

# CS 126 Lecture T4: Computability

## Outline

- **Introduction**
- Nature of Turing machines
- Uncomputability
- Conclusions

## Where We Are

- T1
  - Simplest language generators: regular expressions
  - Simplest language recognizer: FSAs
- T2: more powerful machines
  - FSA, NFSA
  - PDA, NPDA
  - TM
- T3: more powerful languages associated with the more powerful machines
- **T4:**
  - **Nature of TM: the most powerful machines**
  - **Languages that no machine can ever deal with**

## Limits

- As we make machines more powerful, we can recognize more languages

Are there languages  
that no machine can recognize?  
Are there limits on the power of  
machines that we can imagine?

- Intuitively, machines are finite representations of languages
- There are “more” languages than machines (“uncountable” vs. “countable”)
- Therefore, there have to be some “weird” languages! Let’s look for those!

## A Puzzle ("Post's Correspondence Problem")

Given a set of cards

- N types of cards, as many as needed
- each has a top string and a bottom string

Example 1:



Puzzle: find a way to arrange the cards (using as many copies of each as you want) so that top and bottom strings are the same (or report that it's impossible).

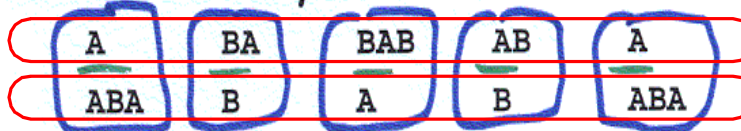
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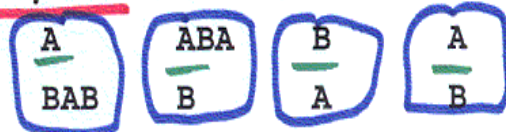
## Post's Correspondence Examples

Solution to Example 1:



**ABABABABA**

Example 2: (no solution)



Surprising fact: this puzzle is **UNSOLVABLE!**

- can't write a program to determine whether a given set of cards can be so arranged

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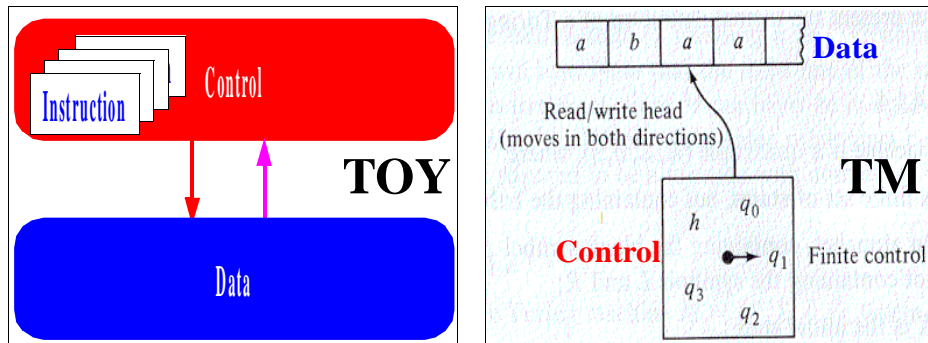
# Outline

- Introduction
- **Nature of Turing machines**
  - Can match power of any sophisticated **automata**
  - Can match power of any **real special purpose computer**
  - Can be made general to **simulate any special purpose TM**
  - Therefore can match power of any **real general purpose computer**
  - In fact, it can match the power of **any computation methods**
- Uncomputability
- Conclusions

## TM: the Ultimate Machine!

- “Power” = ability to recognize languages
- “Impossible” to make a Turing Machine more powerful!
- All the following attempts have been proven to be equivalent to a vanilla TM:
  - Composition of multiple TMs
  - Multiple tapes
  - Multiple read/write heads
  - Multi-dimensional tapes
  - Non-determinism
- In other words, we can construct a regular TM that is equivalent to any of these

## TMs: as Powerful as any Real Programs



### proof sketch:

- encode state of memory, PC, etc. on TM tape
- develop TM states for each instruction
- can do because all instructions  
examine current state  
make well-defined changes  
depending on current state
- could simulate at gate level, machine level,...

