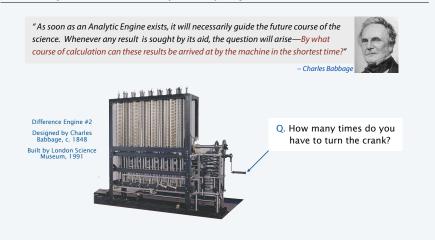


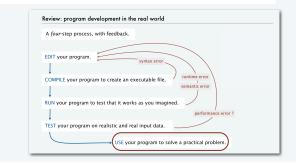
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The challenge (since the earliest days of computing machines)



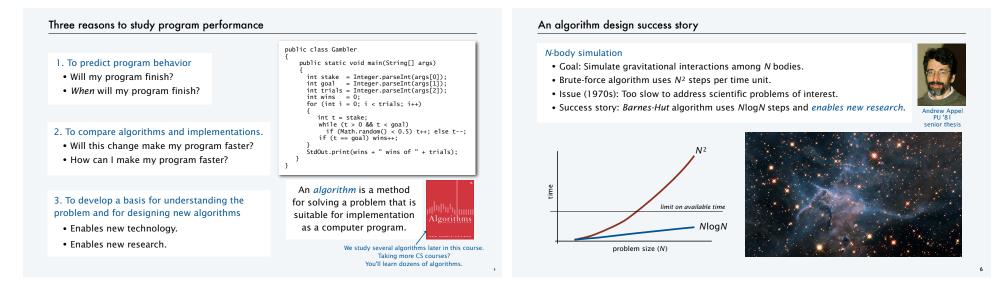
The challenge (modern version)

Q. Will I be able to use my program to solve a large practical problem?



Q. If not, how might I understand its performance characteristics so as to improve it?

Key insight (Knuth 1970s). Use the scientific method to understand performance.



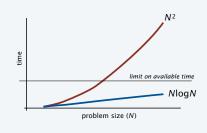
Another algorithm design success story

Fast Fourier transform

- Goal: Break down waveform of *N* samples into periodic components.
- Applications: digital signal processing, spectroscopy, ...

John Tukey 1915–2000

- Brute-force algorithm uses N² steps.
- Issue (1950s): Too slow to address commercial applications of interest.
- Success story: FFT algorithm uses NlogN steps and enables new technology.





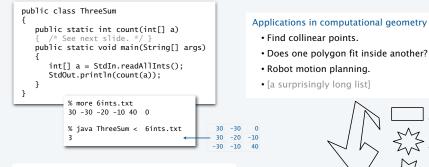
Quick aside: binary logarithms

- Def. The *binary logarithm* of a number *N* (written lg *N*) 3 -N S is the number x satisfying $2^{x} = N$ 0L or log₂N Q. How many recursive calls for convert(N)? Frequently encountered values log₁₀N Ν approximate value laN public static String convert(int N) 210 10 3.01 1 thousand if (N == 1) return "1"; return convert(N/2) + (N % 2); 220 1 million 20 6.02 230 1 billion 30 9.03 Prove by induction. A. Largest integer less than or equal to $\lg N$ (written $\lfloor \lg N \rfloor$). Details in "sorting and searching" lecture.
- Fact. The number of bits in the binary representation of N is $1 + \lfloor \lg N \rfloor$.
- Fact. Binary logarithms arise in the study of algorithms based on recursively solving problems half the size (divide-and-conquer algorithms), like convert, FFT and Barnes-Hut.

An algorithmic challenge: 3-sum problem

Three-sum. Given *N* integers, enumerate the triples that sum to 0.

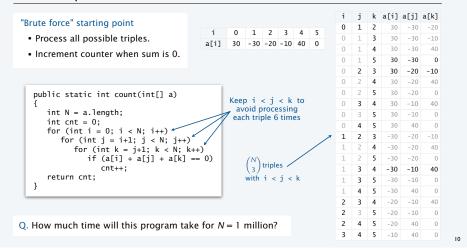




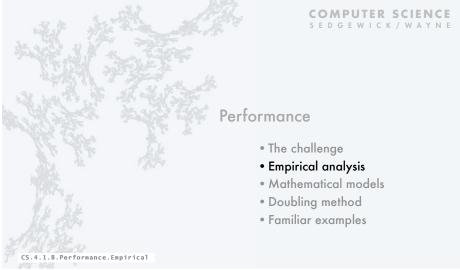
Q. Can we solve this problem for N = 1 million?



