



<http://algs4.cs.princeton.edu>

## 1.3 STACKS AND QUEUES

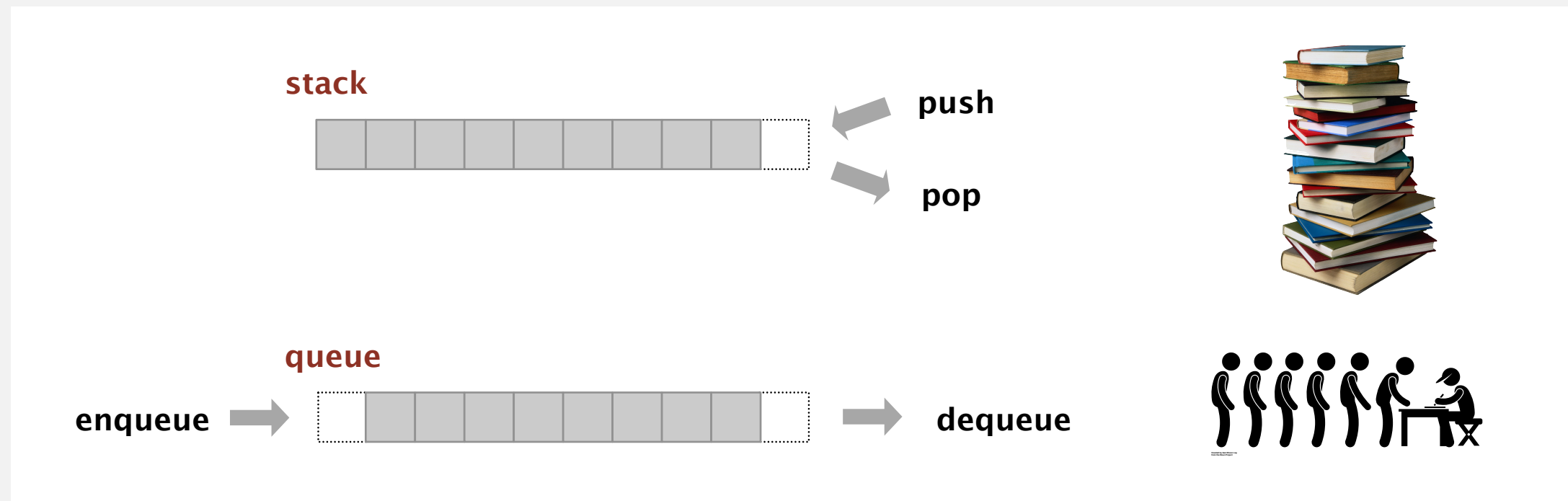
---

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators* ← **see precept**
- ▶ *applications*

# Stacks and queues

## Fundamental data types.

- Value: **collection** of objects.
- Operations: **add**, **remove**, **iterate**, test if empty.
- Intent is clear when we add.
- Which item do we remove?



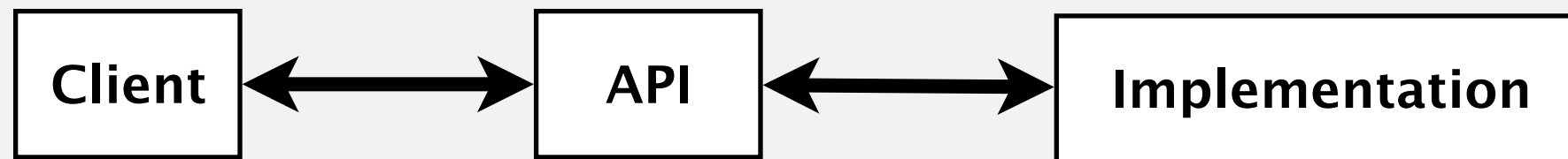
**Stack.** Examine the item most recently added. ← LIFO = “last in first out”

**Queue.** Examine the item least recently added. ← FIFO = “first in first out”

# Client, implementation, API

---

Separate client and implementation via API.



**API:** operations that characterize the behavior of a data type.

**Client:** program that uses the API operations.

**Implementation:** code that implements the API operations.

## Benefits.

- **Design:** create modular, reusable libraries.
- **Performance:** substitute faster implementations.

**Ex.** Stack, queue, bag, priority queue, symbol table, union–find, ....



<http://algs4.cs.princeton.edu>

## 1.3 STACKS AND QUEUES

---

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*

# Stack API

---

Warmup API. Stack of strings data type.

```
public class StackOfStrings
```

```
    StackOfStrings()
```

*create an empty stack*

```
    void push(String item)
```

*add a new string to stack*

```
    String pop()
```

*remove and return the string  
most recently added*

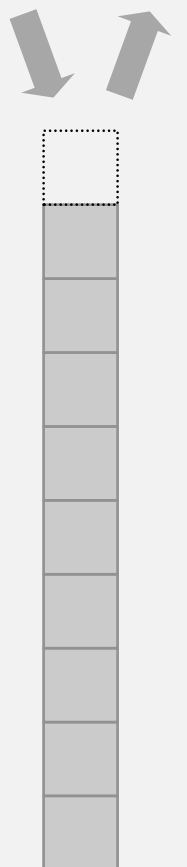
```
    boolean isEmpty()
```

*is the stack empty?*

```
    int size()
```

*number of strings on the stack*

push pop

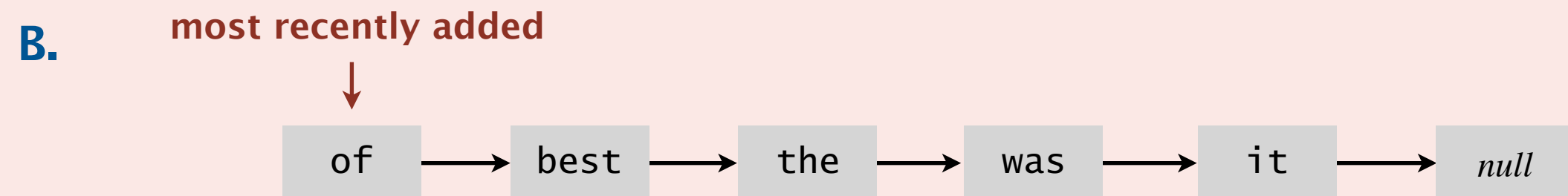
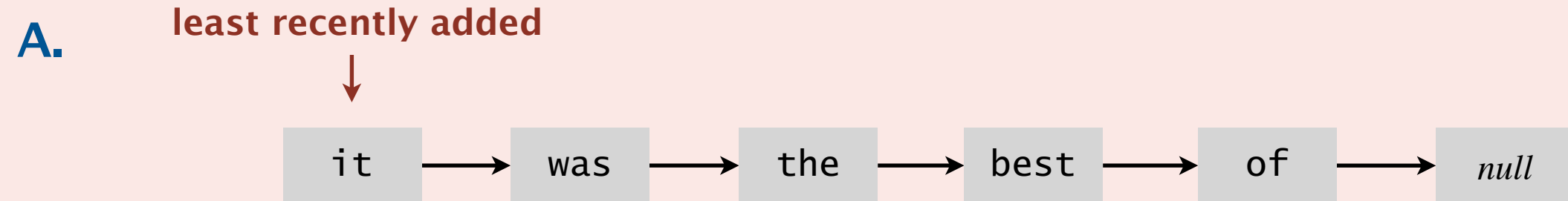


Performance requirements. All operations take constant time.

Warmup client. Reverse sequence of strings from standard input.



How to implement a stack with a singly linked list?



