



# Dynamic Memory Management

## Goals of this Lecture



Help you learn about:

- The need for dynamic\* memory mgmt (DMM)
- Implementing DMM using the heap section
- Implementing DMM using virtual memory

\* During program execution

## System-Level Functions Covered



As noted in the *Exceptions and Processes* lecture...

Linux system-level functions for **dynamic memory management (DMM)**

Number	Function	Description
12	brk()	Move the program break, thus changing the amount of memory allocated to the HEAP
12	sbrk()	(Variant of previous)
9	mmap()	Map a virtual memory page
11	munmap()	Unmap a virtual memory page

## Goals for DMM



Goals for effective DMM:

- **Time** efficiency
  - Allocating and freeing memory should be fast
- **Space** efficiency
  - Pgm should use little memory

Note

- Easy to reduce time **or** space
- Hard to reduce time **and** space

## Agenda



### The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: doubly linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

## Why Allocate Memory Dynamically?



Why **allocate** memory dynamically?

Problem

- Number of objects needed not known in advance (e.g., how many elements of linked list or tree?)
  - Unknown object size (e.g., how large should the array be, in hash table?)
- How much memory to allocate?

Solution 1

- Guess!

Solution 2

- Allocate memory dynamically

## Why Free Memory Dynamically?



### Why **free** memory dynamically?

#### Problem

- Pgm should use little memory, i.e.
- Pgm should **map** few pages of virtual memory
  - Mapping unnecessary VM pages bloats page tables, wastes memory/disk space

#### Solution

- Free dynamically allocated memory that is no longer needed

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## Option 1: Automatic Freeing



### Run-time system frees unneeded memory

- Java, Python, ...
- **Garbage collection**

#### Pros:

- Easy for programmer
- Fewer bugs
- Simpler interfaces between modules
- Fewer bugs

#### Cons:

- Performed constantly  $\Rightarrow$  overhead
- Performed periodically  $\Rightarrow$  unexpected pauses  
(these days, high-performance garbage collectors minimize overhead and pause latency)

```
Car c;  
Plane p;  
...  
c = new Car();  
p = new Plane();  
...  
c = new Car();  
...
```

Original Car object can't be accessed

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## Option 2: Manual Freeing



### Programmer frees unneeded memory

- C, C++, Objective-C, ...

#### Pros

- Less overhead
- No unexpected pauses

#### Cons

- More complex for programmer
- Opens possibility of memory-related bugs
  - Dereferences of dangling pointers, double frees, memory leaks

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## Conclusion:



Program in a **safe, garbage-collected language!**

(not in C)

Use unsafe languages with manual memory management (such as C)

**only** for low-level programs where the overhead or latency of garbage collection is intolerable

such as: OS kernels, device drivers, garbage collectors, memory managers

All right then, let's see how manual memory management works in C

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## C memory allocation library



### Standard C dynamic-memory-management functions:

```
void *malloc(size_t size);  
void free(void *ptr);  
void *calloc(size_t nmemb, size_t size);  
void *realloc(void *ptr, size_t size);
```

Collectively define a **dynamic memory manager (DMMgr)**

We'll focus on **malloc()** and **free()**

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## Implementing malloc() and free()



### Question:

- How to implement **malloc()** and **free()**?
- How to implement a DMMgr?

### Answer 1:

- Use the heap section of memory

### Answer 2:

- (Later in this lecture)

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## Agenda



The need for DMM

### DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: doubly linked list implementation

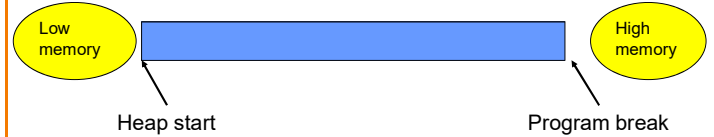
DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

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## The Heap Section of Memory



Supported by Unix/Linux, MS Windows, ...

Heap start is stable

**Program break** points to end

At process start-up, heap start == program break

Can grow dynamically

By moving program break to higher address

Thereby (indirectly) mapping pages of virtual mem

Can shrink dynamically

By moving program break to lower address

Thereby (indirectly) unmapping pages of virtual mem

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## Unix Heap Management



Unix system-level functions for heap mgmt:

```
int brk(void *p);
```

- Move the program break to address *p*
- Return 0 if successful and -1 otherwise

```
void *sbrk(intptr_t n);
```

- Increment the program break by *n* bytes
- Return *previous break* if successful and (void\*)-1 otherwise
- [therefore] If *n* is 0, return the current location of the program break
- **Beware: On Linux has a known bug (overflow not handled); should call only with argument 0.**

Note: minimal interface (good!)

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## Agenda



The need for DMM

DMM using the heap section

### DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

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DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

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## Minimal Impl



### Data structures

- One word: remember the current value of program break

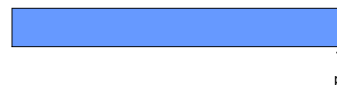
Algorithms (by examples)...

