

# Spectral Meshes

COS 526: Advanced Computer Graphics



# Motivation

- Want “frequency domain” representation for 3D meshes
  - Smoothing
  - Compression
  - Progressive transmission
  - Watermarking
  - etc.
- Analogous to Fourier transform in 1D / 2D:
  - Express signal as sum of content at different frequencies

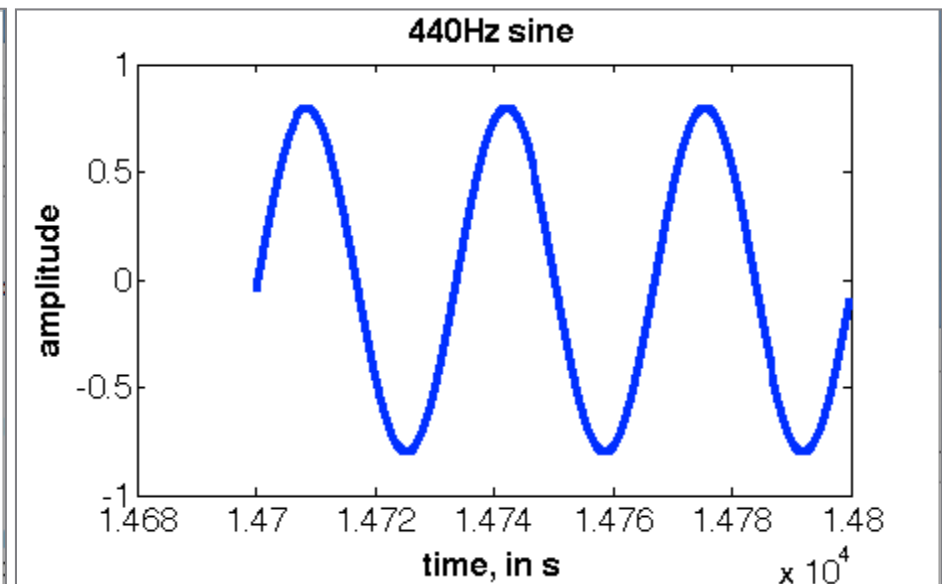
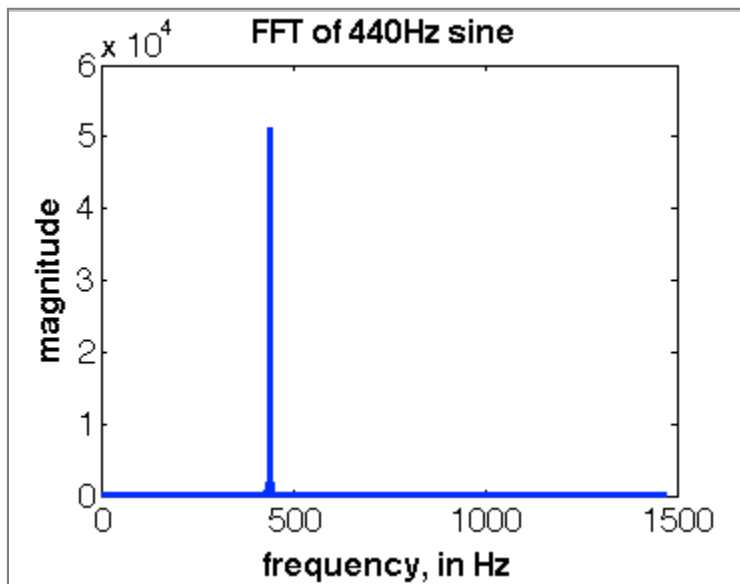
# In the Frequency Domain...



Jean Baptiste Joseph  
Fourier (1768-1830)

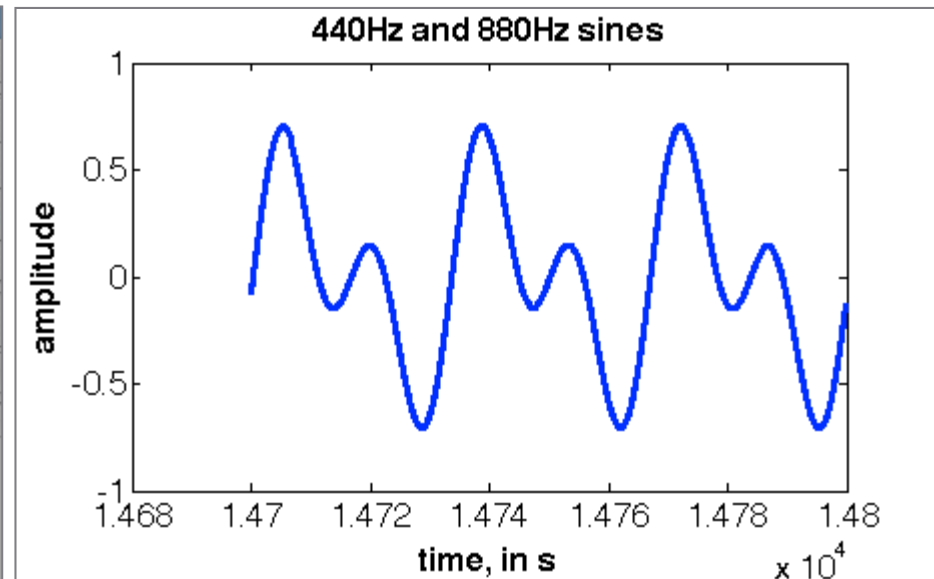
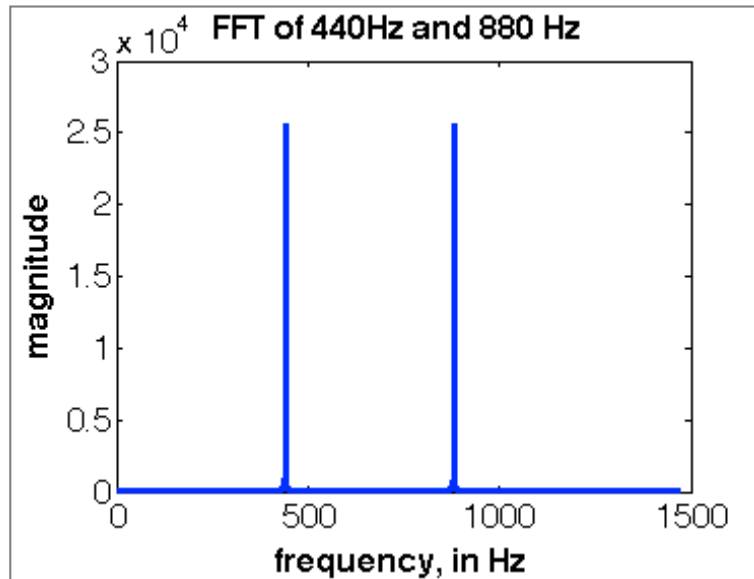
# Frequency Domain

- Any signal can be represented as a sum of sinusoids at discrete **frequencies**, each with a given **magnitude** and **phase**



# Frequency Domain

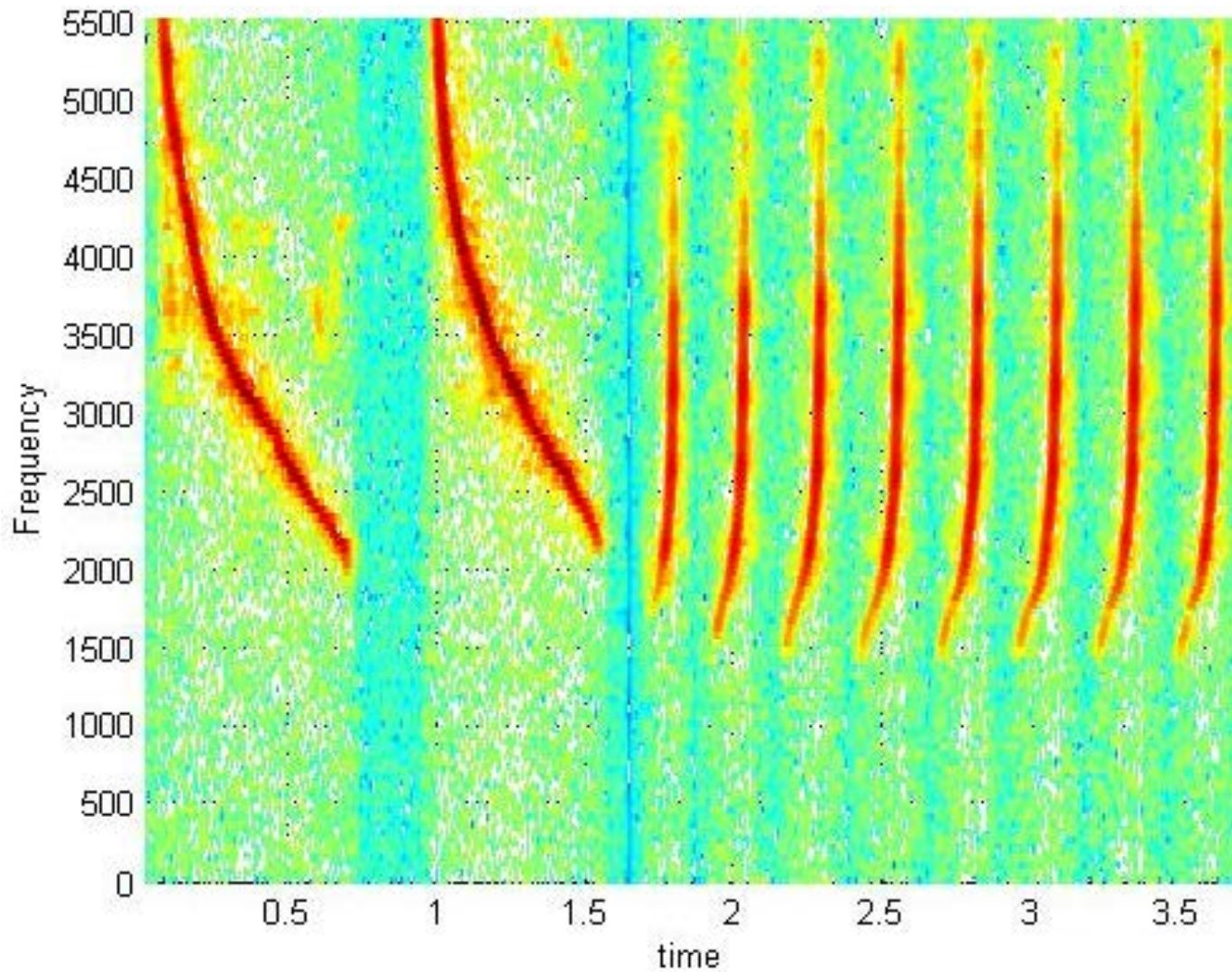
- Any signal can be represented as a sum of sinusoids at discrete **frequencies**, each with a given **magnitude** and **phase**



