Princeton University

Computer Science 217: Introduction to Programming Systems



Dynamic Memory Management

Goals of this Lecture

Help you learn about:

- The need for dynamic* memory mgmt (DMM)
- Implementing DMM using the heap section
- Implementing DMM using virtual memory
- * During program execution

System-Level Functions Covered



As noted in the *Exceptions and Processes* lecture...

Linux system-level functions for dynamic memory management (DMM)

Number	Function	Description
12	brk()	Move the program break, thus changing the amount of memory allocated to the HEAP
12	sbrk()	(Variant of previous)
9	mmap()	Map a virtual memory page
11	munmap()	Unmap a virtual memory page

Goals for DMM



Goals for effective DMM:

- Time efficiency
 - Allocating and freeing memory should be fast
- Space efficiency
 - Pgm should use little memory

Note

- Easy to reduce time or space
- Hard to reduce time and space

Agenda



The need for DMM

- DMM using the heap section
- **DMMgr 1: Minimal implementation**
- **DMMgr 2: Pad implementation**
- Fragmentation
- **DMMgr 3: List implementation**
- DMMgr 4: doubly linked list implementation
- **DMMgr 5: Bins implementation**
- DMM using virtual memory
- **DMMgr 6: VM implementation**

Why Allocate Memory Dynamically?



Why allocate memory dynamically?

Problem

- Number of objects needed not known in advance (e.g., how many elements of linked list or tree?)
- Unknown object size

(e.g., how large should the array be, in hash table?) How much memory to allocate?

Solution 1

• Guess!

Solution 2

Allocate memory dynamically

Why Free Memory Dynamically?



Why free memory dynamically?

Problem

- Pgm should use little memory, i.e.
- Pgm should **map** few pages of virtual memory
 - Mapping unnecessary VM pages bloats page tables, wastes memory/disk space

Solution

• Free dynamically allocated memory that is no longer needed

Option 1: Automatic Freeing



Run-time system frees unneeded memory

- Java, Python, ...
- Garbage collection

Pros:

- Easy for programmer
- Fewer bugs
- Simpler interfaces between modules
- Fewer bugs

Cons:

- Performed constantly \Rightarrow overhead
- Performed periodically \Rightarrow unexpected pauses (these days, high-performance garbage collectors minimize overhead and pause facency)

Car c; Plane p; ... c = new Car(); p = new Plane(); ... c = new Car(); ...

Original Car object can't be accessed

Option 2: Manual Freeing



Programmer frees unneeded memory

• C, C++, Objective-C, ...

Pros

- Less overhead
- No unexpected pauses

Cons

- More complex for programmer
- Opens possibility of memory-related bugs
 - Dereferences of dangling pointers, double frees, memory leaks

Conclusion:



Program in a safe, garbage-collected language!

(not in C)

Use unsafe languages with manual memory management (such as C)

only for low-level programs where the overhead or latency of garbage collection is intolerable

such as: OS kernels, device drivers, garbage collectors, memory managers

All right then, let's see how manual memory management works in C

C memory allocation library



Standard C dynamic-memory-management functions:

void *malloc(size_t size); void free(void *ptr); void *calloc(size_t nmemb, size_t size); void *realloc(void *ptr, size_t size);

Collectively define a dynamic memory manager (DMMgr)

We'll focus on malloc() and free()

Implementing malloc() and free()



Question:

- How to implement malloc() and free()?
- How to implement a DMMgr?

Answer 1:

Use the heap section of memory

Answer 2:

• (Later in this lecture)

Agenda



The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: doubly linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

The Heap Section of Memory Low High memory memory **Program break** Heap start Supported by Unix/Linux, MS Windows, ... Heap start is stable Program break points to end At process start-up, heap start == program break Can grow dynamically By moving program break to higher address Thereby (indirectly) mapping pages of virtual mem Can shrink dynamically By moving program break to lower address Thereby (indirectly) unmapping pages of virtual mem 14

Unix Heap Management



Unix system-level functions for heap mgmt:

int brk(void *p);

- Move the program break to address p
- Return 0 if successful and -1 otherwise

void *sbrk(intptr_t n);

- Increment the program break by n bytes
- Return previous break if successful and (void*)-1 otherwise
- [therefore] If n is 0, return the current location of the program break
- Beware: On Linux has a known bug (overflow not handled); should call only with argument 0.

Note: minimal interface (good!)