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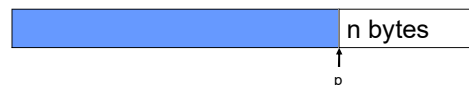
## Minimal Impl malloc(n) Example



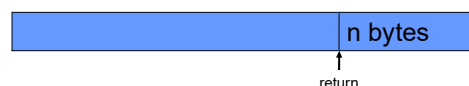
Remember the current program break (*p*) (initialize using `sbrk(0)`)



Call `brk(p+n)` to increase heap size



Return *p*, remember new  $p = p+n$

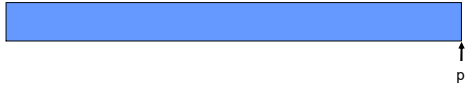


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## Minimal Impl free(p) Example



Do nothing!



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## Minimal Impl



### Algorithms

```
static void *current_break;

void *malloc(size_t n)
{ char *p = current_break;
  if (!p) p=(char *)sbrk(0);
  if (brk(p+n) == -1)
    return NULL;
  current_break = p+n;
  return (void*)p;
}
```

```
void free(void *p)
{
}
```

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## Minimal Impl Performance



### Performance (general case)

- **Time:** bad
  - One system call per `malloc()`
- **Space:** bad
  - Each call of `malloc()` extends heap size
  - No reuse of freed chunks

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## What's Wrong?



### Problem

- `malloc()` executes a system call every time

### Solution

- Redesign `malloc()` so it does fewer system calls
- Maintain a pad at the end of the heap...

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## Agenda



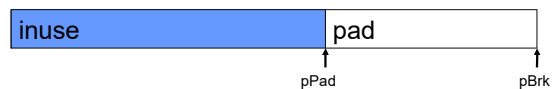
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- DMMgr 6: VM implementation

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## Pad Impl



### Data structures



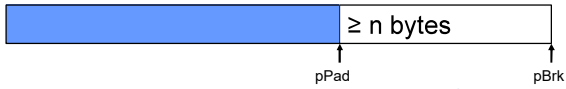
- `pBrk`: address of end of heap (i.e. the program break)
- `pPad`: address of beginning of pad

```
char *pPad = NULL;
char *pBrk = NULL;
```

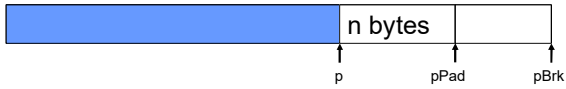
Algorithms (by examples)...

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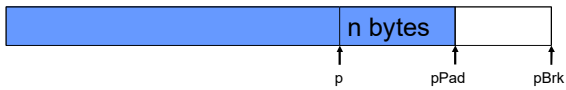
## Pad Impl malloc(n) Example 1



Are there at least  $n$  bytes between  $pPad$  and  $pBrk$ ? **Yes!**  
Save  $pPad$  as  $p$ ; add  $n$  to  $pPad$

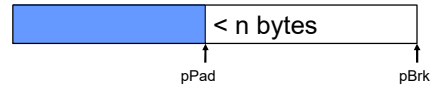


Return  $p$

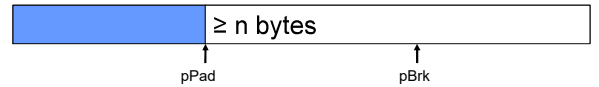


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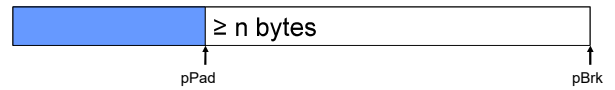
## Pad Impl malloc(n) Example 2



Are there at least  $n$  bytes between  $pPad$  and  $pBrk$ ? **No!**  
Call `brk()` to allocate (more than) enough additional memory



Set  $pBrk$  to new program break



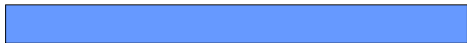
Proceed as previously!

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## Pad Impl free(p) Example

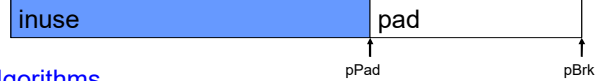


Do nothing!



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## Pad Impl



### Algorithms

```
void *malloc(size_t n)
{
    enum {MIN_ALLOC = 8192};
    char *p;
    char *pNewBrk;
    if (pBrk == NULL)
    {
        pBrk = sbrk(0);
        pPad = pBrk;
    }
    if (pPad + n > pBrk) /* move pBrk */
    {
        pNewBrk =
            max(pPad + n, pBrk + MIN_ALLOC);
        if (brk(pNewBrk) == -1) return NULL;
        pBrk = pNewBrk;
    }
    p = pPad;
    pPad += n;
    return p;
}
```

```
void free(void *p)
{
}
```

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## Pad Impl Performance



### Performance (general case)

- **Time:** good
  - `malloc()` calls `sbrk()` initially
  - `malloc()` calls `brk()` infrequently thereafter
- **Space:** bad
  - No reuse of freed chunks

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## What's Wrong?



