

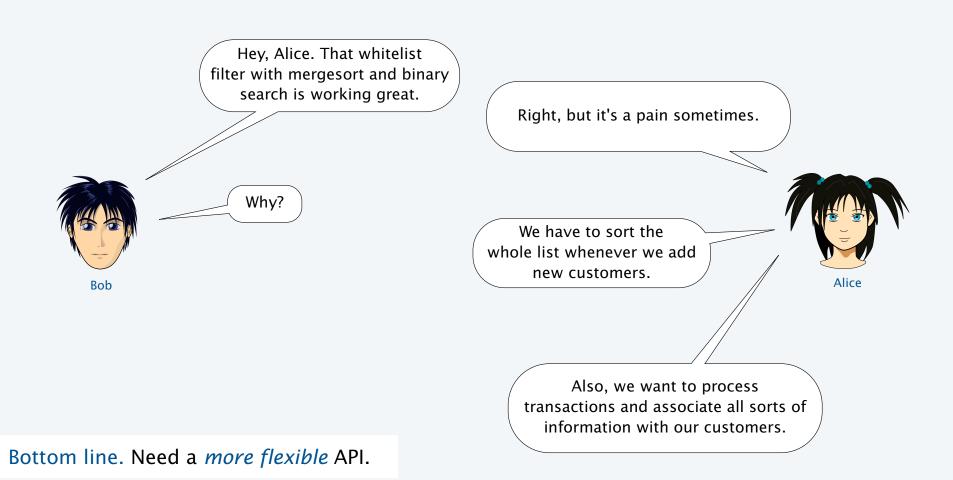
15. Symbol Tables



# 15.Symbol Tables

- APIs and clients
- A design challenge
- Binary search trees
- Implementation
- Analysis

# FAQs about sorting and searching



# Why are telephone books obsolete?







- Change the number associated with a given name.
- Add a new name, associated with a given number.
- Remove a given name and associated number



Observation. Mergesort + binary search has the same problem with add and remove.

## Associative array abstraction

Imagine using arrays whose indices are string values.

```
phoneNumber["Alice"] = "(212) 123-4567"
phoneNumber["Bob"] = "(609) 987-6543"
phoneNumber["Carl"] = "(800) 888-8888"
phoneNumber["Dave"] = "(888) 800-0800"
phoneNumber["Eve"] = "(999) 999-9999"
```

#### A fundamental abstraction

- Use keys to access associated values.
- Keys and values could be any type of data.
- Client code could not be simpler.

Q. How to implement?

```
transactions["Alice"] = "Dec 12 12:01AM
$111.11 Amazon, Dec 12 1:11 AM $989.99 Ebay"
```

legal code in some programming

```
URL["128.112.136.11"] = "www.cs.princeton.edu"

URL["128.112.128.15"] = "www.princeton.edu"

URL["130.132.143.21"] = "www.yale.edu"

URL["128.103.060.55"] = "www.harvard.edu"
```

```
IPaddr["www.cs.princeton.edu"] = "128.112.136.11"
IPaddr["www.princeton.edu"] = "128.112.128.15"
IPaddr["www.yale.edu"] = "130.132.143.21"
IPaddr["www.harvard.edu"] = "128.103.060.55"
```

## Symbol table ADT

A symbol table is an ADT whose values are sets of key-value pairs, with keys all different.

#### Basic symbol-table operations

- Associate a given key with a given value.
   [If the key is not in the table, add it to the table.]
   [If the key is in the table, change its value.]
- Return the value associated with a given key.
- Test if a given key is in the table.
- Iterate though the keys.

#### Useful additional assumptions

- Keys are comparable and iteration is in order.
- No limit on number of key-value pairs.
- All keys *not* in the table associate with *null*.



key: word value: definition



key: time+channel value: TV show



key: number value: function value





key: term value: article

# Benchmark example of symbol-table operations

Application. Count frequency of occurrence of strings in StdIn.

Keys. Strings from a sequence.

Values. Integers.

key	it	was		the		best		of		times		it		was		the		worst	
value	1	1		1		1		1		1		2		2		2		1	
symbol-table contents after operation	it 1	it	1	it	1	best	1	best	1	best	1	best	1	best	1	best	1	best	1
		was	1	the	1	it	1	of	1	of	1	of	1	of	1	of	1	of	1
				was	1	the	1	it	1	it	1	it	2	it	2	it	2	it	2
						was	1	the	1	the	1	the	1	\ the	1	the	2	the	2
								was	1	times	1	times	1	times	1	times	1	times	1
										was	1	was	1	was	2	was	2	was	2
															$\uparrow$			worst	1
															han e va				

## Parameterized API for symbol tables

Goal. Simple, safe, and clear client code for symbol tables holding any type of data.

