

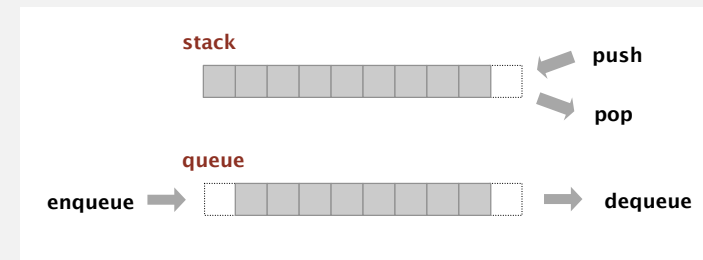
## 1.3 BAGS, QUEUES, AND STACKS

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*

## Stacks and queues

### Fundamental data types.

- Value: collection of objects.
- Operations: **insert**, **remove**, **iterate**, test if empty.
- Intent is clear when we insert.
- Which item do we remove?



**Stack.** Examine the item most recently added. ← LIFO = "last in first out"

**Queue.** Examine the item least recently added. ← FIFO = "first in first out"

## Client, implementation, interface

### Separate interface and implementation.

Ex: stack, queue, bag, priority queue, symbol table, union-find, ....

### Benefits.

- Client can't know details of implementation ⇒ client has many implementation from which to choose.
- Implementation can't know details of client needs ⇒ many clients can re-use the same implementation.
- **Design:** creates modular, reusable libraries.
- **Performance:** use optimized implementation where it matters.

**Client:** program using operations defined in interface.

**Implementation:** actual code implementing operations.

**Interface:** description of data type, basic operations.



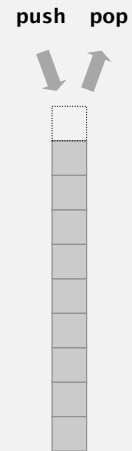
## 1.3 BAGS, QUEUES, AND STACKS

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*

## Stack API

Warmup API. Stack of strings data type.

```
public class StackOfStrings
{
    StackOfStrings()           create an empty stack
    void push(String item)     insert a new string onto stack
    String pop()               remove and return the string
                               most recently added
    boolean isEmpty()          is the stack empty?
    int size()                  number of strings on the stack
}
```



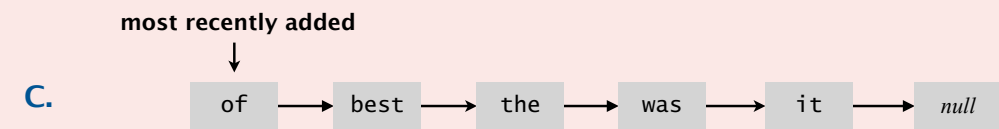
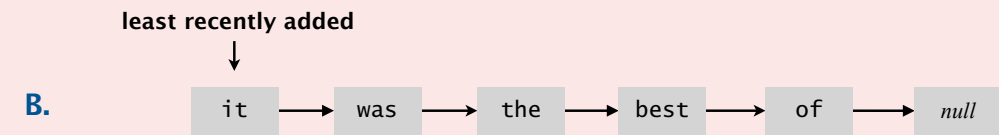
Warmup client. Reverse sequence of strings from standard input.

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## Stack quiz

How to implement a stack with a singly-linked list?

A. Can't be done efficiently with a singly-linked list.

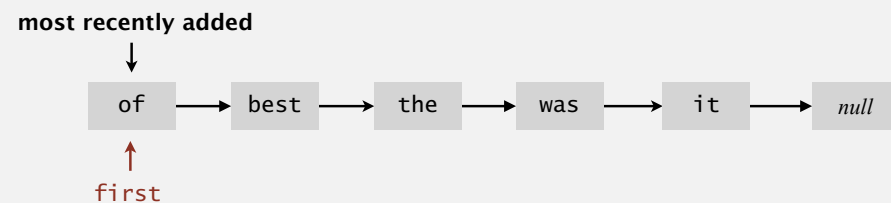


D. I don't know.

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## Stack: linked-list implementation

- Maintain pointer `first` to first node in a singly-linked list.
- Push new item before `first`.
- Pop item from `first`.



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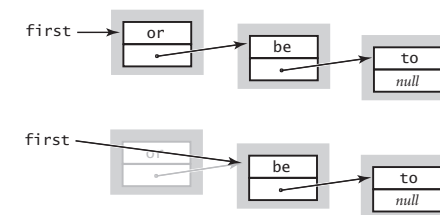
## Stack pop: linked-list implementation

```
inner class
private class Node
{
    String item;
    Node next;
}
```

```
save item to return
String item = first.item;
```

```
delete first node
```

```
first = first.next;
```



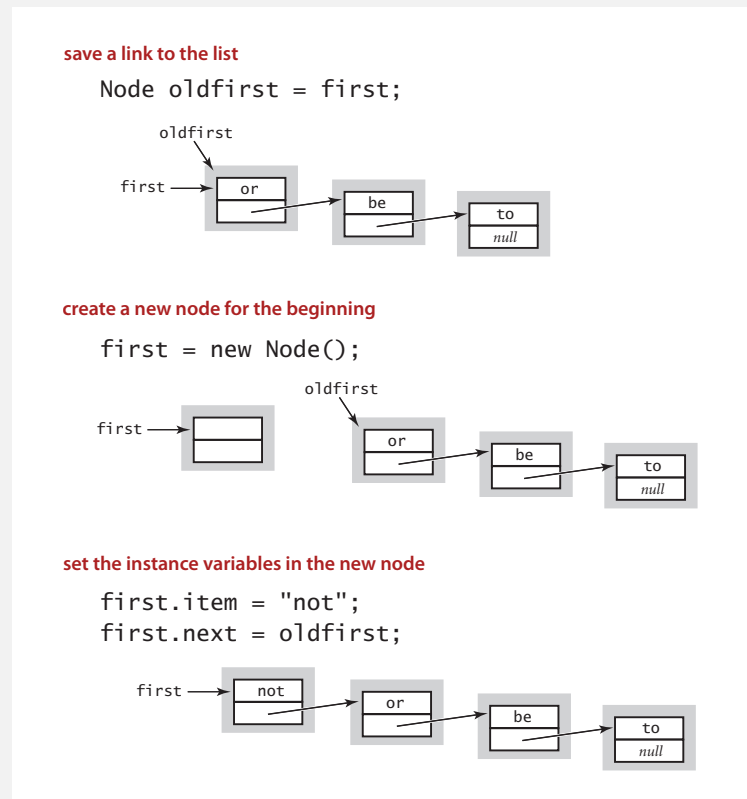
```
return saved item
return item;
```

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## Stack push: linked-list implementation

### inner class

```
private class Node
{
    String item;
    Node next;
}
```



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## Stack: linked-list implementation in Java

```
public class LinkedStackOfStrings
{
    private Node first = null;

    private class Node
    {
        String item;
        Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(String item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public String pop()
    {
        String item = first.item;
        first = first.next;
        return item;
    }
}
```

private inner class  
(access modifiers for instance variables don't matter)

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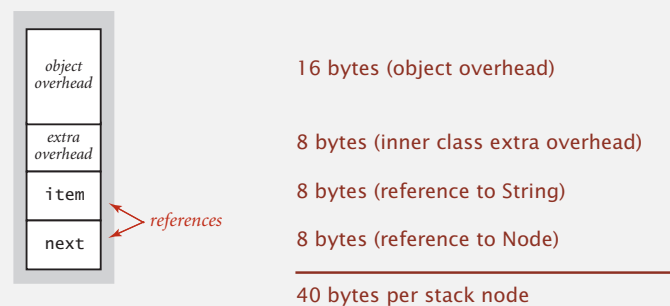
## Stack: linked-list implementation performance

**Proposition.** Every operation takes constant time in the worst case.

**Proposition.** A stack with  $N$  items uses  $\sim 40N$  bytes.

### inner class

```
private class Node
{
    String item;
    Node next;
}
```



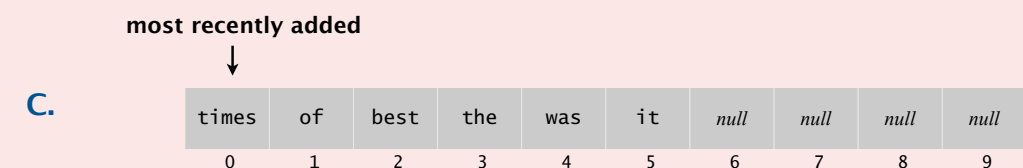
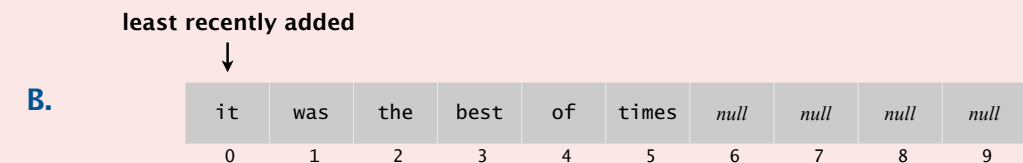
**Remark.** This accounts for the memory for the stack (but not the memory for strings themselves, which the client owns).

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## Stack quiz

**How to implement a fixed-capacity stack with an array?**

**A.** Can't be done efficiently with an array.

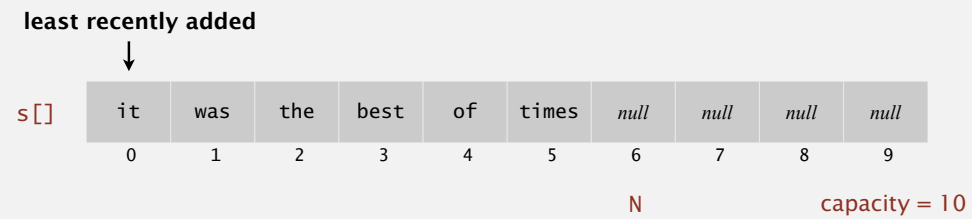


**D.** I don't know.

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## Fixed-capacity stack: array implementation

- Use array `s[]` to store `N` items on stack.
- `push()`: add new item at `s[N]`.
- `pop()`: remove item from `s[N-1]`.



**Defect.** Stack overflows when `N` exceeds capacity. [stay tuned]

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## Fixed-capacity stack: array implementation

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int N = 0;

    public FixedCapacityStackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(String item)
    { s[N++] = item; }

    public String pop()
    { return s[--N]; }
}
```

use to index into array;  
then increment N

decrement N;  
then use to index into array

a cheat  
(stay tuned)

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## Stack considerations

### Overflow and underflow.

- Underflow: throw exception if pop from an empty stack.
- Overflow: use resizing array for array implementation. [stay tuned]

**Null items.** We allow null items to be inserted.

**Loitering.** Holding a reference to an object when it is no longer needed.

```
public String pop()
{ return s[--N]; }
```

loitering

```
public String pop()
{
    String item = s[--N];
    s[N] = null;
    return item;
}
```

this version avoids "loitering":  
garbage collector can reclaim memory for  
an object only if no outstanding references

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## 1.3 BAGS, QUEUES, AND STACKS

- ▶ stacks
- ▶ resizing arrays
- ▶ queues
- ▶ generics
- ▶ iterators
- ▶ applications

## Stack: resizing-array implementation

**Problem.** Requiring client to provide capacity does not implement API!

**Q.** How to grow and shrink array?

**First try.**

- push(): increase size of array s[] by 1.
- pop(): decrease size of array s[] by 1.

**Too expensive.**

- Need to copy all items to a new array, for each operation.
- Array accesses to insert first  $N$  items =  $N + (2 + 4 + \dots + 2(N-1)) \sim N^2$ .

infeasible for large  $N$

↑                      ↑

1 array access    2(k-1) array accesses to expand to size k  
per push            (ignoring cost to create new array)

**Challenge.** Ensure that array resizing happens infrequently.

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## Stack: resizing-array implementation

**Q.** How to grow array?

**A.** If array is full, create a new array of **twice** the size, and copy items.

```
public ResizingArrayStackOfStrings()
{ s = new String[1]; }

public void push(String item)
{
    if (N == s.length) resize(2 * s.length);
    s[N++] = item;
}

private void resize(int capacity)
{
    String[] copy = new String[capacity];
    for (int i = 0; i < N; i++)
        copy[i] = s[i];
    s = copy;
}
```

Array accesses to insert first  $N = 2^i$  items.  $N + (2 + 4 + 8 + \dots + N) \sim 3N$ .

↑                      ↑

1 array access    k array accesses to double to size k  
per push            (ignoring cost to create new array)

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## Stack: resizing-array implementation

**Q.** How to shrink array?

**First try.**

- push(): double size of array s[] when array is full.
- pop(): halve size of array s[] when array is **one-half full**.

**Too expensive in worst case.**

- Consider push-pop-push-pop-... sequence when array is full.
- Each operation takes time proportional to  $N$ .

$N = 5$     to   be   or   not   to   null   null   null

$N = 4$     to   be   or   not

$N = 5$     to   be   or   not   to   null   null   null

$N = 4$     to   be   or   not

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## Stack: resizing-array implementation

**Q.** How to shrink array?

**Efficient solution.**

- push(): double size of array s[] when array is full.
- pop(): halve size of array s[] when array is **one-quarter full**.

```
public String pop()
{
    String item = s[--N];
    s[N] = null;
    if (N > 0 && N == s.length/4) resize(s.length/2);
    return item;
}
```

**Invariant.** Array is between 25% and 100% full.

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## Stack resizing-array implementation: performance

**Amortized analysis.** Starting from an empty data structure, average running time per operation over a worst-case sequence of operations.

**Proposition.** Starting from an empty stack, any sequence of  $M$  push and pop operations takes time proportional to  $M$ .

	best	worst	amortized
construct	1	1	1
push	1	$N$	1
pop	1	$N$	1
size	1	1	1

doubling and halving operations

order of growth of running time for resizing array stack with  $N$  items

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## Stack resizing-array implementation: memory usage

**Proposition.** Uses between  $\sim 8N$  and  $\sim 32N$  bytes to represent a stack with  $N$  items.

- $\sim 8N$  when full.
- $\sim 32N$  when one-quarter full.

```
public class ResizingArrayStackOfStrings
{
    private String[] s; ← 8 bytes × array size
    private int N = 0;
    ...
}
```

**Remark.** This accounts for the memory for the stack (but not the memory for strings themselves, which the client owns).

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## Stack implementations: resizing array vs. linked list

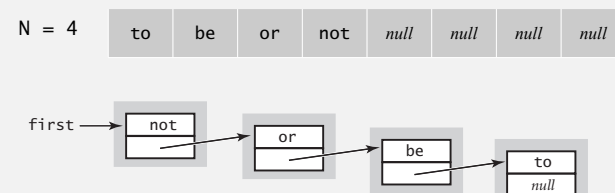
**Tradeoffs.** Can implement a stack with either resizing array or linked list; client can use interchangeably. Which one is better?

**Linked-list implementation.**

- Every operation takes constant time in the **worst case**.
- Uses extra time and space to deal with the links.

**Resizing-array implementation.**

- Every operation takes constant **amortized** time.
- Less wasted space.



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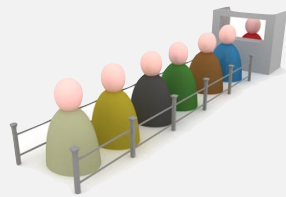
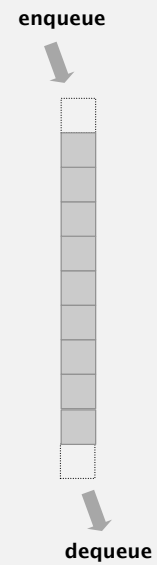
ROBERT SEDGEWICK | KEVIN WAYNE  
<http://algs4.cs.princeton.edu>

### 1.3 BAGS, QUEUES, AND STACKS

- ▶ stacks
- ▶ resizing arrays
- ▶ queues
- ▶ generics
- ▶ iterators
- ▶ applications

## Queue API

```
public class QueueOfStrings
{
    QueueOfStrings()           create an empty queue
    void enqueue(String item)  insert a new string onto queue
    String dequeue()           remove and return the string
                               least recently added
    boolean isEmpty()          is the queue empty?
    int size()                 number of strings on the queue
}
```

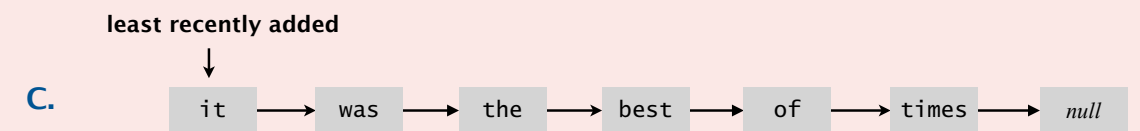
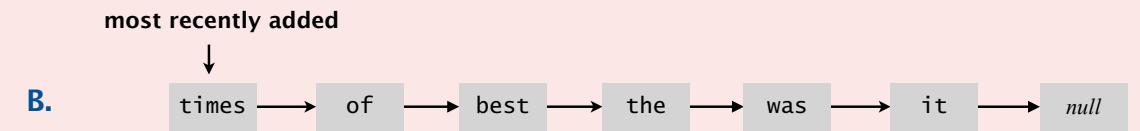


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## Queue quiz 1

### How to implement a queue with a linked list?

A. Can't be done efficiently with a singly-linked list.

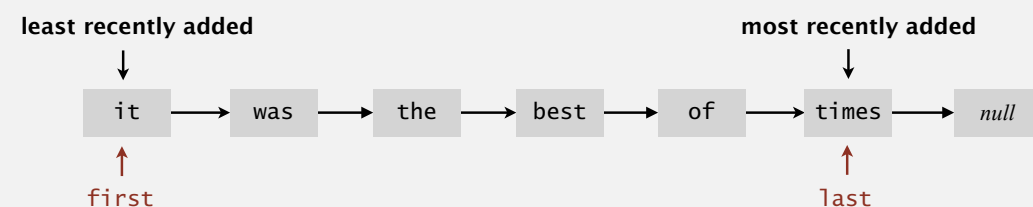


D. I don't know.

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## Queue: linked-list implementation

- Maintain one pointer `first` to first node in a singly-linked list.
- Maintain another pointer `last` to last node.
- Dequeue from `first`.
- Enqueue after `last`.



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## Queue dequeue: linked-list implementation

**inner class**

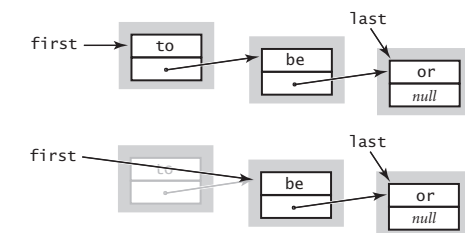
```
private class Node
{
    String item;
    Node next;
}
```

**save item to return**

```
String item = first.item;
```

**delete first node**

```
first = first.next;
```



**return saved item**

```
return item;
```

**Remark.** Identical code to linked-list `stack pop()`.

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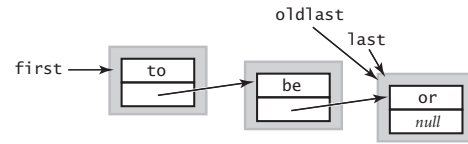
## Queue enqueue: linked-list implementation

inner class

```
private class Node
{
    String item;
    Node next;
}
```

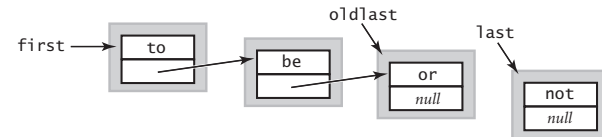
save a link to the last node

```
Node oldlast = last;
```



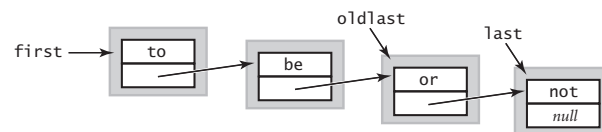
create a new node for the end

```
last = new Node();
last.item = "not";
```



link the new node to the end of the list

```
oldlast.next = last;
```



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## Queue: linked-list implementation in Java

```
public class LinkedQueueOfStrings
{
    private Node first, last;

    private class Node
    { /* same as in LinkedStackOfStrings */ }

    public boolean isEmpty()
    { return first == null; }

    public void enqueue(String item)
    {
        Node oldlast = last;
        last = new Node();
        last.item = item;
        last.next = null;
        if (isEmpty()) first = last;
        else oldlast.next = last;
    }

    public String dequeue()
    {
        String item = first.item;
        first = first.next;
        if (isEmpty()) last = null;
        return item;
    }
}
```

special cases for empty queue

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## Queue quiz 2

How to implement a fixed-capacity queue with an array?

A. Can't be done efficiently with an array.

least recently added



B. 

it	was	the	best	of	times	null	null	null	null
0	1	2	3	4	5	6	7	8	9

most recently added



C. 

times	of	best	the	was	it	null	null	null	null
0	1	2	3	4	5	6	7	8	9

D. I don't know.

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## Queue: resizing-array implementation

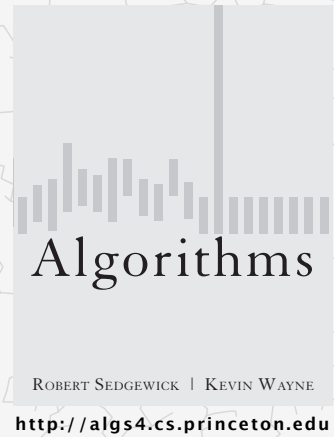
- Use array `q[]` to store items in queue.
- `enqueue()`: add new item at `q[tail]`.
- `dequeue()`: remove item from `q[head]`.
- Update `head` and `tail` modulo the capacity.
- Add resizing array.



Q. How to resize?

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## 1.3 BAGS, QUEUES, AND STACKS

- ▶ stacks
- ▶ resizing arrays
- ▶ queues
- ▶ **generics**
- ▶ iterators
- ▶ applications

## Parameterized stack

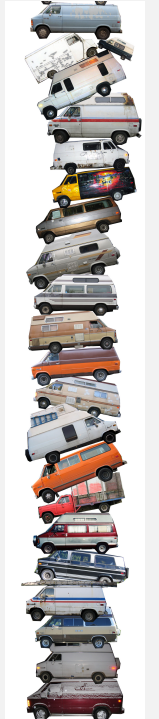
We implemented: StackOfStrings.

We also want: StackOfURLs, StackOfInts, StackOfVans, ....

Attempt 1. Implement a separate stack class for each type.

- Rewriting code is tedious and error-prone.
- Maintaining cut-and-pasted code is tedious and error-prone.

@#\*\$! most reasonable approach until Java 1.5.



## Parameterized stack

We implemented: StackOfStrings.

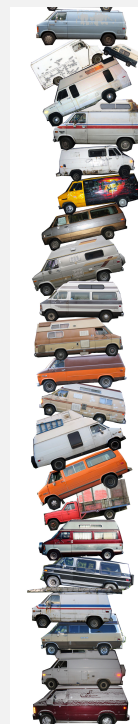
We also want: StackOfURLs, StackOfInts, StackOfVans, ....

Attempt 2. Implement a stack with items of type Object.

- Casting is required in client.
- Casting is error-prone: run-time error if types mismatch.

```
StackOfObjects s = new StackOfObjects();
Apple a = new Apple();
Orange b = new Orange();
s.push(a);
s.push(b);
a = (Apple) (s.pop());
```

run-time error



## Parameterized stack

We implemented: StackOfStrings.

We also want: StackOfURLs, StackOfInts, StackOfVans, ....

Attempt 3. Java generics.

- Avoid casting in client.
- Discover type mismatch errors at compile-time instead of run-time.

```
Stack<Apple> s = new Stack<Apple>();
Apple a = new Apple();
Orange b = new Orange();
s.push(a);
s.push(b);
a = s.pop();
```

type parameter

compile-time error

Guiding principles. Welcome compile-time errors; avoid run-time errors.

## Generic stack: linked-list implementation

```
public class LinkedStackOfStrings
{
    private Node first = null;

    private class Node
    {
        String item;
        Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(String item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public String pop()
    {
        String item = first.item;
        first = first.next;
        return item;
    }
}
```

```
public class Stack<Item>
{
    private Node first = null;

    private class Node
    {
        Item item;
        Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(Item item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public Item pop()
    {
        Item item = first.item;
        first = first.next;
        return item;
    }
}
```

generic type name

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## Generic stack: array implementation

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int N = 0;

    public ..StackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(String item)
    { s[N++] = item; }

    public String pop()
    { return s[--N]; }
}
```

the way it should be

```
public class FixedCapacityStack<Item>
{
    private Item[] s;
    private int N = 0;

    public FixedCapacityStack(int capacity)
    { s = new Item[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(Item item)
    { s[N++] = item; }

    public Item pop()
    { return s[--N]; }
}
```

@#\$\*! generic array creation not allowed in Java

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## Generic stack: array implementation

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int N = 0;

    public ..StackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(String item)
    { s[N++] = item; }

    public String pop()
    { return s[--N]; }
}
```

the way it is

```
public class FixedCapacityStack<Item>
{
    private Item[] s;
    private int N = 0;

    public FixedCapacityStack(int capacity)
    { s = (Item[]) new Object[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(Item item)
    { s[N++] = item; }

    public Item pop()
    { return s[--N]; }
}
```

the ugly cast

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## Unchecked cast

```
% javac FixedCapacityStack.java
Note: FixedCapacityStack.java uses unchecked or unsafe operations.
Note: Recompile with -Xlint:unchecked for details.

% javac -Xlint:unchecked FixedCapacityStack.java
FixedCapacityStack.java:26: warning: [unchecked] unchecked cast
found   : java.lang.Object[]
required: Item[]
    a = (Item[]) new Object[capacity];
           ^
1 warning
```

Q. Why does Java make me cast (or use reflection)?

Short answer. Backward compatibility.

Long answer. Need to learn about **type erasure** and **covariant arrays**.



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## Generic data types: autoboxing

Q. What to do about primitive types?

Wrapper type.

- Each primitive type has a **wrapper** object type.
- Ex: Integer is wrapper type for int.

**Autoboxing.** Automatic cast between a primitive type and its wrapper.

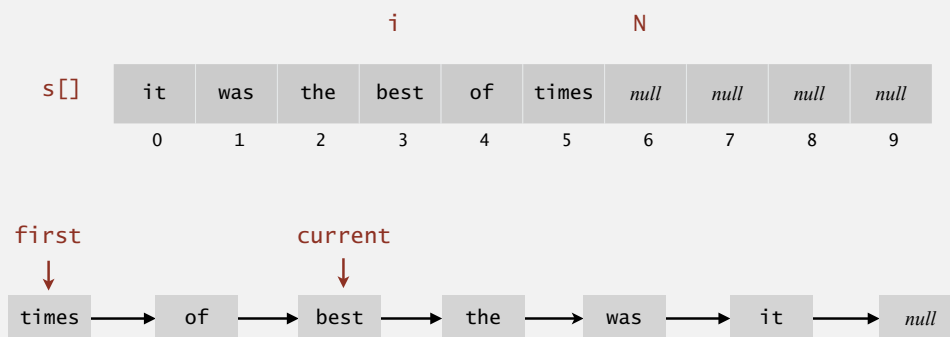
```
Stack<Integer> s = new Stack<Integer>();
s.push(17);      // s.push(Integer.valueOf(17));
int a = s.pop(); // int a = s.pop().intValue();
```

**Bottom line.** Client code can use generic stack for **any** type of data.

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## Iteration

**Design challenge.** Support iteration over stack items by client, without revealing the internal representation of the stack.



**Java solution.** Make stack implement the `java.lang.Iterable` interface.

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## 1.3 BAGS, QUEUES, AND STACKS



- ▶ stacks
- ▶ resizing arrays
- ▶ queues
- ▶ generics
- ▶ iterators
- ▶ applications

## Iterators

Q. What is an `Iterable`?

A. Has a method that returns an `Iterator`.

`java.lang.Iterable` interface

```
public interface Iterable<Item>
{
    Iterator<Item> iterator();
}
```

Q. What is an `Iterator`?

A. Has methods `hasNext()` and `next()`.

`java.util.Iterator` interface

```
public interface Iterator<Item>
{
    boolean hasNext();
    Item next();
    void remove(); ← optional; use
                    at your own risk
}
```

Q. Why make data structures `Iterable`?

A. Java supports elegant client code.

**“foreach” statement (shorthand)**

```
for (String s : stack)
    StdOut.println(s);
```

**equivalent code (longhand)**

```
Iterator<String> i = stack.iterator();
while (i.hasNext())
{
    String s = i.next();
    StdOut.println(s);
}
```

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## Stack iterator: linked-list implementation

```
import java.util.Iterator;

public class Stack<Item> implements Iterable<Item>
{
    ...

    public Iterator<Item> iterator() { return new ListIterator(); }

    private class ListIterator implements Iterator<Item>
    {
        private Node current = first;

        public boolean hasNext() { return current != null; }
        public void remove() { /* not supported */ }
        public Item next()
        {
            Item item = current.item;
            current = current.next;
            return item;
        }
    }
}
```

throw UnsupportedOperationException  
throw NoSuchElementException  
if no more items in iteration



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## Stack iterator: array implementation

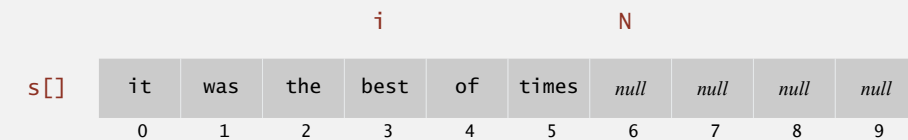
```
import java.util.Iterator;

public class Stack<Item> implements Iterable<Item>
{
    ...

    public Iterator<Item> iterator()
    { return new ReverseArrayIterator(); }

    private class ReverseArrayIterator implements Iterator<Item>
    {
        private int i = N;

        public boolean hasNext() { return i > 0; }
        public void remove() { /* not supported */ }
        public Item next() { return s[--i]; }
    }
}
```



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## Iteration: concurrent modification

Q. What if client modifies the data structure while iterating?

A. A **fail-fast iterator** throws a `java.util.ConcurrentModificationException`.

**concurrent modification**

```
for (String s : stack)
    stack.push(s);
```

Q. How to detect concurrent modification?

A.

- Count total number of `push()` and `pop()` operations in `Stack`.
- Save counts in `*Iterator` subclass upon creation.
- If, when calling either `next()` or `hasNext()`, the current counts do not equal the saved counts, throw exception.

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### 1.3 BAGS, QUEUES, AND STACKS


- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*

## Java collections library

**List interface.** `java.util.List` is API for an sequence of items.

```
public interface List<Item> extends Iterable<Item>
{
    List() create an empty list
    boolean isEmpty() is the list empty?
    int size() number of items
    void add(Item item) append item to the end
    Item get(int index) return item at given index
    Item remove(int index) return and delete item at given index
    boolean contains(Item item) does the list contain the given item?
    Iterator<Item> iterator() iterator over all items in the list
    ...
}
```

**Implementations.** `java.util.ArrayList` uses resizing array;

`java.util.LinkedList` uses linked list.  caveat: only some operations are efficient

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## Java collections library

**`java.util.Stack`.**

- Supports `push()`, `pop()`, and iteration.
- Extends `java.util.Vector`, which implements `java.util.List` interface from previous slide, including `get()` and `remove()`.
- Bloated and poorly-designed API (why?)

**Java 1.3 bug report (June 27, 2001)**

The iterator method on `java.util.Stack` iterates through a Stack from the bottom up. One would think that it should iterate as if it were popping off the top of the Stack.

**status (closed, will not fix)**

It was an incorrect design decision to have Stack extend Vector ("is-a" rather than "has-a"). We sympathize with the submitter but cannot fix this because of compatibility.

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## Java collections library

**`java.util.Stack`.**

- Supports `push()`, `pop()`, and iteration.
- Extends `java.util.Vector`, which implements `java.util.List` interface from previous slide, including `get()` and `remove()`.
- Bloated and poorly-designed API (why?)



**`java.util.Queue`.** An interface, not an implementation of a queue.

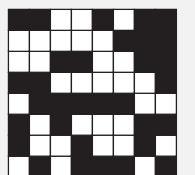
**Best practices.** Use our implementations of Stack, Queue, and Bag.

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## War story (from Assignment 1)

**Generate random open sites in an  $N$ -by- $N$  percolation system.**

- Jenny: pick  $(i, j)$  at random; if already open, repeat.  
Takes  $\sim c_1 N^2$  seconds.
- Kenny: create a `java.util.ArrayList` of  $N^2$  closed sites.  
Pick an index at random and delete.  
Takes  $\sim c_2 N^4$  seconds.



Kenny

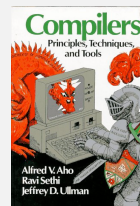
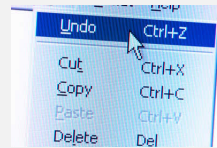
**Lesson.** Don't use a library until you understand its API!

**This course.** Can't use a library until we've implemented it in class.

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## Stack applications

- Parsing in a compiler.
- Java virtual machine.
- Undo in a word processor.
- Back button in a Web browser.
- PostScript language for printers.
- Implementing function calls in a compiler.
- ...



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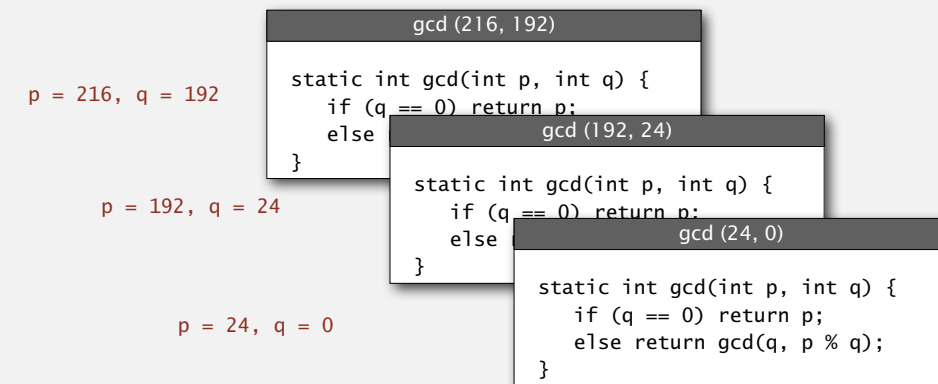
## Function calls

How a compiler implements a function.

- Function call: **push** local environment and return address.
- Return: **pop** return address and local environment.

**Recursive function.** Function that calls itself.

**Note.** Can always use an explicit stack to remove recursion.



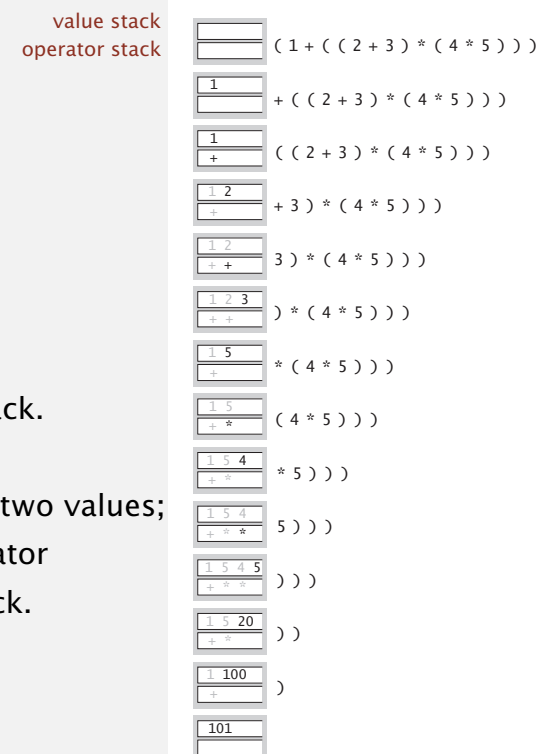
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## Arithmetic expression evaluation

**Goal.** Evaluate infix expressions.

$( 1 + ( ( 2 + 3 ) * ( 4 * 5 ) ) )$

operand      operator



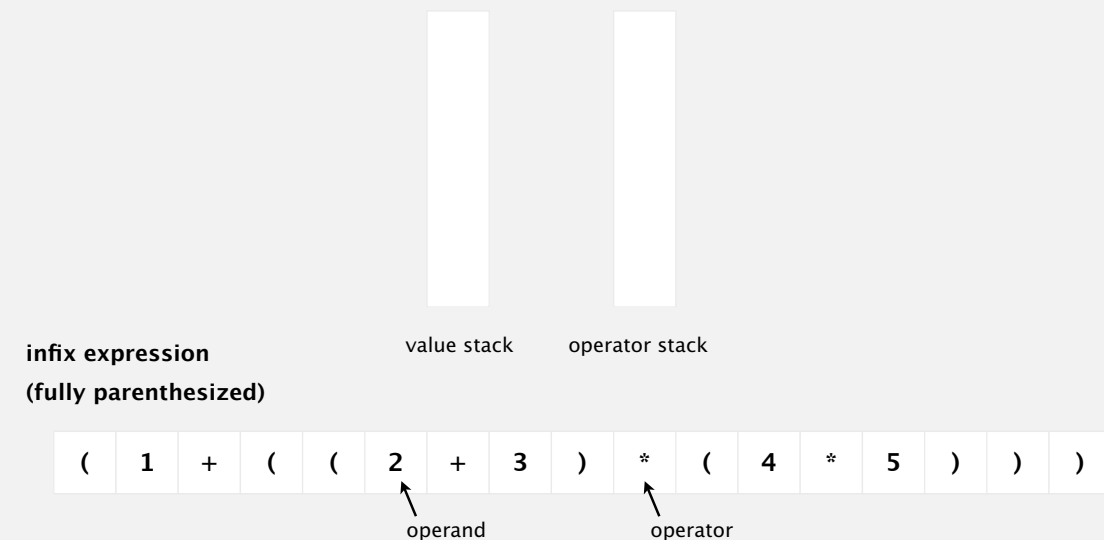
**Two-stack algorithm.** [E. W. Dijkstra]

- Value: push onto the value stack.
- Operator: push onto the operator stack.
- Left parenthesis: ignore.
- Right parenthesis: pop operator and two values; push the result of applying that operator to those values onto the operand stack.

**Context.** An interpreter!

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## Dijkstra's two-stack algorithm demo



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## Arithmetic expression evaluation