### Problem

- `malloc()` doesn't reuse freed chunks

### Solution

- `free()` marks freed chunks as "free"
- `malloc()` uses marked chunks whenever possible
- `malloc()` extends size of heap only when necessary

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# Agenda



- The need for DMM
- DMM using the heap section
- DMMgr 1: Minimal implementation
- DMMgr 2: Pad implementation
- Fragmentation**
- DMMgr 3: List implementation
- DMMgr 4: doubly linked list implementation
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# Fragmentation



At any given time, some heap memory chunks are in use, some are marked "free"



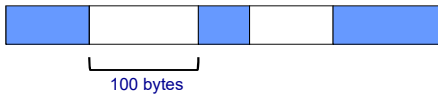
DMMgr must be concerned about **fragmentation**...

# Internal Fragmentation



**Internal fragmentation:** waste **within** chunks

Example



Client asks for 90 bytes  
DMMgr provides chunk of size 100 bytes  
10 bytes wasted

Generally

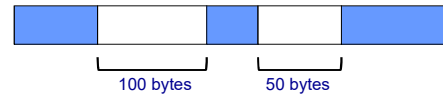
- Program asks for n bytes
- DMMgr provides chunk of size n+Δ bytes
- Δ bytes wasted
- Space efficiency ⇒
- DMMgr should reduce internal fragmentation

# External Fragmentation



**External fragmentation:** waste **between** chunks

Example



Client asks for 150 bytes  
150 bytes are available, but not contiguously  
DMMgr must extend size of heap

Generally

- Program asks for n bytes
- n bytes are available, but not contiguously
- DMMgr must extend size of heap to satisfy request
- Space efficiency ⇒
- DMMgr should reduce external fragmentation

# DMMgr Desired Behavior Demo

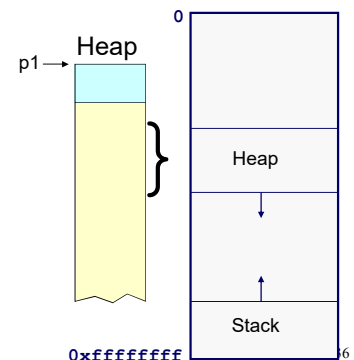


```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```

# DMMgr Desired Behavior Demo



```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
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```

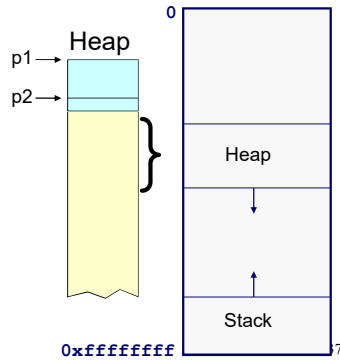


## DMMgr Desired Behavior Demo



```

char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
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char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
    
```

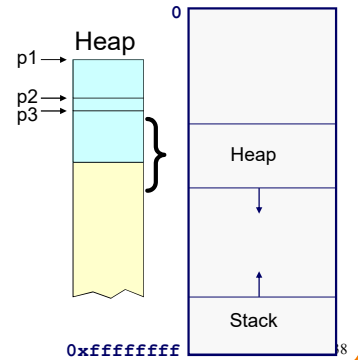


## DMMgr Desired Behavior Demo



```

char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
    
```



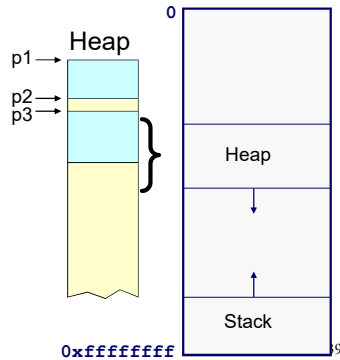
## DMMgr Desired Behavior Demo



External fragmentation occurred

```

char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
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free(p5);
    
```

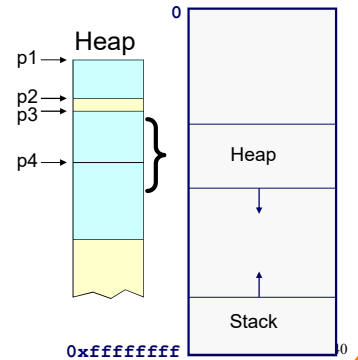


## DMMgr Desired Behavior Demo



```

char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
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char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
    
```



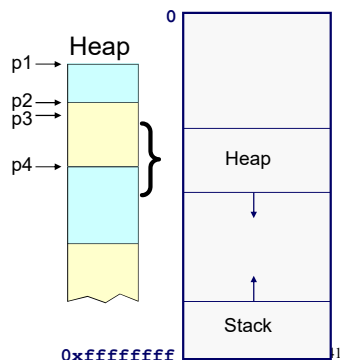
## DMMgr Desired Behavior Demo



DMMgr coalesced two free chunks

```

char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
    
```