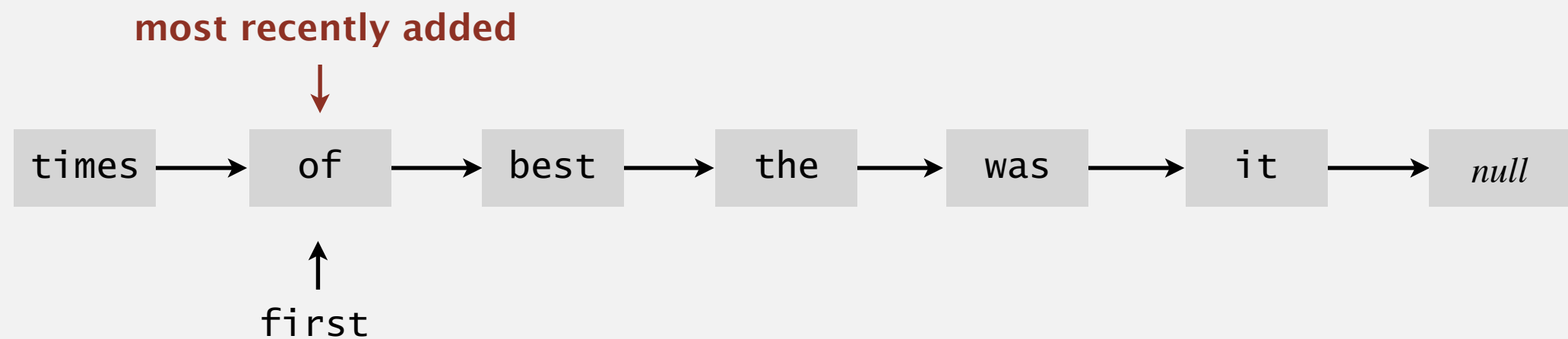
**C.**      *Both A and B.*

**D.**      *Neither A nor B.*

# Stack: linked-list implementation

---

- Maintain pointer *first* to first node in a singly linked list.
- Push new item before *first*.
- Pop item from *first*.

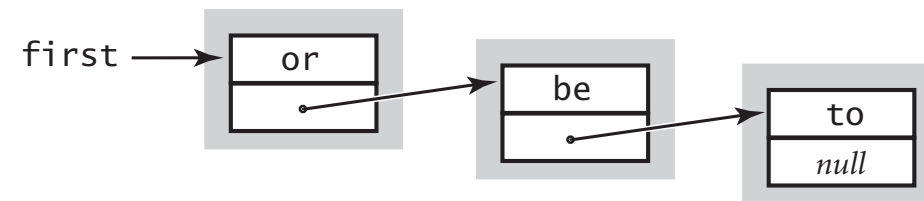


# Stack pop: linked-list implementation

---

## inner class

```
private class Node
{
    String item;
    Node next;
}
```

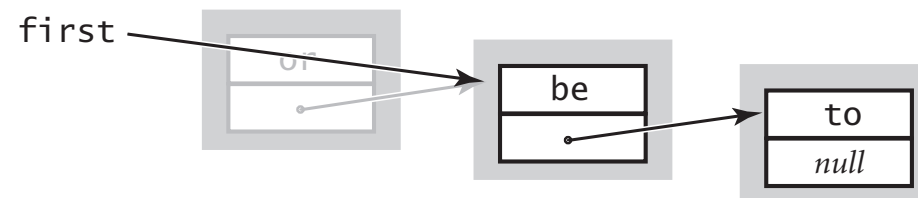


## save item to return

```
String item = first.item;
```

## delete first node

```
first = first.next;
```



## return saved item

```
return item;
```



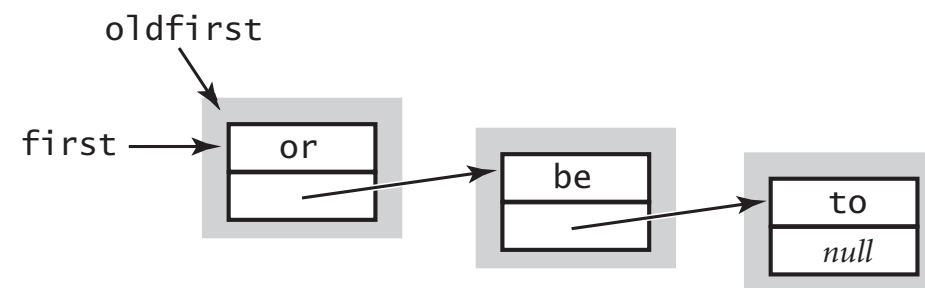
# Stack push: linked-list implementation

## inner class

```
private class Node
{
    String item;
    Node next;
}
```

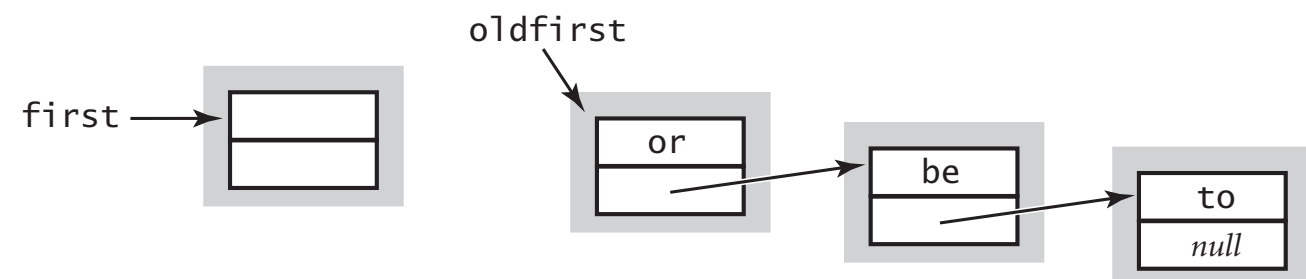
## save a link to the list

```
Node oldfirst = first;
```



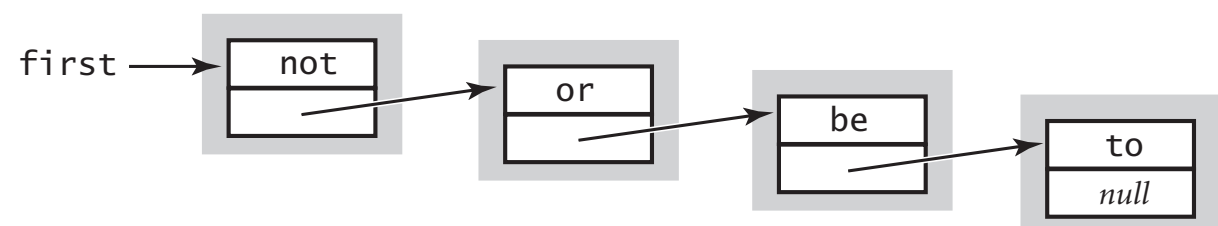
## create a new node for the beginning

```
first = new Node();
```



## set the instance variables in the new node

```
first.item = "not";
first.next = oldfirst;
```



# Stack: linked-list implementation

---

```
public class LinkedStackOfStrings
{
    private Node first = null;

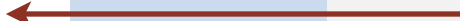
    private class Node
    {
        private String item;
        private Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(String item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public String pop()
    {
        String item = first.item;
        first = first.next;
        return item;
    }
}
```

private inner class  
(access modifiers for instance  
variables of such a class don't matter)



# Stack: linked-list implementation performance

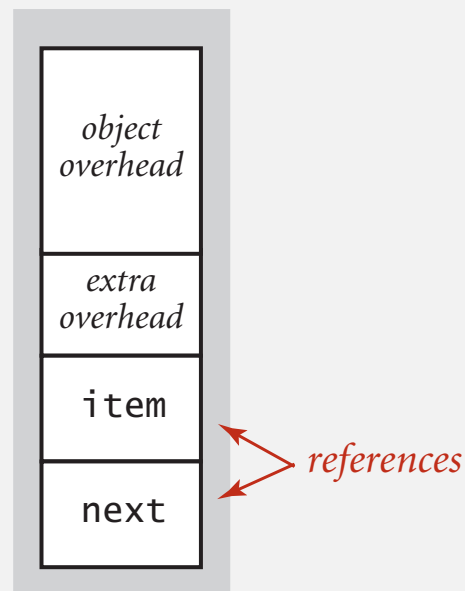
---

**Proposition.** Every operation takes constant time in the worst case.

**Proposition.** A stack with  $n$  items uses  $\sim 40 n$  bytes.

**inner class**

```
private class Node
{
    String item;
    Node next;
}
```



16 bytes (object overhead)

