# Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE

# Algorithms

 $\checkmark$ 

Robert Sedgewick | Kevin Wayne

http://algs4.cs.princeton.edu

# GEOMETRIC APPLICATIONS OF BSTS

Id range search

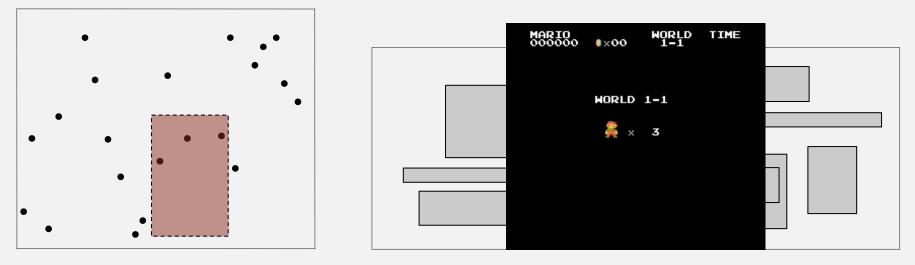
kd trees

line segment intersection (optional)

interval search trees (optional)

rectangle intersection (optional)

#### This lecture. Intersections among geometric objects.



2d orthogonal range search

orthogonal rectangle intersection

Applications. CAD, games, movies, virtual reality, databases, GIS, ....

Efficient solutions. Binary search trees (and extensions).

# **GEOMETRIC APPLICATIONS OF BSTS**

interval search trees (optional)

rectangle intersection (optional)

Ine segment intersection (optional)

# Id range search

kd trees

# Algorithms

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#### 1d range search

#### Extension of ordered symbol table.

- Insert key-value pair.
- Search for key *k*.
- Delete key *k*.
- **Range search**: find all keys between  $k_1$  and  $k_2$ .
- **Range count**: number of keys between  $k_1$  and  $k_2$ .

#### Application. Database queries.

#### Geometric interpretation.

- Keys are point on a line.
- Find/count points in a given 1d interval.

insert B	В
insert D	B D
insert A	ABD
insert l	ABDI
insert H	ABDHI
insert F	ABDFHI
insert P	ABDFHIP
count G to K	2
search G to K	ΗI

#### 1d range search: elementary implementations

Unordered list. Fast insert, slow range search.

**Ordered array.** Slow insert, binary search for  $k_1$  and  $k_2$  to do range search.

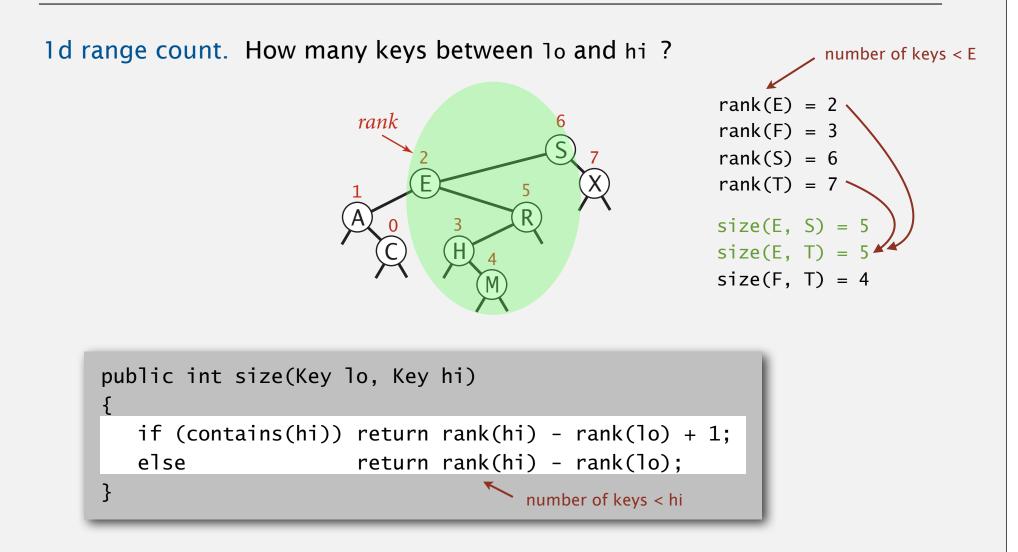
#### order of growth of running time for 1d range search

data structure	insert	range count	range search
unordered list	1	Ν	Ν
ordered array	Ν	log N	R + log N
goal	log N	log N	R + log N