Three-sum implementation



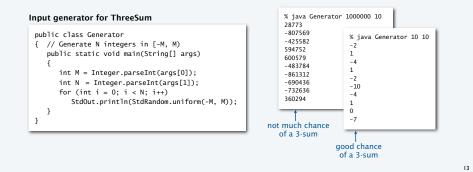




A first step in analyzing running time

Find representative inputs

- Option 1: Collect actual potential input data.
- Option 2: Write a program to generate representative inputs.



Empirical analysis

Run experiments

- Start with a moderate size.
- Measure and record running time.
- Double size.
- Repeat.
- Tabulate and plot results.

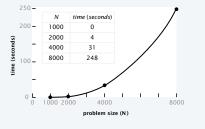
Run experiments

% java Generator 1000 1000000 | java ThreeSum 59 (0 seconds) % java Generator 2000 1000000 | java ThreeSum 522 (4 seconds) % java Generator 4000 1000000 | java ThreeSum 3992 (31 seconds) % java Generator 8000 1000000 | java ThreeSum 31903 (248 seconds)

Measure running time Replace println() in ThreeSum

double start = System.currentTimeMillis()/1000.0; int cnt = count(a); double now = System.currentTimeMillis()/1000.0; StdOut.printf("%d (%.Of seconds)\n", cnt, now - start);

Tabulate and plot results

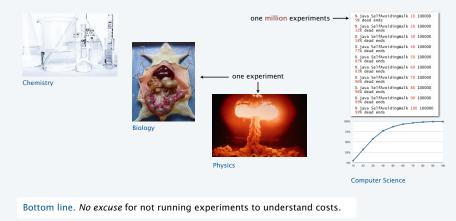


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Aside: experimentation in CS

is virtually free, particularly by comparison with other sciences.



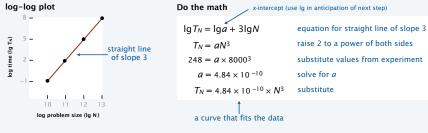
Data analysis

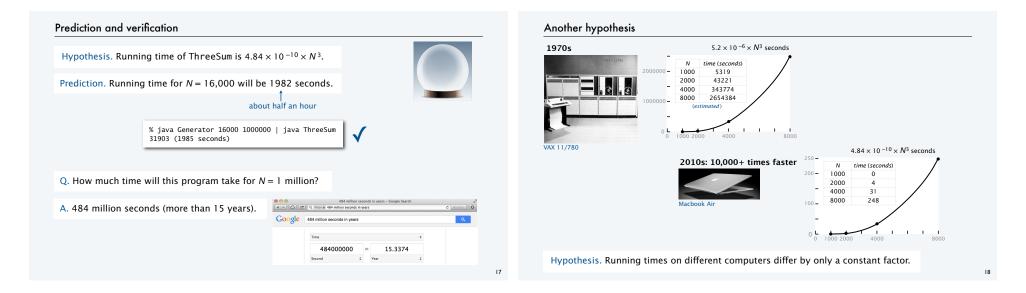
Curve fitting

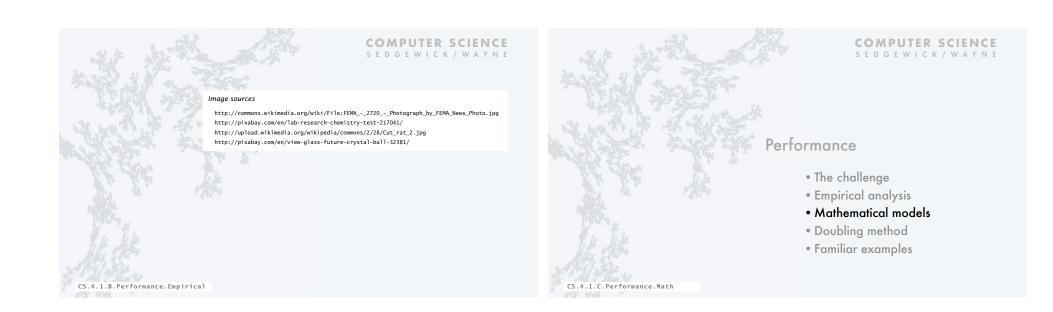
- Plot on *log-log scale*.
- If points are on a straight line (often the case), a power law holds—a curve of the form aN^b fits.
- The exponent *b* is the slope of the line.
- Solve for *a* with the data.