8 bytes (inner class extra overhead)

8 bytes (reference to String)

8 bytes (reference to Node)

---

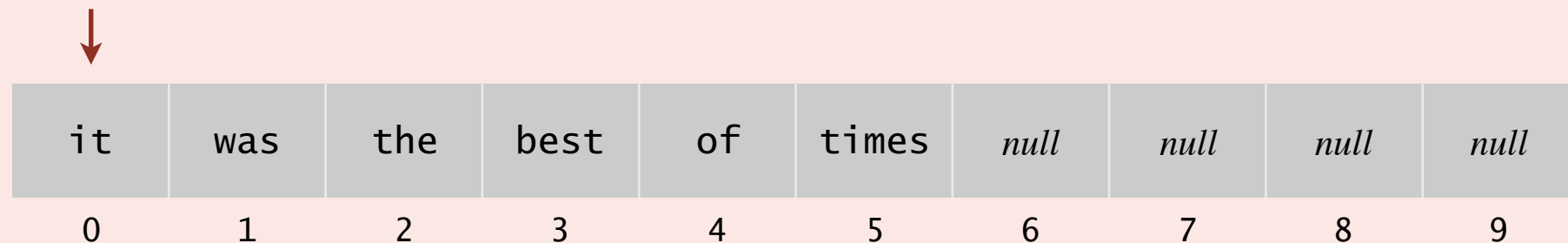
40 bytes per stack Node

**Remark.** This counts the memory for the stack  
(but not the memory for the strings themselves, which the client owns).



## How to implement a fixed-capacity stack with an array?

A. **least recently added**



B. **most recently added**



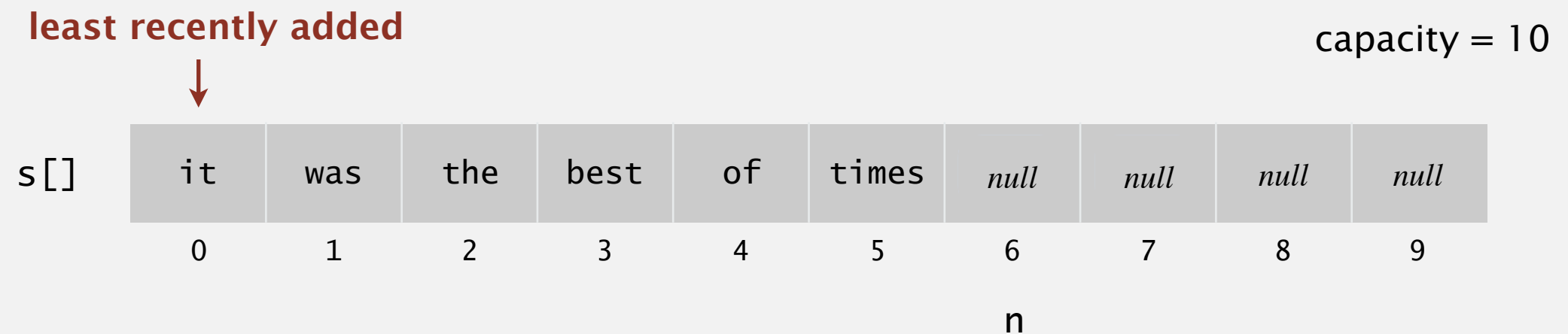
C. *Both A and B.*

D. *Neither A nor B.*

# Fixed-capacity stack: array implementation

---

- Use array  $s[]$  to store  $n$  items on stack.
- `push()`: add new item at  $s[n]$ .
- `pop()`: remove item from  $s[n-1]$ .



**Defect.** Stack overflows when  $n$  exceeds capacity. [stay tuned]

# Fixed-capacity stack: array implementation

---

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int n = 0;

    public FixedCapacityStackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return n == 0; }

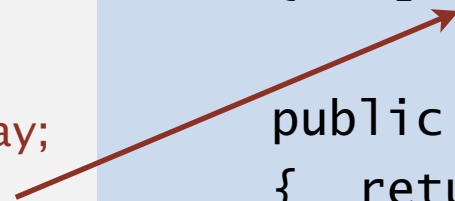
    public void push(String item)
    { s[n++] = item; }

    public String pop()
    { return s[--n]; }
}
```

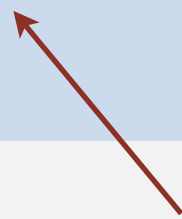
a cheat  
(stay tuned)



use as index into array;  
then increment n



decrement n;  
then use as index into array



# Stack considerations

---

## Overflow and underflow.

- Underflow: throw exception if pop() from an empty stack.
- Overflow: use “resizing array” for array implementation. [stay tuned]

**Null items.** We allow null items to be added.

**Duplicate items.** We allow an item to be added more than once.

**Loitering.** Holding a reference to an object when it is no longer needed.

```
public String pop()
{ return s[--n]; }
```

**loitering**

```
public String pop()
{
    String item = s[--n];
    s[n] = null;
    return item;
}
```

**no loitering**



<http://algs4.cs.princeton.edu>

## 1.3 STACKS AND QUEUES

---

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*



# Stack: resizing-array implementation

---

**Problem.** Requiring client to provide capacity does not implement API!

**Q.** How to grow and shrink array?

**First try.**

- `push()`: increase size of array `s[]` by 1.
- `pop()`: decrease size of array `s[]` by 1.

**Too expensive.**

- Need to copy all items to a new array, for each operation.
- Array accesses to add first  $n$  items =  $n + (2 + 4 + \dots + 2(n-1)) \sim n^2$ .

infeasible for large  $n$   
↓

↑                      ↑

1 array access      2(k-1) array accesses to expand to size  $k$   
per push              (ignoring cost to create new array)

**Challenge.** Ensure that array resizing happens infrequently.

# Stack: resizing-array implementation

Q. How to grow array?

A. If array is full, create a new array of **twice** the size, and copy items.

```
public ResizingArrayStackOfStrings()
{ s = new String[1]; }

public void push(String item)
{
    if (n == s.length) resize(2 * s.length);
    s[n++] = item;
}
```