# Frequency Content in Audio

- Frequency related to pitch:
  - “A 440”: 440 Hz
  - 880Hz: one octave above
- Frequency also related to timbre:
  - Real sounds contain many frequencies
  - Higher frequency content can make sounds “brighter”
- In speech, higher frequencies are related to vowels, consonants (independent of spoken/sung pitch)

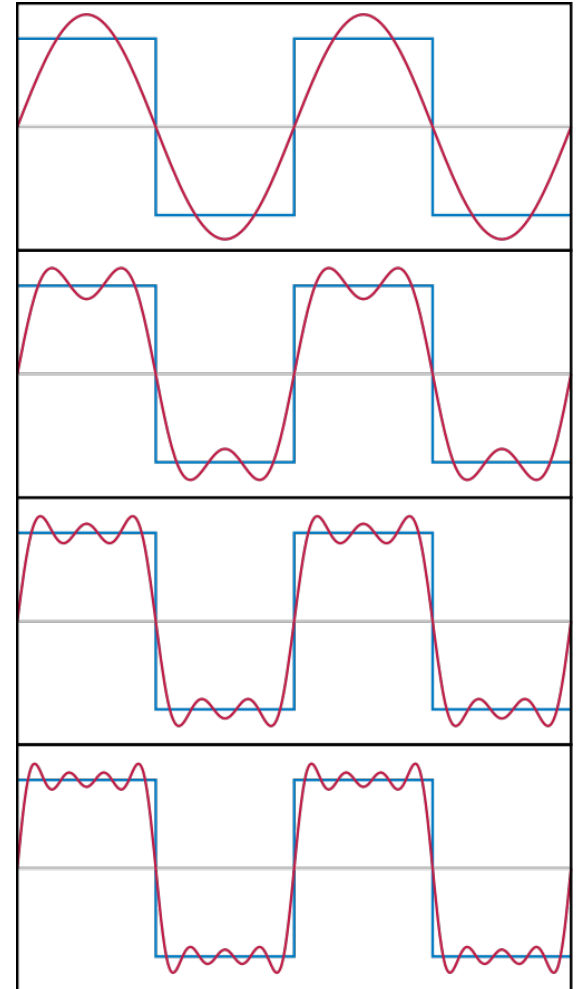
# Spectrogram, Northern Cardinal



# Fourier Series: Building Up a Function

- Periodic function  $f(x)$   
defined over  $[-\pi .. \pi]$

$$f(x) = \frac{1}{2}a_0 + \sum_{n=1}^{\infty} a_n \cos(nx) + \sum_{n=1}^{\infty} b_n \sin(nx)$$





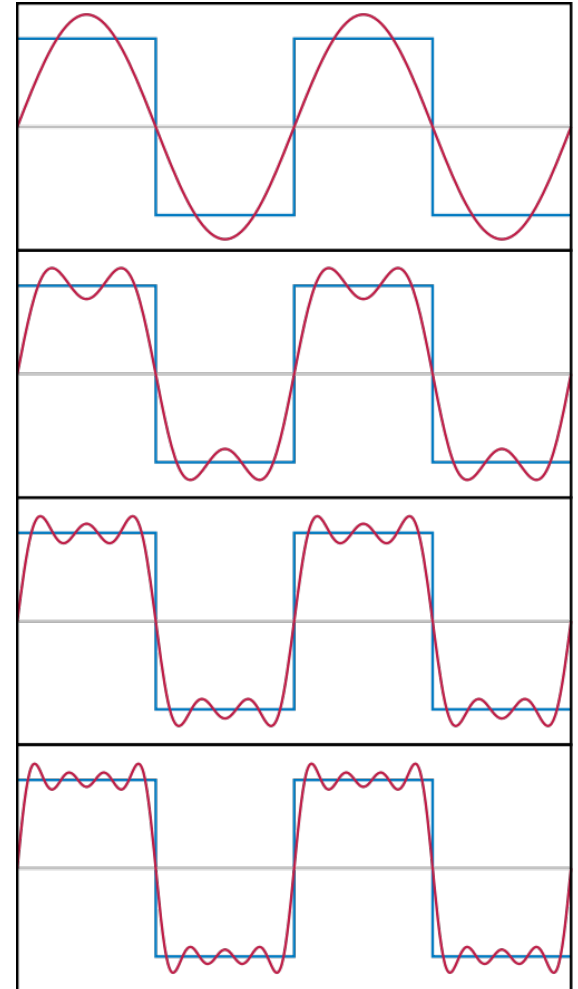
# Fourier Series: Finding Coefficients

- Periodic function  $f(x)$   
defined over  $[-\pi .. \pi]$

$$f(x) = \frac{1}{2}a_0 + \sum_{n=1}^{\infty} a_n \cos(nx) + \sum_{n=1}^{\infty} b_n \sin(nx)$$

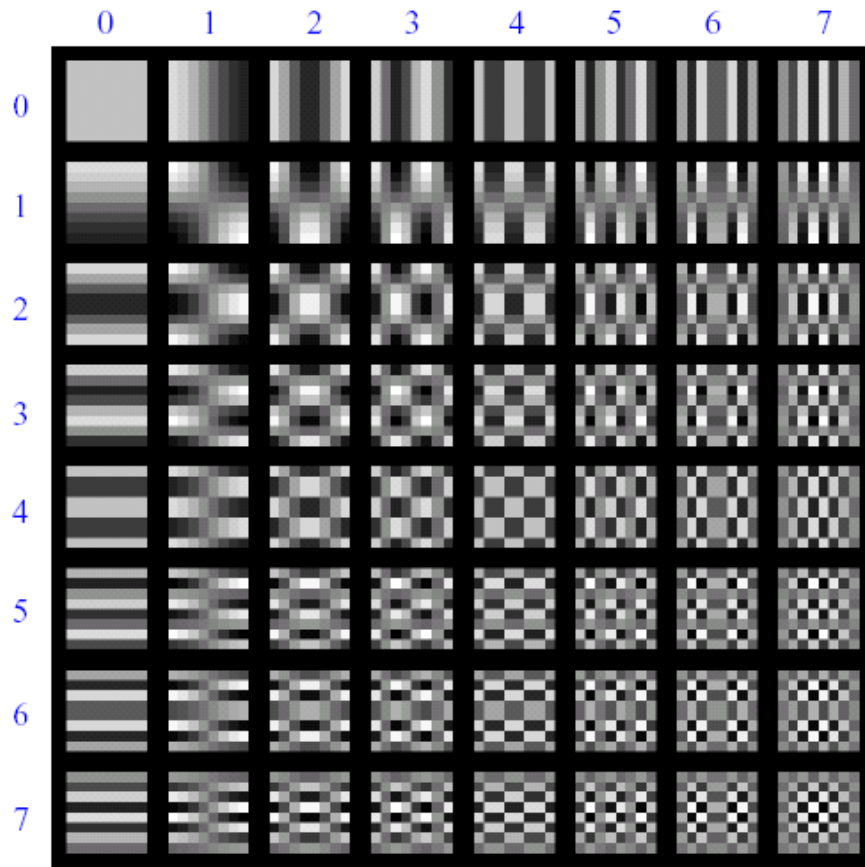
$$a_n = \frac{1}{\pi} \int_{-\pi}^{\pi} f(x) \cos(nx) dx$$

$$b_n = \frac{1}{\pi} \int_{-\pi}^{\pi} f(x) \sin(nx) dx$$



# Fourier Series in 2D

- 2D bases for 2D signals (images)



$$a \cos(n_x x) \cos(n_y y)$$

# Fourier Transform

- Transform applied to function to analyze a signal's frequency content
- Several versions:

	Continuous Time	Discrete Time
Aperiodic / unbounded time, continuous frequency	Fourier Transform	Discrete-time Fourier Transform (DTFT)
Periodic or bounded time, discrete frequency	Fourier Series	Discrete Fourier Transform (DFT) (can use FFT for this)

# Applying Euler's Formula

- Euler's formula:

$$e^{ix} = \cos(x) + i \sin(x)$$

- Apply:

$$f(x) = \frac{1}{2}a_0 + \sum_{n=1}^{\infty} a_n \cos(nx) + \sum_{n=1}^{\infty} b_n \sin(nx)$$

becomes

$$f(x) = \sum_{n=-\infty}^{\infty} c_n e^{inx}$$

where

$$c_n = \frac{1}{2\pi} \int_{-\pi}^{\pi} f(x) e^{-inx} dx$$

# Fourier Transform

- [Continuous] Fourier transform:

$$F(k) = \mathcal{F}(f(x)) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i k x} dx$$

