

|                |                                |           |
|----------------|--------------------------------|-----------|
| COS 226        | Algorithms and Data Structures | Fall 2011 |
| <b>Midterm</b> |                                |           |

This test has 9 questions worth a total of 60 points. You have 80 minutes. The exam is closed book, except that you are allowed to use a one page cheatsheet. No calculators or other electronic devices are permitted. Give your answers and show your work in the space provided. **Write out and sign the Honor Code pledge before turning in the test.**

*“I pledge my honor that I have not violated the Honor Code during this examination.”*

| Problem | Score |
|---------|-------|
| 0       |       |
| 1       |       |
| 2       |       |
| 3       |       |
| 4       |       |
| Sub 1   |       |

| Problem | Score |
|---------|-------|
| 5       |       |
| 6       |       |
| 7       |       |
| 8       |       |
|         |       |
| Sub 2   |       |

|       |  |
|-------|--|
| Total |  |
|-------|--|

**Name:**

**Login ID:**

**Precept:**

- P01 11 Maia Ginsburg
- P01A 11 Aman Dhesi
- P02 12:30 Sasha Koruga
- P02A 12:30 Joey Dodds
- P03 1:30 Maia Ginsburg
- P03A 1:30 Joey Dodds

0. **Miscellaneous. (1 point)**

In the space provided on the front of the exam, write your name and Princeton NetID; circle your precept number; and write and sign the honor code.

1. **Union find. (6 points)**

Circle the letters corresponding to arrays that *cannot* possibly occur during the execution of weighted quick union.

|    |       |       |   |   |   |   |   |   |   |   |   |
|----|-------|-------|---|---|---|---|---|---|---|---|---|
|    | i:    | 0     | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|    |       | ----- |   |   |   |   |   |   |   |   |   |
| A. | a[i]: | 1     | 2 | 3 | 0 | 1 | 1 | 1 | 4 | 4 | 5 |
| B. | a[i]: | 9     | 0 | 0 | 0 | 0 | 0 | 9 | 9 | 9 | 9 |
| C. | a[i]: | 1     | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 9 |
| D. | a[i]: | 0     | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 6 | 2 |
| E. | a[i]: | 0     | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 6 | 8 |
| F. | a[i]: | 0     | 0 | 0 | 1 | 1 | 3 | 3 | 7 | 7 | 7 |

2. **Analysis of algorithms. (6 points)**

Suppose that you collect the following timing data for a program as a function of the input size  $N$ .

| $N$     | time          |
|---------|---------------|
| 125     | 0.03 sec      |
| 1,000   | 1.00 sec      |
| 8,000   | 32.00 sec     |
| 64,000  | 1,024.00 sec  |
| 512,000 | 32,768.00 sec |

Estimate the running time of the program (in seconds) as a function of  $N$  and use tilde notation to simplify your answer.

*Hint:* recall that  $\log_b a = \lg a / \lg b$ .

3. Data structures. (9 points)

Suppose that the Java library `java.util.LinkedList` is implemented using a doubly-linked list, maintaining a reference to the first and last node in the list, along with its size.

```
public class LinkedList<Item> {
    private Node first;           // the first node in the linked list
    private Node last;           // the last node in the linked list
    private int N;                // number of items in the linked list

    private class Node {
        private Item item;        // the item
        private Node next, prev;  // the next and previous nodes
    }
    ...
}
```

(a) Using the 64-bit memory cost model from the textbook, how much memory (in bytes) does a `Node` object use and how much does a `LinkedList` object use to store  $N$  items? Do *not* include the memory for the items themselves but do include the memory for the references to them.

- Memory of a `Node`:

- Memory of a `LinkedList` with  $N$  items:

(b) What is the order of growth of the *worst-case running time* of each of operation below? Write down the best answer in the space provided, using one of the following possibilities.