```
private void resize(int capacity)
{
    String[] copy = new String[capacity];
    for (int i = 0; i < n; i++)
        copy[i] = s[i];
    s = copy;
}
```

“repeated doubling”

Array accesses to add first  $n = 2^i$  items.  $n + (2 + 4 + 8 + \dots + n) \sim 3n$ .

↑  
1 array access  
per push

↑  
 $k$  array accesses to double to size  $k$   
(ignoring cost to create new array)

feasible for large  $n$

# Stack: resizing-array implementation

---

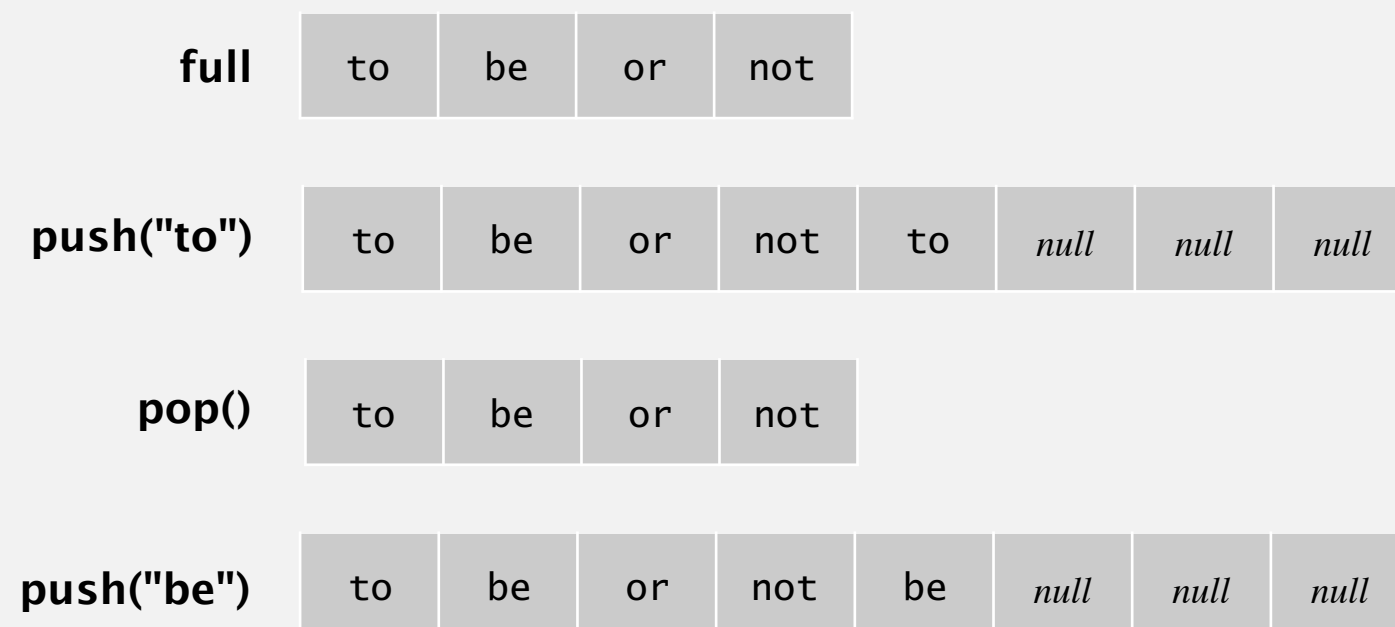
Q. How to shrink array?

First try.

- `push()`: double size of array `s[]` when array is full.
- `pop()`: halve size of array `s[]` when array is **one-half full**.

Too expensive in worst case.

- Consider push-pop-push-pop-... sequence when array is full.
- Each operation takes time proportional to  $n$ .



# Stack: resizing-array implementation

---

Q. How to shrink array?

Efficient solution.

- push(): double size of array `s[]` when array is full.
- pop(): halve size of array `s[]` when array is **one-quarter full**.

```
public String pop()
{
    String item = s[--n];
    s[n] = null;
    if (n > 0 && n == s.length/4) resize(s.length/2);
    return item;
}
```

**Invariant.** Array is between 25% and 100% full.

# Stack resizing-array implementation: performance

---

**Amortized analysis.** Starting from an empty data structure, average running time per operation over a worst-case sequence of operations.

**Proposition.** Starting from an empty stack, any sequence of  $m$  push and pop operations takes time proportional to  $m$ .

	typical	worst	amortized
construct	1	1	1
push	1	$n$	1
pop	1	$n$	1
size	1	1	1

doubling and  
halving operations

order of growth of running time  
for resizing array stack with  $n$  items

# Stack resizing-array implementation: memory usage

---

**Proposition.** A `ResizingArrayStackOfStrings` uses between  $\sim 8n$  and  $\sim 32n$  bytes of memory for a stack with  $n$  items.

- $\sim 8n$  when full.
- $\sim 32n$  when one-quarter full.

```
public class ResizingArrayStackOfStrings
{
    private String[] s;
    private int n = 0;
    :
}
```

← 8 bytes × array length

**Remark.** This counts the memory for the stack (but not the memory for the strings themselves, which the client owns).

# Stack implementations: resizing array vs. linked list

---

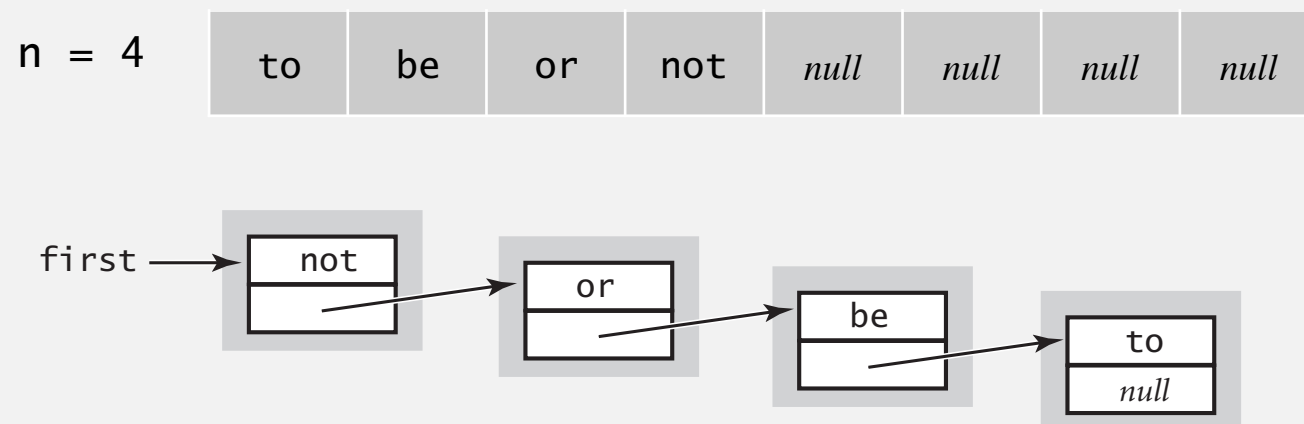
**Tradeoffs.** Can implement a stack with either resizing array or linked list; client can use interchangeably. Which one is better?

## Linked-list implementation.

- Every operation takes constant time in the **worst case**.
- Uses extra time and space to deal with the links.

## Resizing-array implementation.

- Every operation takes constant **amortized** time.
- Less wasted space.





<http://algs4.cs.princeton.edu>

## 1.3 STACKS AND QUEUES

---

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*



# Queue API

Warmup API. Queue of strings data type.

```
public class QueueOfStrings
```

```
    QueueOfStrings()
```

*create an empty queue*

```
    void enqueue(String item)
```

*add a new string to queue*

```
    String dequeue()
```

*remove and return the string  
least recently added*

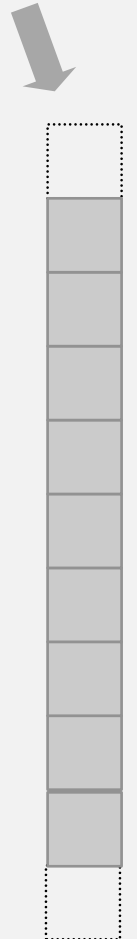
```
    boolean isEmpty()
```

*is the queue empty?*