N = number of keys

R = number of keys that match

#### 1d range count: BST implementation



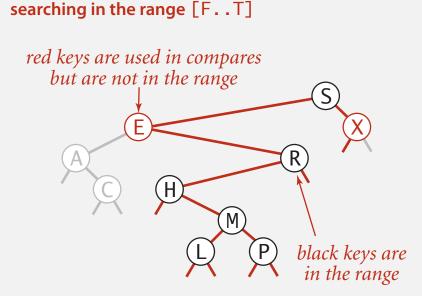
**Proposition.** Running time proportional to  $\log N$ .

Pf. Nodes examined = search path to 10 + search path to hi.

#### 1d range search: BST implementation

1d range search. Find all keys between 10 and hi.

- Recursively find all keys in left subtree (if any could fall in range).
- Check key in current node.
- Recursively find all keys in right subtree (if any could fall in range).



**Proposition**. Running time proportional to  $R + \log N$ .

**Pf.** Nodes examined = search path to 10 + search path to hi + matches.

# S.w.i.m.p.

order of growth of running time for 1d range search
---

data structure	insert	range count	range search
unordered list	1	Ν	Ν
ordered array	Ν	log N	R + log N
goal	log N	log N	R + log N

#### pollEv.com/jhug text to **37607**

Q: You time your range search algorithm with one million standard range search queries and get the following data. Your boss wants to know if it is safe to run the algorithm with dataset4, where safe means it'll take less than a minute or so.

Ν	Time
1000000	13s
2000000	14s
16000000	17s
32000000	???
	10000000 20000000 160000000

Safe: [137559] Not safe: [148239]

# S.w.i.m.p.

data structure	insert	range count	range search
unordered list	1	Ν	Ν
ordered array	Ν	log N	R + log N
goal	log N	log N	R + log N

pollEv.com/jhug text to **37607** 

Q: Same deal, but now the new data set is just the other three datasets combined?

NAME	Ν	Time
dataset1	1000000	13s
dataset2	2000000	14s
dataset3	16000000	17s
concatenated	19000000	???

Safe: [149242] Not safe: [149300]

# **GEOMETRIC APPLICATIONS OF BSTS**

interval search trees (optional)

rectangle intersection (optional)

Ine segment intersection (optional)

Id range search

kd trees

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# 2-d orthogonal range search

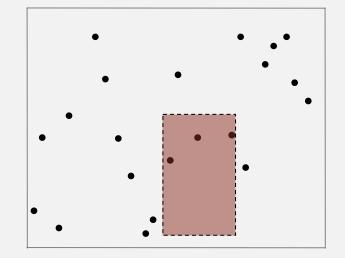
#### Extension of ordered symbol-table to 2d keys.

- Insert a 2d key.
- Delete a 2d key.
- Search for a 2d key.
- Range search: find all keys that lie in a 2d range.
- Range count: number of keys that lie in a 2d range.

Applications. Networking, circuit design, databases, ...

#### Geometric interpretation.

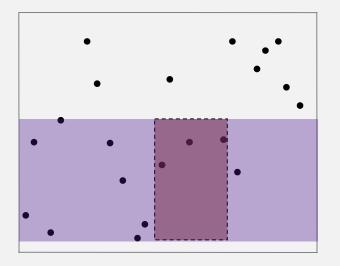
- Keys are point in the plane.
- Find/count points in a given *h*-*v* rectangle

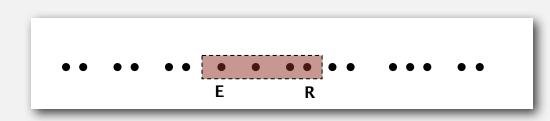


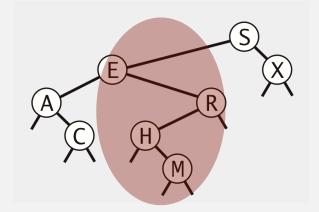
## Binary search tree?