1             $\log N$              $\sqrt{N}$              $N$              $N \log N$              $N^2$

|                             |  |  |
|-----------------------------|--|--|
| <code>addFirst(item)</code> | <i>prepend the item to the beginning of the list</i>             |  |
| <code>get(i)</code>         | <i>return the item at position <math>i</math> in the list</i>    |  |
| <code>set(i, item)</code>   | <i>replace position <math>i</math> in the list with the item</i> |  |
| <code>removeLast()</code>   | <i>delete and return the item at the end of the list</i>         |  |
| <code>contains(item)</code> | <i>is the item in the list?</i>                                  |  |

## 4. 8 sorting and shuffling algorithms. (8 points)

The column on the left is the original input of strings to be sorted or shuffled; the column on the right are the string in sorted order; the other columns are the contents at some intermediate step during one of the 8 algorithms listed below. Match up each algorithm by writing its number under the corresponding column. Use each number exactly once.

|      |      |      |      |      |      |      |      |      |      |
|------|------|------|------|------|------|------|------|------|------|
| navy | coal | corn | blue | blue | blue | wine | bark | mist | bark |
| plum | jade | mist | gray | coal | coal | teal | blue | coal | blue |
| coal | navy | coal | rose | gray | corn | silk | cafe | jade | cafe |
| jade | plum | jade | mint | jade | gray | plum | coal | blue | coal |
| blue | blue | blue | lime | lime | jade | sage | corn | cafe | corn |
| pink | gray | cafe | navy | mint | lime | pink | dusk | herb | dusk |
| rose | pink | herb | jade | navy | mint | rose | gray | gray | gray |
| gray | rose | gray | teal | pink | navy | jade | herb | leaf | herb |
| teal | lime | leaf | coal | plum | pink | navy | jade | dusk | jade |
| ruby | mint | dusk | ruby | rose | plum | ruby | leaf | mint | leaf |
| mint | ruby | mint | plum | ruby | rose | pine | lime | lime | lime |
| lime | teal | lime | pink | teal | ruby | palm | mint | bark | mint |
| silk | bark | bark | silk | bark | silk | coal | silk | corn | mist |
| corn | corn | navy | corn | corn | teal | corn | plum | navy | navy |
| bark | silk | silk | bark | dusk | bark | bark | navy | wine | palm |
| wine | wine | wine | wine | leaf | wine | gray | wine | silk | pine |
| dusk | dusk | ruby | dusk | silk | dusk | dusk | pink | ruby | pink |
| leaf | herb | teal | leaf | wine | leaf | leaf | ruby | teal | plum |
| herb | leaf | rose | herb | cafe | herb | herb | rose | sage | rose |
| sage | sage | sage | sage | herb | sage | blue | sage | rose | ruby |
| cafe | cafe | pink | cafe | mist | cafe | cafe | teal | pink | sage |
| mist | mist | plum | mist | palm | mist | mist | mist | pine | silk |
| pine | palm | pine | pine | pine | pine | mint | pine | palm | teal |
| palm | pine | palm | palm | sage | palm | lime | palm | plum | wine |
| ---- | ---- | ---- | ---- | ---- | ---- | ---- | ---- | ---- | ---- |
| 0    |      |      |      |      |      |      |      |      | 1    |

(0) Original input

(1) Sorted

(2) Selection sort

(3) Insertion sort

(4) Mergesort

*(top-down)*

(5) Mergesort

*(bottom-up)*

(6) Quicksort

*(standard, no shuffle)*

(7) Quicksort

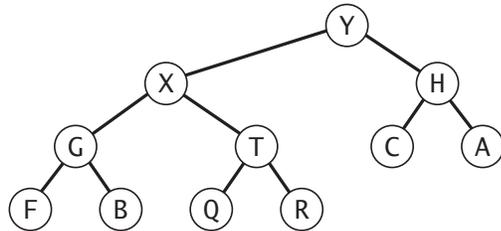
*(3-way, no shuffle)*

(8) Heapsort

(9) Knuth shuffle

5. Binary heaps. (6 points)

(a) Consider the following binary tree representation of a max-heap.