```
    int size()
```

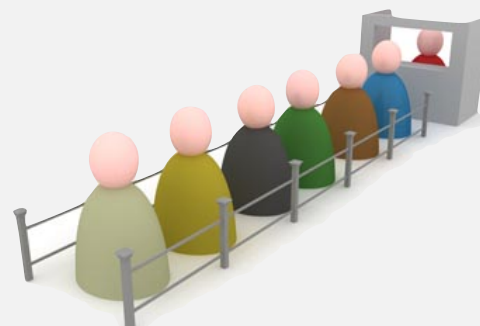
*number of strings on the queue*

enqueue



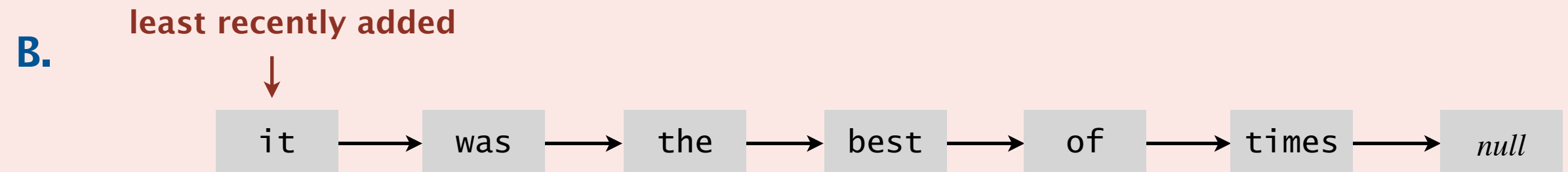
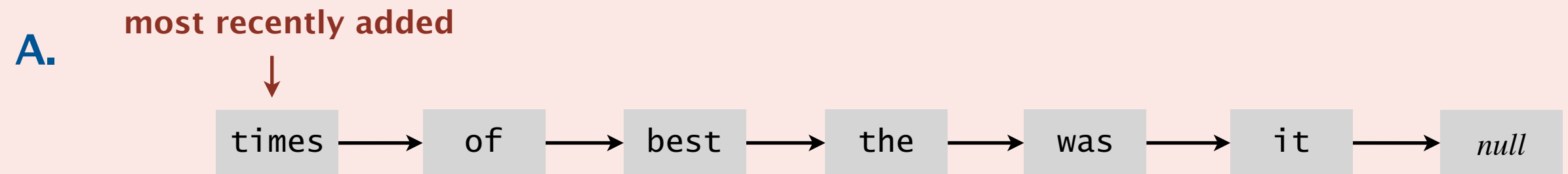
dequeue

Performance requirements. All operations take constant time.





How to implement a queue with a singly linked list?



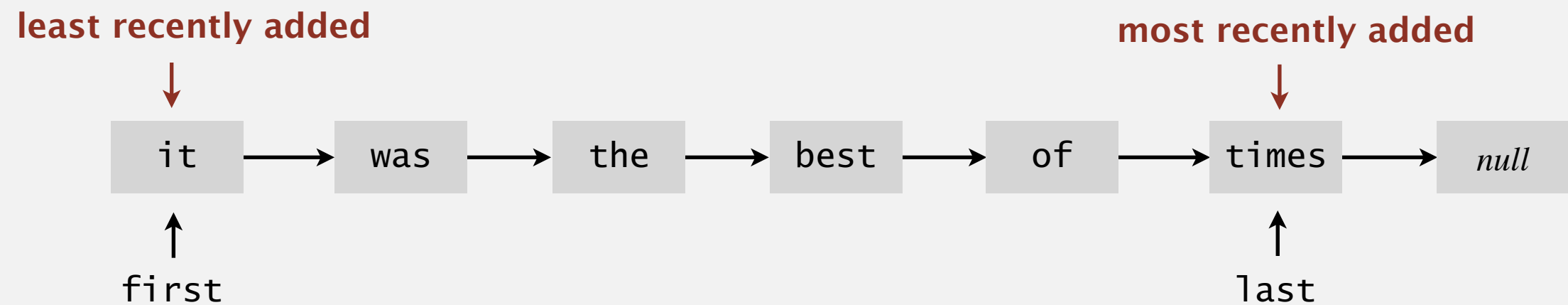
**C.** *Both A and B.*

**D.** *Neither A nor B.*

# Queue: linked-list implementation

---

- Maintain one pointer `first` to first node in a singly linked list.
- Maintain another pointer `last` to last node.
- Dequeue from `first`.
- Enqueue after `last`.



# Queue dequeue: linked-list implementation

## inner class

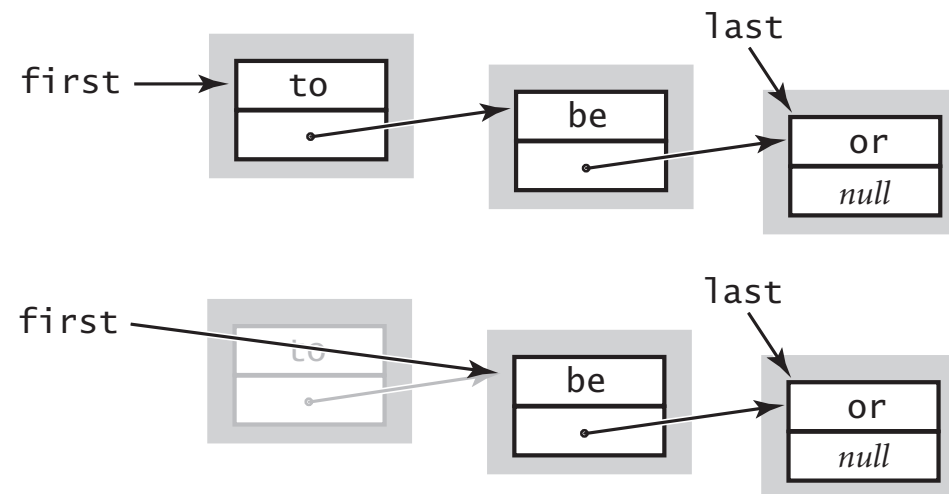
```
private class Node
{
    String item;
    Node next;
}
```

## save item to return

```
String item = first.item;
```

## delete first node

```
first = first.next;
```



## return saved item

```
return item;
```

**Remark.** Identical code to linked-list stack pop().

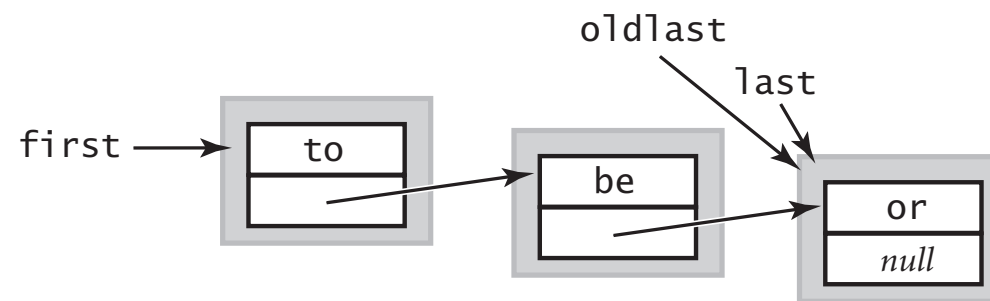
# Queue enqueue: linked-list implementation

## inner class

```
private class Node
{
    String item;
    Node next;
}
```

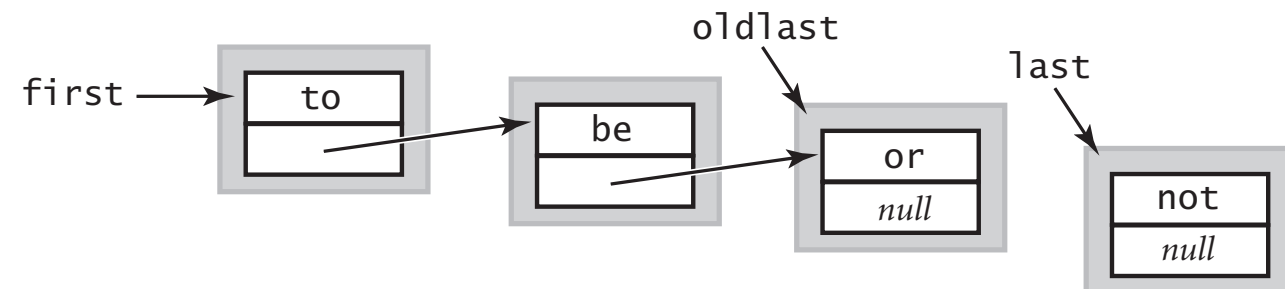
## save a link to the last node