Mathematical models for running time

Q. Can we write down an accurate formula for the running time of a computer program?

- A. (Prevailing wisdom, 1960s) No, too complicated.
- A. (D. E. Knuth, 1968-present) Yes!
- Determine the set of operations.
- Find the *cost* of each operation (depends on computer and system software).
- Find the *frequency of execution* of each operation (depends on algorithm and inputs).
- Total running time: sum of cost × frequency for all operations.



Warmup: 1-sum

	operation	cost	frequency
	function call/return	20 ns	1
<pre>public static int count(int[] a) {</pre>	variable declaration	2 ns	2
<pre>int N = a.length; int cnt = 0:</pre>	assignment	1 <i>ns</i>	2
for (int $i = 0; i < N; i++$)	less than compare	1/2 ns	N + 1
if (a[i] == 0) cnt++;	equal to compare	1/2 ns	Ν
return cnt;	array access	1/2 ns	Ν
Note that frequency of increments depends on input.	increment	1/2 ns	between N and $2N$
	representative estimates (with some poetic license); knowing exact values may require study and experimentation.		

Q. Formula for total running time ?

A. cN + 26.5 nanoseconds, where c is between 2 and 2.5, depending on input.

Warmup: 2-sum

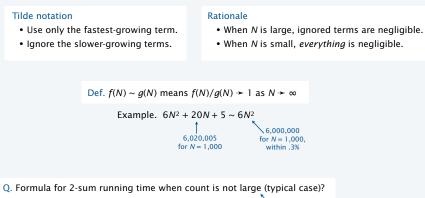
	operation	cost	frequency
<pre>public static int count(int[] a)</pre>	function call/return	20 ns	1
{ int N = a.length;	variable declaration	2 ns	N + 2
<pre>int cnt = 0; for (int i = 0; i < N; i++) for (int j = i+1; j < N; j++) if (a[i] + a[j] == 0) cnt++; return cnt; }</pre>	assignment	1 <i>ns</i>	N + 2
	less than compare	1/2 ns	(N + 1) (N + 2)/2
	equal to compare	1/2 ns	N (N - 1)/2
	array access	1/2 ns	N (N - 1)
	increment	1/2 ns	between $N(N-1)/2$ and $N(N-1)$
			exact counts tedious to derive $\begin{pmatrix} N \end{pmatrix} = N(N-1)$

i < j = $\binom{N}{2} = \frac{N(N-1)}{2}$

Q. Formula for total running time?

A. $c_1N^2 + c_2N + c_3$ nanoseconds, where... [complicated definitions].

Simplifying the calculations



A. ~ $6N^2$ nanoseconds.

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Mathematical model for 3-sum

	operation
<pre>public static int count(int[] a)</pre>	function call/return
<pre>int N = a.length; int cnt = 0;</pre>	variable declaration
for (int $i = 0; i < N; i++$)	assignment
for (int j = i+1; j < N; j++) for (int k = j+1; k < N; k++)	less than compare
if (a[i] + a[j] + a[k] == 0) cnt++:	equal to compare
return cnt;	array access
}	increment
	(

operation	cost	frequency
function call/return	20 ns	1
variable declaration	2 ns	~N
assignment	1 <i>ns</i>	~N
less than compare	1/2 ns	~N ³ /6
equal to compare	1/2 ns	~N ³ /6
array access	1/2 ns	~N ³ /2
increment	1/2 ns	~N ³ /6
$< j < k = \binom{N}{3} = \frac{N(N)}{N}$	$\frac{(N-1)(N-2)}{6}$	$\frac{N^3}{6}$

Q. Formula for total running time when return value is not large (typical case)?

A. ~ $N^3/2$ nanoseconds.

CS.4.1.C.Performance.Math

 \checkmark matches 4.84 × 10⁻¹⁰ × N³ empirical hypothesis

Context

Scientific method

- Observe some feature of the natural world.
- Hypothesize a model consistent with observations.
- *Predict* events using the hypothesis.
- Verify the predictions by making further observations.
- Validate by refining until hypothesis and observations agree.