#### Java approach: Parameterized data types (generics)

- Use placeholder type names for both keys and values.
- Substitute concrete types for placeholder in clients.

public class ST<Key extends Comparable<Key>, Value>

ST<Key, Value>() create a symbol table

void put(Key key, Value val) associate key with val

Value get(Key key) return value associated with key, null if none

boolean contains(Key key) is there a value associated with key?

Iterable<Key> keys() all the keys in the table

"implements compareTo()"

### Symbol Table API

### Aside: Iteration (client code)

Q. How to print the contents of a stack/queue?

A. Use Java's *foreach* construct.

#### Enhanced for loop.

- Useful for any collection.
- Iterate through each entry in the collection.
- Order determined by implementation.
- Substantially simplifies client code.
- Works when API "implements Iterable".

#### Java foreach construct

```
Stack<String> stack = new Stack<String>();
...
for (String s : stack)
    StdOut.println(s);
...
```

```
public class Stack<Item> implements Iterable<Item>
Stack<Item>() create a stack of objects, all of type Item

void push(Item item) add item to stack

Item pop() remove and return item most recently pushed

boolean isEmpty() is the stack empty?

int size() # of objects on the stack
```

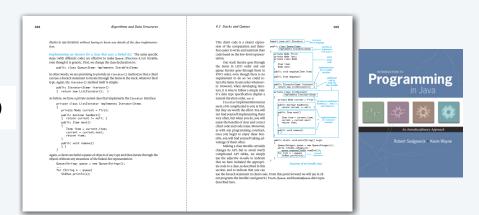
Performance specification. Constant-time per entry.

# Aside: Iteration (implementation)

- Q. How to "implement Iterable"?
- A. We did it for Stack and Queue, so you don't have to.

<pre>public class Stack<item> implements Iterable<item></item></item></pre>				
Stack <item>() create a stack of objects, all of type Item</item>				
<pre>void push(Item item)</pre>	add item to stack			
<pre>Item pop()</pre>	remove and return item most recently pushed			
boolean isEmpty()	is the stack empty?			
int size()	# of objects on the stack			

A. Implement an Iterator (see text pp. 588-89)



Meets performance specification. Constant-time per entry.

Bottom line. Use iteration in client code that uses collections.

# Why ordered keys?

#### Natural for many applications

- Numeric types.
- Strings.
- Date and time.
- Client-supplied types (Account numbers, ...).

#### Enables useful API extensions

- Provide the keys in sorted order.
- Find the *k*th largest key.

#### **Enables efficient implementations**

- Mergesort.
- Binary search.
- BSTs (this lecture).



thingsorganizedneatly.tumblr.com

### Symbol table client example 1: Sort (with dedup)

#### Goal. Sort lines on standard input (and remove duplicates).

- Key type. String (line on standard input).
- Value type. (ignored).

```
public class Sort
{
   public static void main(String[] args)
   { // Sort lines on StdIn
     BST<String, Integer> st = new BST<String, Integer>();
     while (StdIn.hasNextLine())
        st.put(StdIn.readLine(), 0);
     for (String s : st.keys())
        StdOut.println(s);
   }
}
foreach
construct
```

```
% more tale.txt
it was the best of times
it was the worst of times
it was the age of wisdom
it was the age of foolishness
it was the epoch of belief
it was the epoch of incredulity
it was the season of light
it was the season of darkness
it was the spring of hope
it was the winter of despair
```

```
% java Sort < tale.txt
it was the age of foolishness
it was the age of wisdom
it was the best of times
it was the epoch of belief
it was the epoch of incredulity
it was the season of darkness
it was the season of light
it was the spring of hope
it was the winter of despair
it was the worst of times
```

### Symbol table client example 2: Frequency counter

#### Goal. Compute frequencies of words on standard input.

- Key type. String (word on standard input).
- Value type. Integer (frequency count).

```
% more tale.txt
it was the best of times
it was the worst of times
it was the age of wisdom
it was the age of foolishness
   % java Freq < tale.txt | java Sort
it
          1 belief
it
          1 best
it
          1 darkness
it
          1 despair
it
          1 foolishness
          1 hope
          1 incredulity
          1 light
          1 spring
          1 winter
          1 wisdom
          1 worst
          2 age
            epoch
          2 season
          2 times
         10 it
         10
            of
         10
            the
         10
            was
```

## Symbol table client example 3: Index

#### Goal. Print index to words on standard input.

- Key type. String (word on standard input).
- Value type. Queue<Integer> (indices where word occurs).

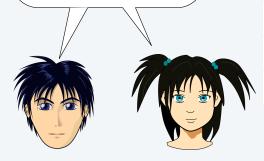
```
public class Index
{
   public static void main(String[] args)
   {
     BST<String, Queue<Integer>> st;
     st = new BST<String, Queue<Integer>>();
     int i = 0;
     while (!StdIn.isEmpty())
     {
        String key = StdIn.readString();
        if (!st.contains(key))
            st.put(key, new Queue<Integer>());
        st.get(key).enqueue(i++);
     }
     for (String s : st.keys())
        StdOut.println(s + " " + st.get(s));
}
```

```
% more tale.txt
it was the best of times
it was the worst of times
it was the age of wisdom
it was the age of foolishness
   % java Index < tale.txt</pre>
it
   age 15 21
   belief 29
it
   best 3
   darkness 47
   despair 59
   epoch 27 33
   foolishness 23
   hope 53
   incredulity 35
   it 0 6 12 18 24 30 36 42 48 54
   light 41
   of 4 10 16 22 28 34 40 46 52 58
   season 39 45
   spring 51
   the 2 8 14 20 26 32 38 44 50 56
   times 5 11
   was 1 7 13 19 25 31 37 43 49 55
   winter 57
   wisdom 17
   worst 9
```

# Symbol-table applications

Symbol tables are *ubiquitous* in today's computational infrastructure.

We're going to need a good symbol-table implementation!



application	key	value
contacts	name	phone number, address
credit card	account number	transaction details
file share	name of song	computer ID
dictionary	word	definition
web search	keyword	list of web pages
book index	word	list of page numbers
cloud storage	file name	file contents
domain name service	domain name	IP address
reverse DNS	IP address	domain name
compiler	variable name	value and type
internet routing	destination	best route



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CS.15.A.SymbolTables.API



# 15.Symbol Tables

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#### Benchmark

#### Application. Linguistic analysis

Zipf's law (for a natural language corpus)

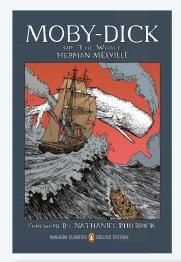
- Suppose most frequent word occurs about t times.
- 2nd most frequent word occurs about t/2 times.
- 3rd most frequent word occurs about t/3 times.
- 4th most frequent word occurs about t/4 times.

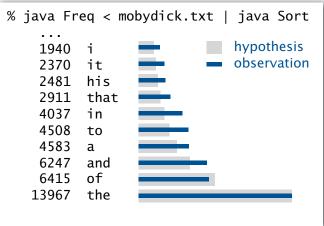
Goal. Validate Zipf's law for real natural language data.

Method.

% java Freq < data.txt | java Sort</pre>

Required. Efficient symbol-table implementation.





## **Benchmark statistics**

Goal. Validate Zipf's law for real natural language data.

Method.

% java Freq < data.txt | java Sort



file	description	words	distinct		
mobydick.txt	Melville's <i>Moby Dick</i>	210,028	16,834		
liepzig100k.txt	100K random sentences	2,121,054	144,256		
liepzig200k.txt	200K random sentences	4,238,435	215,515		
liepzig1m.txt	1M random sentences	21,191,455	534,580		

Reference: Wortschatz corpus, Universität Leipzig http://corpora.informatik.uni-leipzig.de

Required. Efficient symbol-table implementation.

## Strawman I: Ordered array

#### Idea

- Keep keys in order in an array.
- Keep values in a parallel array.

#### Reasons (see "Sorting and Searching" lecture)

- Takes advantage of fast sort (mergesort).
- Enables fast search (binary search).

Known challenge. How big to make the arrays?

Fatal flaw. How to insert a new key?

- To keep key array in order, need to move larger entries à la insertion sort.
- Hypothesis: Quadratic time for benchmark.

keys	values
alice	121
bob	873
carlos	884
carol	712
dave	585
erin	247
eve	577
oscar	675
peggy	895
trent	557
trudy	926
walter	51
wendy	152

keys	values				
alice	121				
bob	873				
carlos	884				
carol	712				
craig	999				
dave	585				
erin	247				
eve	577				
oscar	675				
peggy	895				
trent	557				
trudy	926				
walter	51				
wendy	152				

easy to validate with experiments

#### Strawman II: Linked list

#### Idea

- Keep keys in order in a linked list.
- Add a value to each node.

Reason. Meets memory-use performance specification.



Fatal flaw. How to search?

- Binary search requires indexed access.
- Example: How to access the middle of a linked list?
- Only choice: search sequentially through the list.
- Hypothesis: Quadratic time for benchmark.

easy to validate with experiments

## Design challenge

Implement scalable symbol tables.

Goal. Simple, safe, clear, and efficient client code.

Only slightly more costly than stacks or queues!

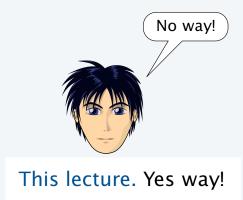
# Performance specifications

- Order of growth of running time for put(), get() and contains() is logarithmic.
- Memory use is proportional to the size of the collection, when it is nonempty.
- No limits within the code on the collection size.