```
Node oldlast = last;
```



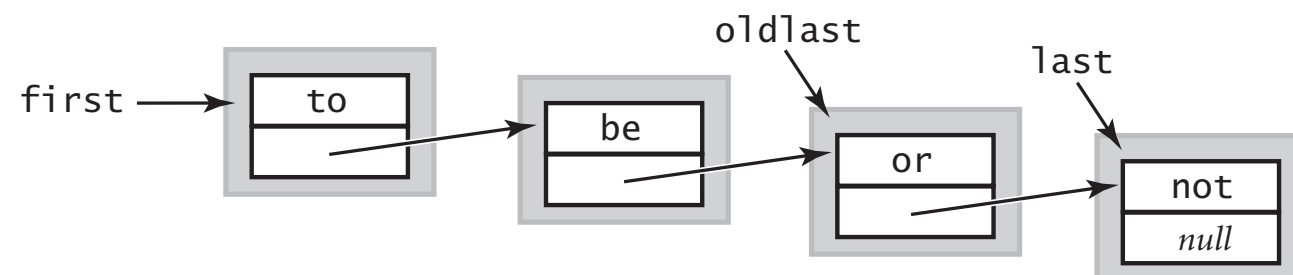
## create a new node for the end

```
last = new Node();
last.item = "not";
```



## link the new node to the end of the list

```
oldlast.next = last;
```



# Queue: linked-list implementation

---

```
public class LinkedQueueOfStrings
{
    private Node first, last;

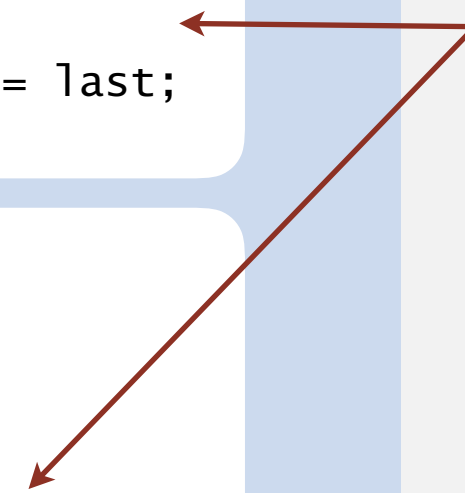
    private class Node
    { /* same as in LinkedStackOfStrings */ }

    public boolean isEmpty()
    { return first == null; }

    public void enqueue(String item)
    {
        Node oldlast = last;
        last = new Node();
        last.item = item;
        last.next = null;
        if (isEmpty()) first = last;
        else          oldlast.next = last;
    }

    public String dequeue()
    {
        String item = first.item;
        first      = first.next;
        if (isEmpty()) last = null;
        return item;
    }
}
```

special cases for  
empty queue





How to implement a fixed-capacity queue with an array?

A. least recently added



it	was	the	best	of	times	<i>null</i>	<i>null</i>	<i>null</i>	<i>null</i>
0	1	2	3	4	5	6	7	8	9

B.

most recently added



times	of	best	the	was	it	<i>null</i>	<i>null</i>	<i>null</i>	<i>null</i>
0	1	2	3	4	5	6	7	8	9

C. *Both A and B.*

D. *Neither A nor B.*

# Queue: resizing-array implementation

---

- Use array `q[]` to store items in queue.
- `enqueue()`: add new item at `q[tail]`.
- `dequeue()`: remove item from `q[head]`.
- Update `head` and `tail` modulo the capacity.



Q. How to resize?



# QUEUE WITH TWO STACKS

**Problem.** Implement a queue with two stacks so that:

- Each queue op uses a constant amortized number of stack ops.
- At most constant extra memory (besides two stacks).

**Applications.**

- Job interview.
- Implement an immutable or persistent queue.
- Implement a queue in a (purely) functional programming language.



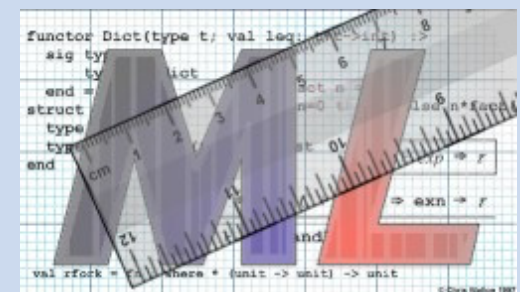
Haskell



Lisp



OCaml





<http://algs4.cs.princeton.edu>

## 1.3 STACKS AND QUEUES

---

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*

# Parameterized stack

---

We implemented: StackOfStrings.

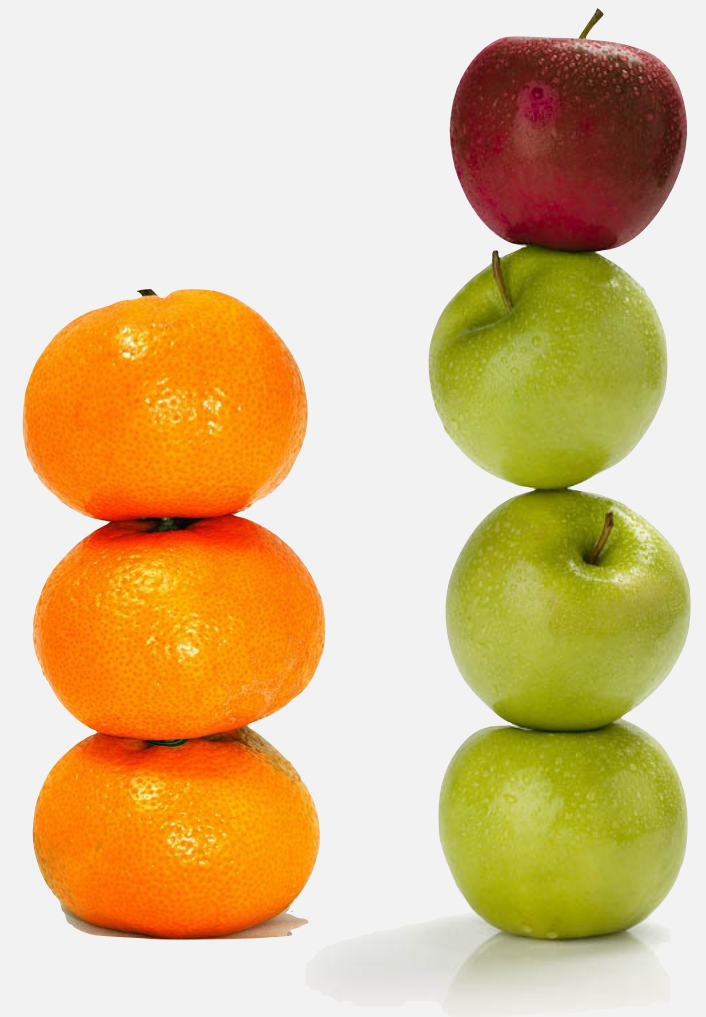
We also want: StackOfURLs, StackOfInts, StackOfApples, StackOfOranges, ....

Solution in Java: generics.

type parameter  
(use syntax both to specify type and to call constructor)

```
Stack<Apple> stack = new Stack<Apple>();  
Apple apple = new Apple();  
Orange orange = new Orange();  
stack.push(apple);  
stack.push(orange);  
...
```

← compile-time error



# Generic stack: linked-list implementation

```
public class LinkedStackOfStrings
{
    private Node first = null;

    private class Node
    {
        String item;
        Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(String item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public String pop()
    {
        String item = first.item;
        first = first.next;
        return item;
    }
}
```

stack of strings (linked list)

```
public class Stack<Item>
{
    private Node first = null;

    private class Node
    {
        Item item;
        Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(Item item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public Item pop()
    {
        Item item = first.item;
        first = first.next;
        return item;
    }
}
```

generic type name

generic stack (linked list)

# Generic stack: array implementation

---

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int n = 0;

    public ..StackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return n == 0; }

    public void push(String item)
    { s[n++] = item; }

    public String pop()
    { return s[--n]; }
}
```

stack of strings (fixed-length array)

```
public class FixedCapacityStack<Item>
{
    private Item[] s;
    private int n = 0;

    public FixedCapacityStack(int capacity)
    { s = new Item[capacity]; }

    public boolean isEmpty()
    { return n == 0; }

    public void push(Item item)
    { s[n++] = item; }

    public Item pop()
    { return s[--n]; }
}
```

generic stack (fixed-length array) ?