- Discrete Fourier transform:

$$F_k = \sum_{x=0}^{n-1} f_x e^{-2\pi i \frac{k}{n} x}$$

- $F$  is a function of frequency – describes “how much”  $f$  contains of sinusoids at frequency  $k$
- Fourier transform is invertible

# DFT and Inverse DFT (IDFT)

$$F_k = \sum_{x=0}^{n-1} f_x e^{-2\pi i \frac{k}{n} x}$$



$$f_x = \frac{1}{n} \sum_{k=0}^{n-1} F_k e^{2\pi i \frac{k}{n} x}$$

# Computing Discrete Fourier Transform

$$F_k = \sum_{x=0}^{n-1} f_x e^{-2\pi i \frac{k}{n} x}$$

- Straightforward computation: for each of  $n$  DFT values, loop over  $n$  input samples. Total:  $O(n^2)$
- Fast Fourier Transform (FFT):  $O(n \log_2 n)$  time

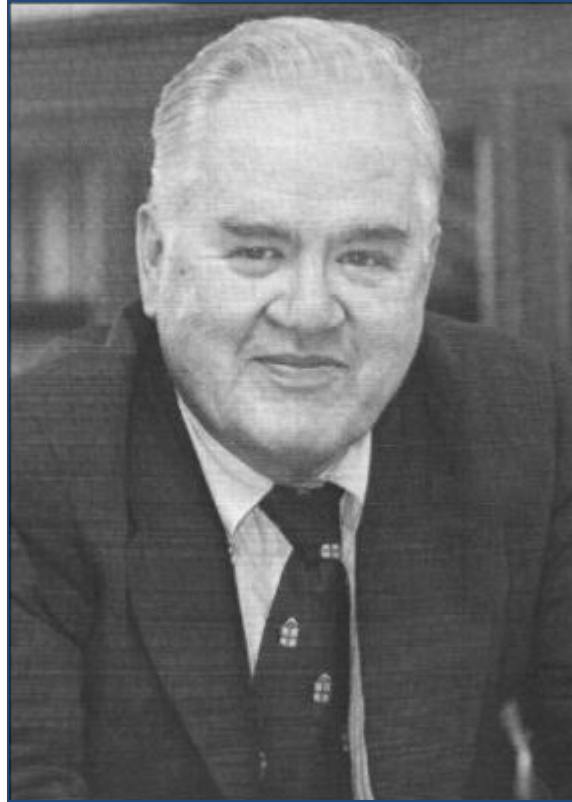
# The FFT



Discovered by Johann Carl Friedrich Gauss (1777-1855)



# The FFT



Rediscovered and popularized in 1965 by  
J. W. Cooley and **John Tukey** (Princeton alum and faculty)

# Computing Discrete Fourier Transform

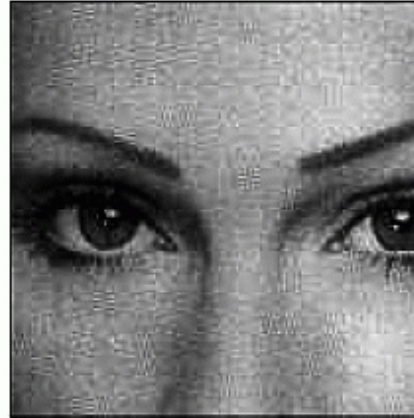
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- Fast Fourier Transform (FFT):  $O(n \log_2 n)$  time
  - Revolutionized signal processing, filtering, compression, etc.
  - Also turns out to have less roundoff error

# JPEG Image Compression



a. Original image



b. With 10:1 compression

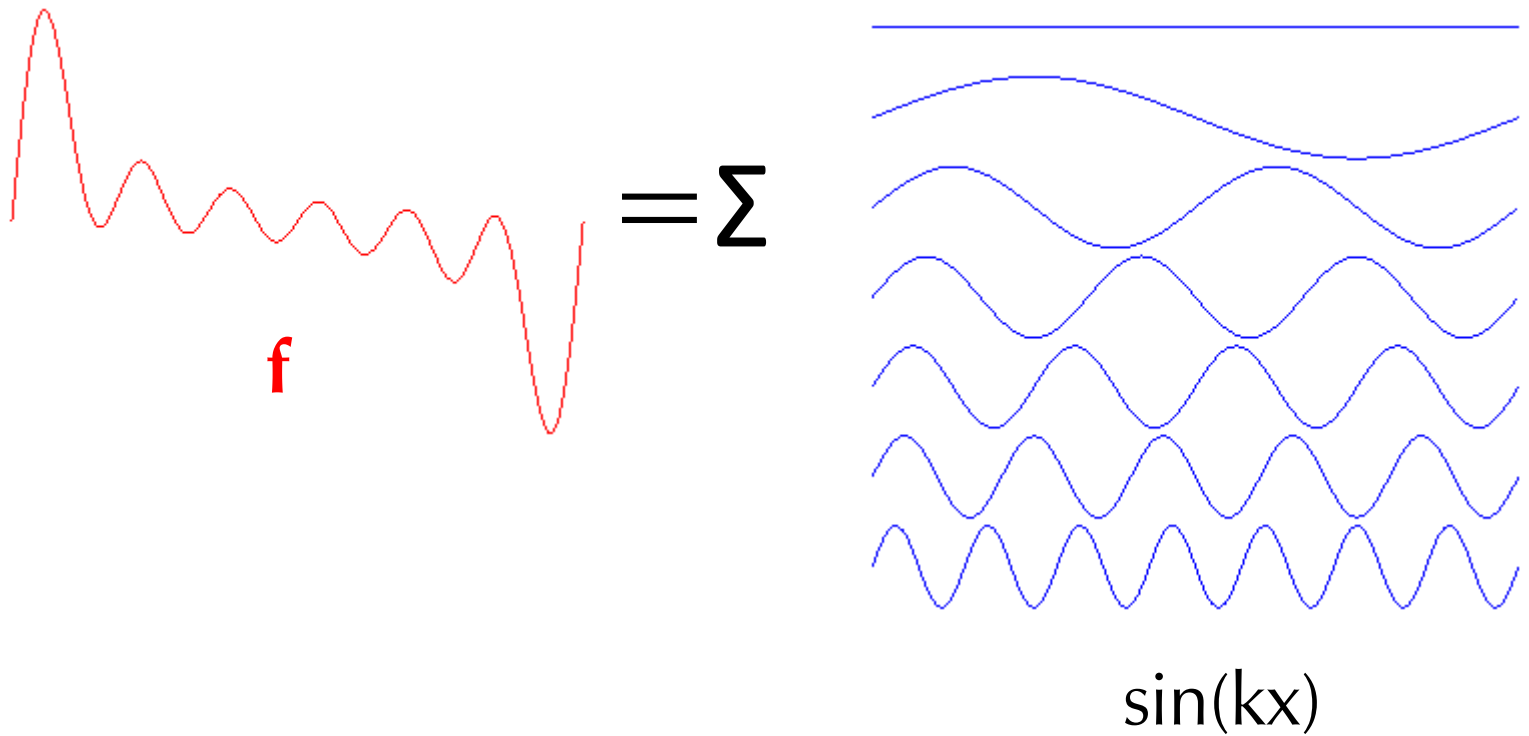


c. With 45:1 compression

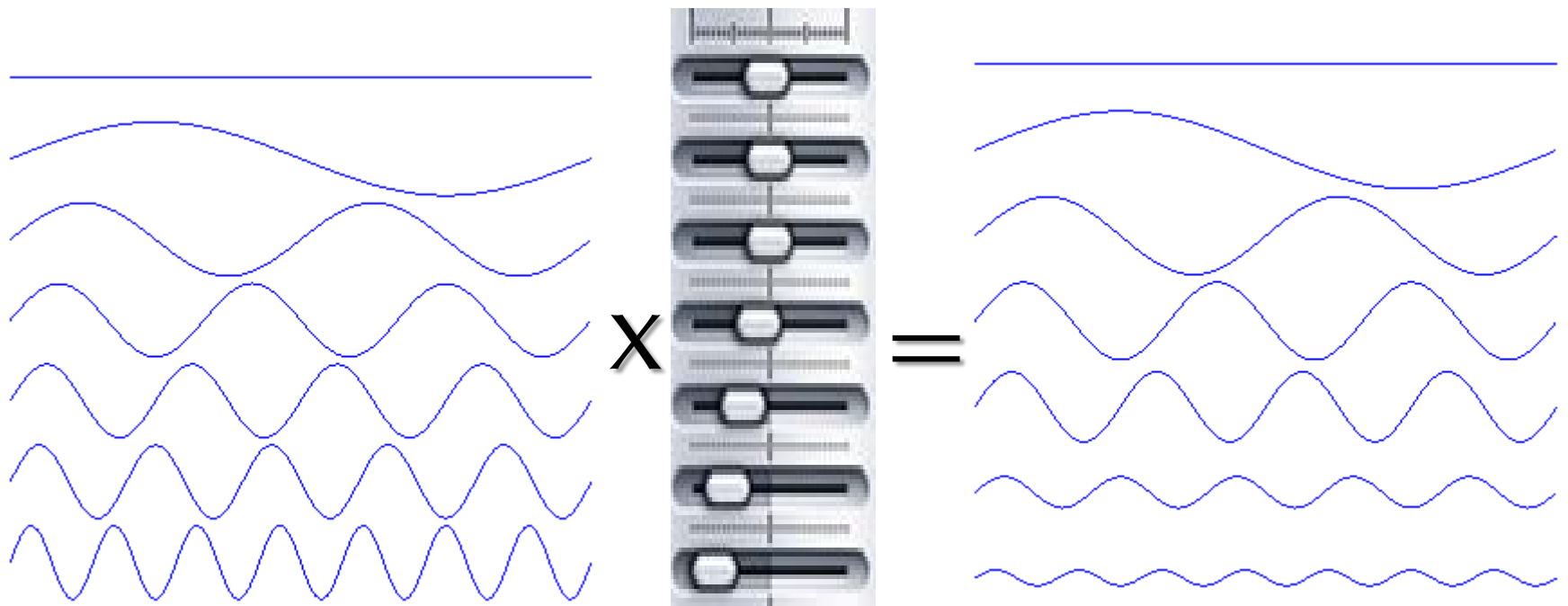
FIGURE 27-15  
Example of JPEG distortion. Figure (a) shows the original image, while (b) and (c) shows restored images using compression ratios of 10:1 and 45:1, respectively. The high compression ratio used in (c) results in each  $8 \times 8$  pixel group being represented by less than 12 bits.