#### Tree construction

- What order to store points?
  - X coordinate determines order?
  - Y coordinate determines order?





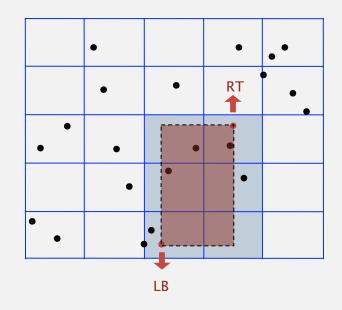


# 2d orthogonal range search: grid implementation

#### Grid implementation.

- Divide space into *M*-by-*M* grid of squares.
- Create list of points contained in each square.
- Use 2d array to directly index relevant square.
- Insert: add (x, y) to list for corresponding square.
- Range search: examine only squares that intersect 2d range query.

Old Algorithm: Look at all points, order of growth N New algorithm: Look at all points, order of growth ?



# 2d orthogonal range search: grid implementation analysis

choose M ~  $\sqrt{N}$ 

#### Space-time tradeoff.

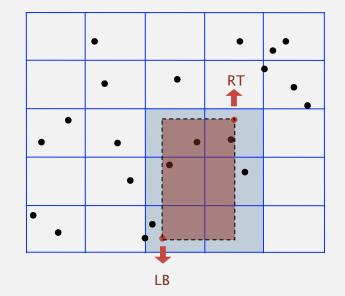
- **Space**:  $M^2 + N$ .
- Time:  $1 + N/M^2$  per square examined, on average.

#### Choose grid square size to tune performance.

- Too small: wastes space.
- Too large: too many points per square.
- Rule of thumb:  $\sqrt{N}$ -by- $\sqrt{N}$  grid.

#### Running time. [if points are evenly distributed]

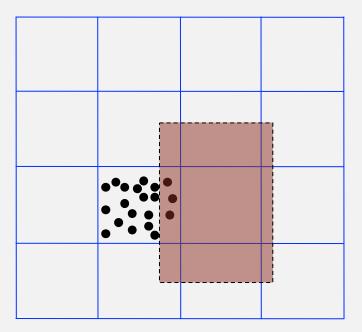
- Initialize data structure: N.
- Insert point: 1.
- Range search: 1 per point in range.



Grid implementation. Fast, simple solution for evenly-distributed points.

Problem. Clustering a well-known phenomenon in geometric data.

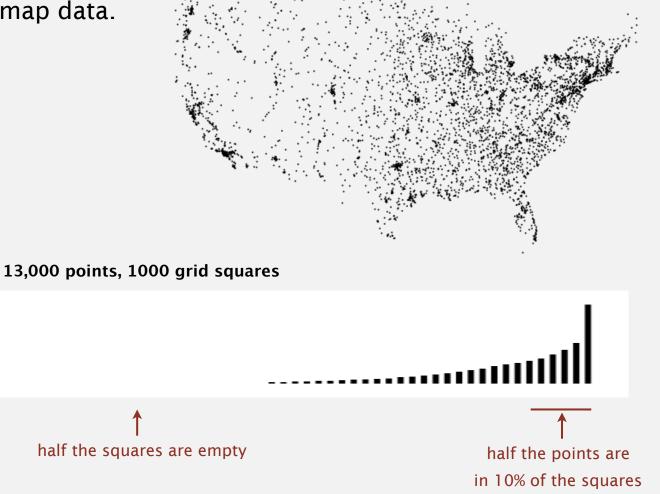
- Lists are too long, even though average length is short.
- Need data structure that adapts gracefully to data.



Grid implementation. Fast, simple solution for evenly-distributed points.

Problem. Clustering a well-known phenomenon in geometric data.

Ex. USA map data.



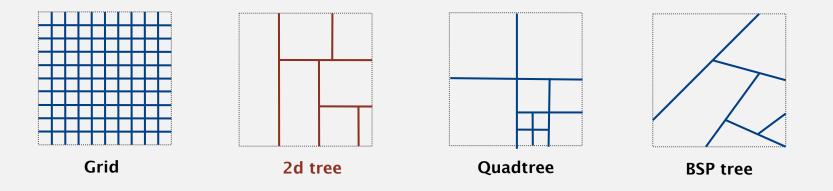
Use a tree to represent a recursive subdivision of 2d space.