Empirical analysis of programs

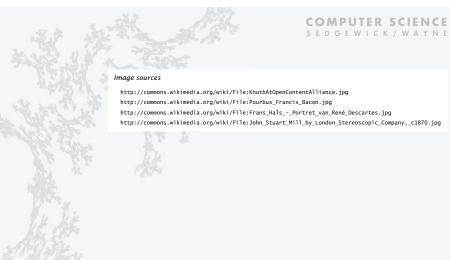
- "Feature of natural world" is time taken by a program on a computer.
- · Fit a curve to experimental data to get a formula for running time as a function of *N*.
- Useful for predicting, but not explaining.

Mathematical analysis of algorithms

- Analyze *algorithm* to develop a formula for running time as a function of N.
- Useful for predicting and explaining.
- · Might involve advanced mathematics.
- Applies to any computer.

Good news. Mathematical models are easier to formulate in CS than in other sciences.

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Key questions and answers

- Q. Is the running time of my program ~ $a N^b$ seconds?
- A. Yes, there's good chance of that. Might also have a $(IgN)^c$ factor.
- Q. How do you know?
- A. Computer scientists have applied such models for decades to many, many specific algorithms and applications.
- A. Programs are built from simple constructs (examples to follow).
- A. Real-world data is also often simply structured.
- A. Deep connections exist between such models and a wide variety of discrete structures (including some programs).



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Doubling method

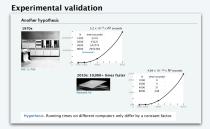
Hypothesis. The running time of my program is $T_N \sim a N^b$ Consequence. As N increases, $T_N/T_{N/2}$ approaches 2 ^b .		Proof: $\frac{a(2N)^b}{aN^b} = 2$	l	a (!)
 Doubling method Start with a moderate size. Measure and record running time. Double size. Repeat while you can afford it. Verify that <i>ratios</i> of running times approach 2^b. Predict by <i>extrapolation</i>: multiply by 2^b to estimate T_{2N} and repeat. 	N 1000 2000 4000 8000 16000 32000	Tw 0.5 4 31 248 248 × 8 = 1984 248 × 8 ² = 15872 248 × 8 ⁷ = 520093696	T _N /T _{N/2} 8 7.75 8 8 8 8 8 8	√
				n model says nning time
Bottom line. It is often <i>easy</i> to meet the challenge of predi	cting perf	ormance.	sho	puld be aN^3 $2^3 = 8$

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Order of growth

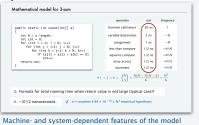
Def. If a function $f(N) \sim ag(N)$ we say that g(N) is the order of growth of the function.

Hypothesis. Order of growth is a property of the *algorithm*, not the computer or the system.



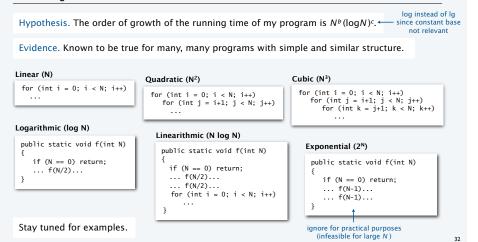
When we execute a program on a computer that is X times faster, we expect the program to be X times faster.

Explanation with mathematical model

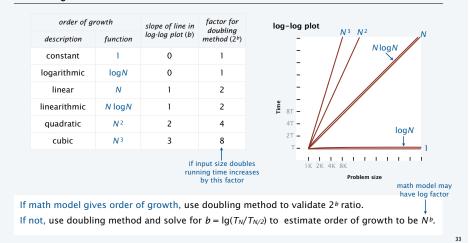


are all constants.

Order of growth



Order of growth classifications



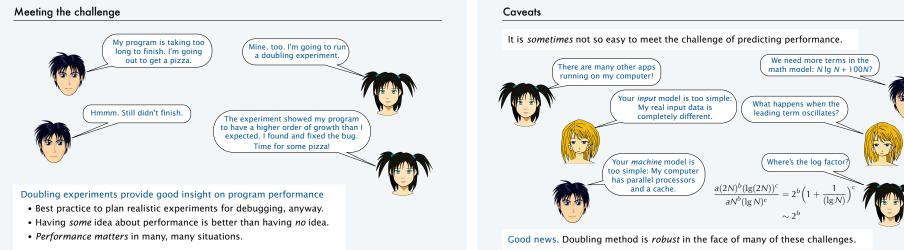
An important implication

Moore's Law. Computer power increases by a roughly a factor of 2 every 2 years.

