Princeton University

Computer Science 217: Introduction to Programming Systems



Dynamic Memory Management

Goals of this Lecture

Help you learn about:

- The need for dynamic* memory mgmt (DMM)
- Implementing DMM using the heap section
- Implementing DMM using virtual memory

* During program execution

System-Level Functions Covered



As noted in the Exceptions and Processes lecture...

Linux system-level functions for dynamic memory management (DMM)

Number	Function	Description
12	brk()	Move the program break, thus changing the amount of memory allocated to the HEAP
12	sbrk()	(Variant of previous)
9	mmap()	Map a virtual memory page
11	munmap()	Unmap a virtual memory page

Goals for DMM Goals for effective DMM: • Time efficiency • Allocating and freeing memory should be fast • Space efficiency • Pgm should use little memory • Note • Easy to reduce time or space • Hard to reduce time and space

Agenda

The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: Doubly-linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

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Why Free Memory Dynamically?



Why free memory dynamically?

Problem

- · Pgm should use little memory, i.e.
- · Pgm should map few pages of virtual memory
 - · Mapping unnecessary VM pages bloats page tables, wastes memory/disk space

Solution

· Free dynamically allocated memory that is no longer needed

Option 1: Automatic Freeing

Run-time system frees unneeded memory

Car c; Plane p;

c = new Car();

c = new Car():

p = new Plane();

Original Car

object can't

be accessed

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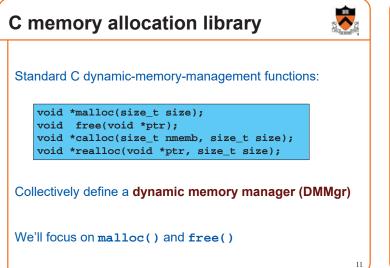
· Java, Python, ... Garbage collection

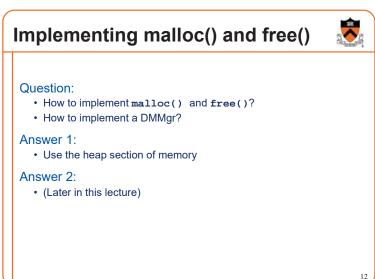
Pros:

- · Easy for programmer
- · Fewer bugs
- Simpler interfaces between modules
- · Fewer bugs

Cons:

- Performed constantly ⇒ overhead
- Performed periodically ⇒ unexpected pauses (these days, high-performance garbage collectors minimize overhead and
- **Option 2: Manual Freeing Conclusion:** Programmer frees unneeded memory Program in a safe, Use unsafe languages with • C, C++, Objective-C, ... manual memory garbage-collected management (such as C) Pros language! No overhead only for low-level programs · No unexpected pauses where the overhead or latency of garbage collection Cons (not in C) is intolerable · More complex for programmer · Opens possibility of memory-related bugs such as: OS kernels, · Dereferences of dangling pointers, double frees, memory leaks device drivers All right then, let's see how manual memory management works in C





Agenda

The need for DMM DMM using the heap section DMMgr 1: Minimal implementation DMMgr 2: Pad implementation Fragmentation DMMgr 3: List implementation DMMgr 4: Doubly-linked list implementation DMMgr 5: Bins implementation DMM using virtual memory DMMgr 6: VM implementation

The Heap Section of Memory Low High memory memory Program break Heap start Supported by Unix/Linux, MS Windows, ... Heap start is stable Program break points to end At process start-up, heap start == program break Can grow dynamically By moving program break to higher address Thereby (indirectly) mapping pages of virtual mem Can shrink dynamically By moving program break to lower address Thereby (indirectly) unmapping pages of virtual mem 14

Unix Heap Management



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Unix system-level functions for heap mgmt:

int brk(void *p);

- Move the program break to address ${\tt p}$
- Return 0 if successful and -1 otherwise

void *sbrk(intptr_t n);

- Increment the program break by n bytes
- Return *previous break* if successful and (void*)-1 otherwise
- + [therefore] If ${\tt n}$ is 0, return the current location of the program break
- Beware: On Linux has a known bug (overflow not handled); should call only with argument 0.

Note: minimal interface (good!)