Agenda



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DMM using virtual memory

DMMgr 6: VM implementation

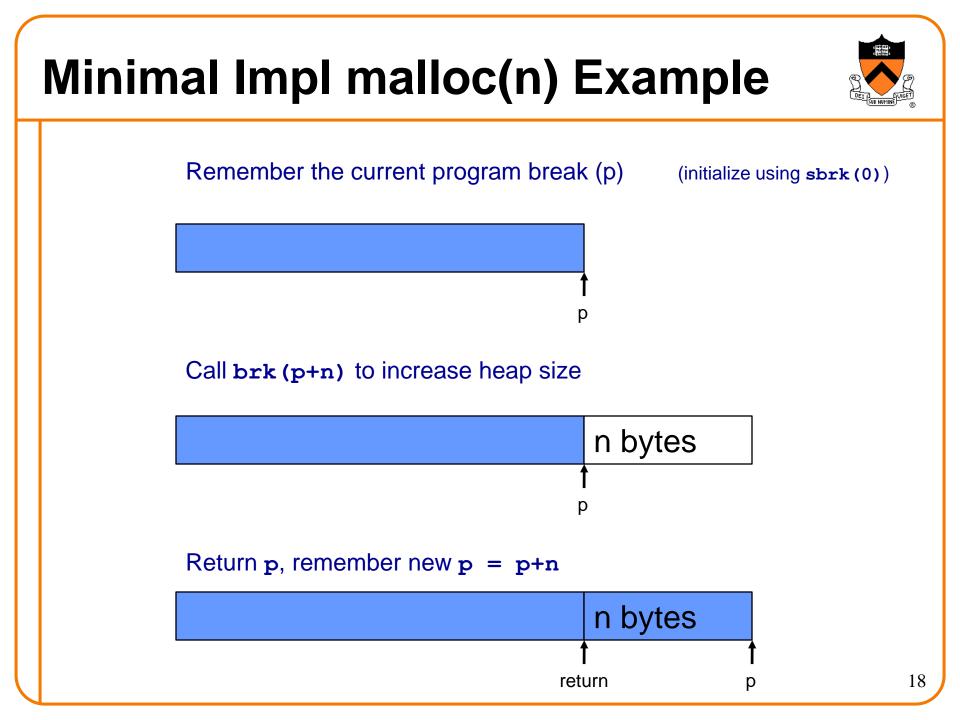
Minimal Impl

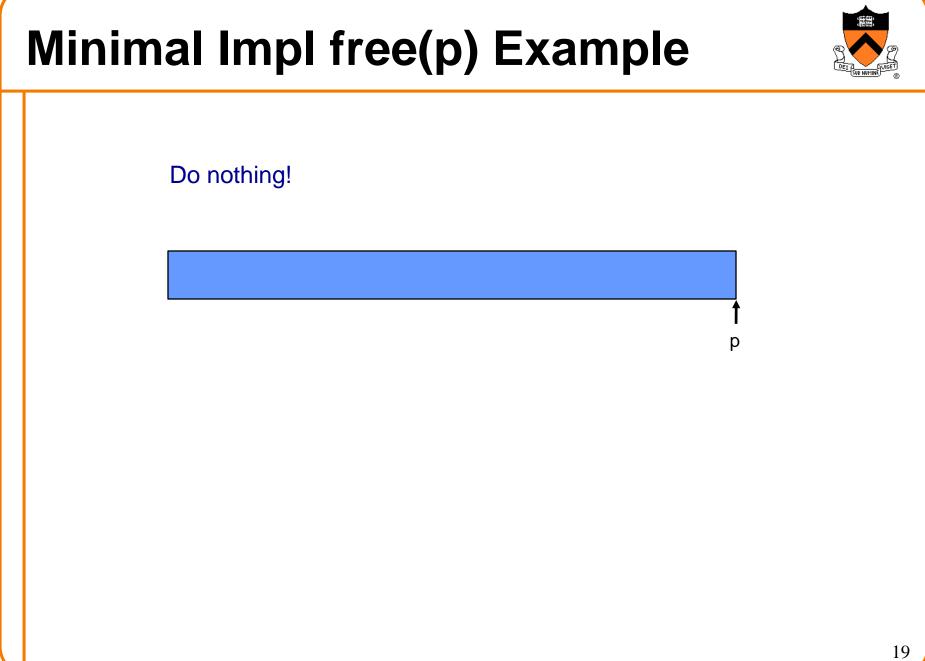


Data structures

• One word: remember the current value of program break

Algorithms (by examples)...





Minimal Impl

Algorithms

}

```
static void *current_break;
```

```
void *malloc(size_t n)
```

```
{ char *p = current_break;
```

```
if (!p) p=(char *)sbrk(0);
```

```
if (brk(p+n) == -1)
```

return NULL;

```
current_break = p+n;
```

return (void*)p;

void free(void *p) { }



Minimal Impl Performance

Performance (general case)

- Time: bad
 - One system call per malloc()
- Space: bad
 - Each call of malloc() extends heap size
 - No reuse of freed chunks

What's Wrong?



Problem

• malloc() executes a system call every time

Solution

- Redesign malloc() so it does fewer system calls
- Maintain a pad at the end of the heap...

Agenda



The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

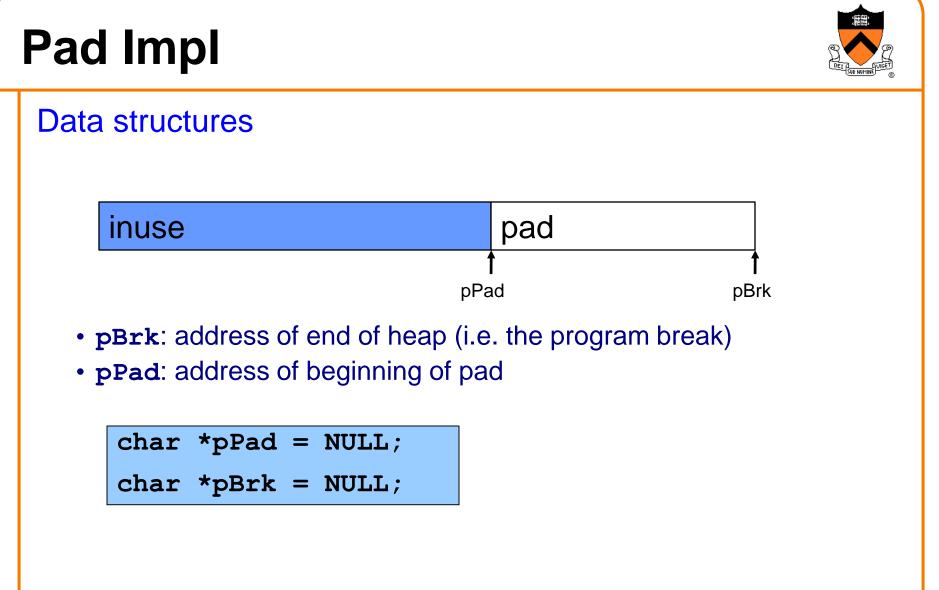
DMMgr 3: List implementation

DMMgr 4: doubly linked list implementation

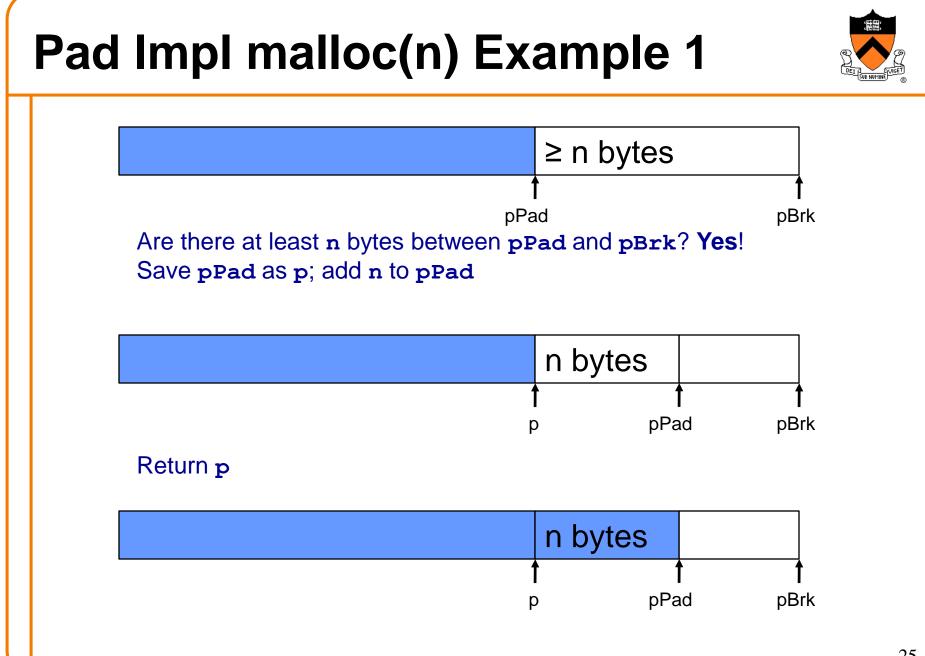
DMMgr 5: Bins implementation

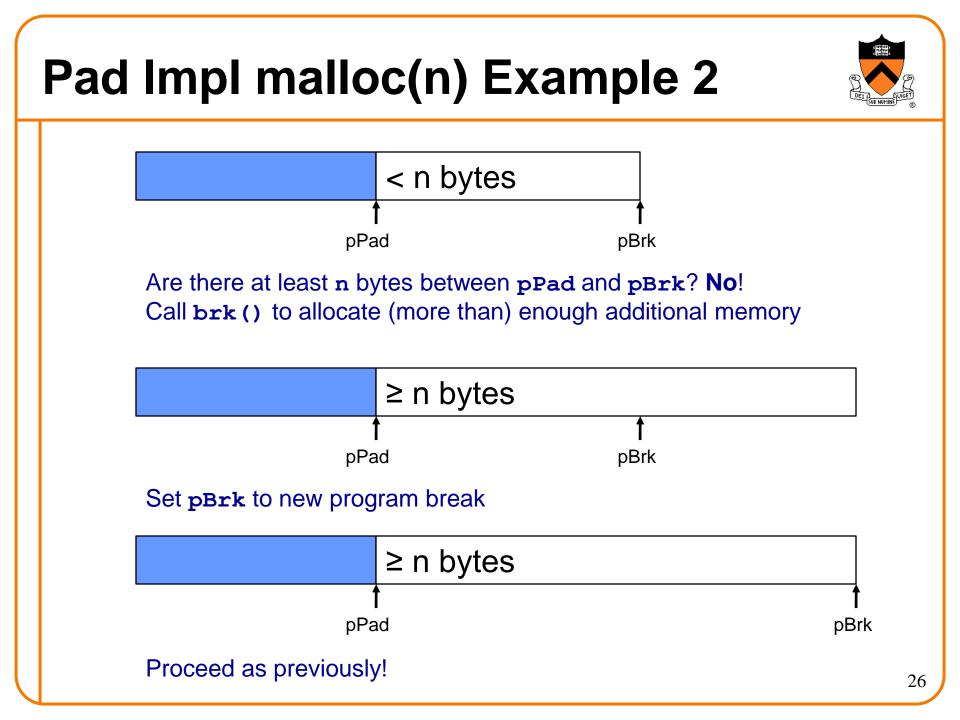
DMM using virtual memory

DMMgr 6: VM implementation



Algorithms (by examples)...