Q. My *problem size* also doubles every 2 years. How much do I need to spend to get my job done?

Do the math			now	2 years from now	4 years from now	2M years from now
$T_N = aN^3$ running ti	me today	N	\$X	\$X	\$X	 \$X
$T_{2N} = (a/2)(2N)^3$ running ti	me in 2 years	N logN	\$X	\$X	\$X	 \$X
$= 4aN^{3}$		N ²	\$X	\$2X	\$ <mark>4</mark> X	 \$2 ^M X
$= 4 T_N$		N ³	\$X	(\$4X)	\$16X	 \$4 ^M X

A. You can't afford to use a quadratic algorithm (or worse) to address increasing problem sizes.



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Example: Gambler's ruin simulation

How long to compute chance of doubling 1 mi	llion dollars?	N	T_N	Tn/Tn/2	
		1000	4		
public class Gambler		2000	17	4.25	
{ public static void main(String[] args)		4000	56	3.29	
<pre>{ int stake = Integer.parseInt(args[0]);</pre>		8000	286	5.10	
<pre>int goal = Integer.parseInt(args[1]); int trials = Integer.parseInt(args[2]);</pre>		16000	1172	4.09	\checkmark
<pre>double start = System.currentTimeMillis()/1000.0; int wins = 0;</pre>		32000	1172 × 4 = 4688	4	1
for (int i = 0; i < trials; i++)					
int t = stake; while (t > 0 && t < goal)		1024000	$1172 \times 4^6 = 4800512$	4	
<pre>{ ff (Math.random() < 0.5) t++; else t; if (t == goal) wins++; } double now = System.currentTimeMillis()/1000.0; StdOut.print(wins + " wins of " + trials); StdOut.printf(" (%.0f seconds)\n", now - start); } }</pre>	% java Gambler 53 wins of 100 % java Gambler 52 wins of 100 % java Gambler 55 wins of 100 % java Gambler 53 wins of 100	<pre>0 (4 seconds) 2000 4000 1 0 (17 seconds 4000 8000 1 0 (56 seconds 8000 16000</pre>	00 5) 00 5) 100	order	nodel of gro uld be
4.8 million seconds (about 2 months).	% java Gambler 48 wins of 100				

Pop quiz on performance

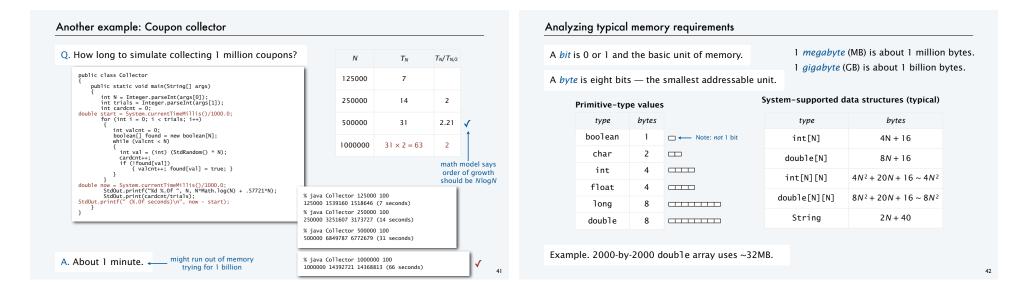
Q. Let T_N be the running time of program Mystery and consider these experiemnts:

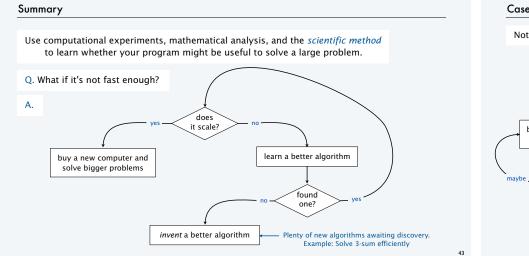
public static PQperformance {
<pre> int N = Integer.parseInt(args[0]);</pre>
}

Ν	T_N (in seconds)	$T_N/T_{N/2}$
1000	5	
2000	20	4
4000	80	4
8000	320	4

Q. Predict the running time for N = 64,000.

Q. Estimate the order of growth.





Case in point

Not so long ago, 2 CS grad students had a program to index and rank the web (to enable search).