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Fragmentation
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DMMgr 4: Doubly-linked list implementation
DMMgr 5: Bins implementation
DMM using virtual memory
DMMgr 6: VM implementation 16
Fragmentation DMMgr 3: List implementation DMMgr 4: Doubly-linked list implementation DMMgr 5: Bins implementation DMM using virtual memory

Minimal Impl



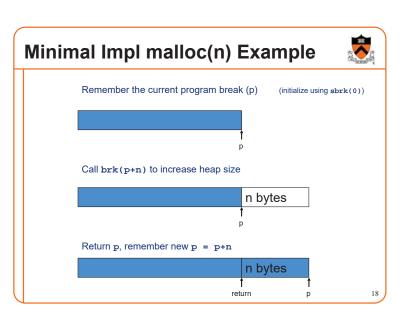
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Data structures

One word: remember the current value of program break

Algorithms (by examples)...



Minimal Impl free(p) Example

	Do nothing!	
	1 1 1	
		19

Minimal Impl

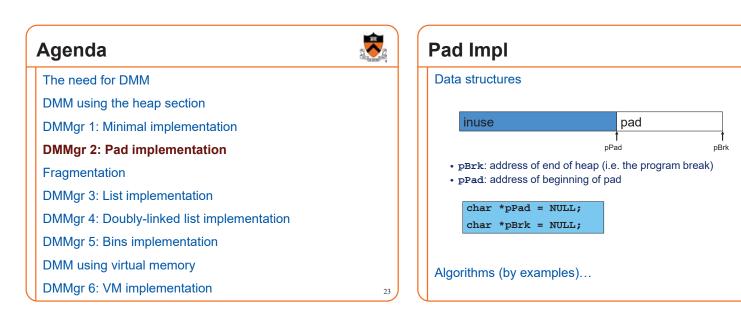
Algorithms

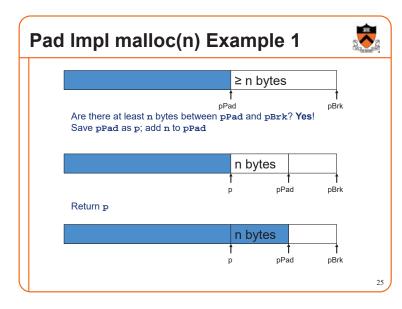
<pre>static void *current_break;</pre>	
<pre>void *malloc(size_t n) { char *p = current_break; if (!p) p=(char *)sbrk(0); if (brk(p+n) == -1) return NULL; current_break = p+n; return (void*)p; }</pre>	<pre>void free(void *p) { }</pre>



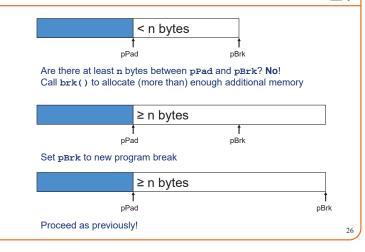
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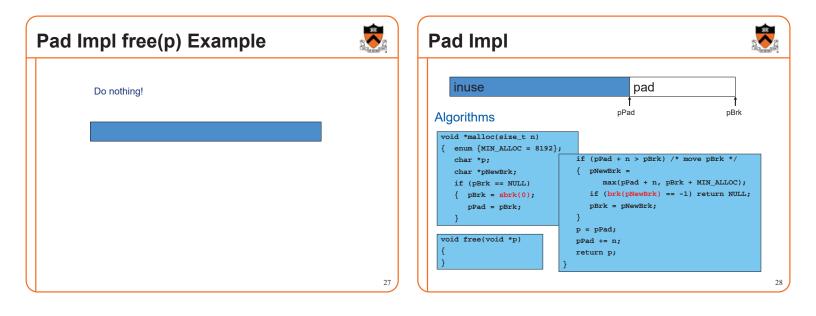
What's Wrong? **Minimal Impl Performance** Performance (general case) Problem • Time: bad • malloc() executes a system call every time • One system call per malloc() Solution · Space: bad • Redesign malloc() so it does fewer system calls • Each call of malloc() extends heap size • Maintain a pad at the end of the heap... · No reuse of freed chunks 21 22