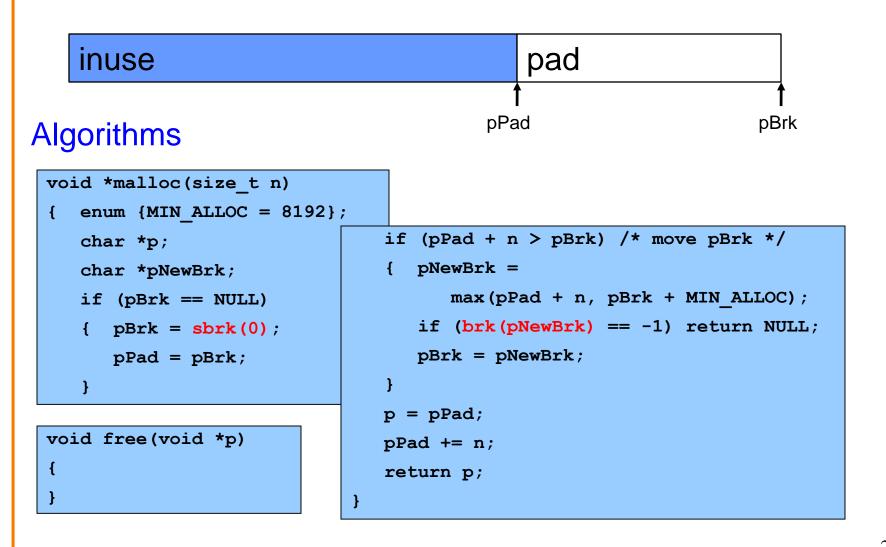
Pad Impl free(p) Example



Do nothing!

Pad Impl





Pad Impl Performance



Performance (general case)

- Time: good
 - malloc() calls sbrk() initially
 - malloc() calls brk() infrequently thereafter
- Space: bad
 - No reuse of freed chunks

What's Wrong?



Problem

• malloc() doesn't reuse freed chunks

Solution

- free () marks freed chunks as "free"
- malloc() uses marked chunks whenever possible
- malloc() extends size of heap only when necessary

Agenda



The need for DMM

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DMMgr 1: Minimal implementation

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Fragmentation

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DMM using virtual memory

DMMgr 6: VM implementation

Fragmentation



At any given time, some heap memory chunks are in use, some are marked "free"

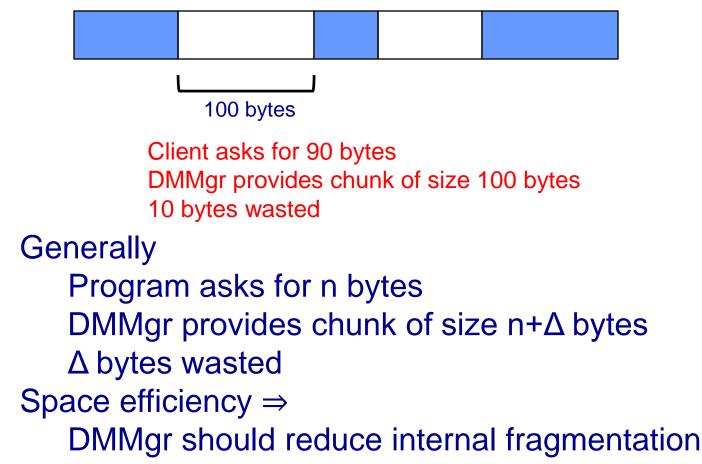


DMMgr must be concerned about fragmentation...

Internal Fragmentation



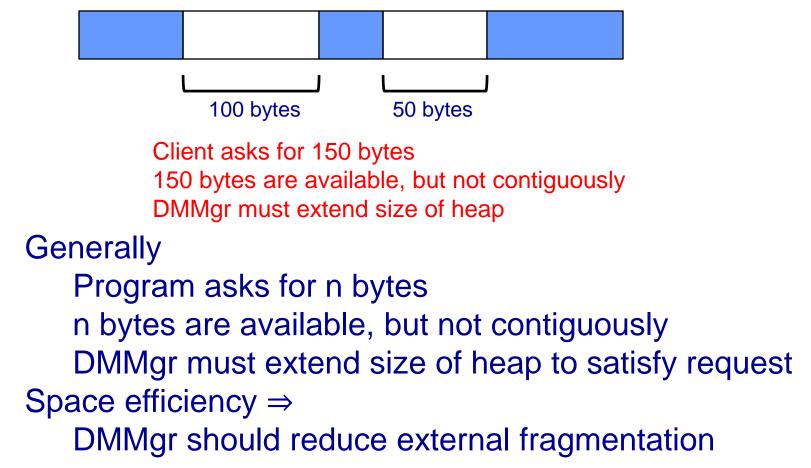
Internal fragmentation: waste within chunks Example



External Fragmentation



External fragmentation: waste between chunks Example

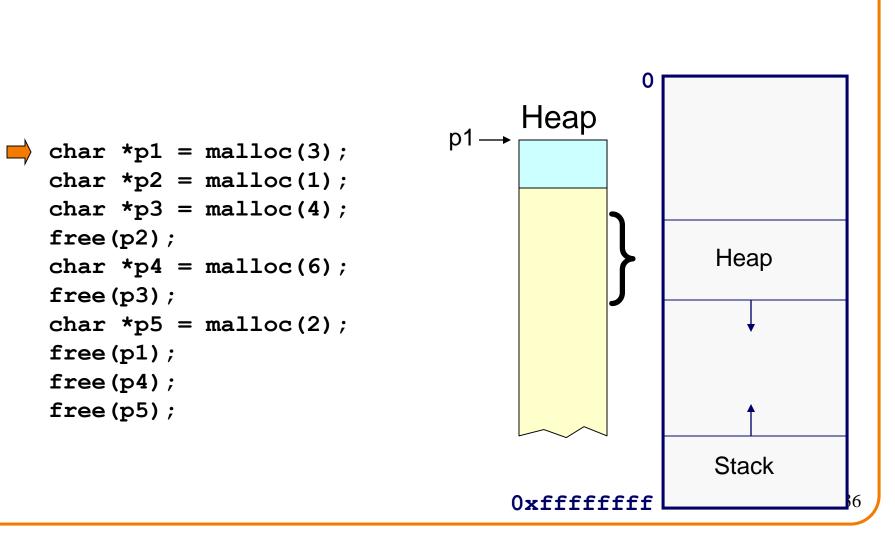


DMMgr Desired Behavior Demo

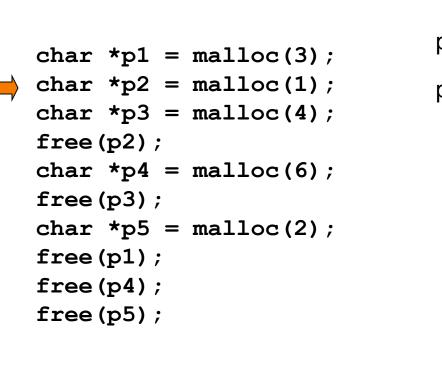


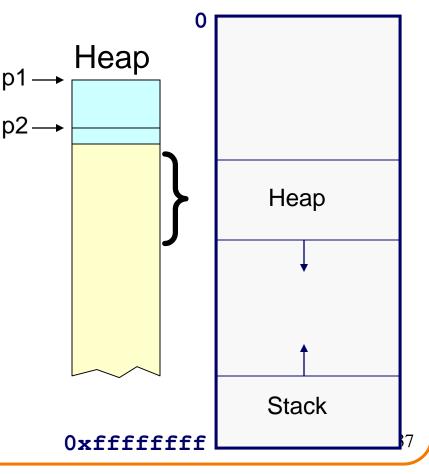
<pre>char *p1 = malloc(3);</pre>
char $*p2 = malloc(1);$
char $*p3 = malloc(4);$
free(p2);
char $*p4 = malloc(6);$
free(p3);
char $*p5 = malloc(2);$
free(p1);
free(p4);
free(p5);