#### Are such guarantees achievable??

Can we implement associative arrays with just log-factor extra cost??

phoneNumber["Alice"] = "(212) 123-4567"





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CS.15.B.SymbolTables.Challenge

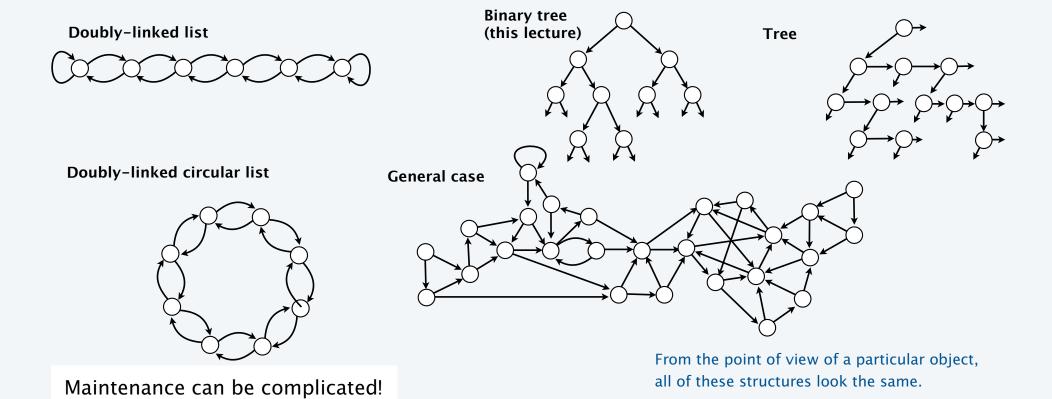


# 15.Symbol Tables

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# Doubly-linked data structures

With two links ( ) a wide variety of data structures are possible.



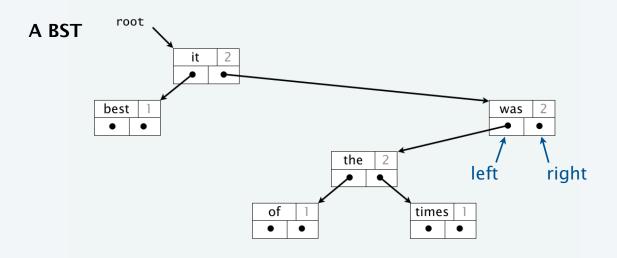
25

## A doubly-linked data structure: binary search tree

#### Binary search tree (BST)

- A recursive data structure containing distinct comparable keys that is *ordered*.
- Def. A BST is a null or a reference to a BST node (the root).
- Def. A *BST node* is a data type that contains references to a key, a value, and two BSTs, a *left* subtree and a *right* subtree.
- Ordered. All keys in the *left* subtree of each node are *smaller* than its key and all keys in the *right* subtree of each node are *larger* than its key.

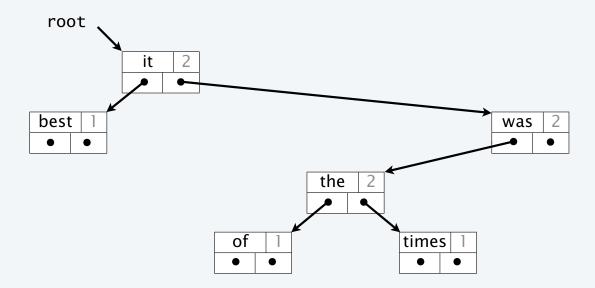
```
private class Node
{
   private Key key;
   private Value val;
   private Node left;
   private Node right;
}
```



# BST processing code

### Standard operations for processing data structured as a binary search tree

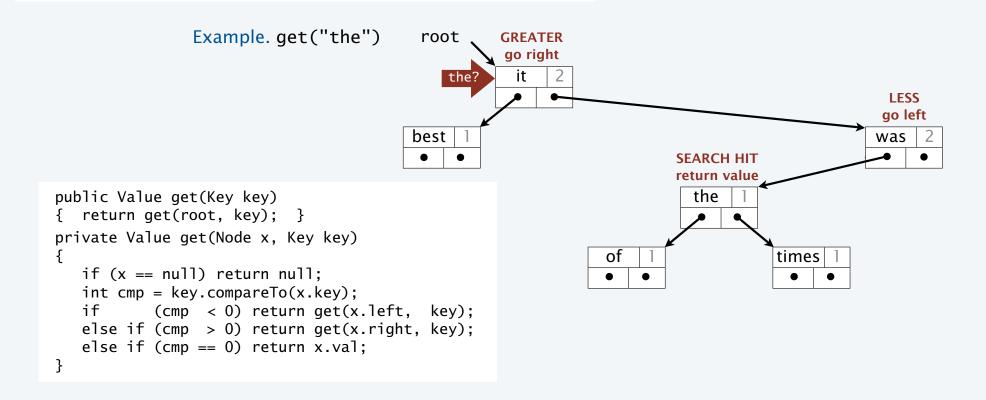
- Search for the value associated with a given key.
- Add a new key-value pair.
- Traverse the BST (visit every node, in order of the keys).
- Remove a given key and associated value (not addressed in this lecture).



# BST processing code: Search

Goal. Find the value associated with a given key in a BST.

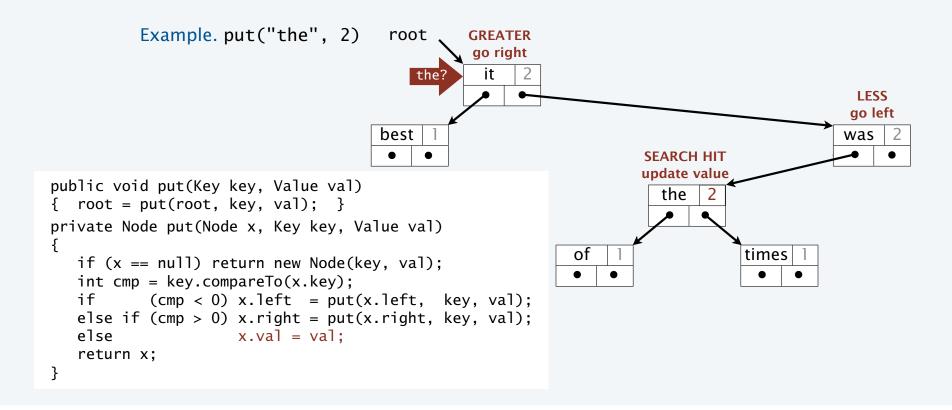
- If less than the key at the current node, go left.
- If greater than the key at the current node, go right.



# BST processing code: Associate a new value with a key

Goal. Associate a new value with a given key in a BST.

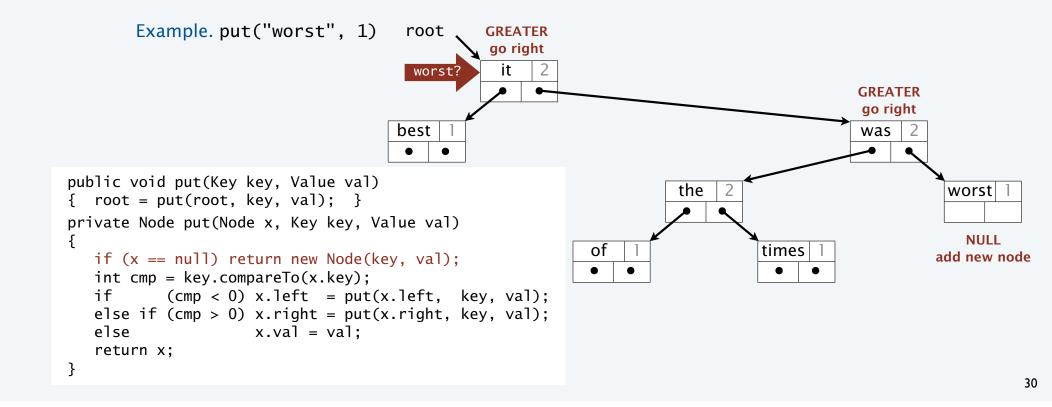
- If less than the key at the current node, go left.
- If greater than the key at the current node, go right.



## BST processing code: Add a new key

Goal. Add a new key-value pair to a BST.

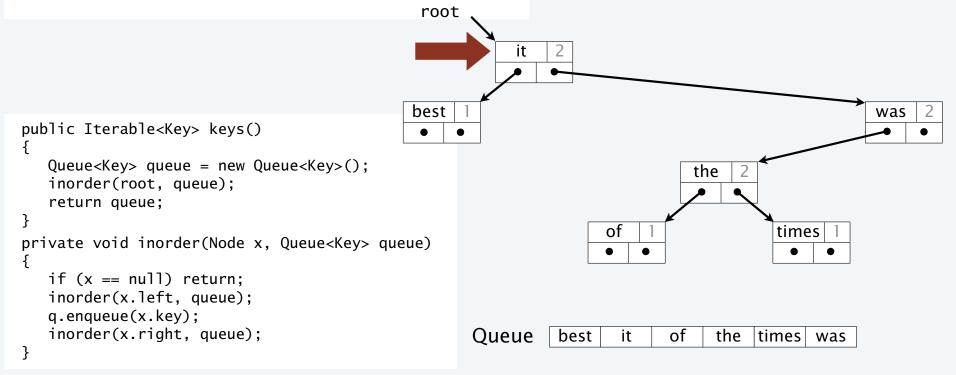
- Search for key.
- Return link to new node when null reached.



## BST processing code: Traverse the BST

Goal. Put keys in a BST on a queue, in sorted order.

- Do it for the left subtree.
- Put the key at the root on the queue.
- Do it for the right subtree.





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CS.15.C.SymbolTables.BSTs



# 15.Symbol Tables

- APIs and clients
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# ADT for symbol tables: review

A symbol table is an idealized model of an associative storage mechanism.

An ADT allows us to write Java programs that use and manipulate symbol tables.

	<pre>public class ST<key comparable<key="" extends="">, Value&gt;</key></pre>							
API	ST <key, value="">()</key,>	create a symbol table						
	<pre>void put(Key key, Value val)</pre>	associate key with val						
	Value get(Key key)	return value associated with key, null if none						
	boolean contains(Key key)	is there a value associated with key?						
	<pre>Iterable<key> keys()</key></pre>	all the keys in the table						

# Performance specifications

- Order of growth of running time for put(), get() and contains() is logarithmic.
- Memory use is proportional to the size of the collection, when it is nonempty.
- No limits within the code on the collection size.

# Symbol table implementation: Instance variables and constructor

instance variables Data structure choice. Use a BST to hold the collection. constructor public class BST<Key extends Comparable<Key>, Value> methods private Node root = null; test client private class Node private Key key; private Value val; private Node left; root private Node right; best the times

## BST implementation: Test client (frequency counter)

What we expect, once the implementation is done.

```
% java BST < tale.txt</pre>
       2 age
       1 belief
       1 best
       1 darkness
        despair
         epoch
         foolishness
       1 hope
       1 incredulity
      10 it
       1 light
      10 of
       2 season
         spring
      10 the
         times
      10
         was
       1 winter
       1 wisdom
       1 worst
```

instance variables

constructors

methods

test client

### BST implementation: Methods

Methods define data-type operations (implement the API).

```
public class BST<Key extends Comparable<Key>, Value>
  public boolean isEmpty()
   { return root == null; }
  public void put(Key key, Value value)
   { /* See BST add slides and next slide. */ }
  public Value get(Key key)
   { /* See BST search slide and next slide. */ }
  public boolean contains(Key key)
   { return get(key) != null; }
  public Iterable<Key> keys()
   { /* See BST traverse slide and next slide. */ }
```



### **BST** implementation

```
public class BST<Key extends Comparable<Key>, Value>
                                                             private Value get(Node x, Key key)
                                                                if (x == null) return null;
  private Node root = null; ←

    instance variable

                                                                int cmp = key.compareTo(x.key);
                                                                        (cmp < 0) return qet(x.left, key);
  private class Node
                                                                else if (cmp > 0) return get(x.right, key);
                                                                else if (cmp == 0) return x.val;
                                                             }
     private Key key:
     private Value val:

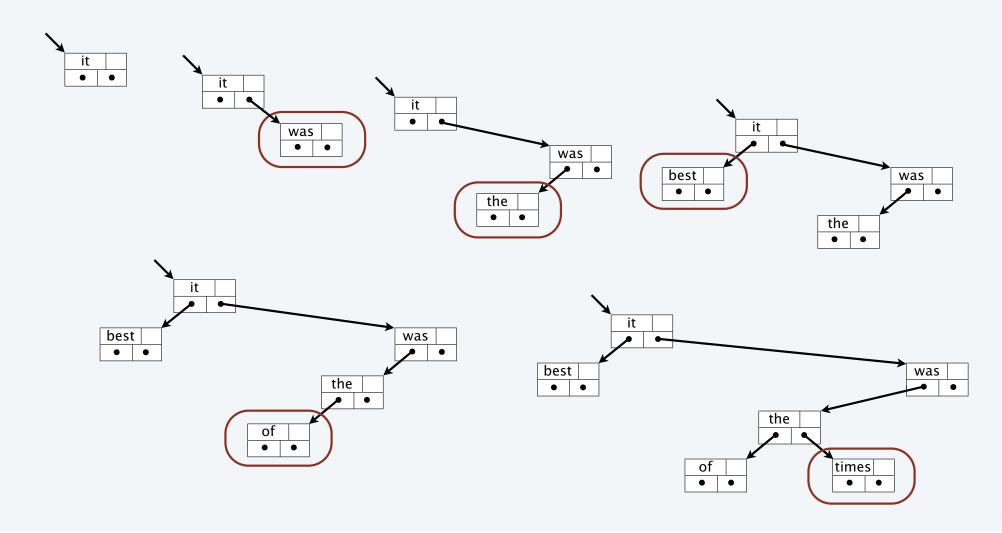
    nested class

                                                             private Node put(Node x, Key key, Value val)
     private Node left:
     private Node right:
                                                                if (x == null) return new Node(key, val);
  }
                                                                int cmp = key.compareTo(x.key);
                                                                        (cmp < 0) x.left = put(x.left, key, val);
  public boolean isEmpty()
                                                                else if (cmp > 0) x.right = put(x.right, key, val);
                                                                                  x.val = val;
  { return root == null; }
                                               private
                                              methods
                                                                return x;
                                                             }
  public void put(Key key, Value val)
  { root = put(root, key, val); }
                                                             private void inorder(Node x, Queue<Key> q)
  public Value get(Key key)
  { return get(root, key); }
                                                                if (x == null) return;
                                               public
                                                                inorder(x.left, q);
                                               methods
  public boolean contains(Kev kev)
                                                                q.enqueue(x.key);
  { return get(key) != null; }
                                                                inorder(x.right, a);
  public Iterable<Key> keys()
                                                             public static void main(String[] args)

    test client

     Queue<Key> q = new Queue<Key>();
                                                             { // Frequency counter }
     inorder(root, q);
      return q;
  }
```

### Trace of BST construction





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CS.15.D.SymbolTables.Implementation

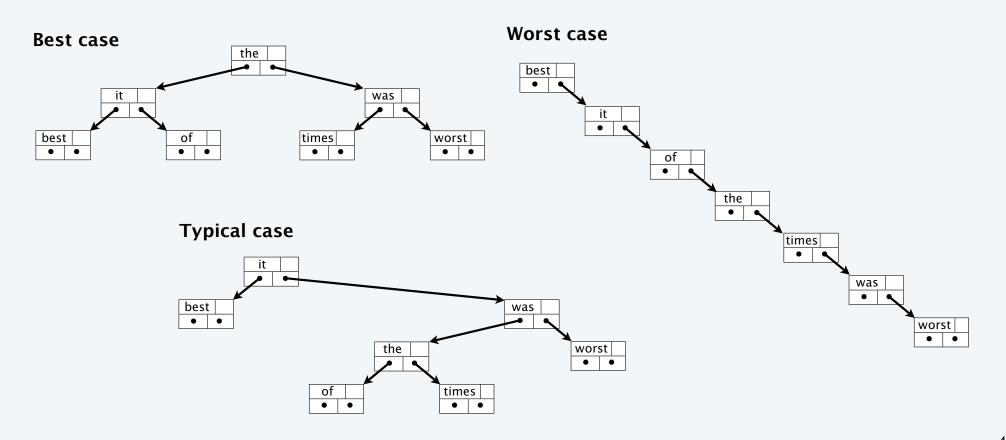


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### **BST** analysis

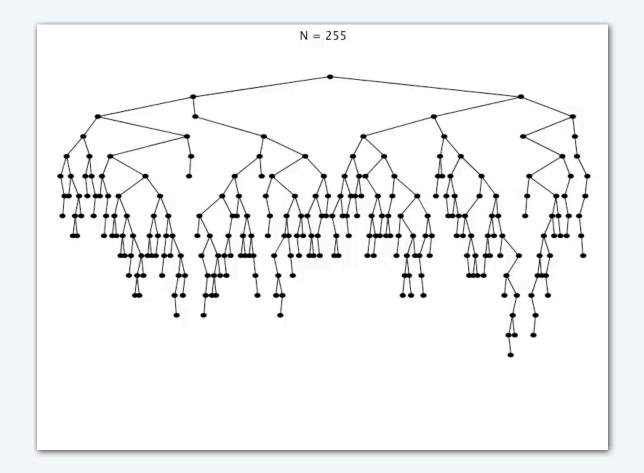
### Costs depend on order of key insertion.



### BST insertion: random order visualization

Insert keys in random order.

- Tree is roughly balanced.
- Tends to stay that way!

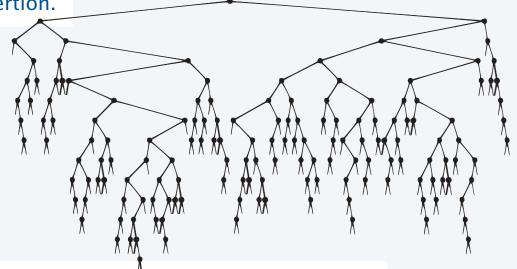


### **BST** analysis

Running time depends on order of key insertion.

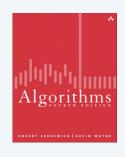
Model. Insert keys in random order.

- Tree is roughly balanced.
- Tends to stay that way!



Proposition. Building a BST by inserting N randomly ordered keys into an initially empty tree uses ~2  $N \ln N$  (about 1.39  $N \lg N$ ) compares.

Proof. A very interesting exercise in discrete math.



Interested in details? Take a course in algorithms.

### Benchmarking the BST implementation

BST implements the associative-array abstraction for randomly ordered keys.

#### Symbol table API

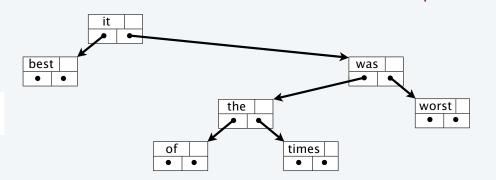
public class SI <key comparable<key="" extends="">, Value&gt;</key>		
ST <key, value="">()</key,>	create a symbol table	
void put(Key key, Value value)	associate key with value	
Value get(Key key)	return value associated with key, null if none	
boolean contains(Key key)	is there a value associated with key?	
<pre>Iterable<key> keys()</key></pre>	all the keys in the table (sorted)	

for random keys (but stay tuned)

## Performance specifications

- Order of growth of running time for put(), get() and contains() is logarithmic.
- Memory use is proportional to the size of the collection, when it is nonempty.
- No limits within the code on the collection size.

Made possible by binary tree data structure.



### **Empirical tests of BSTs**

Count number of words that appear more than once in StdIn.

Frequency count without the output

N	T <sub>N</sub> (seconds)	$T_N/T_{N/2}$
1 million	5	
2 million	9	1.8
4 million	17	1.9
8 million	34	2
16 million	72	2.1
1 BILLION	4608	2

WILL scale

% java Generator 1000000 ...
263934 (5 seconds)
% java Generator 2000000 ...
593973 (9 seconds)
% java Generator 4000000 ...
908795 (17 seconds)
% java Generator 8000000 ...
996961 (34 seconds)
% java Generator 16000000 ...
999997 (72 seconds)

... = 6 0123456789 | java DupsBST 6-digit integers

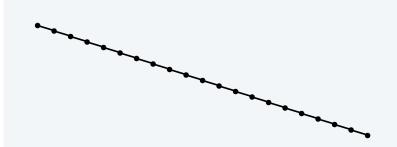


Easy to process 21M word corpus NOT possible with brute-force

### Performance guarantees

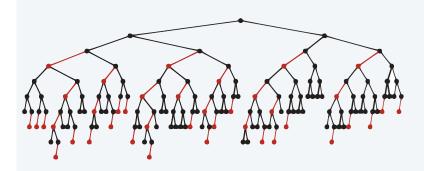
Practical problem. Keys may *not* be randomly ordered.

- BST may be unbalanced.
- Running time may be quadratic.
- Happens in practice (insert keys in order).



#### Remarkable resolution.

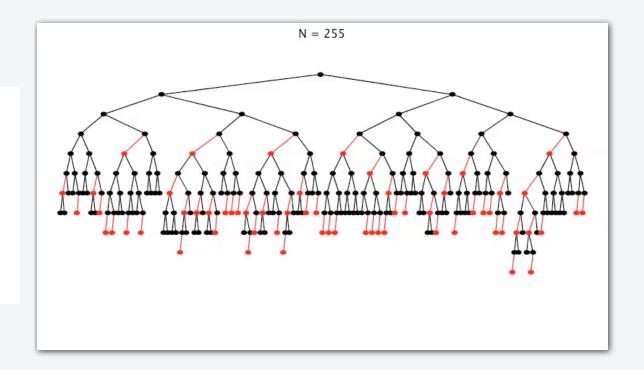
- Balanced tree algorithms perform simple transformations that guarantee balance.
- AVL trees (Adelson-Velskii and Landis, 1962) proved concept.
- Red-black trees (Guibas and Sedgewick, 1979) are implemented in many modern systems.



### Red-black tree insertion: random order visualization

Insert keys in random order.

- Same # of black links on every path from root to leaf.
- No two red links in a row.
- Tree is roughly balanced.
- Guaranteed to stay that way!



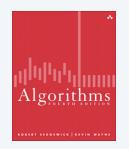
### ST implementation with guaranteed logarithmic performance

Java's TreeMap library uses red-black trees.

Proposition. In a red-black tree of size N, put(), get() and contains() are *guaranteed* to use fewer than 2lg N compares.

Proof. A fascinating exercise in algorithmics.

Several other useful operations also available.



Interested in details? Take a course in algorithms.

### Summary

BSTs. Simple symbol-table implementation, usually efficient.

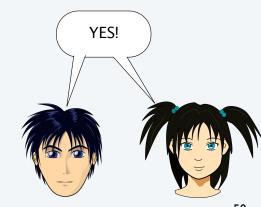
Red-black trees. More complicated variation, *guaranteed* to be efficient.

Applications. Many, many, many things are enabled by efficient symbol tables.

Example. Search among 1 trillion customers with less than 80 compares!

Example. Search among all the atoms in the universe with less than 200 compares!

Can we implement associative arrays with just log-factor extra cost??





### COMPUTER SCIENCE SEDGEWICK/WAYNE



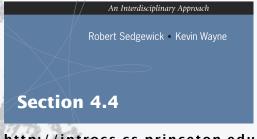












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15. Symbol Tables