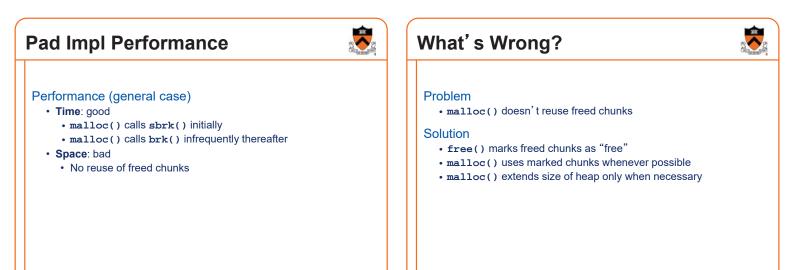




Pad Impl malloc(n) Example 2

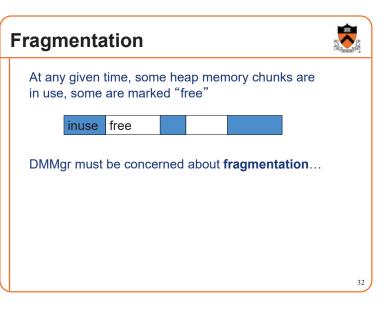


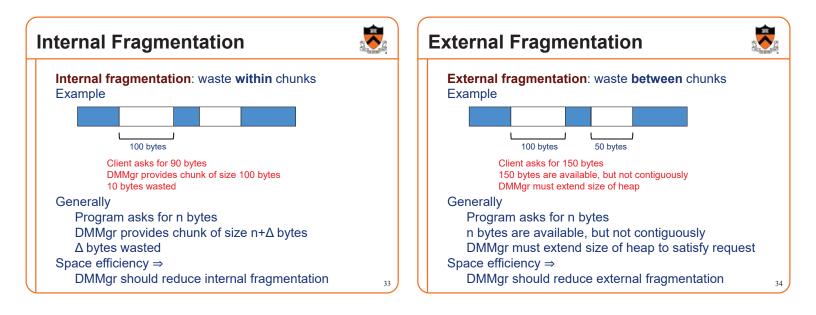


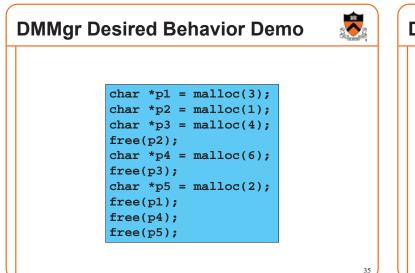


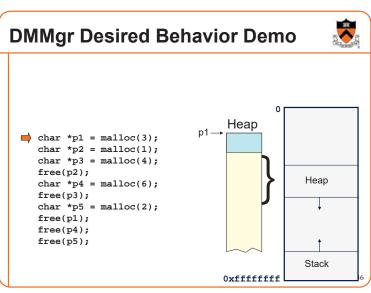
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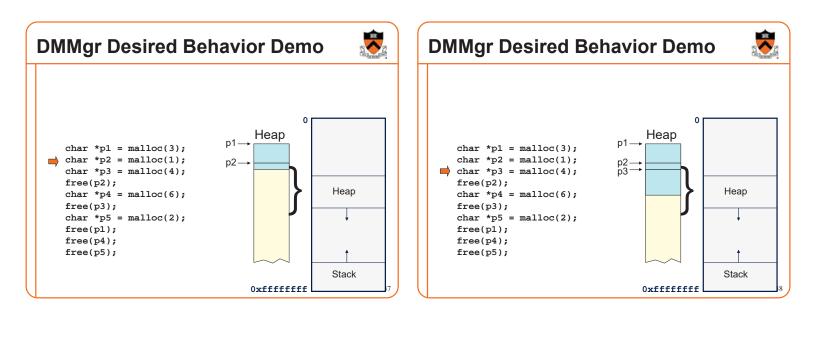
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DMMgr 2: Pad implementation	
Fragmentation	
DMMgr 3: List implementation	
DMMgr 4: Doubly-linked list implementation	
DMMgr 5: Bins implementation	
DMM using virtual memory	
DMMgr 6: VM implementation	31

