analysis

- The lexical analyzer reads the source program and emits tokens or terminal symbols: the ‘letters’ in the ‘alphabet’ of the programming language

English:

a b c d e f g h ... A B C .... ; ' ! : - - ( ) ...

C tokens:

```
if else while do for int float sizeof ...
{ } ; . -> + - * / % ++ -- < <= == != & ^ | ~ >= > ( ) ...
"strings" constants identifiers ...
```

Simple arithmetic expressions:

```
( ) + - *
one-letter identifiers one-digit constants
```

- A lexical analyzer usually discards white space: blanks, tabs, newlines, etc.
- Lexical analyzers can be described by and implemented with finite-state machines

## Syntax Analysis

- A context-free grammar specifies how tokens can be formed into valid ‘sentences’

Grammar rules or ‘productions’ specify how to generate all valid sentences

1.  $pgm \rightarrow expr$
2.  $expr \rightarrow expr + expr$
3.  $expr \rightarrow expr - expr$
4.  $expr \rightarrow expr * expr$
5.  $expr \rightarrow ( expr )$
6.  $expr \rightarrow identifier$
7.  $expr \rightarrow constant$

$pgm$   $expr$  are ‘nonterminals’ — they describe classes of valid sentences  
 $+$   $*$   $( )$   $identifier$   $constant$  are terminals or tokens — the basic vocabulary

- 1  $pgm \Rightarrow expr$
- 3  $\Rightarrow expr - expr$
- 5  $\Rightarrow ( expr ) - expr$
- 4  $\Rightarrow ( expr * expr ) - expr$
- 6  $\Rightarrow ( a * expr ) - expr$
- 5  $\Rightarrow ( a * ( expr ) ) - expr$
- 2  $\Rightarrow ( a * ( expr + expr ) ) - expr$
- 6  $\Rightarrow ( a * ( b + expr ) ) - expr$
- 7  $\Rightarrow ( a * ( b + 2 ) ) - expr$
- 5  $\Rightarrow ( a * ( b + 2 ) ) - ( expr )$
- 2  $\Rightarrow ( a * ( b + 2 ) ) - ( expr + expr )$
- 6  $\Rightarrow ( a * ( b + 2 ) ) - ( c + expr )$
- 7  $\Rightarrow ( a * ( b + 2 ) ) - ( c + 9 )$

## Parsers

- A parser determines if a sentence can be generated by the grammar rules

Proves that the sentence is syntactically valid

- A parser may also build an abstract syntax tree to represent the sentence

$(a * (b + 2)) - (c + 9)$

Internal nodes hold terminal symbols that denote operators:  $+$   $-$   $*$

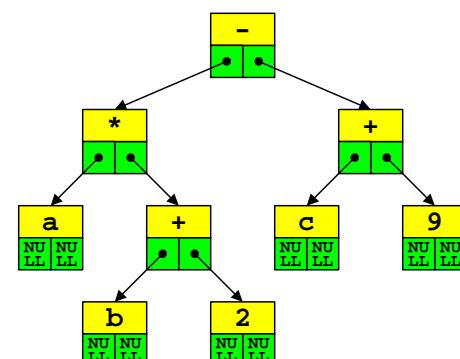
Leaf nodes hold terminal symbols that denote variables or constants:  $a$   $b$   $c$   $2$   $9$

- A ‘recursive-descent’ parser has a function for each nonterminal

‘Matches’ terminals in input

Calls other nonterminal functions — including itself — to apply the rules

- Parsers can be described by and implemented with pushdown automata



## Code Generation

- A code generator traverses the abstract syntax tree and emits code, e.g., TAL, or TOY instructions

```
% lcc -I/u/cs126/include compile.c /u/cs126/lib/libmisc.a
% a.out 5 6 7 "(a * (b + 2)) - (c + 9)"
00: 0005
01: 0006
02: 0007
1A: 9100      R1 <- M[R0+0]
1B: 9201      R2 <- M[R0+1]
1C: B302      R3 <- 2
1D: 1223      R2 <- R2 + R3
1E: 3112      R1 <- R1 * R2
1F: 9202      R2 <- M[R0+2]
20: B309      R3 <- 9
21: 1223      R2 <- R2 + R3
22: 2112      R1 <- R1 - R2
23: 4102      print R1
24: 0000      halt
1A
```

- This compiler — and only this one — bypasses assembly, linking, and loading

```
% a.out 5 6 7 "(a * (b + 2)) - (c + 9)" | /u/cs126/toy/toy
ab2+*c9+-+
Toy simulator $Revision: 1.14 $
0018
```

## A Simple Compiler

- Lexical analyzer: returns characters as tokens

<pre>int get(char set[]) int look(void)</pre>	<b>returns the next token, advances the input</b> <b>peeks at the next nonblank character</b>
---	--

- Parser: returns an abstract syntax tree (AST)