Discrete  
Cosine  
Transform  
(DCT)

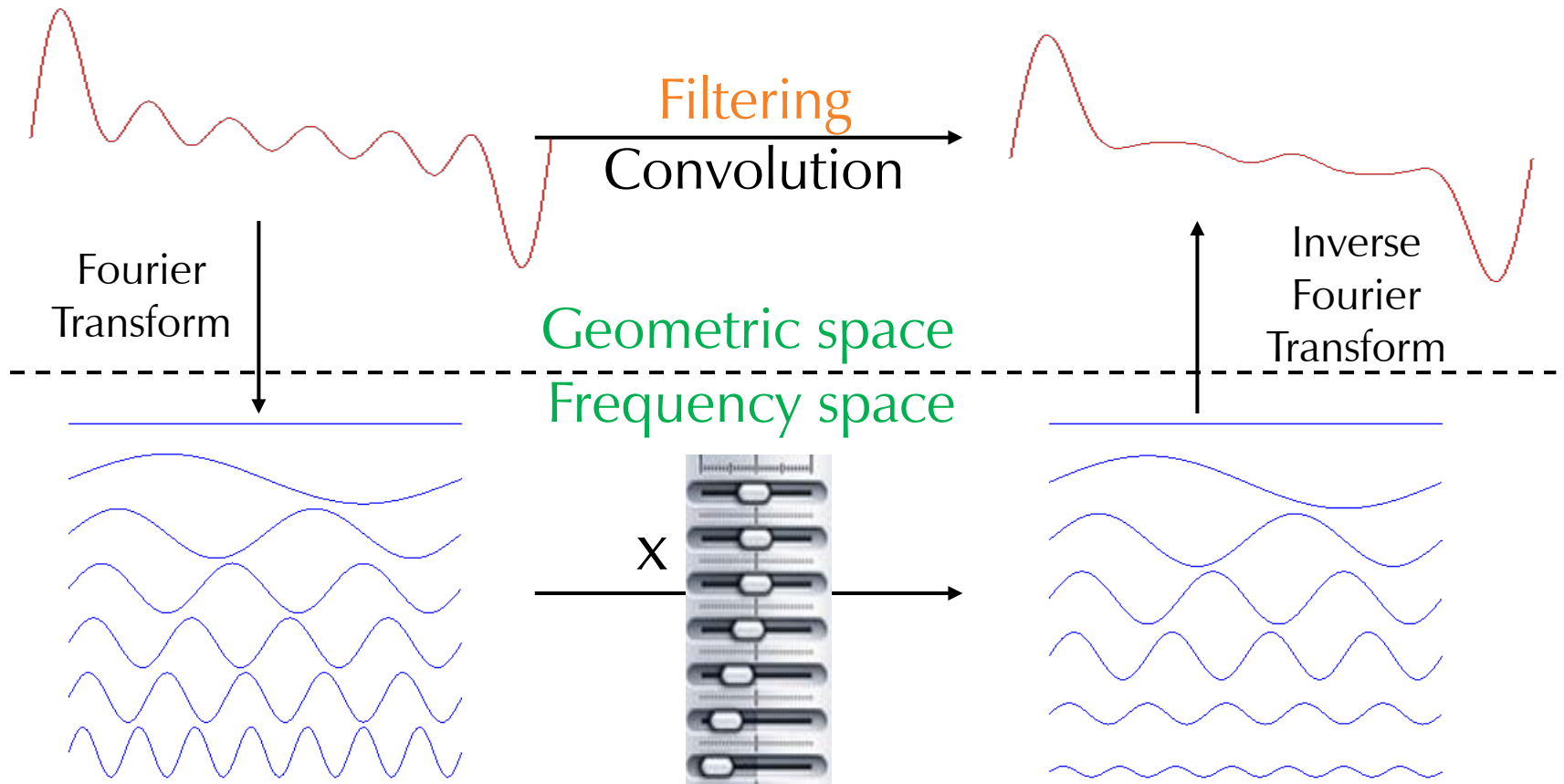
# Filtering in the Frequency Domain



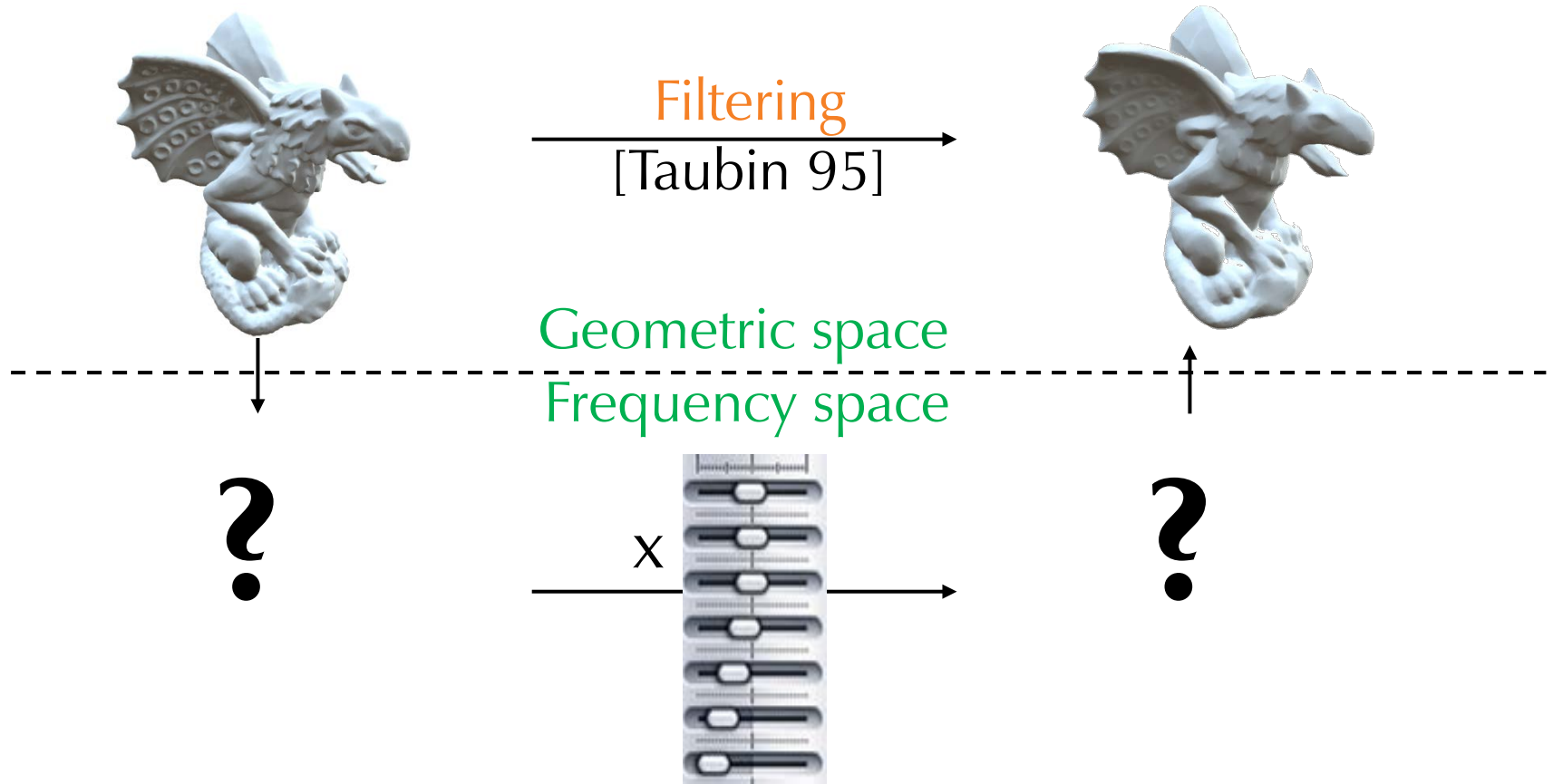
# Filtering in the Frequency Domain



# Filtering

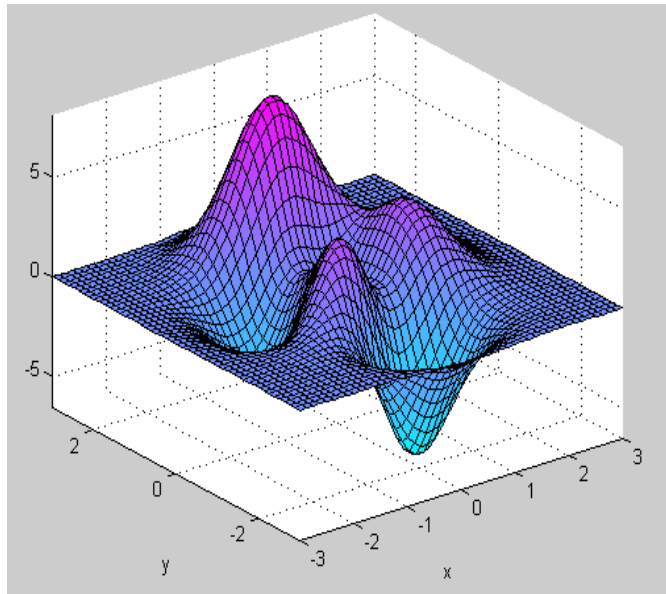


# Filtering on a Mesh?

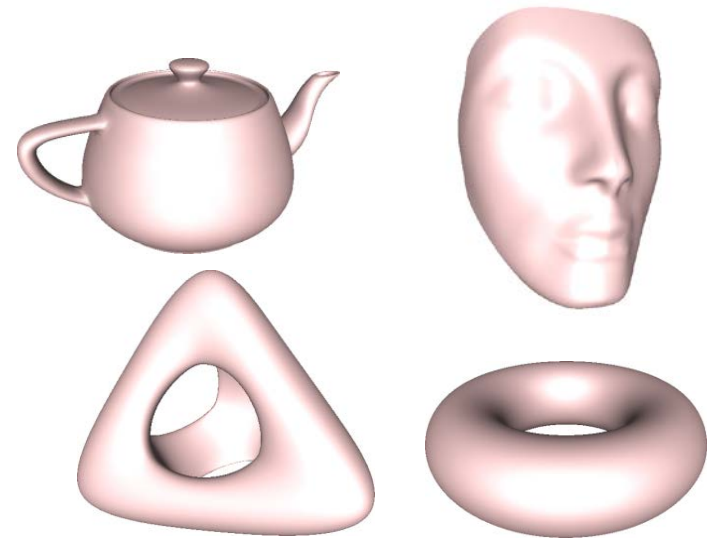


# Filtering on a Mesh?

- Problem: 2D surfaces embedded in 3D are not (height) functions



Height function, regularly sampled above a 2D domain

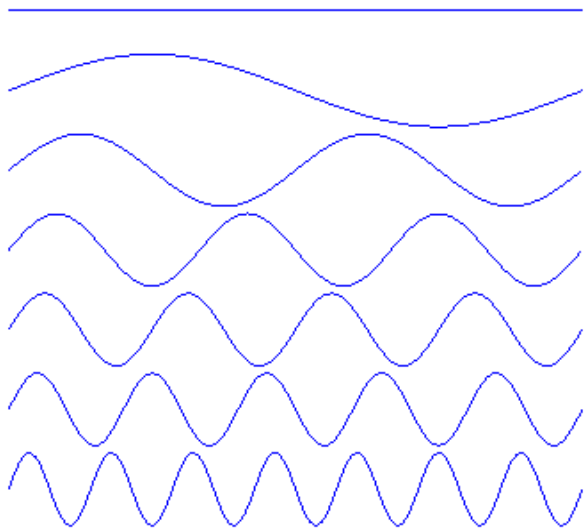


General 3D shapes



# Basis Functions for 3D Meshes

- Need extension of the Fourier basis to a general (irregular) mesh



$\sin(kx)$

on



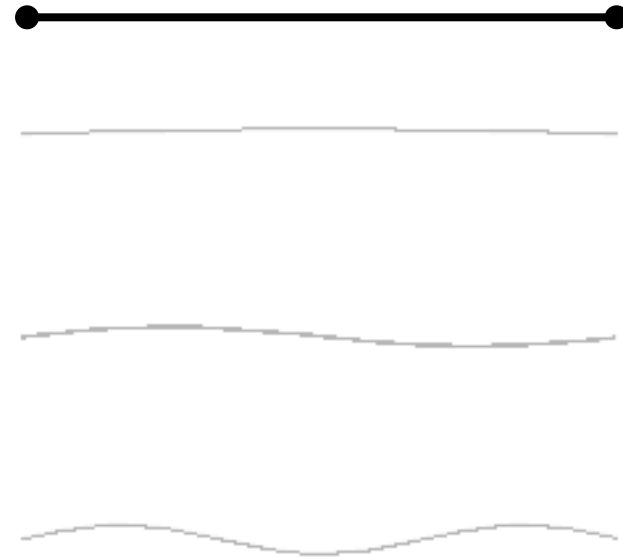
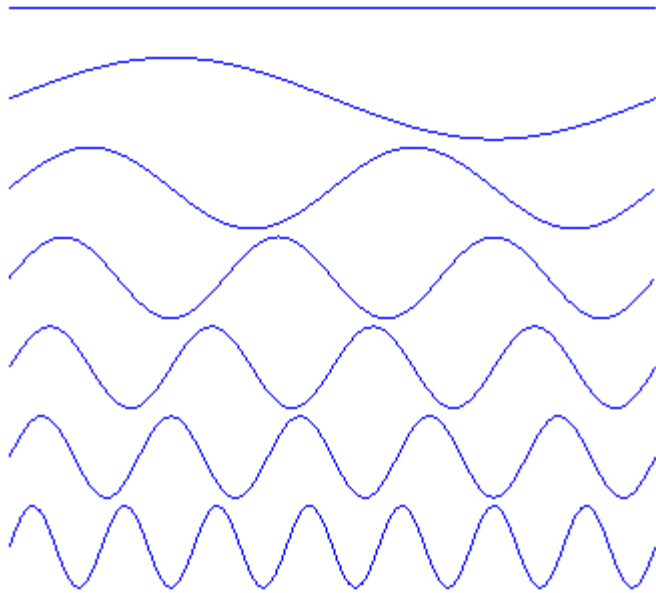
?