## TMs: as Powerful as any Real Programs

CLAIM: Turing machines are equivalent  
to C programs

proof sketch:

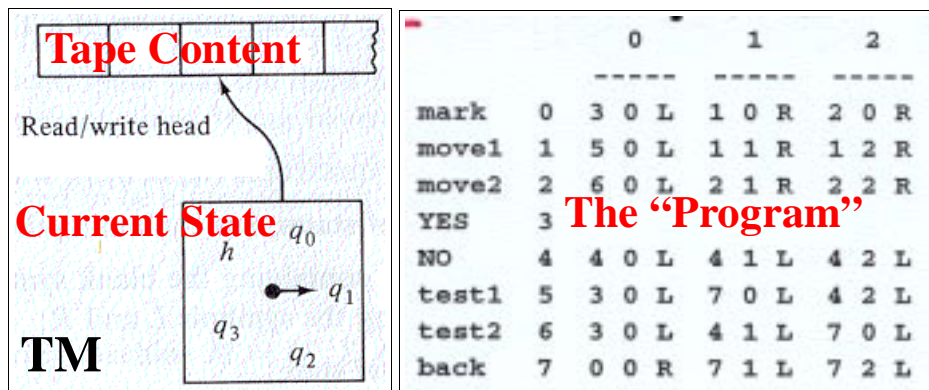
C program  $\rightarrow$  TOY program  $\rightarrow$  TM  
TM  $\rightarrow$  C program

Works for all programs and machines ?

## Universal Turing Machine

- So far, we have built special purpose TMs for each different problem, example: one that recognizes palindrome
- This is like special purpose computers prior to von Neumann store-program computers
- Question: can we make a general purpose TM just like the general purpose computers?
- Universal Turing Machine (UTM): a general purpose Turing machine that can simulate the operation of any special purpose TM
- How? Just like a von Neumann architecture, the idea is to store the representation of a TM inside a UTM

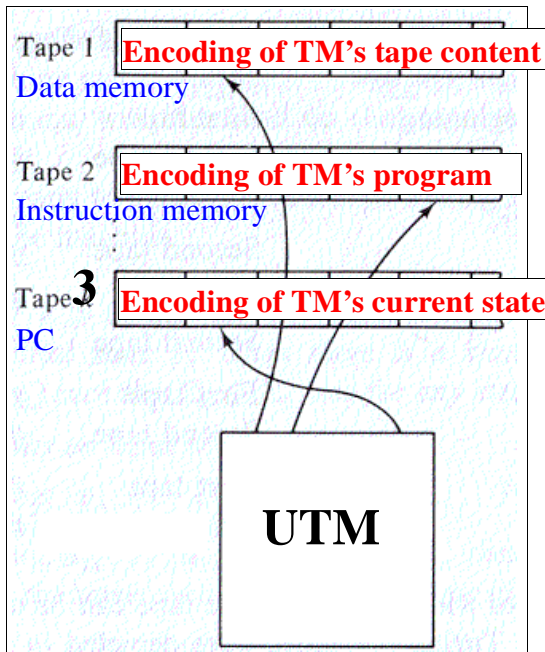
## What Are the “Ingredients” of a TM?



- Three “ingredients” of a special purpose TM:
  - The TM “program”
  - The tape content
  - The current TM state



## How to Make a UTM? How Does It Work?



- Encode the three ingredients of TM using three tapes of a UTM
- UTM (simulates TM)
  - read tape 1
  - read tape 3
  - consult tape 2 for what to do
  - write tape 1 if necessary
  - move head 1
  - write tape 3
- Very much like the fetch-incr-execute cycle of a von Neumann machine!!
- Can reduce 3-tape UTM to a single-tape one

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## Church/Turing Thesis

Q. Which problems can a Turing machine solve?

A. \*Any\* problem \*any\* computer can solve!

A "thesis", not a "theorem"

can't be proved because we can't precisely define "solving" a "problem" (computability)

- Turing machines are so powerful that they are basis of the very definition of **algorithm**: an algorithm is what a TM can do!

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## Church/Turing Thesis (cont.)