DMMgr Desired Behavior Demo



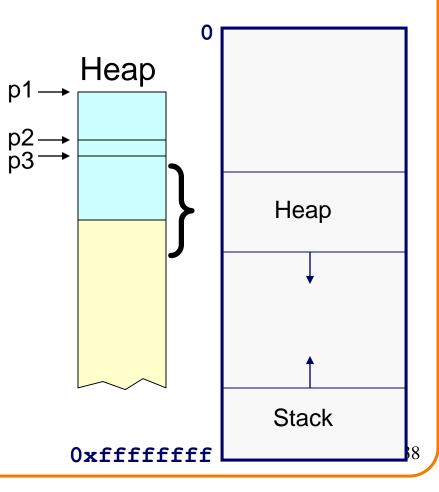








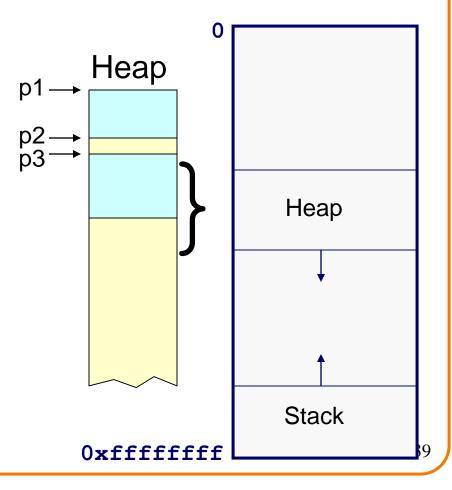
```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```



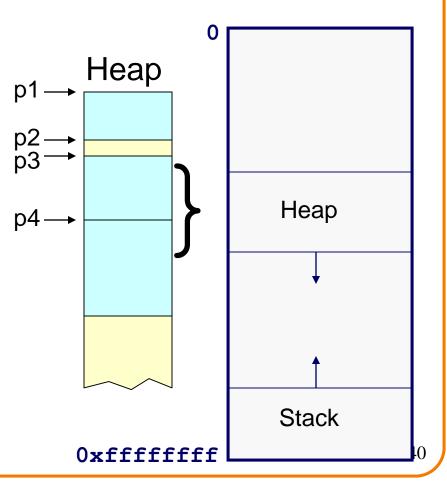


External fragmentation occurred

```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```



```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```

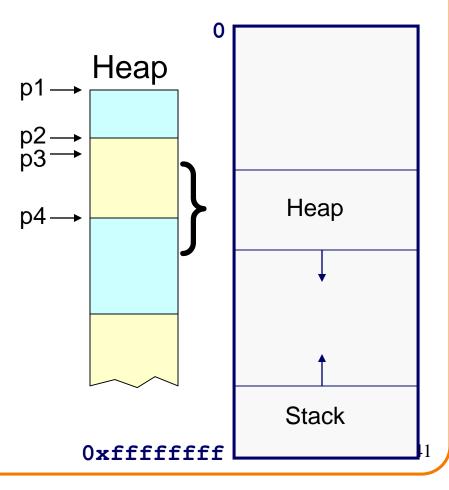






DMMgr coalesced two free chunks

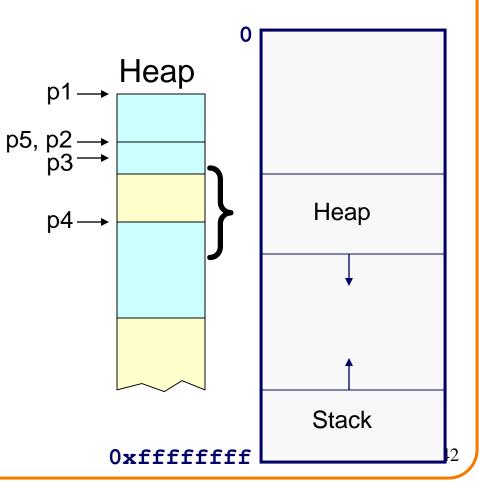
```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```



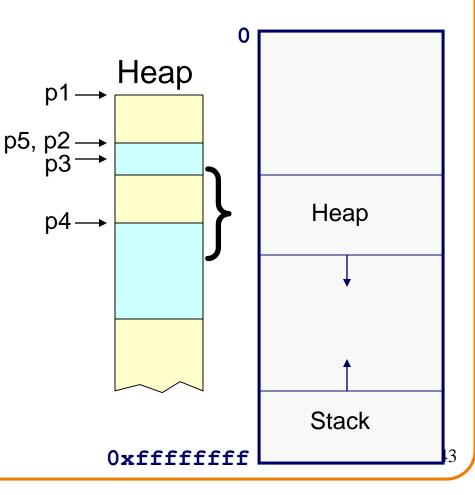


DMMgr reused previously freed chunk

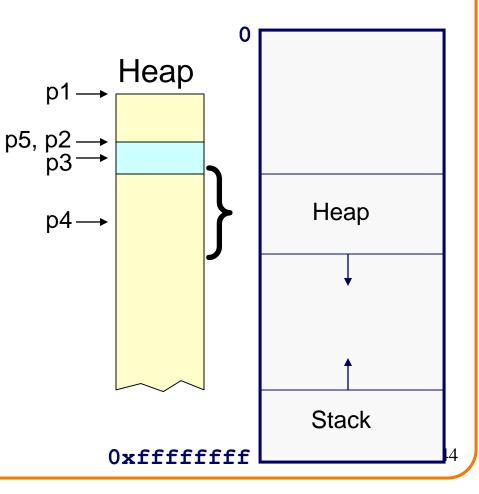
```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```

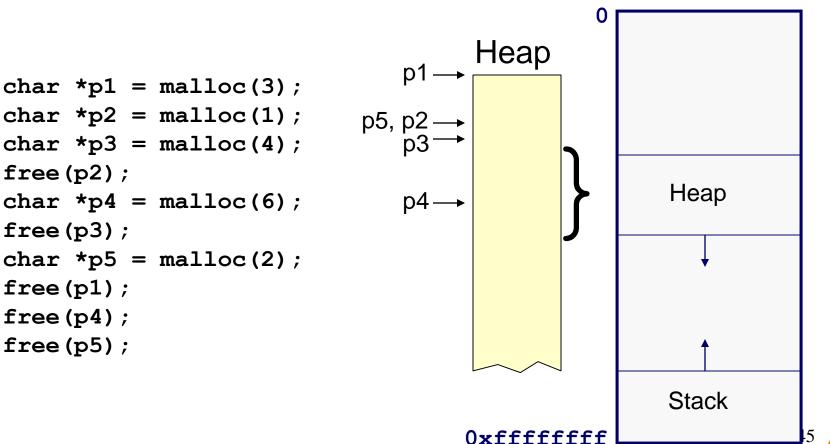