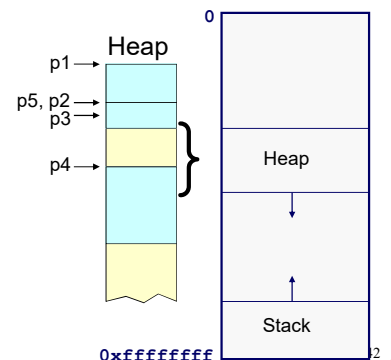
## DMMgr Desired Behavior Demo



DMMgr reused previously freed chunk

```

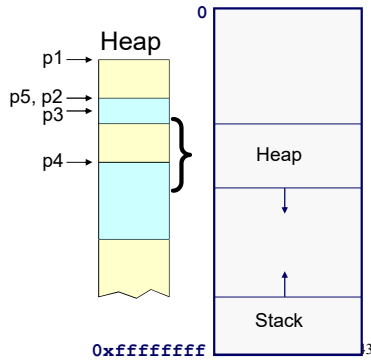
char *p1 = malloc(3);
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char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
    
```



## DMMgr Desired Behavior Demo



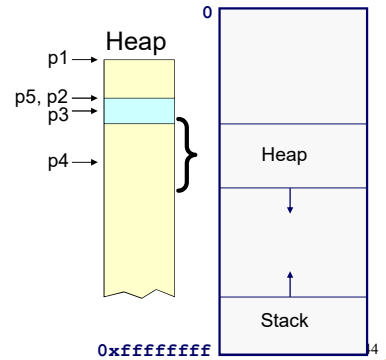
```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
➔ free(p1);
free(p4);
free(p5);
```



## DMMgr Desired Behavior Demo



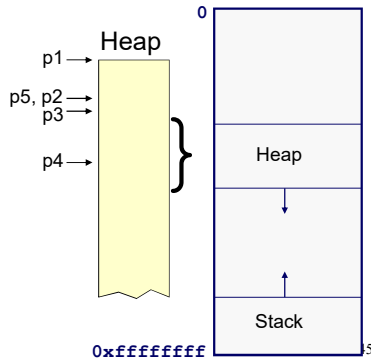
```
char *p1 = malloc(3);
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```



## DMMgr Desired Behavior Demo