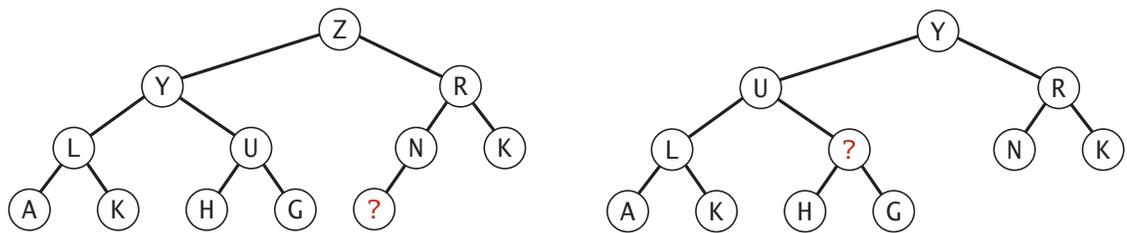
Give the array representation of the heap.

|   |   |   |   |   |   |   |   |   |   |    |    |    |
|---|---|---|---|---|---|---|---|---|---|----|----|----|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| - |   |   |   |   |   |   |   |   |   |    |    | -  |

(b) Insert the key P into the binary heap above, *circling* any entries that changed.

|   |   |   |   |   |   |   |   |   |   |    |    |    |
|---|---|---|---|---|---|---|---|---|---|----|----|----|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| - |   |   |   |   |   |   |   |   |   |    |    |    |

(c) Delete-the-max operation in the binary heap at left results in the binary heap at right.



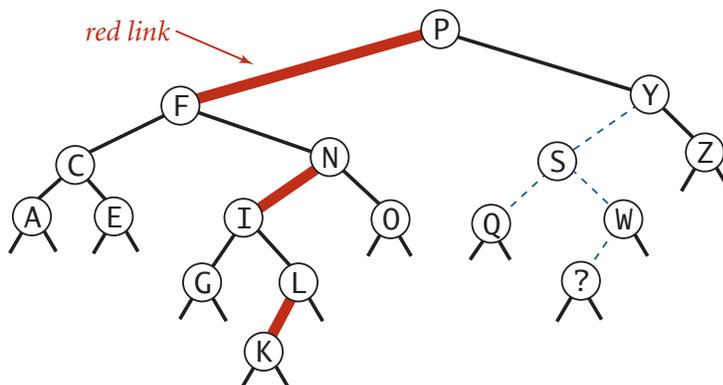
Which of the keys below could be the one labeled with a question mark?

Circle all possibilities.

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z

## 6. Red-black BSTs. (8 points)

Consider the following left-leaning red-black BST. Some of the colors and key values are suppressed.



- (a) Which of the keys below could be the one labeled with a question mark?  
Circle all possibilities.

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z

- (b) For each link from the left-hand column, select its possible color(s) from the right-hand column.

\_\_\_\_\_ link between W and S                      A. red  
 \_\_\_\_\_ link between ? and W                      B. black  
 \_\_\_\_\_ link between S and Y                      C. either red or black  
 \_\_\_\_\_ link between Q and S

- (c) How many *left rotation*, *right rotation*, and *color flip* operations would be used to insert each key below into the original red-black BST above?

|                            | H | D | B | J |
|----------------------------|---|---|---|---|
| <code>rotateLeft()</code>  | 1 |   |   |   |
| <code>rotateRight()</code> | 0 |   |   |   |
| <code>flipColors()</code>  | 0 |   |   |   |



### 8. Stabbing count queries. (8 points)

Given a collection of  $x$ -intervals and a real value  $x$ , a *stabbing count query* is the number of intervals that contain  $x$ . Design a data structure that supports interval insertions intermixed with stabbing count queries by implementing the following API:

```
public class IntervalStab


---


    IntervalStab()                create an empty data structure
    void insert(double xmin, double xmax) insert the interval (xmin, xmax)
                                         into the data structure
    int count(double x)           number of intervals
                                   that contain x
```

For example, after inserting the five intervals (3, 10), (4, 5), (6, 12), (8, 15), and (19, 30) into the data structure, `count(9.1)` is 3 and `count(17.2)` is 0.

If there are  $N$  intervals in the data structure, you should support *insert* and *count* in time proportional to  $\log N$  in the worst case (even if `count()` returns  $N$ ).

For simplicity, assume that no two intervals contain a left or right endpoint in common and that the argument to the stabbing count query is not equal to a left or right endpoint.

*Give a crisp and concise English description of your data structure.*

*Your answer will be graded on correctness, efficiency, and clarity.*

- `IntervalStab()`:

- `insert(xmin, xmax)`:

- `count(x)`: