

COS 597A:
Principles of
Database and Information Systems

Relational model

Relational Database Definitions

1. A **relation** is a set of tuples over specified domains
 - R subset of $D_1 \times D_2 \times D_3 \times \dots \times D_k$ (k-ary)
 - Each D_i is a declared domain
 - Domains atomic
 - types of programming languages
2. A **relational database** is a **set of relations** and possibly **constraints among the relations**

Relational model

- A **formal** (mathematical) model to represent
 - objects (data/information),
 - relationships between objects
 - Constraints on objects and relationships
 - Queries about information
- **Well-founded** on **mathematical principles** :
 - Precise **semantics** of constraints and queries
 - Can **prove equivalence** of different ways to express queries

Relational Database: Terminology

Schema for a relation:

1. Relation **name**
2. **Domain (type) of each component**
i.e. declare D_i s

Equivalent:

- Instance of a scheme
- Table

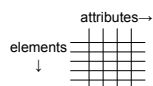
Term "**relation**" is used to refer to a schema and a particular instance – disambiguate by context

Relational Database: More Terminology

Each D_i of a schema is referred to as a **component** or **attribute** or **field** or **column** of the schema

Each d_i of a tuple = $(d_1, d_2, d_3, \dots, d_k)$ is referred to as **component** or **attribute** or **field** of the tuple

Each **tuple** of a relation is also referred to as an **element** or **row** of the relation



Example

books: (title, ISBN#, edition, date)

publishers: (name, country, address)

authors:
(name, gender, birth date, place of birth, date of death)

Need declare domains:
e.g. title: string

Constraints

Identifying elements

Key: a **minimal** set of attributes whose values **uniquely** identify each element in a relation

Candidate Key: any key

Primary key: a candidate key **defined** to be primary **by person** who defines relation

Superkey: any set of attributes that contains a candidate key

Denote primary key by underlining attributes

books: (title, ISBN#, edition, date)

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(name, gender, birth date, place of birth, date of death)

Need declare domains:
e.g. title: string

Constraints on elements

- Declaring a candidate key **constrains values** of attributes
- Example: ISBN# as key
 - No book without an ISBN#
 - No two books with same ISBN#

But there are relationships between authors, books and publishers

How represent?

Our Example

books are published by publishers:

published by: (ISBN#, publisher name, in print)

books are written by authors:

written by:

(ISBN#, author name, birth date, place of birth)

Alternative

- If **each book** must have exactly **one publisher**, then:

published by: (isbn#, publisher_name, in print)

- Instead put info from **published_by** in **books**:

books:
(title, isbn#, edition, date, publisher_name, in print)

Null values

What if some books in relation **books** not published?

- Want **no entry** in *publisher_name* and *in print*
- Add value **null** to domain to represent.
- Attributes of **candidate keys** cannot have **null values**.

Foreign keys

- ISBN# in **books** is **related to** the ISBN# in **written by** and **published by**
 - a specific ISBN# value in one relation **refers to** the same book as the ISBN# in the other relation
- name, birth date, place of birth in **authors** is **related to** author_name, birth date, place of birth in **published by**

How represent?

Foreign key constraint

- Specify that a **set of attributes** in schema for **one relation** form a **primary key** for a **specific other relation**
 - “other relation” is **referred to** or **referenced by** first relation

R1: (attrib1, attrib2, attrib3, attrib4, attrib5)

R1 refers to/references R2

R2: (attrib1, attrib2, attrib3, attrib4)

Foreign Keys for Our Example

published by: (isbn#, publisher_name, in print)
isbn# is a **foreign key** referencing *books*
 Primary key of *books* understood
Publisher_name is a **foreign key** referencing *publishers.name*

written by:
 (isbn#, author_name, birth date, place of birth)
isbn# is a **foreign key** referencing *books*;
 (author_name, birth date, place of birth) is a **foreign key** referencing *authors*

Enforcing relational constraints

- Constraints **must be satisfied** at all times
- What happens when tuples in relations change?
- Action of changing a relation not part of basic relational model
- **Database language** implementing model **enforces**

Enforcement in SQL

SQL commands changing relations:
INSERT, DELETE, UPDATE

- **Domain constraints**
 - Don't allow attribute value not in domain
INSERT or UPDATE fails
- **"Not null" constraints**
 - Special case of domain constraints

Enforcement in SQL

- **Candidate key constraints**
 - Can have other candidate keys declared as well as primary key
 - Don't allow 2nd tuple with same key value
INSERT or UPDATE fails
 - Implicit "not null" for attributes in a key
INSERT or UPDATE fails

Enforcement in SQL

- **Foreign key constraints**
- Suppose Y denotes a set of attributes of relation B that reference the primary key of relation A.
- Don't allow tuple into B if no tuple in A with matching values for Y
INSERT or UPDATE fails

Enforcement in SQL

Foreign key constraints continued

- suppose want to remove a tuple in A
- Suppose there is a tuple in B with matching values for Y

Choices (in SQL):

1. **Disallow** deletion from A
DELETE or UPDATE fails

Enforcement in SQL

Choices (in SQL) continued:

2. **Ripple effect (CASCADE):**
 - Remove tuple from A and all tuples from B with matching values for Y
 - DELETE or UPDATE in A causes DELETE in B
3. **Substitute value**
 - Put "null" (if Y not part of candidate key for B) or other default value for Y in B
 - DELETE or UPDATE in A causes UPDATE in B

Example?

Books: (title, ISBN#, edition, date)

PU branches: (br_name, librarian, hours)

Copies: (ISBN#, copy#, condition, br_name)
br_name **not null**
isbn# is a **foreign key referencing books**
br_name is a **foreign key referencing PU branches**

Other Constraints of Interest

- **Domain attribute constraints**
 - Need to test **values** of attributes not simply membership properties in sets
 - Example:
 - Attribute *NJ driver*: yes/no flag
 - Attribute *age*: number
 - Constraint "if age <17 then *NJ driver* == "no"

Other Constraints of Interest, cont.

- **Functional constraints**

Example:

relation **person** with 6 attributes:
first name, last name, street address, state,
area code, 7-digit phone number.

Constraint:

if *area code* of person 1 = *area code* of person 2
 then *state* of person 1 = *state* of person 2

Equivalently, *area code* **determines** *state*

Functional Constraints

General form:

Let A and B be subsets of attributes for a relation
 For any tuples e_j and e_k of the relation:

If the **values** of attributes in **set A** for tuple e_j equal
 the **values** of attributes in **set A** for tuple e_k

Then the **values** of attributes in **set B** for tuple e_j
 equal the **values** of attributes in **set B** for tuple e_k

Functional Constraints Example

More complicated example:

customer relation with 8 attributes:

height, weight, arm length, leg length,
jacket size, pant size, shirt size, color preference

Constraints:

Height, weight, arm length **determine** *shirt size*

Height, weight, leg length **determine** *pant size*

Enforcing Other Constraints

- Value-based constraints?
- General functional constraints?

In relational model:

- **Not** expressed in **formal** relational model
- Declaring and enforcing these depend on **use of database language**
- **Use query semantics** to check