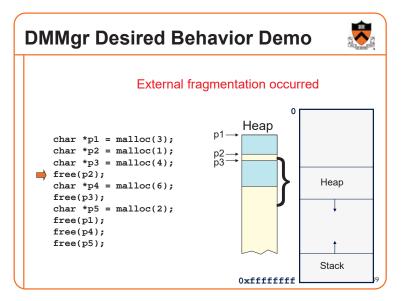


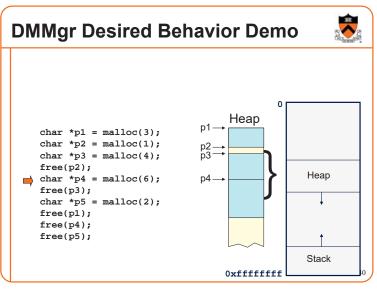


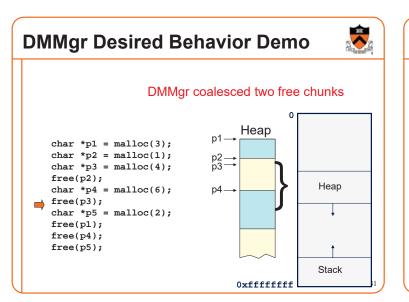


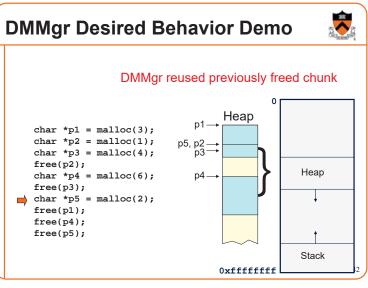


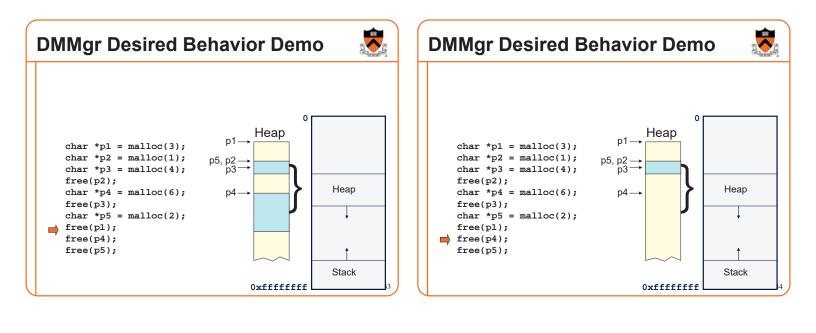


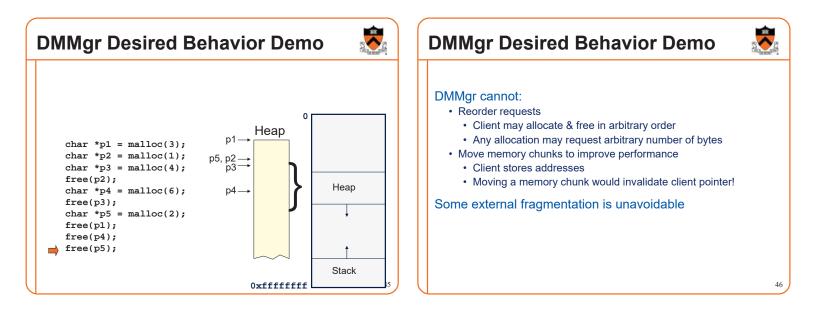


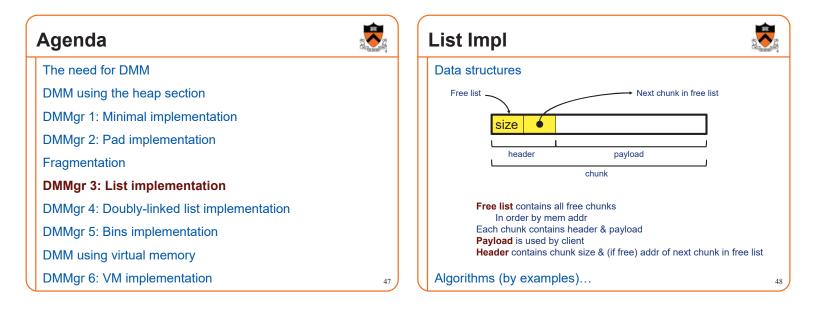


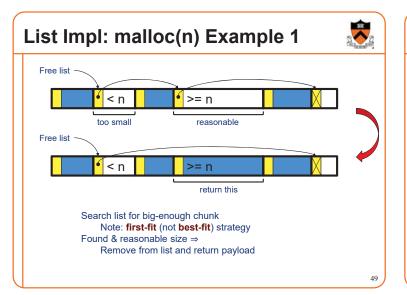


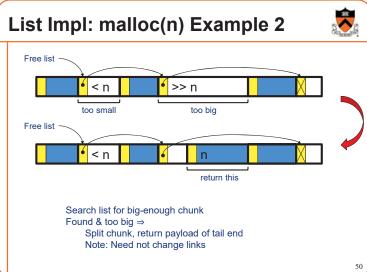


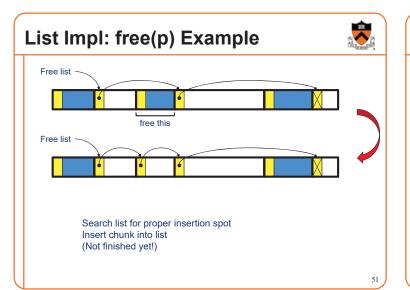


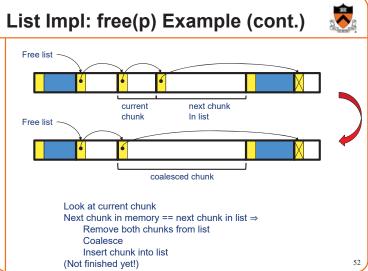


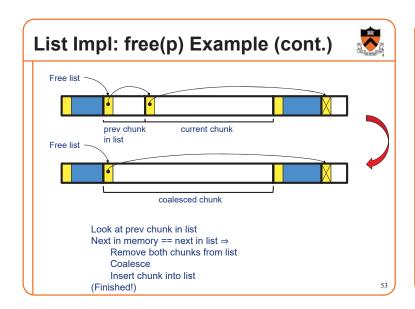


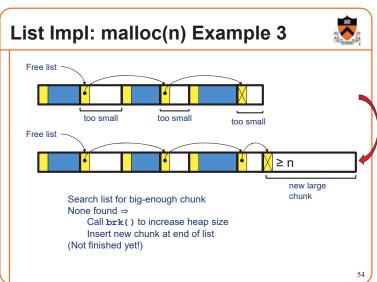


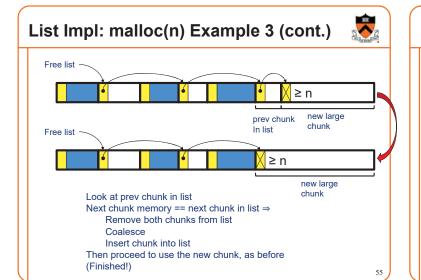












List Impl

Algorithms (see precepts for more precision)

malloc(n)

- Search free list for big-enough chunk
- Chunk found & reasonable size ⇒ remove, use
- Chunk found & too big \Rightarrow split, use tail end
- Chunk not found \Rightarrow increase heap size, create new chunk
- New chunk reasonable size ⇒ remove, use
- New chunk too big ⇒ split, use tail end

free(p)

- · Search free list for proper insertion spot
- · Insert chunk into free list
- Next chunk in memory also free \Rightarrow remove both, coalesce, insert
- Prev chunk in memory free ⇒ remove both, coalesce, insert

List Impl Performance

Sector and Sector

Space

- · Some internal & external fragmentation is unavoidable
- · Headers are overhead
- Overall: good

Time: malloc()

- Must search free list for big-enough chunk
- Bad: O(n)
- · But often acceptable

Time: free()

- Must search free list for insertion spot
- Bad: O(n)
- · Often very bad

What's Wrong?