# Basis Functions for 3D Meshes

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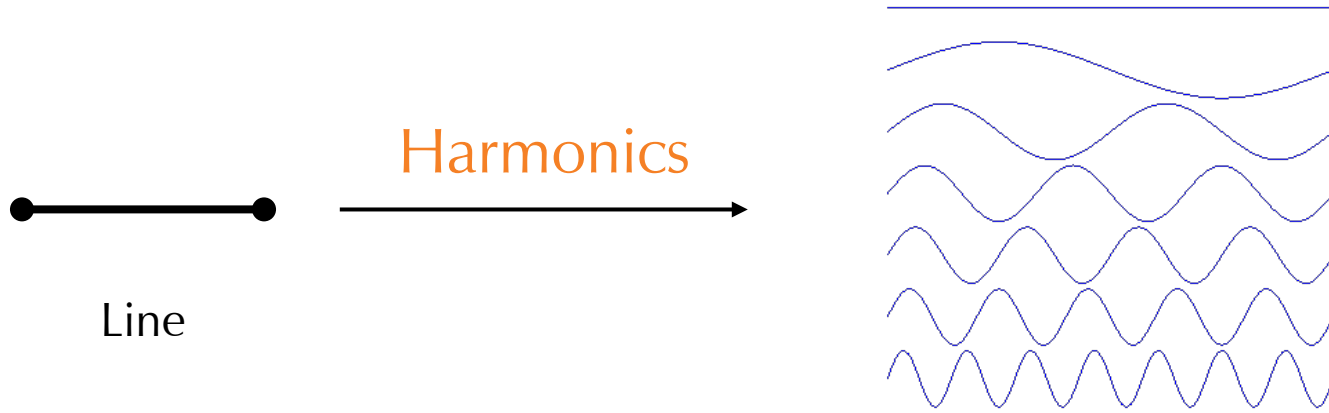
- We need a collection of **basis functions**
  - First basis functions will be very smooth, slowly-varying
  - Last basis functions will be high-frequency, oscillating
- We will represent our shape (mesh geometry) as a **linear combination** of the basis functions

# Harmonics



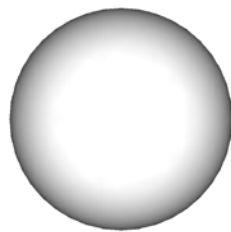
$\sin(kx)$  are the stationary vibrating modes = **harmonics** of a string

# Harmonics



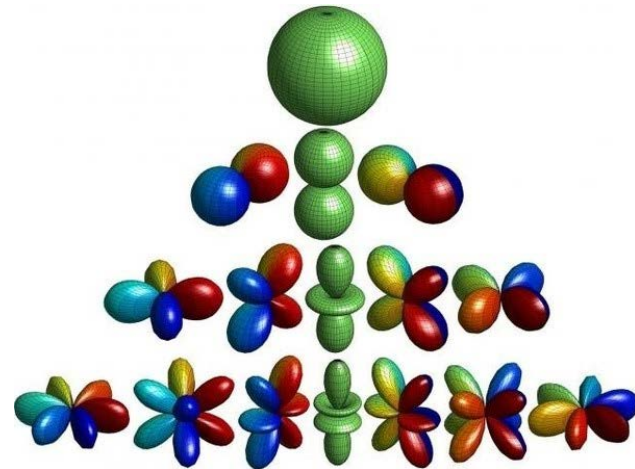
Stationary vibrating modes

# Spherical Harmonics



Sphere

Harmonics



Stationary vibrating modes

- You may recognize these from chemistry as “electron orbitals”