```
char *p1 = malloc(3);
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free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
➔ free(p5);
```



## DMMgr Desired Behavior Demo



### DMMgr cannot:

- Reorder requests
  - Client may allocate & free in arbitrary order
  - Any allocation may request arbitrary number of bytes
- Move memory chunks to improve performance
  - Client stores addresses
  - Moving a memory chunk would invalidate client pointer!

Some external fragmentation is unavoidable

## Agenda

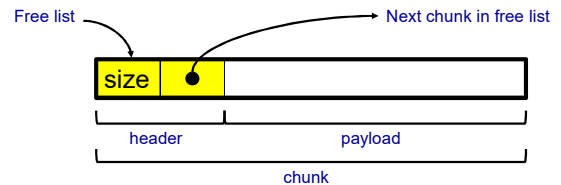


- The need for DMM
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## List Impl



### Data structures

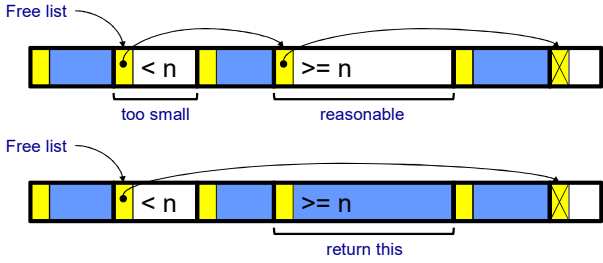


- Free list** contains all free chunks
  - In order by mem addr
- Each chunk contains header & payload
- Payload** is used by client
- Header** contains chunk size & (if free) addr of next chunk in free list

Algorithms (by examples)...



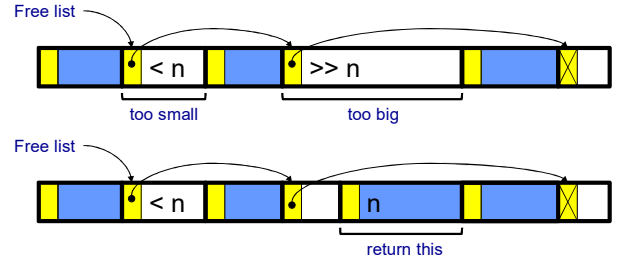
## List Impl: malloc(n) Example 1



Search list for big-enough chunk  
 Note: **first-fit** (not **best-fit**) strategy  
 Found & reasonable size  $\Rightarrow$   
 Remove from list and return payload

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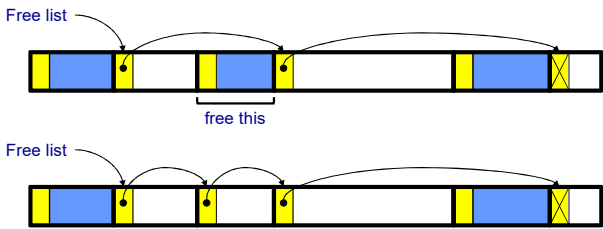
## List Impl: malloc(n) Example 2



Search list for big-enough chunk  
 Found & too big  $\Rightarrow$   
 Split chunk, return payload of tail end  
 Note: Need not change links

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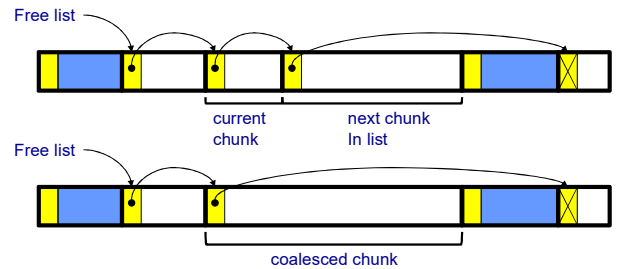
## List Impl: free(p) Example



Search list for proper insertion spot  
 Insert chunk into list  
 (Not finished yet!)

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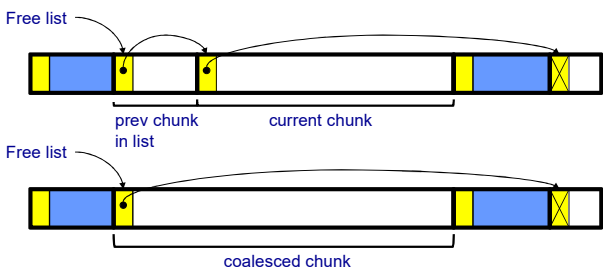
## List Impl: free(p) Example (cont.)



Look at current chunk  
 Next chunk in memory == next chunk in list  $\Rightarrow$   
 Remove both chunks from list  
 Coalesce  
 Insert chunk into list  
 (Not finished yet!)

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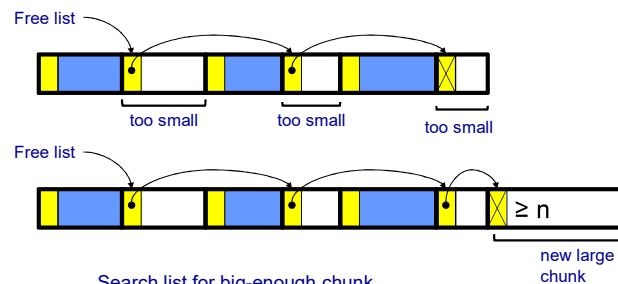
## List Impl: free(p) Example (cont.)



Look at prev chunk in list  
 Next in memory == next in list  $\Rightarrow$   
 Remove both chunks from list  
 Coalesce  
 Insert chunk into list  
 (Finished!)

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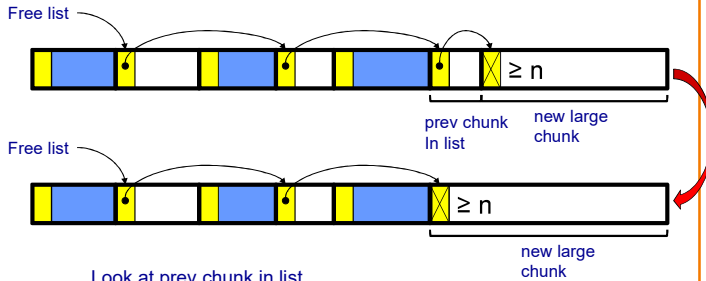
## List Impl: malloc(n) Example 3



Search list for big-enough chunk  
 None found  $\Rightarrow$   
 Call `brk()` to increase heap size  
 Insert new chunk at end of list  
 (Not finished yet!)

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## List Impl: malloc(n) Example 3 (cont.)



Look at prev chunk in list  
Next chunk memory == next chunk in list ⇒  
Remove both chunks from list  
Coalesce  
Insert chunk into list  
Then proceed to use the new chunk, as before  
(Finished!)

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## List Impl



Algorithms (see precepts for more precision)

### malloc(n)

- Search free list for big-enough chunk
- Chunk found & reasonable size ⇒ remove, use
- Chunk found & too big ⇒ split, use tail end
- Chunk not found ⇒ increase heap size, create new chunk
- New chunk reasonable size ⇒ remove, use
- New chunk too big ⇒ split, use tail end

### free(p)

- Search free list for proper insertion spot
- Insert chunk into free list
- Next chunk in memory also free ⇒ remove both, coalesce, insert
- Prev chunk in memory free ⇒ remove both, coalesce, insert

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## List Impl Performance



### Space

- Some internal & external fragmentation is unavoidable
- Headers are overhead
- Overall: good

### Time: malloc()

- Must search free list for big-enough chunk
- Bad:  $O(n)$
- But often acceptable

### Time: free()

- Must search free list for insertion spot
- Bad:  $O(n)$
- Often **very** bad

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## Princeton University

Computer Science 217: Introduction to Programming Systems



Dynamic Memory  
Management,  
continued

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## Minimal Impl Performance



### Performance (general case)

- **Time:** bad
  - One system call per `malloc()`
- **Space:** bad
  - Each call of `malloc()` extends heap size
  - No reuse of freed chunks

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## Pad Impl Performance