Problem

• free() must traverse (long) free list, so can be (very) slow

Solution

• Use a doubly-linked list...

Agenda



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The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

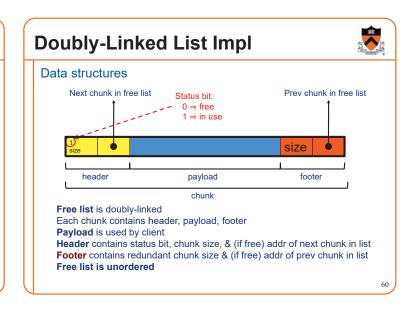
DMMgr 3: List implementation

DMMgr 4: Doubly-linked list implementation

DMMgr 5: Bins implementation

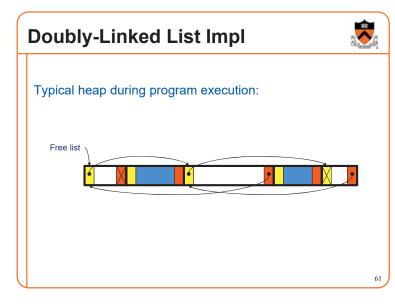
DMM using virtual memory

DMMgr 6: VM implementation





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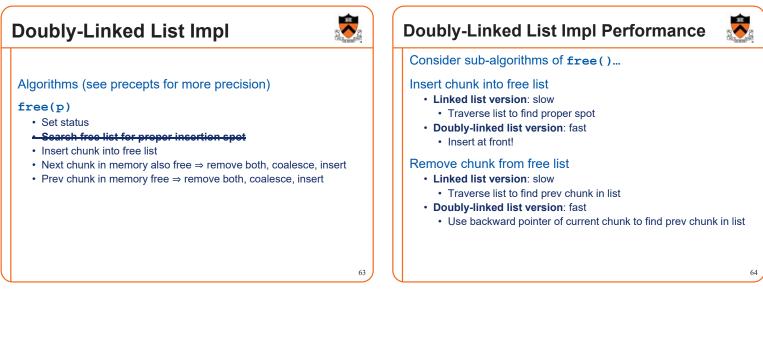


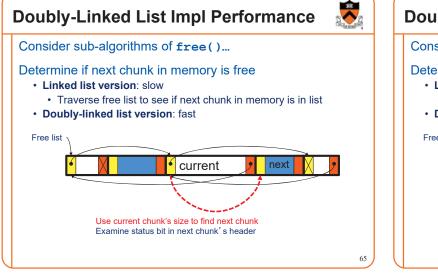
Doubly-Linked List Impl

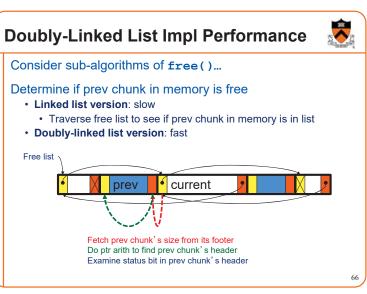
Algorithms (see precepts for more precision)

malloc(n)

- · Search free list for big-enough chunk
- Chunk found & reasonable size ⇒ remove, set status, use
- Chunk found & too big \Rightarrow remove, split, insert tail, set status, use front
- Chunk not found ⇒ increase heap size, create new chunk, insert
- New chunk reasonable size ⇒ remove, set status, use
- New chunk too big ⇒ remove, split, insert tail, set status, use front





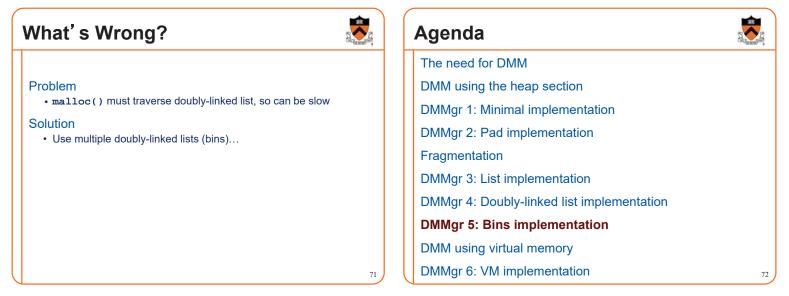


	ayload spa hunks need to be i		management	Sector Sector
Status	Next chunk in free list		Prev chunk in free	list
1 size	•		size	
Lhea	ader	payload	footer	
Status				
1) size			size]
Lhe	ader	payload	footer	ı
Status	Next chunk in free li	st	Prev chunk in free list	
1 size			• size]
Lhe	ader	payload	footer	67
This trick is I	NOT part of assign	ment 6!		