# Manifold Harmonics



Harmonics



Stationary vibrating modes

# Harmonics

- **Wave equation:**

$$T \frac{\partial^2 y}{\partial x^2} = \mu \frac{\partial^2 y}{\partial t^2}$$

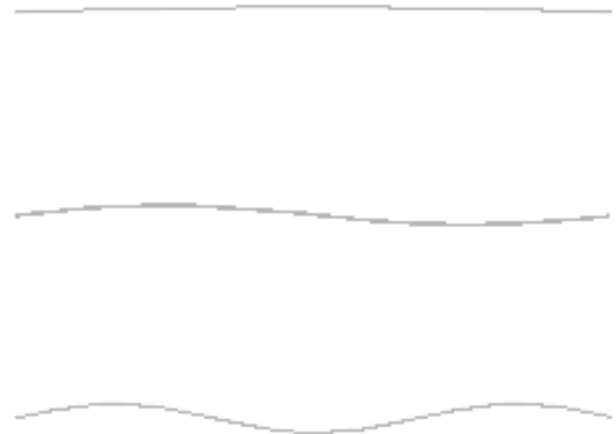
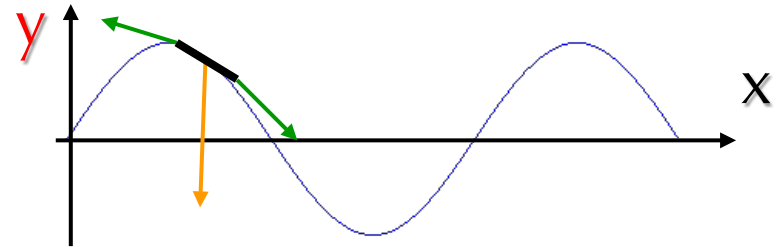
T: stiffness  $\mu$ : mass

- **Stationary modes:**

$$y(x,t) = y(x)\sin(\omega t)$$

$$\frac{\partial^2 y}{\partial x^2} = -\mu\omega^2/T y$$

– **eigenfunctions** of  $\partial^2/\partial x^2$

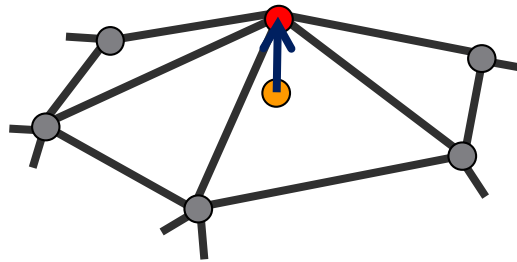


# Harmonics

- Harmonics are **eigenfunctions** of  $\partial^2/\partial x^2$
- On a mesh,  $\partial^2/\partial x^2$  is the Laplacian  $\Delta$
- Frequency domain basis functions for 3D meshes are **eigenfunctions** of the Laplacian



# The Mesh Laplacian Operator



$$L(\mathbf{v}_i) = d_i \mathbf{v}_i - \sum_{j \in N(i)} \mathbf{v}_j = d_i \left( \mathbf{v}_i - \frac{1}{d_i} \sum_{j \in N(i)} \mathbf{v}_j \right)$$

- Measures the local smoothness at each mesh vertex

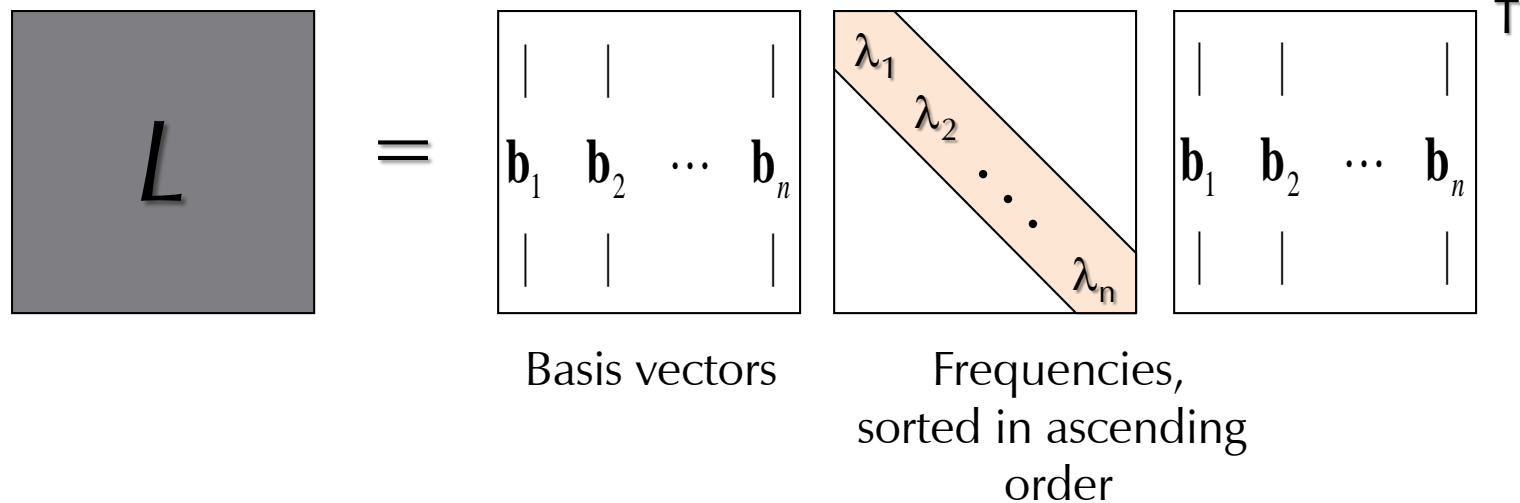
# Laplacian Operator in Matrix Form

$$\begin{pmatrix} d_1 & -1 & 0 & \cdots & -1 & \cdots & \cdots & 0 \\ 0 & d_2 & & -1 & & & -1 & \\ \vdots & & d_3 & & & & & \\ \vdots & & & \ddots & & & & \\ \vdots & & & & \ddots & & & \\ \vdots & & & & & \ddots & & \\ 0 & -1 & & -1 & & -1 & d_{n-1} & \\ -1 & & -1 & & -1 & & & d_n \end{pmatrix} \begin{pmatrix} \mathbf{v}_1 \\ \mathbf{v}_2 \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \mathbf{v}_{n-1} \\ \mathbf{v}_n \end{pmatrix} = \begin{pmatrix} \delta_1 \\ \delta_2 \\ \vdots \\ \vdots \\ \vdots \\ \vdots \\ \delta_{n-1} \\ \delta_n \end{pmatrix}$$

$L$  matrix

# Spectral Bases

- $L$  is a symmetric  $n \times n$  matrix
- Eigenfunctions of  $L$  computed with spectral analysis

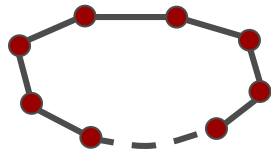


The diagram illustrates the spectral decomposition of a symmetric matrix  $L$ . On the left is a gray square representing the matrix  $L$ . This is followed by an equals sign. To the right of the equals sign are three components: 1) A matrix of basis vectors, shown as a square with vertical lines and labels  $\mathbf{b}_1$ ,  $\mathbf{b}_2$ ,  $\dots$ ,  $\mathbf{b}_n$  below each column. 2) A diagonal matrix of eigenvalues, shown as a square with a diagonal band shaded in light orange, containing labels  $\lambda_1$ ,  $\lambda_2$ ,  $\dots$ ,  $\lambda_n$  along the diagonal. 3) A matrix of basis vectors, shown as a square with vertical lines and labels  $\mathbf{b}_1$ ,  $\mathbf{b}_2$ ,  $\dots$ ,  $\mathbf{b}_n$  below each column, with a superscript  $\top$  to its upper right.

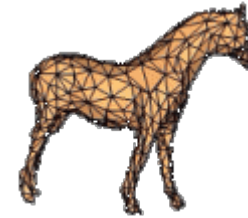
$L$  = Basis vectors      Frequencies, sorted in ascending order       $\mathbf{b}_1 \mathbf{b}_2 \dots \mathbf{b}_n^\top$

# The Spectral Basis

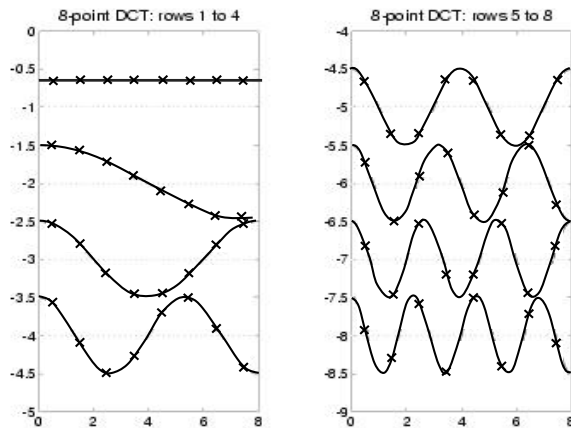
- First functions are smooth and slow, last oscillate a lot



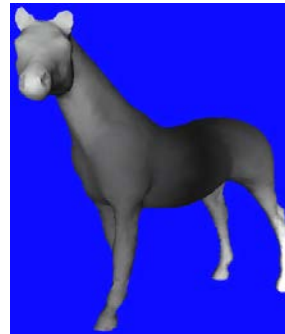
chain connectivity



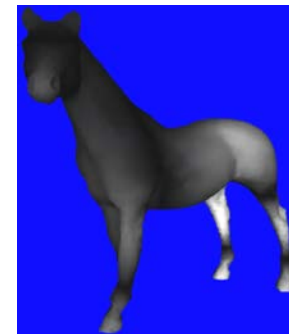
horse connectivity



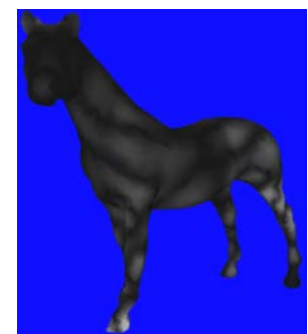
spectral basis of  $L =$   
the DCT basis