```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```



```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```







DMMgr cannot:

- Reorder requests
 - Client may allocate & free in arbitrary order
 - Any allocation may request arbitrary number of bytes
- Move memory chunks to improve performance
 - Client stores addresses
 - Moving a memory chunk would invalidate client pointer!

Some external fragmentation is unavoidable

Agenda



The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: doubly linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

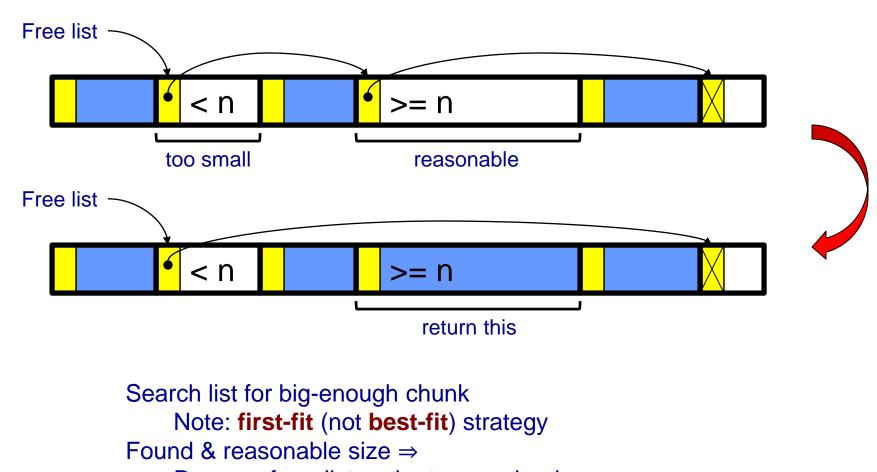
List Impl



Data structures Free list Next chunk in free list size header payload chunk Free list contains all free chunks In order by mem addr Each chunk contains header & payload Payload is used by client **Header** contains chunk size & (if free) addr of next chunk in free list

Algorithms (by examples)...

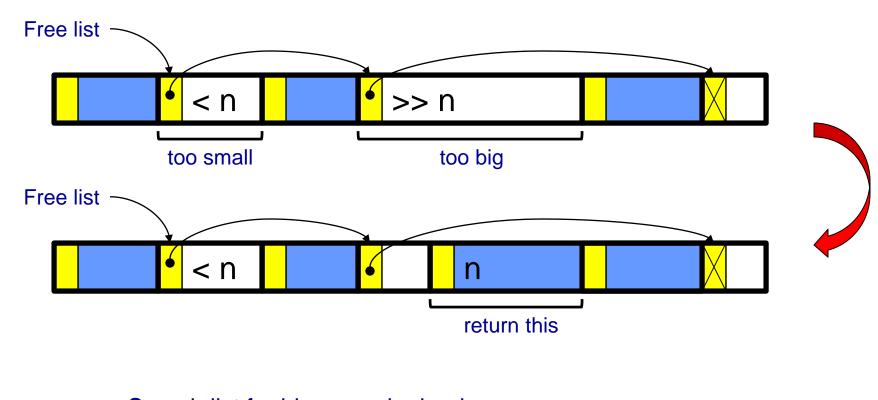
List Impl: malloc(n) Example 1



Remove from list and return payload

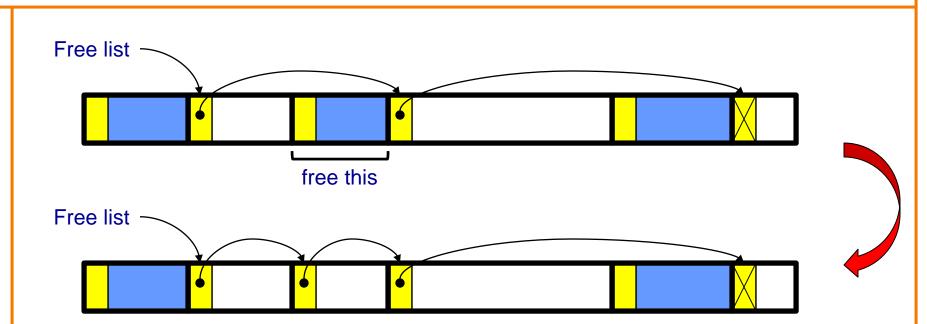


List Impl: malloc(n) Example 2



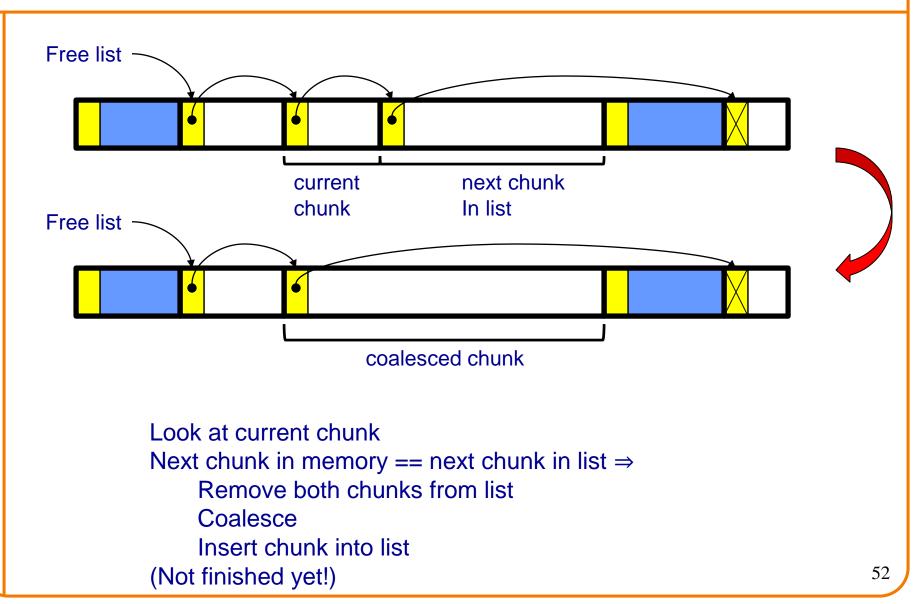
Search list for big-enough chunk Found & too big ⇒ Split chunk, return payload of tail end Note: Need not change links

List Impl: free(p) Example

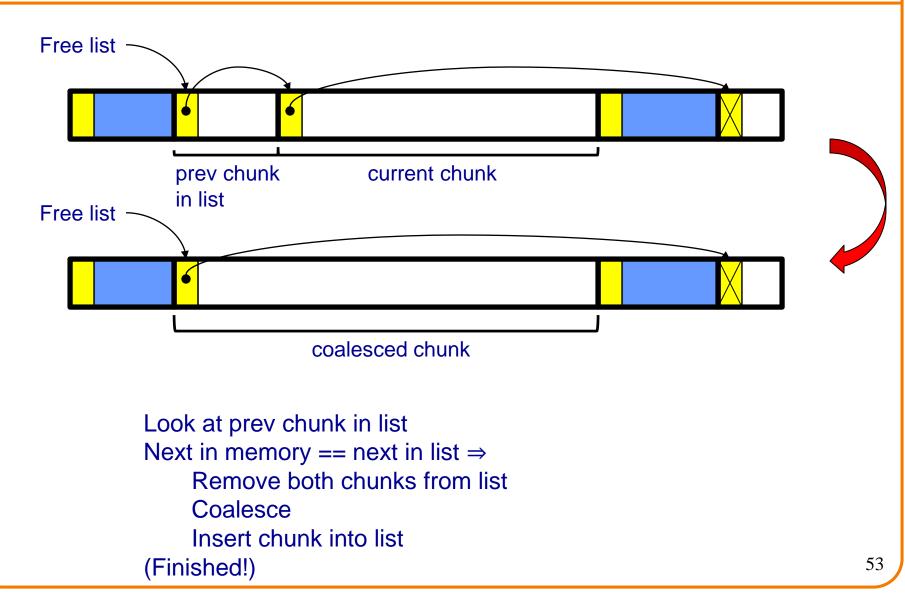


Search list for proper insertion spot Insert chunk into list (Not finished yet!)

List Impl: free(p) Example (cont.)

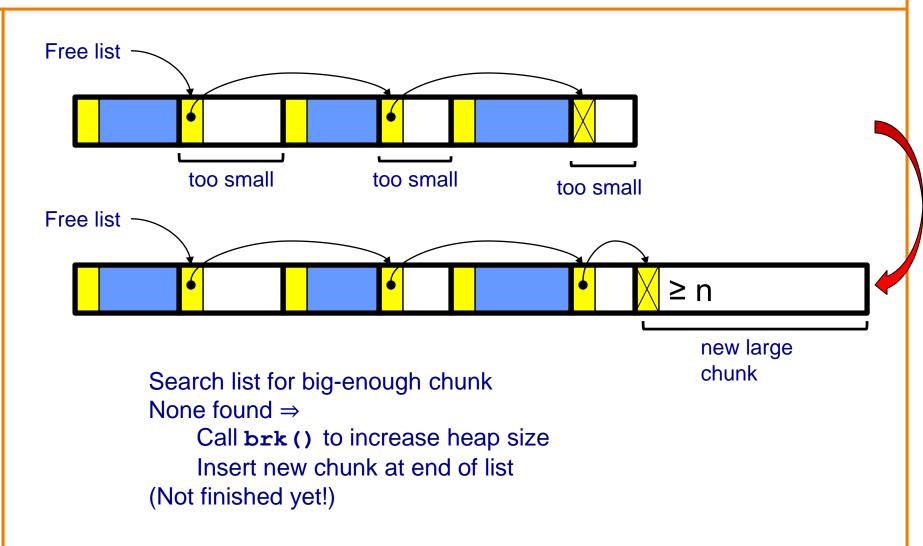


List Impl: free(p) Example (cont.)



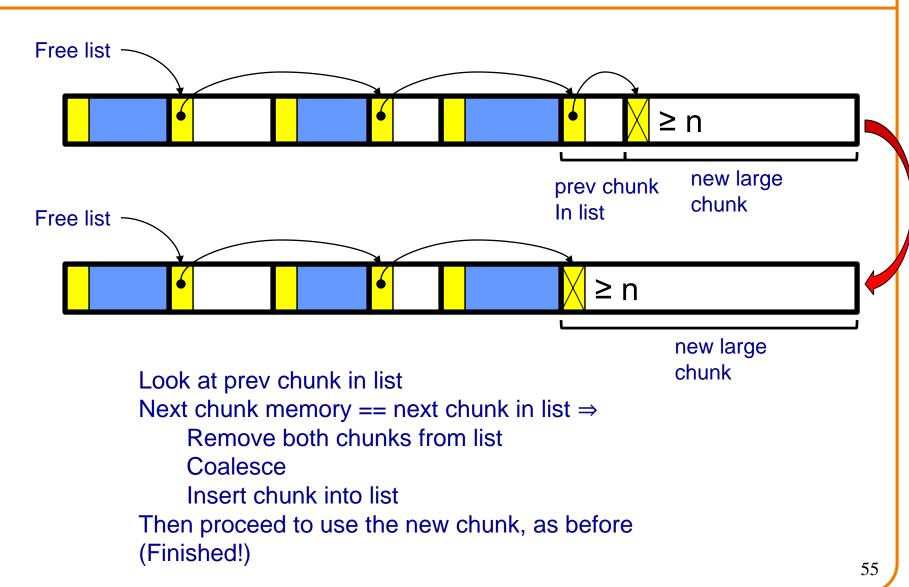


List Impl: malloc(n) Example 3



List Impl: malloc(n) Example 3 (cont.)





List Impl



Algorithms (see precepts for more precision)

malloc(n)

- Search free list for big-enough chunk
- Chunk found & reasonable size \Rightarrow remove, use
- Chunk found & too big \Rightarrow split, use tail end
- Chunk not found \Rightarrow increase heap size, create new chunk
- New chunk reasonable size \Rightarrow remove, use
- New chunk too big \Rightarrow split, use tail end

free(p)

- Search free list for proper insertion spot
- Insert chunk into free list
- Next chunk in memory also free ⇒ remove both, coalesce, insert
- Prev chunk in memory free ⇒ remove both, coalesce, insert

List Impl Performance



Space

- Some internal & external fragmentation is unavoidable
- Headers are overhead
- Overall: good

Time: malloc()

- Must search free list for big-enough chunk
- Bad: O(n)
- But often acceptable

Time: free()

- Must search free list for insertion spot
- Bad: O(n)
- Often very bad

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Dynamic Memory Management,

continued

Minimal Impl Performance

Performance (general case)

- Time: bad
 - One system call per malloc()
- Space: bad
 - Each call of malloc() extends heap size
 - No reuse of freed chunks

Pad Impl Performance



Performance (general case)

- Time: good
 - malloc() calls sbrk() initially
 - malloc() calls brk() infrequently thereafter
- Space: bad
 - No reuse of freed chunks



Unsorted-list-no-coalesce performance

Space

Time: malloc()

Time: free()

List Impl Performance



Space

- Some internal & external fragmentation is unavoidable
- Headers are overhead
- Overall: good

Time: malloc()

- Must search free list for big-enough chunk
- Bad: O(n)
- But often acceptable