@# \$\*! generic array creation not allowed in Java

# Generic stack: array implementation

---

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int n = 0;

    public ..StackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return n == 0; }

    public void push(String item)
    { s[n++] = item; }

    public String pop()
    { return s[--n]; }
}
```

stack of strings (fixed-length array)

```
public class FixedCapacityStack<Item>
{
    private Item[] s;
    private int n = 0;

    public FixedCapacityStack(int capacity)
    { s = (Item[]) new Object[capacity]; }

    public boolean isEmpty()
    { return n == 0; }

    public void push(Item item)
    { s[n++] = item; }

    public Item pop()
    { return s[--n]; }
}
```

generic stack (fixed-length array)

the ugly cast



# Unchecked cast

---

```
% javac -Xlint:unchecked FixedCapacityStack.java
FixedCapacityStack.java:26: warning: [unchecked] unchecked cast
    s = (Item[]) new Object[capacity];
           ^
    required: Item[]
    found:    Object[]
    where Item is a type-variable:
      Item extends Object declared in class FixedCapacityStack
1 warning
```

**Q.** Why does Java require a cast (or reflection)?

**Short answer.** Backward compatibility.

**Long answer.** Need to learn about **type erasure** and **covariant arrays**.





Which of the following is the correct way to declare and initialize an empty stack of integers?

- A. `Stack stack = new Stack<int>();`
- B. `Stack<int> stack = new Stack();`
- C. `Stack<int> stack = new Stack<int>();`
- D. *None of the above.*



# Generic data types: autoboxing and unboxing

---

Q. What to do about primitive types?

Wrapper type.

- Each primitive type has a **wrapper** object type.
- Ex: Integer is wrapper type for int.

**Autoboxing.** Automatic cast from primitive type to wrapper type.

**Unboxing.** Automatic cast from wrapper type to primitive type.

```
Stack<Integer> stack = new Stack<Integer>();  
stack.push(17);           // stack.push(Integer.valueOf(17));  
int a = stack.pop();      // int a = stack.pop().intValue();
```

**Bottom line.** Client code can use generic stack for **any** type of data.



## 1.3 STACKS AND QUEUES

---

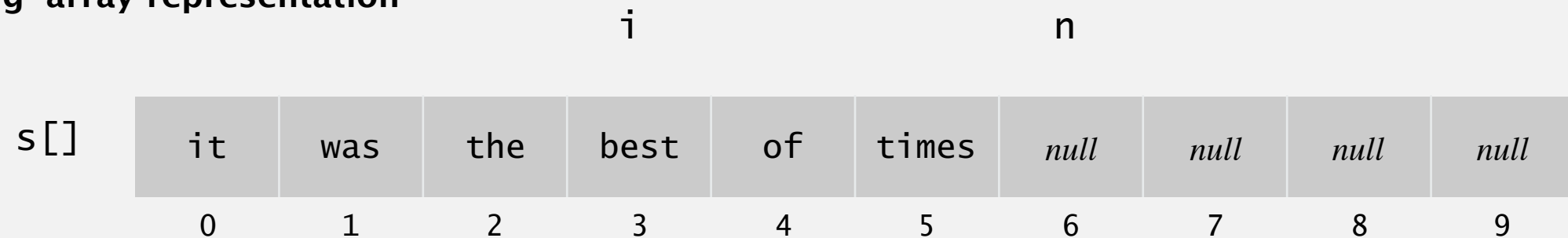
- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ ***iterators*** ← **see precept**
- ▶ *applications*

# Iteration

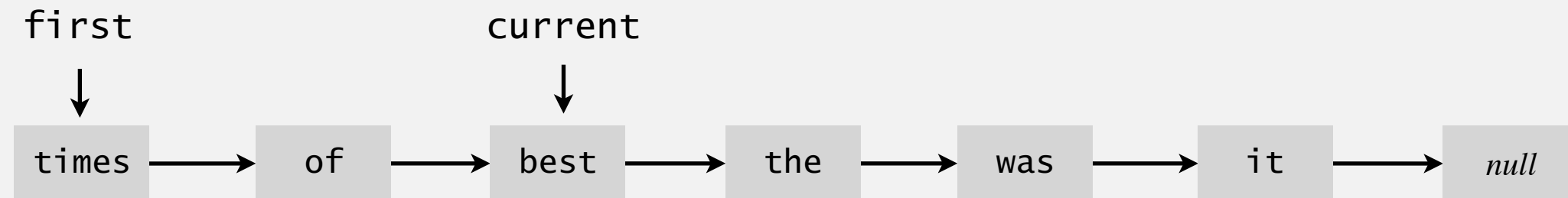
---

**Design challenge.** Support iteration over stack items by client, without revealing the internal representation of the stack.

resizing-array representation



linked-list representation



**Java solution.** Use a **foreach** loop.

# Foreach loop

---

Java provides elegant syntax for iteration over collections.

## “foreach” loop (shorthand)

```
Stack<String> stack;  
...  
  
for (String s : stack)  
    ...
```

## equivalent code (longhand)

```
Stack<String> stack;  
...  
  
Iterator<String> i = stack.iterator();  
while (i.hasNext())  
{  
    String s = i.next();  
    ...  
}
```

To make user-defined collection support foreach loop:

- Data type must have a method named `iterator()`.
- The `iterator()` method returns an object that has two core methods.
  - the `hasNext()` method returns `false` when there are no more items
  - the `next()` method returns the next item in the collection

# Iterators

---

To support foreach loops, Java provides two interfaces.

- Iterator interface: `next()` and `hasNext()` methods.
- Iterable interface: `iterator()` method that returns an Iterator.
- Both should be used with generics.

## java.util.Iterator interface

```
public interface Iterator<Item>
{
    boolean hasNext();
    Item next();
    void remove(); ← optional; use
                    at your own risk
}
```

## java.lang.Iterable interface

```
public interface Iterable<Item>
{
    Iterator<Item> iterator();
}
```

## Type safety.

- Implementation must use these interfaces to support foreach loop.
- Client program won't compile unless implementation do.

# Stack iterator: linked-list implementation

```
import java.util.Iterator;

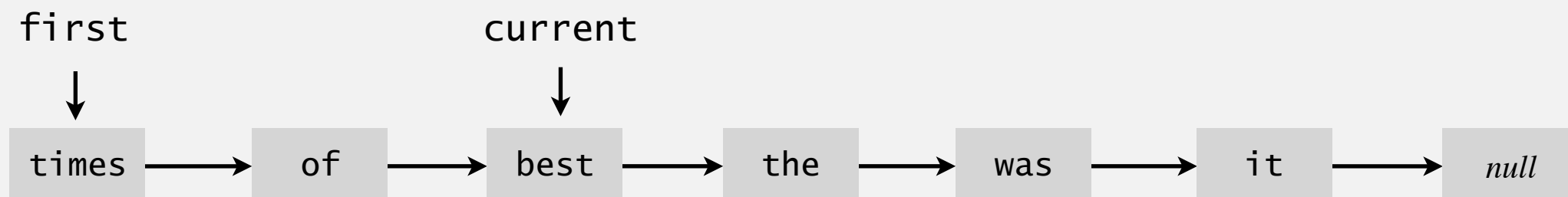
public class Stack<Item> implements Iterable<Item>
{
    ...