<pre>Tree *expr(void) Tree *pgm(char *string)</pre>	<b>parses an expr, returns its AST</b> <b>initializes lexer, parses a pgm, returns its AST</b>
---	---

```
struct tree {
    int op;
    struct tree *left, *right;
};
typedef struct tree Tree;

Tree *maketree(int op, Tree *left, Tree *right) {
    Tree *t = emalloc(sizeof (Tree));
    t->op = op;
    t->left = left; t->right = right;
    return t;
}
```

- Code generator: emits TOY instructions

<pre>int codegen(Tree *t, int dst, int loc)</pre>	<b>emits TOY code for AST t starting at loc</b>
---	---

## Lexical Analysis

- Globals hold the ‘state’ of lexical analysis: input and current input position

```
char *input;      /* the "source code" */
int pos;         /* current position in input */
```

**input[pos] holds the next character in the input**

- The next token is the next non-whitespace character, which must be in set

```
int get(char set[]) {
    while (isspace(input[pos]))
        pos++;
    if (input[pos] != '\0' && strchr(set, input[pos]) != NULL)
        return input[pos++];
    error("syntax error: expected one of '%s'\n", set);
    return 0;
}
```

- The parser must peek ahead one character to determine its next action

```
int look(void) {
    while (isspace(input[pos]))
        pos++;
    return input[pos];
}
```

## Parsing

- The parsing functions for *expr* and *pgm* echo their grammar rules

```
Tree *expr(void) {
    Tree *t;

    if (look() == '(') { /* expr → ( expr ) */
        get("("); t = expr(); get(")");
    } else if (isdigit(look())) /* expr → constant */
        t = maketree(get("0123456789"), NULL, NULL);
    else /* expr → identifier */
        t = maketree(get("abcdefghijklmnopqrstuvwxyz"), NULL, NULL);
    if (look() != '\0' && strchr("+-*", look()) != NULL) {
        int op = get("+-*"); /* expr → expr [+-*] expr */
        t = maketree(op, t, expr());
    }
    return t;
}

Tree *pgm(char *string) {
    Tree *t;

    input = string; /* initialize lexical analyzer */
    pos = 0;
    t = expr(); /* pgm → expr */
    if (look() != '\0')
        error("expected end of input\n");
    return t;
}
```

## Reverse Polish Notation

- A postorder traversal of the AST yields a reverse Polish rendition of the expression

```
void postorder(Tree *t) {
    if (t != NULL) {
        postorder(t->left);
        postorder(t->right);
        fprintf(stderr, "%c", t->op);
    }
}
(a * (b + 2)) - (c + 9)           a b 2 + * c 9 + -
```

- Reverse Polish can be evaluated: a stack holds operands and intermediate values

	Stack→	R1	R2	R3
a b 2 + * c 9 + -	5	5		
a b 2 + * c 9 + -	5 6	5	6	
a b 2 + * c 9 + -	5 6 2	5	6	2
a b 2 + * c 9 + -	5 8	5	8	
a b 2 + * c 9 + -	40	40		
a b 2 + * c 9 + -	40 7	40	7	
a b 2 + * c 9 + -	40 7 9	40	7	9
a b 2 + * c 9 + -	40 16	40	7	16
a b 2 + * c 9 + -	24	24		

- Instead of evaluating the expression, generate code, using registers for the stack

## Code Generation

- codegen emits code to evaluate an AST into register `dst`, assuming higher numbered registers are free

```
int codegen(Tree *t, int dst, int loc) {
    if (isalpha(t->op)) {
        int addr = t->op - 'a';
        printf("%02X: 9%X%X%X\tR%d <- M[R%d+%d]\n", loc++,
               dst, 0, addr, dst, 0, addr);
    } else if (isdigit(t->op))
        printf("%02X: B%X%02X\tR%d <- %d\n", loc++,
               dst, t->op - '0', dst, t->op - '0');
    else {
        loc = codegen(t->left, dst, loc);
        loc = codegen(t->right, dst + 1, loc);
        printf("%02X: %X%X%X%X\tR%d <- R%d %c R%d\n", loc++,
               strchr("+1-2*3", t->op)[1] - '0', dst,
               dst, dst + 1, dst, dst, t->op, dst + 1);
    }
    return loc;
}
```

Variables `a..z` are stored in locations  $0..19_{16}$

`loc` is the location counter: the address of the next instruction emitted

codegen returns an updated value of `loc` for use by subsequent traversals

## The Main Program

- The final touches

**Arguments 1..argc-2 are the initial values of the corresponding variables**

**Argument argc-1 is the ‘source program’**

**Starting address is  $26_{10} = 1A_{16}$**

```
int main(int argc, char *argv[]) {
    Tree *e;
    int i, loc = 0;

    for (i = 1; i < argc - 1; i++)
        printf("%02X: %04X\n", loc++, atoi(argv[i]));
    if (i < argc) {
        e = pgm(argv[i]);
        postorder(e);
        fprintf(stderr, "\n");
        loc = codegen(e, 1, 26);
        printf("%02X: 4102\tprint R%d\n", loc++, 1);
        printf("%02X: 0000\thalt\n", loc);
        printf("%02X\n", 26);
    }
    return 0;
}
```

**See page 19-5 for an example of use**