Time: free()

- Must search free list for insertion spot
- Bad: O(n)
- Often very bad

What's Wrong?



Problem

• free () must traverse (long) free list, so can be (very) slow

Solution

• Use a doubly linked list...

Agenda



The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: doubly linked list implementation

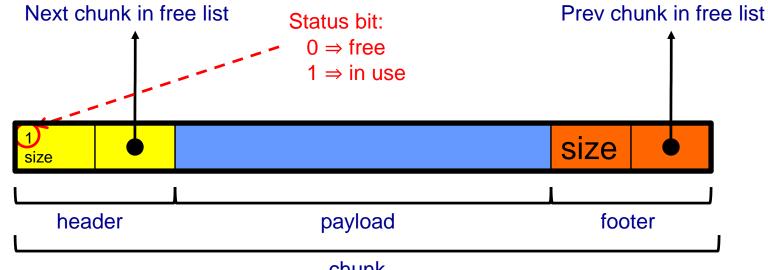
DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation



Data structures

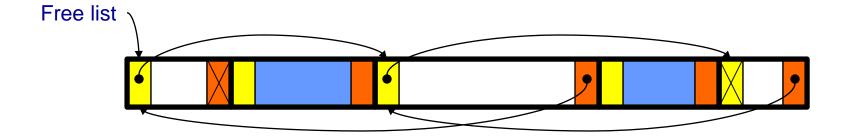


chunk

Free list is doubly linked
Each chunk contains header, payload, footer
Payload is used by client
Header contains status bit, chunk size, & (if free) addr of next chunk in list
Footer contains redundant chunk size & (if free) addr of prev chunk in list
Free list is unordered



Typical heap during program execution:





Algorithms (see precepts for more precision)

malloc(n)

- Search free list for big-enough chunk
- Chunk found & reasonable size ⇒ remove, set status, use
- Chunk found & too big ⇒ remove, split, insert tail, set status, use front
- Chunk not found ⇒ increase heap size, create new chunk, insert
- New chunk reasonable size \Rightarrow remove, set status, use
- New chunk too big \Rightarrow remove, split, insert tail, set status, use front



Algorithms (see precepts for more precision)

- free(p)
 - Set status
 - Search free list for proper insertion spot
 - Insert chunk into free list
 - Next chunk in memory also free ⇒ remove both, coalesce, insert
 - Prev chunk in memory free ⇒ remove both, coalesce, insert

doubly linked List Impl Performance



Consider sub-algorithms of free()...

Insert chunk into free list

- Linked list version: slow
 - Traverse list to find proper spot
- doubly linked list version: fast
 - Insert at front!

Remove chunk from free list

- Linked list version: slow
 - Traverse list to find prev chunk in list
- doubly linked list version: fast
 - Use backward pointer of current chunk to find prev chunk in list

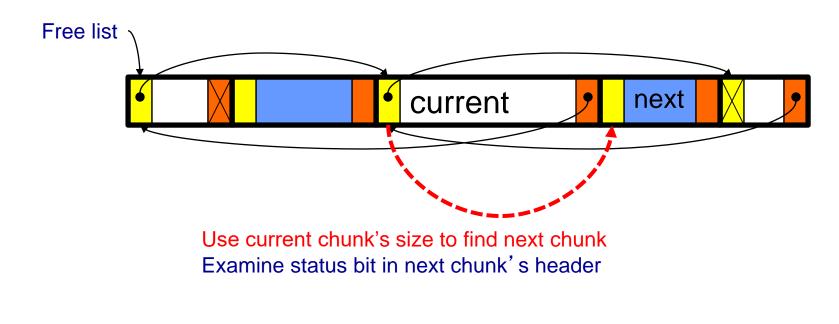
doubly linked List Impl Performance



Consider sub-algorithms of **free()**...

Determine if next chunk in memory is free

- Linked list version: slow
 - Traverse free list to see if next chunk in memory is in list
- doubly linked list version: fast



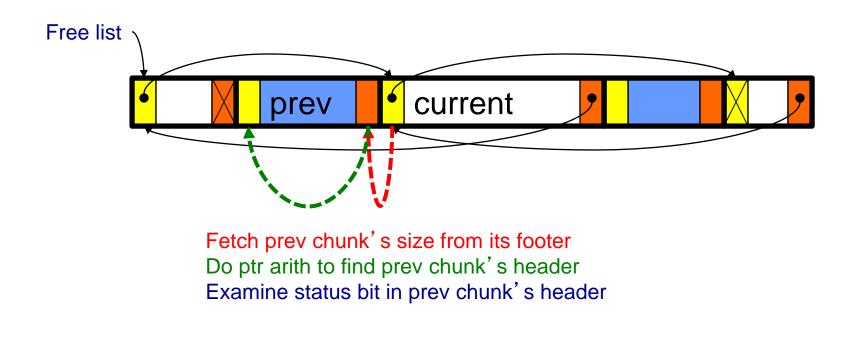
doubly linked List Impl Performance



Consider sub-algorithms of **free()**...

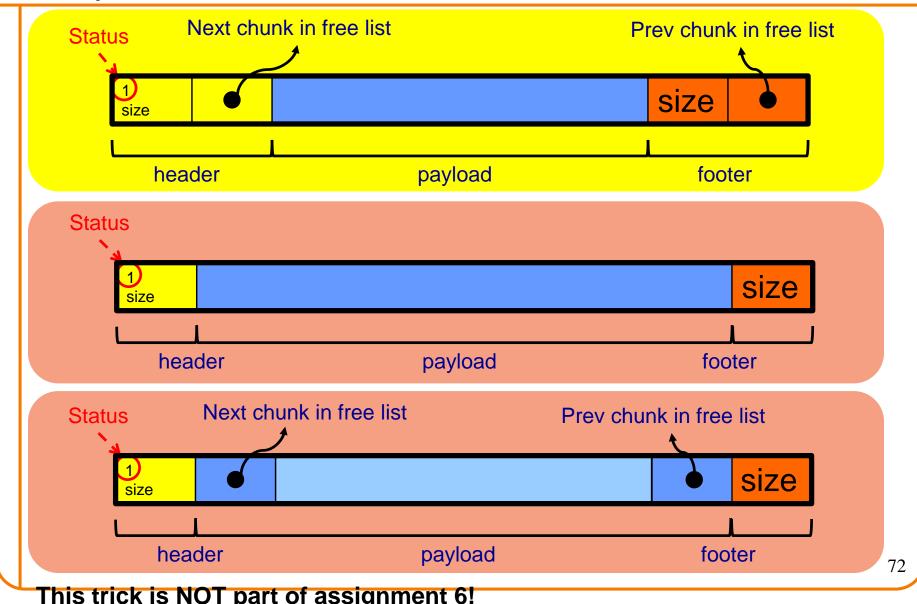
Determine if prev chunk in memory is free

- Linked list version: slow
 - Traverse free list to see if prev chunk in memory is in list
- doubly linked list version: fast

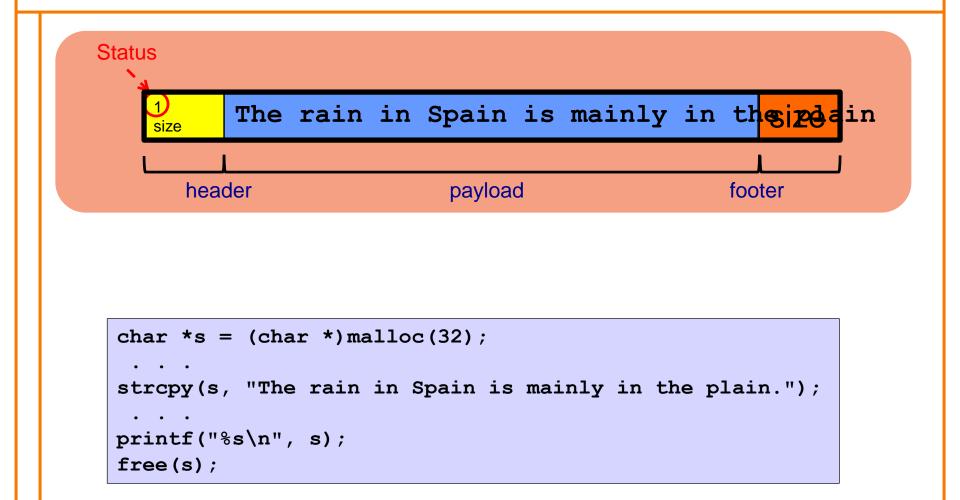


Using payload space for management

or, only free chunks need to be in the free-list



Another use for the extra size field: error checking



doubly linked List Impl Performance



Observation:

- All sub-algorithms of **free()** are fast
- free() is fast!

doubly linked List Impl Performance



Space

- Some internal & external fragmentation is unavoidable
- Headers & footers are overhead
- Overall: Good

Time: free()

- All steps are fast
- Good: O(1)

Time: malloc()

- Must search free list for big-enough chunk
- Bad: O(n)
- Often acceptable
- Subject to bad worst-case behavior
 - E.g. long free list with big chunks at end

doubly linked List Impl Performance with use-payload-space-for-management