### Performance (general case)

- **Time:** good
  - `malloc()` calls `sbrk()` initially
  - `malloc()` calls `brk()` infrequently thereafter
- **Space:** bad
  - No reuse of freed chunks

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## Unsorted-list-no-coalesce performance



Space

Time: `malloc()`

Time: `free()`

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## List Impl Performance



Space

- Some internal & external fragmentation is unavoidable
- Headers are overhead
- Overall: good

Time: `malloc()`

- Must search free list for big-enough chunk
- Bad:  $O(n)$
- But often acceptable

Time: `free()`

- Must search free list for insertion spot
- Bad:  $O(n)$
- Often **very** bad

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## What's Wrong?



Problem

- `free()` must traverse (long) free list, so can be (very) slow

Solution

- Use a doubly linked list...

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## Agenda



The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

**DMMgr 4: doubly linked list implementation**

DMMgr 5: Bins implementation

DMM using virtual memory

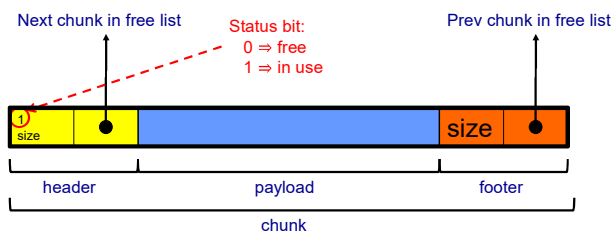
DMMgr 6: VM implementation

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## doubly linked List Impl



Data structures



**Free list** is doubly linked

Each chunk contains header, payload, footer

**Payload** is used by client

**Header** contains status bit, chunk size, & (if free) addr of next chunk in list

**Footer** contains redundant chunk size & (if free) addr of prev chunk in list

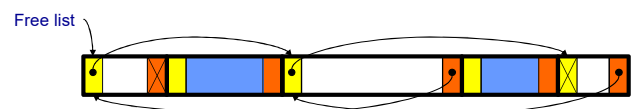
**Free list is unordered**

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## doubly linked List Impl



Typical heap during program execution:



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## doubly linked List Impl



Algorithms (see precepts for more precision)

### malloc (n)

- Search free list for big-enough chunk
- Chunk found & reasonable size ⇒ remove, set status, use
- Chunk found & too big ⇒ remove, split, insert tail, set status, use front
- Chunk not found ⇒ increase heap size, create new chunk, insert
- New chunk reasonable size ⇒ remove, set status, use
- New chunk too big ⇒ remove, split, insert tail, set status, use front

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## doubly linked List Impl



Algorithms (see precepts for more precision)

### free (p)

- Set status
- ~~Search free list for proper insertion spot~~
- Insert chunk into free list
- Next chunk in memory also free ⇒ remove both, coalesce, insert
- Prev chunk in memory free ⇒ remove both, coalesce, insert

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## doubly linked List Impl Performance



Consider sub-algorithms of free () ...

Insert chunk into free list

- **Linked list version:** slow
  - Traverse list to find proper spot
- **doubly linked list version:** fast
  - Insert at front!

Remove chunk from free list

- **Linked list version:** slow
  - Traverse list to find prev chunk in list
- **doubly linked list version:** fast
  - Use backward pointer of current chunk to find prev chunk in list

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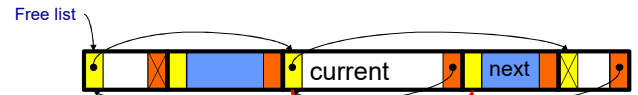
## doubly linked List Impl Performance



Consider sub-algorithms of free () ...

Determine if next chunk in memory is free

- **Linked list version:** slow
  - Traverse free list to see if next chunk in memory is in list
- **doubly linked list version:** fast



Use current chunk's size to find next chunk  
Examine status bit in next chunk's header

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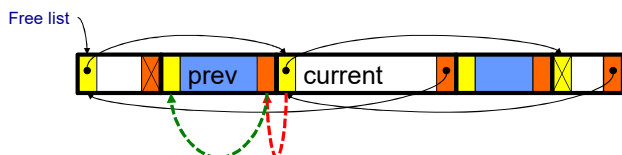
## doubly linked List Impl Performance



Consider sub-algorithms of free () ...

Determine if prev chunk in memory is free

- **Linked list version:** slow
  - Traverse free list to see if prev chunk in memory is in list
- **doubly linked list version:** fast



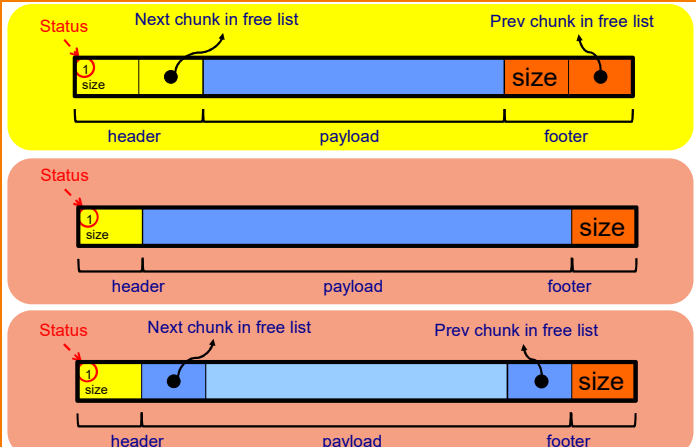
Fetch prev chunk's size from its footer  
Do ptr arith to find prev chunk's header  
Examine status bit in prev chunk's header

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## Using payload space for management



or, only free chunks need to be in the free-list



This trick is NOT part of assignment 6!

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## Another use for the extra size field: error checking