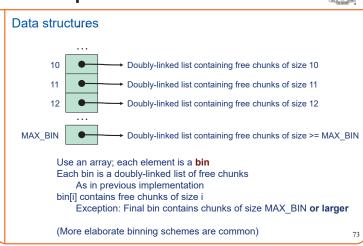
Another use for the extra size field: error checking

size IIIC I all	n in Spain is main				
header	payload	footer			
char *s = (char *)	malloc(32);				
• • •					
<pre>strcpy(s, "The rain in Spain is mainly in the plain.");</pre>					
<pre> printf("%s\n", s);</pre>					
<pre>free(s);</pre>					
TTEE(S);					

Doubly-Linked List Impl Performance Doubly-Linked List Impl Performance Space · Some internal & external fragmentation is unavoidable Observation: · Headers & footers are overhead • All sub-algorithms of free() are fast • Overall: Good • free() is fast! Time: free() · All steps are fast • Good: O(1) Time: malloc() · Must search free list for big-enough chunk • Bad: O(n) Often acceptable · Subject to bad worst-case behavior • E.g. long free list with big chunks at end 69 70



Bins Impl



Bins Impl

Algorithms (see precepts for more precision)

malloc(n)

- Search free list-proper bin(s) for big-enough chunk
- Chunk found & reasonable size ⇒ remove, set status, use
 Chunk found & too big ⇒ remove, split, insert tail, set status, use front
- Chunk not found \Rightarrow increase heap size, create new chunk
- New chunk reasonable size \Rightarrow remove, set status, use
- New chunk too big ⇒ remove, split, insert tail, set status, use front

free(p)

- · Set status
- Insert chunk into free list proper bin
- Next chunk in memory also free \Rightarrow remove both, coalesce, insert
- Prev chunk in memory free \Rightarrow remove both, coalesce, insert

Bins Impl Performance



Space

- Pro: For small chunks, uses best-fit (not first-fit) strategy
 Could decrease internal fragmentation and splitting
- Con: Some internal & external fragmentation is unavoidable
- Con: Headers, footers, bin array are overhead
- · Overall: good

Time: malloc()

- Pro: Binning limits list searching
- Search for chunk of size i begins at bin i and proceeds downward
 Con: Could be bad for large chunks (i.e. those in final bin)
- Performance degrades to that of list version
- Overall: good O(1)

Time: free()

• Good: O(1)

DMMgr Impl Summary (so far)

Implementation	Space	Time
(1) Minimal	Bad	Malloc: Bad Free: Good
(2) Pad	Bad	Malloc: Good Free: Good
(3) List	Good	Malloc: Bad (but could be OK) Free: Bad
(4) Doubly-Linked List	Good	Malloc: Bad (but could be OK) Free: Good
(5) Bins	Good	Malloc: Good Free: Good

Assignment 6: Given (3), compose (4) and (5)

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DMM using virtual memory

DMMgr 6: VM implementation

Unix VM Mapping Functions Unix allows application programs to map/unmap VM explicitly

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void *mmap(void *p, size_t n, int prot, int flags, int
fd, off_t offset);

- Creates a new mapping in the virtual address space of the calling process
- p: the starting address for the new mapping
- n: the length of the mapping
- If p is NULL, then the kernel chooses the address at which to create the mapping; this is the most portable method of creating a new mapping
- · On success, returns address of the mapped area
- int munmap(void *p, size_t n);
- · Deletes the mappings for the specified address range