### More evidence in favor

- different ways to define "computable"
  - universal TM
  - lambda calculus
  - Post production system
  - "recursive" functions
- all have been proven equivalent

## Church/Turing Thesis (cont.)

If a problem can't be solved by a TM, we ASSUME that it can't be solved by any other computer

If a problem can't be solved by \*any\* specific particular machine, we ASSUME that it can't be solved by any other computer

T4.5



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- **Uncomputability**
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## Halting Problem

Write a C program that reads in another program and its input and decides whether or not it goes into an infinite loop

Program 1:

```
while (x != 1)
    if (x > 2) x = x - 2; else x = x + 2;
```

Ex:

```
8 6 4 2 4 2 4 2 4 2 4 2
9 7 5 3 1
```

Halts iff x odd

Program 2:

```
while (x != 1)
    if (x % 2) x = 3*x+1; else x = x/2;
```

Ex:

```
8 4 2 1
7 22 11 34 17 52 26 13 40 20 10 5 16 8 4 2 1
```

???

## A Warmup Paradox

- Classify statements into two categories: truths and lies
- How do we classify the statement “I’m lying”?
  - If I’m telling the truth, then I’m lying.
  - If I’m lying, then I’m telling the truth.
- Well known problem with self-referential statements:
  - The barber that must cut hair only for all those who don’t cut their own hair; should the barber cut his own hair?
  - A set of things that are not members of themselves; is this set a member of itself? (Russell’s Paradox)

### Halting Problem (cont.)

**THEOREM:** The halting problem is unsolvable.

**Proof:**

- Assume the existence of  $\text{HALT}(P, x)$ , which
    - takes any program  $P$  and input  $x$  as inp
    - outputs YES if  $P(x)$  halts, NO otherwise
    - note: always returns either YES or NO  
[does not go into an infinite loop]
  - Construct the strange program  $\text{XX}(P)$ 
    - calls  $\text{HALT}(P, P)$
    - halts if  $\text{HALT}(P, P)$  outputs NO
    - infinite loops if  $\text{HALT}(P, P)$  outputs YES
  - In other words
    - if  $P(P)$  does not halt,  $\text{XX}(P)$  halts
    - if  $P(P)$  halts,  $\text{XX}(P)$  does not halt
  - Call  $\text{XX}$  with *itself* as input
    - if  $\text{XX}(\text{XX})$  does not halt,  $\text{XX}(\text{XX})$  halts
    - if  $\text{XX}(\text{XX})$  halts,  $\text{XX}(\text{XX})$  does not halt
- Both cases lead to a contradiction  
 $\text{HALT}(P, x)$  cannot exist

## Unsolvable Problems

- Halting Problem not "artificial"
  - reduced to simplest terms to simplify proof
  - closely related to some practical problems

### Very profound implications

- Unsolvability of Halting Problem can be used to show other problems to be unsolvable

Technique: "code" Turing machine into problem

- given a TM
  - create an instance of the problem with the property that if there is a solution to the problem the corresponding TM halts

## Unsolvable Problems (cont.)

### Examples

- \* Post correspondence problem
- \* Do two programs produce the same output?
- \* Hilbert's Tenth Problem (see next slide)
- \* Equivalence of context-free grammars
- \* Optimal data compression  
(shortest program to output a given string)



## Hilbert's Tenth Problem

Write a program to test whether a given multivariate polynomial has an integral root

Example 1:

$$: \quad 6x^3yz^2 + 3xy^2z - x^3 - 10$$

YES:  $x = 5, y = 3, z = 0.$

Example 2:

$$: \quad x^2 + y^2 - 3$$

NO.

## Hilbert's Tenth Problem (cont.)

- Such a program would be useful in numerous applications in physics, biology, statistics, and other fields
- Dates back to Diophantine (over 2000 years old)
- Listed as one of 23 fundamental problems for the next century by Hilbert in 1900

Matijasevic in the 1970s proved the problem to be UNSOLVABLE (!!)

# Outline

- Introduction
- Nature of Turing machines
- Uncomputability
- **Conclusions**
  - There are far “more” languages than there are machines
  - Therefore, there are far “more” provably unsolvable problems than solvable ones!
  - What’s the implication?

## Implications

### Practical

- work with limitations
  - recognize and avoid unsolvable problems
- learn from structure
  - same theory tells us about efficiency

### Philosophical

(caveat: ask a philosopher)

- we “assume” that step-by-step reasoning will solve \*any\* scientific or technical problem
- “not quite” says the halting problem
- anything that “is like” (could be) a computer has the same flaw
  - physical machine (rods/gears, etc.)
  - human brain?
  - matter itself?
  - universe?