2<sup>nd</sup> basis  
function



10<sup>th</sup> basis  
function



100<sup>th</sup> basis  
function

# The Spectral Basis

- First functions are smooth and slow, last oscillate a lot



# Spectral Mesh Representation

- Coordinates represented in spectral basis:

- $\mathbf{X}, \mathbf{Y}, \mathbf{Z} \in \mathbf{R}^n$ .

$$\mathbf{X} = \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} = \alpha_1 \mathbf{b}_1 + \alpha_2 \mathbf{b}_2 + \dots + \alpha_n \mathbf{b}_n$$

$$\mathbf{Y} = \begin{pmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{pmatrix} = \beta_1 \mathbf{b}_1 + \beta_2 \mathbf{b}_2 + \dots + \beta_n \mathbf{b}_n$$

$$\mathbf{Z} = \begin{pmatrix} z_1 \\ z_2 \\ \vdots \\ z_n \end{pmatrix} = \gamma_1 \mathbf{b}_1 + \gamma_2 \mathbf{b}_2 + \dots + \gamma_n \mathbf{b}_n$$

# Spectral Mesh Representation

- Coordinates represented in spectral basis:

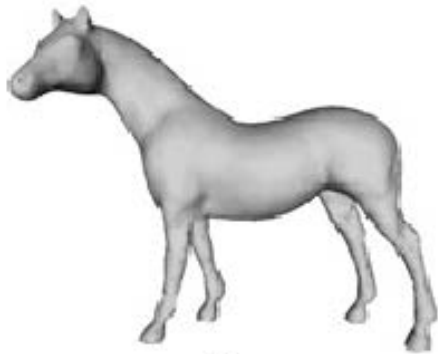
$$\begin{pmatrix} \mathbf{v}_1 \\ \mathbf{v}_2 \\ \vdots \\ \mathbf{v}_n \end{pmatrix} = \begin{pmatrix} \alpha_1 \\ \beta_1 \\ \gamma_1 \end{pmatrix}^T \mathbf{b}_1 + \begin{pmatrix} \alpha_2 \\ \beta_2 \\ \gamma_2 \end{pmatrix}^T \mathbf{b}_2 + \dots + \begin{pmatrix} \alpha_n \\ \beta_n \\ \gamma_n \end{pmatrix}^T \mathbf{b}_n$$

The first  
components are  
low-frequency

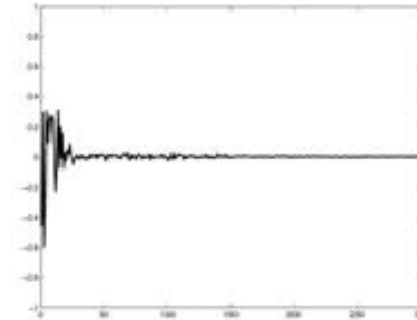
The last  
components are  
high-frequency

# The Spectral Basis

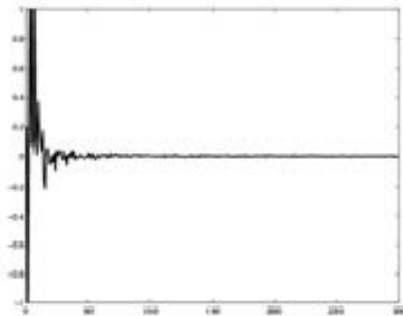
- Most shape information is in low-frequency components



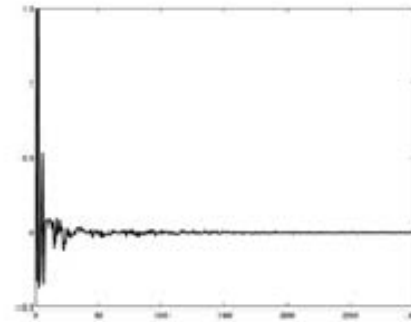
(a)



(b)



(c)



(d)



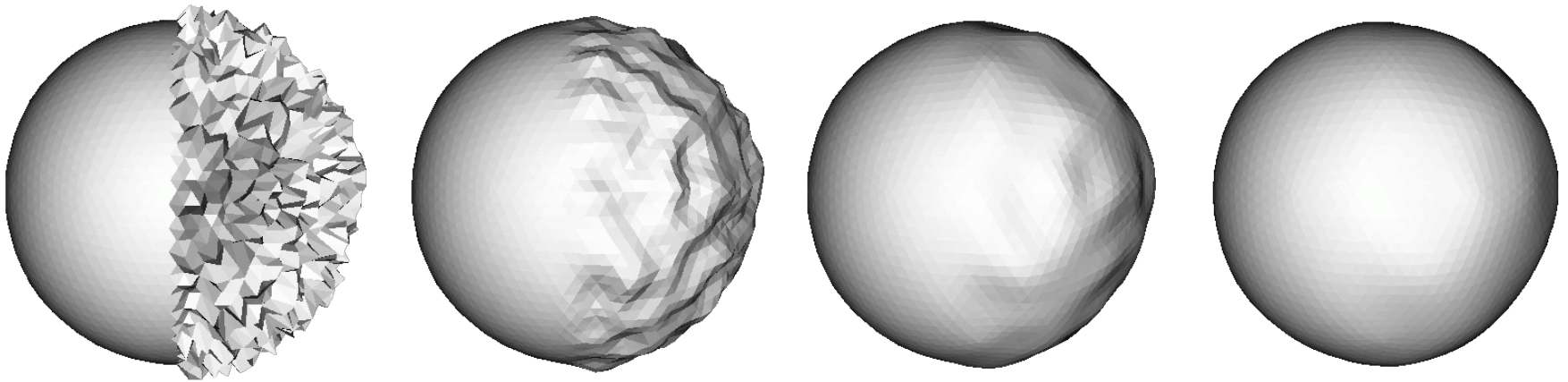
# Applications

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- Smoothing
- Compression
- Progressive transmission
- Watermarking
- etc.

# Mesh Smoothing

- Aim to remove high frequency details



# Spectral Mesh Smoothing

- Drop the high-frequency components

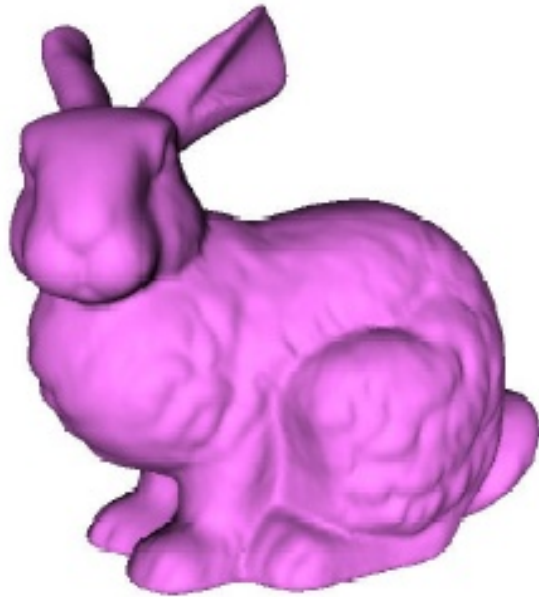
$$\begin{pmatrix} \mathbf{v}_1 \\ \mathbf{v}_2 \\ \vdots \\ \mathbf{v}_n \end{pmatrix} = \begin{pmatrix} \alpha_1 \\ \beta_1 \\ \gamma_1 \end{pmatrix}^T \mathbf{b}_1 + \begin{pmatrix} \alpha_2 \\ \beta_2 \\ \gamma_2 \end{pmatrix}^T \mathbf{b}_2 + \dots + \begin{pmatrix} \alpha_n \\ \beta_n \\ \gamma_n \end{pmatrix}^T \mathbf{b}_n$$

High-frequency components!