```
char *s = (char *)malloc(32);  
...  
strcpy(s, "The rain in Spain is mainly in the plain.");  
...  
printf("%s\n", s);  
free(s);
```

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## doubly linked List Impl Performance



### Observation:

- All sub-algorithms of `free()` are fast
- `free()` is fast!

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## doubly linked List Impl Performance



### Space

- Some internal & external fragmentation is unavoidable
- Headers & footers are overhead
- Overall: Good

### Time: `free()`

- All steps are fast
- Good:  $O(1)$

### Time: `malloc()`

- Must search free list for big-enough chunk
- Bad:  $O(n)$
- Often acceptable
- Subject to bad worst-case behavior
  - E.g. long free list with big chunks at end

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## doubly linked List Impl Performance with use-payload-space-for-management



### Space

- Some internal & external fragmentation is unavoidable
- Headers & footers are overhead
- Overall: Good

### Time: `free()`

- All steps are fast
- Good:  $O(1)$

### Time: `malloc()`

- Must search free list for big-enough chunk
- Bad:  $O(n)$
- Often acceptable
- Subject to bad worst-case behavior
  - E.g. long free list with big chunks at end

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## What's Wrong?



### Problem

- `malloc()` must traverse doubly linked list, so can be slow

### Solution

- Use multiple doubly linked lists (bins)...

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## Agenda



The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: doubly linked list implementation

**DMMgr 5: Bins implementation**

DMM using virtual memory

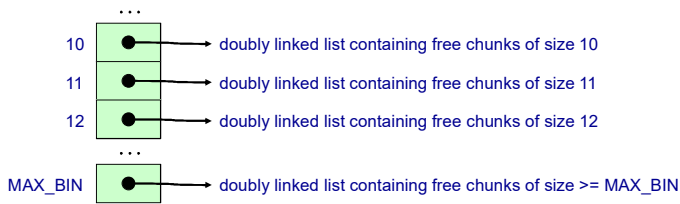
DMMgr 6: VM implementation

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## Bins Impl



### Data structures



Use an array; each element is a **bin**  
 Each bin is a doubly linked list of free chunks  
 As in previous implementation  
 bin[i] contains free chunks of size i  
 Exception: Final bin contains chunks of size MAX\_BIN or larger

(More elaborate binning schemes are common)

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## Bins Impl



### Algorithms (see precepts for more precision)

#### malloc (n)

- Search ~~free list~~ **proper bin(s)** for big-enough chunk
- Chunk found & reasonable size  $\Rightarrow$  remove, set status, use
- Chunk found & too big  $\Rightarrow$  remove, split, insert tail, set status, use front
- Chunk not found  $\Rightarrow$  increase heap size, create new chunk
- New chunk reasonable size  $\Rightarrow$  remove, set status, use
- New chunk too big  $\Rightarrow$  remove, split, insert tail, set status, use front

#### free (p)

- Set status
- Insert chunk into ~~free list~~ **proper bin**
- Next chunk in memory also free  $\Rightarrow$  remove both, coalesce, insert
- Prev chunk in memory free  $\Rightarrow$  remove both, coalesce, insert

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## Bins Impl Performance



### Space

- **Pro:** For small chunks, uses **best-fit** (not **first-fit**) strategy
  - Could decrease internal fragmentation and splitting
- **Con:** Some internal & external fragmentation is unavoidable
- **Con:** Headers, footers, bin array are overhead
- **Overall:** good

### Time: malloc ()

- **Pro:** Binning limits list searching
  - Search for chunk of size i begins at bin i and proceeds downward
- **Con:** Could be bad for large chunks (i.e. those in final bin)
  - Performance degrades to that of list version
- **Overall:** very good:  $O(1)$

### Time: free ()

- Very good:  $O(1)$

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## DMMgr Impl Summary (so far)



Implementation	Space	Time
(1) Minimal	Bad	Malloc: Bad Free: Good
(2) Pad	Bad	Malloc: Good Free: Good
(3) List	Good	Malloc: Bad (but could be OK) Free: Bad
(4) doubly linked List	Good	Malloc: Bad (but could be OK) Free: Good
(5) Bins	Good	Malloc: Good Free: Good

Assignment 6: Given (3), compose (4) and (5)

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## Agenda



The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: doubly linked list implementation

DMMgr 5: Bins implementation

**DMM using virtual memory**

DMMgr 6: VM implementation

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## Unix VM Mapping Functions



Unix allows application programs to map/unmap VM explicitly

```
void *mmap(void *p, size_t n, int prot, int flags, int fd, off_t offset);
```

- Creates a new mapping in the virtual address space of the calling process
- **p:** the starting address for the new mapping
- **n:** the length of the mapping
- If **p** is NULL, then the kernel chooses the address at which to create the mapping; this is the most portable method of creating a new mapping
- On success, returns address of the mapped area

```
int munmap(void *p, size_t n);
```

- Deletes the mappings for the specified address range

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## Unix VM Mapping Functions



Typical call of `mmap()` for allocating memory

```
p = mmap(NULL, n, PROT_READ|PROT_WRITE,
        MAP_PRIVATE|MAP_ANON, 0, 0);
```

- Asks OS to map a new read/write area of virtual memory containing `n` bytes
- Returns the virtual address of the new area on success, `(void*)-1` on failure

Typical call of `munmap()`

```
status = munmap(p, n);
```

- Unmaps the area of virtual memory at virtual address `p` consisting of `n` bytes
- Returns 0 on success, -1 on failure

See Bryant & O'Hallaron book and man pages for details

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## Agenda



The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: doubly linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

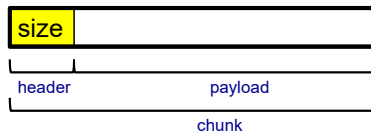
**DMMgr 6: VM implementation**

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## VM Mapping Impl



Data structures



Each chunk consists of a header and payload  
Each header contains size

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## VM Mapping Impl



Algorithms

```
void *malloc(size_t n)
{
    size_t *p;
    if (n == 0) return NULL;
    p = mmap(NULL, n + sizeof(size_t), PROT_READ|PROT_WRITE,
            MAP_PRIVATE|MAP_ANONYMOUS, 0, 0);
    if (p == (void*)-1) return NULL;
    *p = n + sizeof(size_t); /* Store size in header */
    p++; /* Move forward from header to payload */
    return p;
}
```

```
void free(void *p)
{
    if (p == NULL) return;
    p--; /* Move backward from payload to header */
    munmap(p, *p);
}
```

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## VM Mapping Impl Performance



Space

- Fragmentation problem is delegated to OS
- Overall: Depends on OS

Time

- For small chunks
  - One system call (`mmap()`) per call of `malloc()`
  - One system call (`munmap()`) per call of `free()`
  - Overall: **bad**
- For large chunks
  - `free()` unmaps (large) chunks of memory, and so shrinks page table
  - Overall: **good**

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## The GNU Implementation



Observation

- `malloc()` and `free()` on CourseLab are from the **GNU** (the GNU Software Foundation)

Question

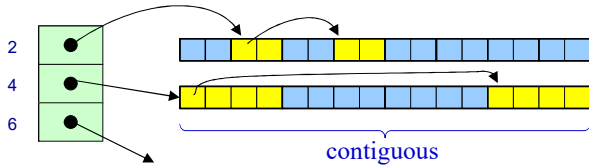
- How are GNU `malloc()` and `free()` implemented?

Answer

- For small chunks
  - Use heap (`sbrk()` and `brk()`)
  - Use bins implementation
- For large chunks
  - Use VM directly (`mmap()` and `munmap()`)

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## Segregated metadata



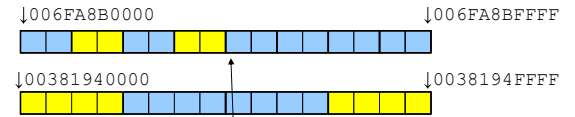
Data layout: no “size” field, no header at all!

Malloc: look up in bins array, use first element of linked list

Free: find size (somehow), put back at head of that bin’s list

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## How free() finds the size



Hash table:

006FA8B → 2

0038194 → 4

0058217 → 6

etc.

006FA8B0080  
“page” number    offset in page

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## Segregated metadata performance



### Space

- No overhead for header: very very good,  $O(1)$
- No coalescing, fragmentation may occur, possibly bad

### Time

- malloc: very very good,  $O(1)$
- free: hash-table lookup, good,  $O(1)$

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## Summary



### The need for dynamic memory management

- Unknown object size

### DMM using the heap section

- On Unix: `sbrk()` and `brk()`
- Complicated data structures and algorithms
- Good for managing small memory chunks

### DMM using virtual memory

- On Unix: `mmap()` and `munmap()`
- Good for managing large memory chunks

See Appendix for additional approaches/refinements

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