```
public class Evaluate
{
    public static void main(String[] args)
    {
        Stack<String> ops = new Stack<String>();
        Stack<Double> vals = new Stack<Double>();
        while (!StdIn.isEmpty()) {
            String s = StdIn.readString();
            if (s.equals("(")) ;
            else if (s.equals("+")) ops.push(s);
            else if (s.equals("*")) ops.push(s);
            else if (s.equals("("))
            {
                String op = ops.pop();
                if (op.equals("+")) vals.push(vals.pop() + vals.pop());
                else if (op.equals("*")) vals.push(vals.pop() * vals.pop());
            }
            else vals.push(Double.parseDouble(s));
        }
        StdOut.println(vals.pop());
    }
}
```

```
% java Evaluate
( 1 + ( ( 2 + 3 ) * ( 4 * 5 ) ) )
101.0
```

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## Correctness

Q. Why correct?

A. When algorithm encounters an operator surrounded by two values within parentheses, it leaves the result on the value stack.

```
( 1 + ( ( 2 + 3 ) * ( 4 * 5 ) ) )
```

as if the original input were:

```
( 1 + ( 5 * ( 4 * 5 ) ) )
```

Repeating the argument:

```
( 1 + ( 5 * 20 ) )
( 1 + 100 )
101
```

**Extensions.** More ops, precedence order, associativity.

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## Stack-based programming languages

**Observation 1.** Dijkstra's two-stack algorithm computes the same value if the operator occurs **after** the two values.

```
( 1 ( ( 2 3 + ) ( 4 5 * ) * ) + )
```

**Observation 2.** All of the parentheses are redundant!

```
1 2 3 + 4 5 * * +
```



Jan Lukasiewicz

**Bottom line.** Postfix or "reverse Polish" notation.

**Applications.** Postscript, Forth, calculators, Java virtual machine, ...

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