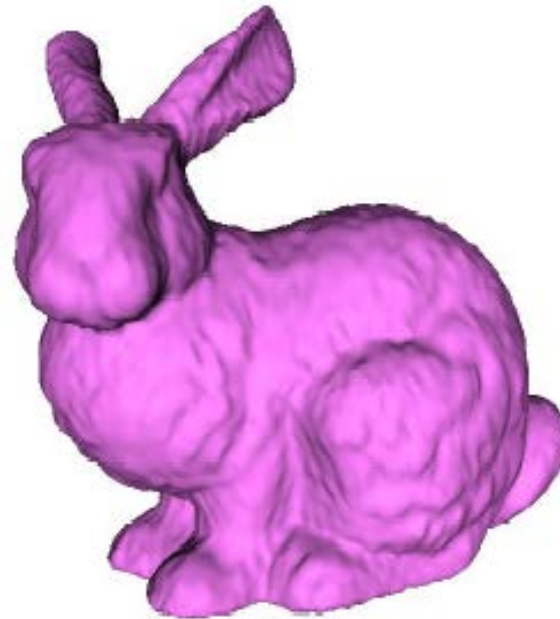


# Mesh Compression

- Aim to represent surface with fewer bits



36 bits/vertex



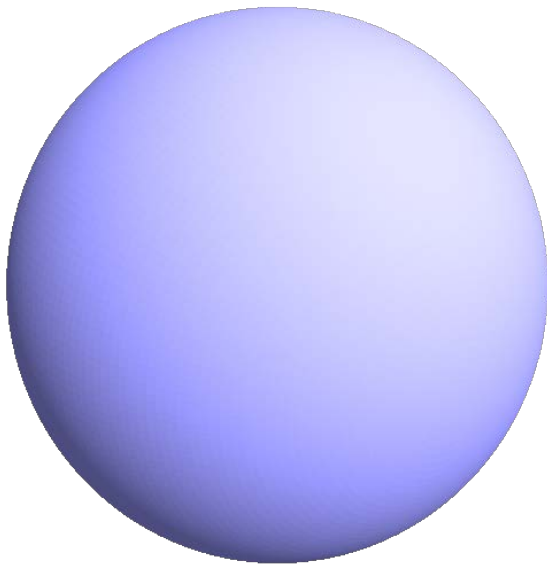
1.4 bits/vertex

# Mesh Compression

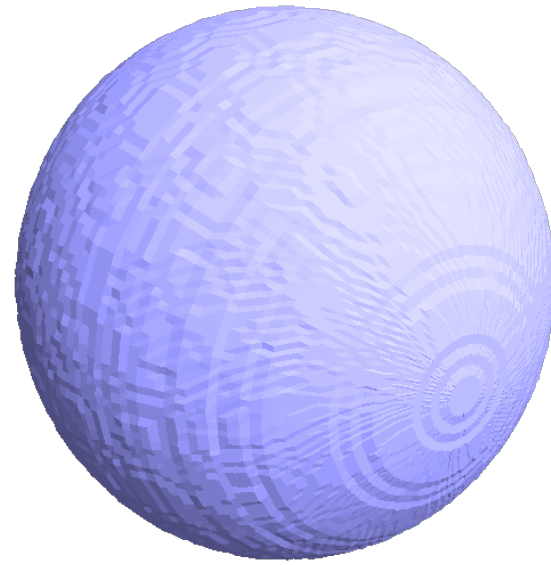
- Most of mesh data is in geometry
  - The connectivity (the graph) can be very efficiently encoded
    - About 2 bits per vertex only
  - The geometry  $(x,y,z)$  is heavy!
    - When stored naively, at least 12 bits per coordinate are needed, i.e. 36 bits per vertex

# Mesh Compression

- What happens if we just quantize  $xyz$  coordinates?



original



8 bits/coordinate

# Mesh Compression

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- Quantization of the Cartesian coordinates introduces high-frequency errors to the surface
- High-frequency errors alter the visual appearance of the surface – affect normals and lighting

# Mesh Compression

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- Transform the Cartesian coordinates to another space where quantization error will have low frequency in the regular Cartesian space
- Quantize the transformed coordinates
- Low-frequency errors are less apparent to a human observer

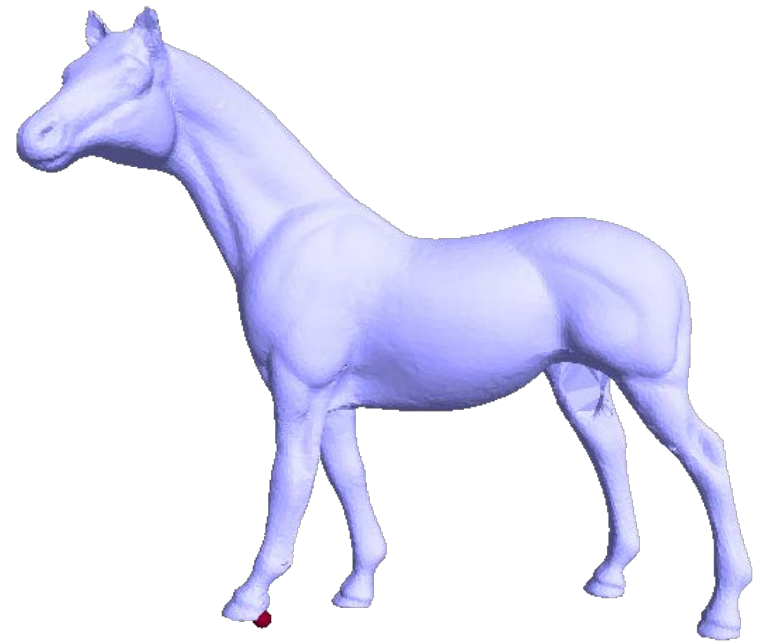
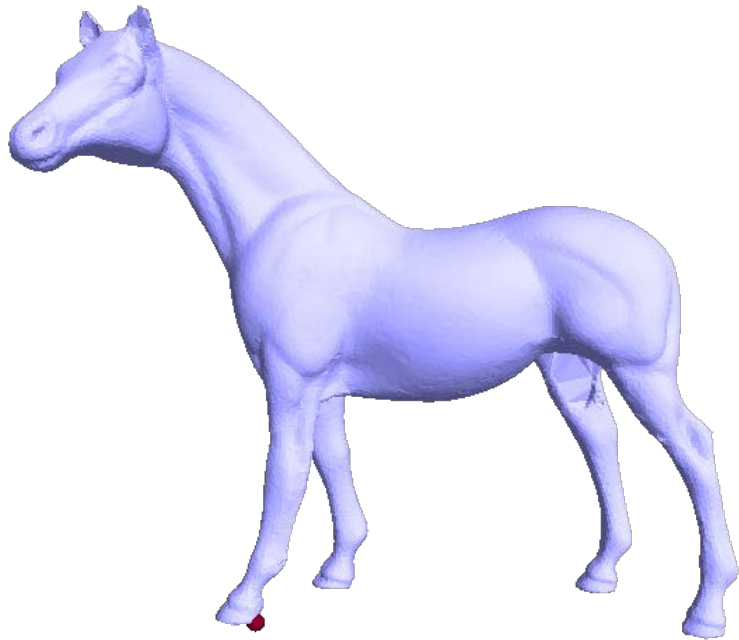


# Spectral Mesh Compression

- The encoding side:
  - Compute the spectral bases from mesh connectivity
  - Represent the shape geometry in the spectral basis and decide how many coeffs. to leave (**K**)
  - Store the connectivity and the **K** non-zero coefficients
- The decoding side:
  - Compute the first **K** spectral bases from the connectivity
  - Combine them using the **K** received coefficients and get the shape

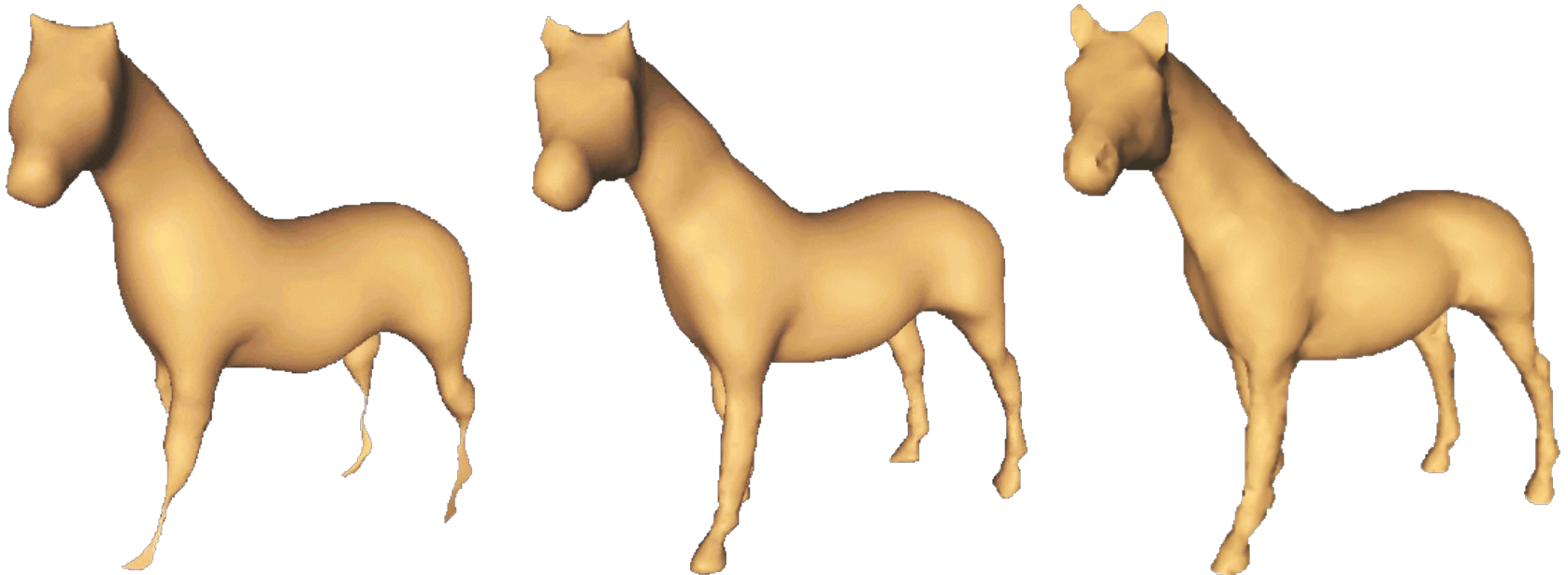
# Spectral Mesh Compression

- Low-frequency errors are hard to see



# Progressive Transmission

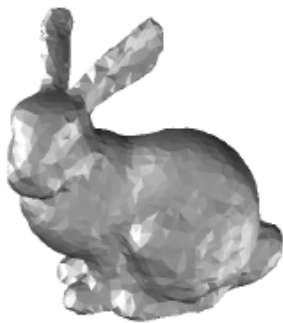
- First transmit the lower-eigenvalue coefficients (low frequency components), then gradually add finer details by transmitting more coefficients



[Karni and Gotsman 00]

# Mesh Watermarking / Steganography

- Embed a bitstring in the low-frequency coefficients



(a) Original



(b) Watermarked.



(c) Additive random noise.



(d) Mesh smoothing.



(e) Original



(f) Watermarked.



(g) Additive random noise.



(h) Mesh smoothing.

# Caveat

- Performing spectral decomposition of a large matrix ( $n > 1000$ ) is expensive –  $O(n^3)$ 
  - No FFT because of lack of regular structure
- Possible solutions:
  - Simplify mesh
  - Work on small blocks (like JPEG)