    public Iterator<Item> iterator() { return new ListIterator(); }

    private class ListIterator implements Iterator<Item>
    {
        private Node current = first;

        public boolean hasNext() { return current != null; }
        public void remove() { /* not supported */ }
        public Item next()
        {
            Item item = current.item;
            current = current.next;
            return item;
        }
    }
}
```

throw UnsupportedOperationException  
throw NoSuchElementException  
if no more items in iteration



## Stack iterator: array implementation

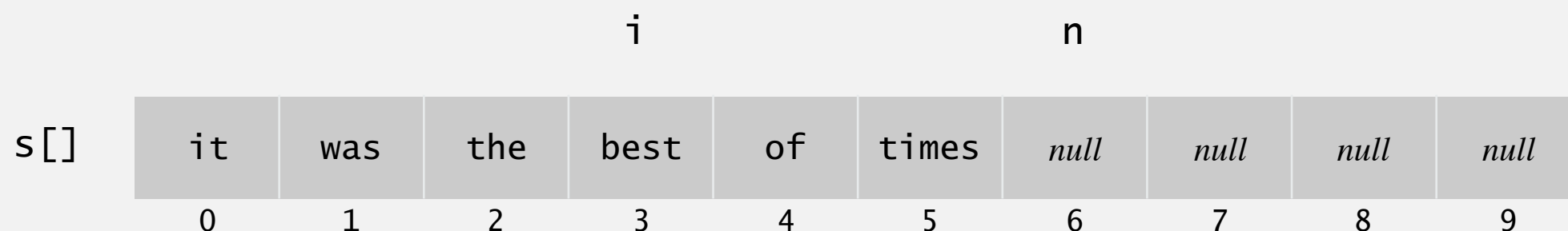
```
import java.util.Iterator;

public class Stack<Item> implements Iterable<Item>
{
    ...

    public Iterator<Item> iterator()
    { return new ReverseArrayIterator(); }

    private class ReverseArrayIterator implements Iterator<Item>
    {
        private int i = n;

        public boolean hasNext() { return i > 0; }
        public void remove() { /* not supported */ }
        public Item next() { return s[--i]; }
    }
}
```





<http://algs4.cs.princeton.edu>

## 1.3 STACKS AND QUEUES

---

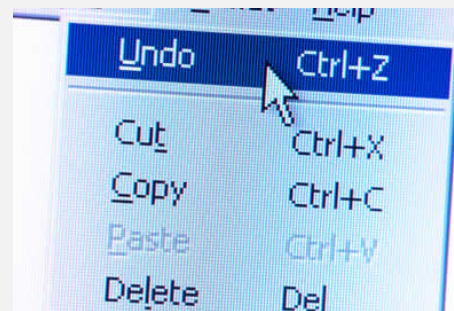
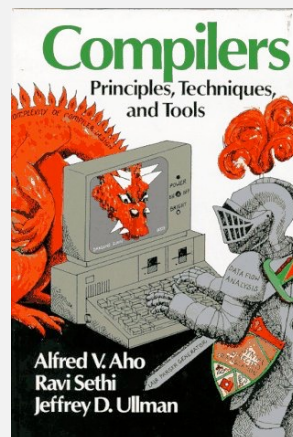
- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*



# Stack applications

---

- Java virtual machine.
- Parsing in a compiler.
- Undo in a word processor.
- Back button in a Web browser.
- PostScript language for printers.
- Implementing function calls in a compiler.
- ...



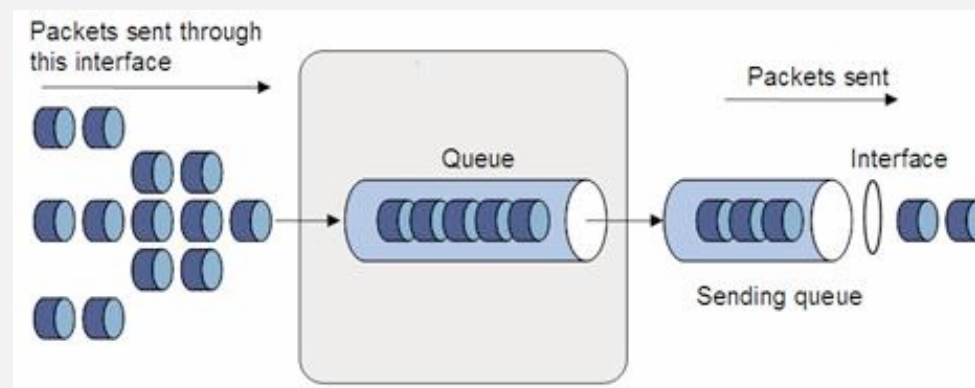
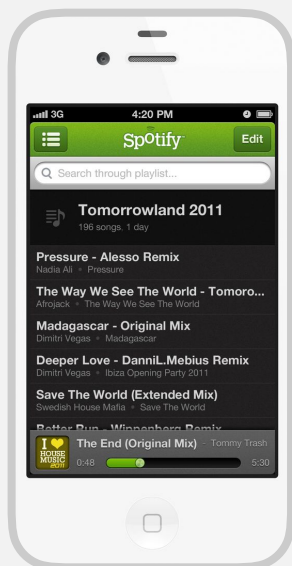
# Queue applications

## Familiar applications.

- Spotify playlist.
- Data buffers (iPod, TiVo, sound card, streaming video, ...).
- Asynchronous data transfer (file IO, pipes, sockets, ...).
- Dispensing requests on a shared resource (printer, processor, ...).

## Simulations of the real world.

- Traffic analysis.
- Waiting times of customers at call center.
- Determining number of cashiers to have at a supermarket.



# Java collections library

---

**List interface.** `java.util.List` is API for a sequence of items.

```
public interface List<Item> extends Iterable<Item>
```

<code>List()</code>	<i>create an empty list</i>
<code>boolean isEmpty()</code>	<i>is the list empty?</i>
<code>int size()</code>	<i>number of items</i>
<code>void add(Item item)</code>	<i>add item to the end</i>
<code>Iterator&lt;Item&gt; iterator()</code>	<i>iterator over all items in the list</i>
<code>Item get(int index)</code>	<i>return item at given index</i>
<code>Item remove(int index)</code>	<i>return and delete item at given index</i>
<code>boolean contains(Item item)</code>	<i>does the list contain the given item?</i>
<code>⋮</code>	

**Implementations.** `java.util.ArrayList` uses a resizing array;

`java.util.LinkedList` uses a doubly linked list.

← Caveat: not all operations are efficient!

# Java collections library

---

## `java.util.Stack`.

- Supports `push()`, `pop()`, and iteration.
- Inherits from `java.util.Vector`, which implements `java.util.List` interface.



### Java 1.3 bug report (June 27, 2001)

The iterator method on `java.util.Stack` iterates through a Stack from the bottom up. One would think that it should iterate as if it were popping off the top of the Stack.



### status (closed, will not fix)

It was an incorrect design decision to have Stack extend Vector ("is-a" rather than "has-a"). We sympathize with the submitter but cannot fix this because of compatibility.

# Java collections library

---

## `java.util.Stack`.

- Supports `push()`, `pop()`, and iteration.
- Inherits from `java.util.Vector`, which implements `java.util.List` interface.



`java.util.Queue`. An interface, not an implementation of a queue.

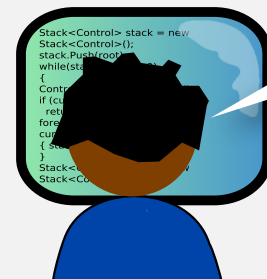
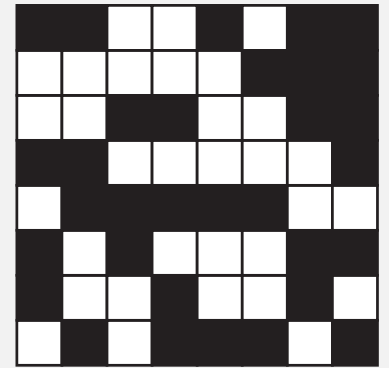
**Best practices.** Use our `Stack` and `Queue` for stacks and queues; use `java.util.ArrayList` or `java.util.LinkedList` when appropriate.

# War story (from Assignment 1)

---

Generate random open sites in an  $n$ -by- $n$  percolation system.

- Jenny: pick  $(row, col)$  at random; if already open, repeat.  
Takes  $\sim c_1 n^2$  seconds.
- Kenny: create a `java.util.ArrayList` of  $n^2$  closed sites.  
Pick an index at random and delete.  
Takes  $\sim c_2 n^4$  seconds.



Kenny

Why is my program so slow ?

**Lesson.** Don't use a library until you understand its API!

**This course.** Can't use a library until we've implemented it in class.