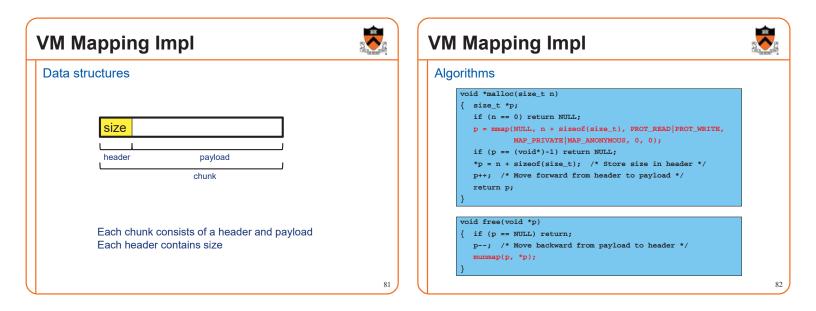
Unix VM Mapping Functions

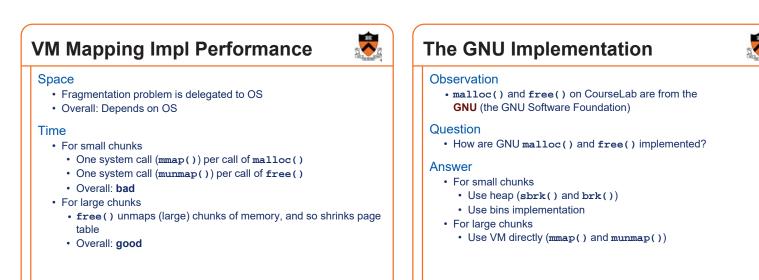


<pre>Typical call of mmap() for allocating memory p = mmap(NULL, n, PROT_READ PROT_WRITE,</pre>	
<pre>Typical call of munmap() status = munmap(p, n); Unmaps the area of virtual memory at virtual address p consisting of n bytes Returns 0 on success, -1 on failure</pre>	
See Bryant & O' Hallaron book and man pages for details	
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Summary

The need for dynamic memory management

· Unknown object size

DMM using the heap section

- On Unix: sbrk() and brk()
- · Complicated data structures and algorithms
- Good for managing small memory chunks

DMM using virtual memory

- On Unix: mmap() and munmap()
- · Good for managing large memory chunks

See Appendix for additional approaches/refinements

Appendix: Additional Approaches

Some additional approaches to dynamic memory mgmt...

Selective Splitting



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Observation

• In previous implementations, malloc() splits whenever chosen chunk is too big

Alternative: selective splitting

· Split only when remainder is above some threshold

Pro

· Reduces external fragmentation

Con

Increases internal fragmentation

Deferred Coalescing

Observation

· Previous implementations do coalescing whenever possible

Alternative: deferred coalescing

· Wait, and coalesce many chunks at a later time

Pro

• Handles malloc(n);free();malloc(n) sequences well

Con

Complicates algorithms

Segregated Data



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Observation

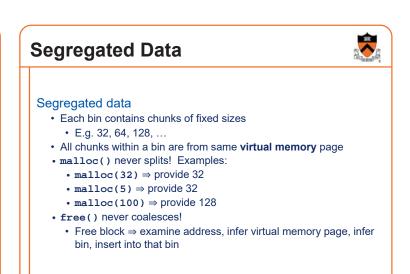
· Splitting and coalescing consume lots of overhead

Problem

· How to eliminate that overhead?

Solution: segregated data

- Make use of the virtual memory concept...
- Use bins
- Store each bin's chunks in a distinct (segregated) virtual memory page
- Elaboration...





Segregated Data



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Pros

- · Eliminates splitting and coalescing overhead
- Eliminates most meta-data; only forward links required
 No backward links, sizes, status bits, footers

Con

- Some usage patterns cause excessive external fragmentation
- E.g. Only one malloc(32) wastes all but 32 bytes of one virtual page

Segregated Metadata

Observations

- Metadata (chunk sizes, status flags, links, etc.) are scattered across the heap, interspersed with user data
- Heap mgr often must traverse metadata

Problem 1

• User error easily can corrupt metadata

Problem 2

• Frequent traversal of meta-data can cause excessive page faults (poor locality)

Solution: segregated metadata

- Make use of the virtual memory concept...
- Store metadata in a distinct (segregated) virtual memory page from user data