Space

- Some internal & external fragmentation is unavoidable
- Headers & footers are overhead
- Overall: Good

Time: free()

- All steps are fast
- Good: O(1)

Time: malloc()

- Must search free list for big-enough chunk
- Bad: O(n)
- Often acceptable
- Subject to bad worst-case behavior
 - E.g. long free list with big chunks at end

What's Wrong?



Problem

• malloc() must traverse doubly linked list, so can be slow

Solution

• Use multiple doubly linked lists (bins)...

Agenda



The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: doubly linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

Bins Impl



Data structures



Use an array; each element is a **bin** Each bin is a doubly linked list of free chunks As in previous implementation bin[i] contains free chunks of size i Exception: Final bin contains chunks of size MAX_BIN **or larger**

(More elaborate binning schemes are common)

Bins Impl



Algorithms (see precepts for more precision)

malloc(n)

- Search free list proper bin(s) for big-enough chunk
- Chunk found & reasonable size ⇒ remove, set status, use
- Chunk found & too big ⇒ remove, split, insert tail, set status, use front
- Chunk not found \Rightarrow increase heap size, create new chunk
- New chunk reasonable size \Rightarrow remove, set status, use
- New chunk too big \Rightarrow remove, split, insert tail, set status, use front

free (p)

- Set status
- Insert chunk into free list proper bin
- Next chunk in memory also free ⇒ remove both, coalesce, insert
- Prev chunk in memory free ⇒ remove both, coalesce, insert

Bins Impl Performance



Space

- Pro: For small chunks, uses best-fit (not first-fit) strategy
 - Could decrease internal fragmentation and splitting
- Con: Some internal & external fragmentation is unavoidable
- Con: Headers, footers, bin array are overhead
- Overall: good

Time: malloc()

- Pro: Binning limits list searching
 - · Search for chunk of size i begins at bin i and proceeds downward
- Con: Could be bad for large chunks (i.e. those in final bin)
 - Performance degrades to that of list version
- Overall: very good: O(1)

Time: free()

Very good: O(1)

DMMgr Impl Summary (so far)



Implementation	Space	Time
(1) Minimal	Bad	Malloc: Bad Free: Good
(2) Pad	Bad	Malloc: Good Free: Good
(3) List	Good	Malloc: Bad (but could be OK) Free: Bad
(4) doubly linked List	Good	Malloc: Bad (but could be OK) Free: Good
(5) Bins	Good	Malloc: Good Free: Good

Assignment 6: Given (3), compose (4) and (5)

Agenda



The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: doubly linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

Unix VM Mapping Functions



Unix allows application programs to map/unmap VM explicitly

- void *mmap(void *p, size_t n, int prot, int flags, int
 fd, off_t offset);
 - Creates a new mapping in the virtual address space of the calling process
 - **p**: the starting address for the new mapping
 - n: the length of the mapping
 - If p is NULL, then the kernel chooses the address at which to create the mapping; this is the most portable method of creating a new mapping
 - On success, returns address of the mapped area

int munmap(void *p, size_t n);

• Deletes the mappings for the specified address range

Unix VM Mapping Functions



Typical call of **mmap()** for allocating memory

p = mmap(NULL, n, PROT_READ|PROT_WRITE,

```
MAP_PRIVATE | MAP_ANON, 0, 0);
```

- Asks OS to map a new read/write area of virtual memory containing n bytes
- Returns the virtual address of the new area on success, (void*)-1 on failure

Typical call of munmap()

```
status = munmap(p, n);
```

- Unmaps the area of virtual memory at virtual address p consisting of n bytes
- Returns 0 on success, -1 on failure

See Bryant & O' Hallaron book and man pages for details

Agenda



The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: doubly linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

VM Mapping Impl



Data structures

size		
header	payload	
	chunk	

Each chunk consists of a header and payload Each header contains size

VM Mapping Impl



Algorithms

```
void free(void *p)
{ if (p == NULL) return;
   p--; /* Move backward from payload to header */
   munmap(p, *p);
}
```

VM Mapping Impl Performance



Space

- Fragmentation problem is delegated to OS
- Overall: Depends on OS

Time

- For small chunks
 - One system call (mmap()) per call of malloc()
 - One system call (munmap()) per call of free()
 - Overall: bad
- For large chunks
 - free() unmaps (large) chunks of memory, and so shrinks page table
 - Overall: good

The GNU Implementation



Observation

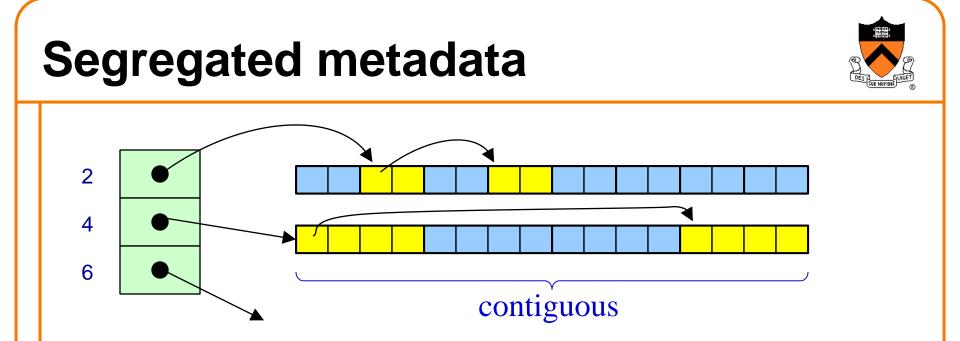
 malloc() and free() on CourseLab are from the GNU (the GNU Software Foundation)

Question

• How are GNU malloc() and free() implemented?

Answer

- For small chunks
 - Use heap (sbrk() and brk())
 - Use bins implementation
- For large chunks
 - Use VM directly (mmap() and munmap())



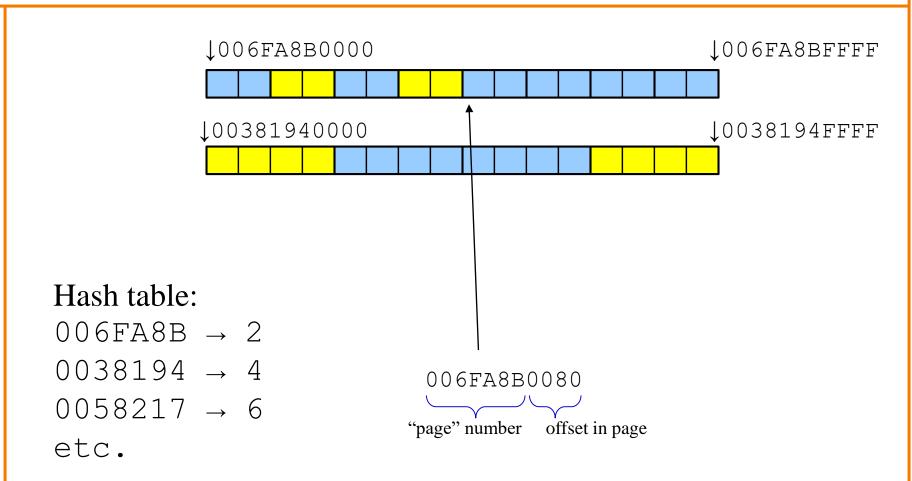
Data layout: no "size" field, no header at all!

Malloc: look up in bins array, use first element of linked list

Free: find size (somehow), put back at head of that bin's list

How free() finds the size





Segregated metadata performance



Space

- No overhead for header: very very good, O(1)
- No coalescing, fragmentation may occur, possibly bad

Time

- malloc: very very good, O(1)
- free: hash-table lookup, good, O(1)

Summary



The need for dynamic memory management

- Unknown object size
- DMM using the heap section
 - On Unix: sbrk() and brk()
 - Complicated data structures and algorithms
 - Good for managing small memory chunks

DMM using virtual memory

- On Unix: mmap() and munmap()
- Good for managing large memory chunks

See Appendix for additional approaches/refinements