Grid. Divide space uniformly into squares.

#### 2d tree. Recursively divide space into two halfplanes.

Quadtree. Recursively divide space into four quadrants.

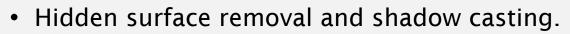
BSP tree. Recursively divide space into two regions.

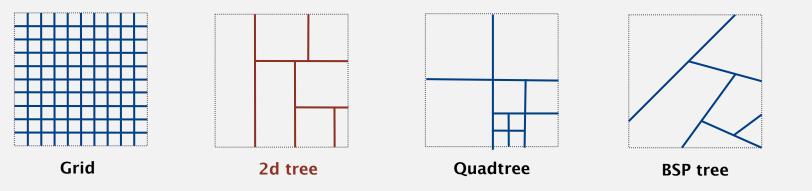


# Space-partitioning trees: applications

#### Applications.

- Ray tracing.
- 2d range search.
- Flight simulators.
- N-body simulation.
- Collision detection.
- Astronomical databases.
- Nearest neighbor search.
- Adaptive mesh generation.
- Accelerate rendering in Doom.

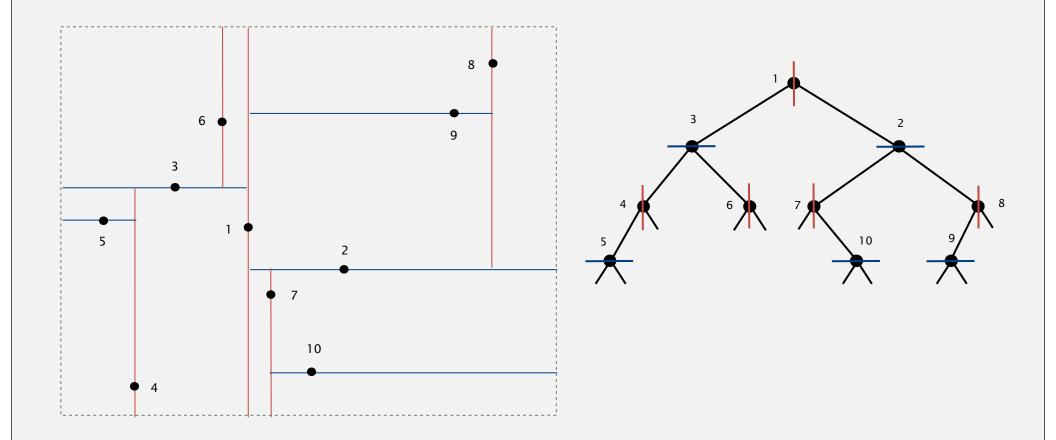






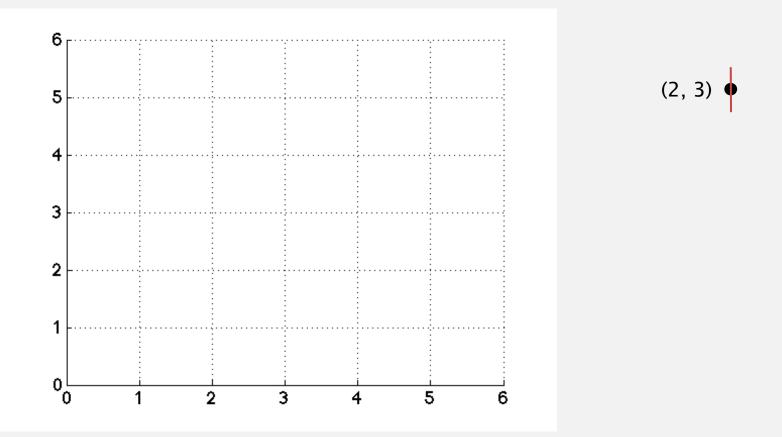
## 2d tree construction

Recursively partition plane into two halfplanes.



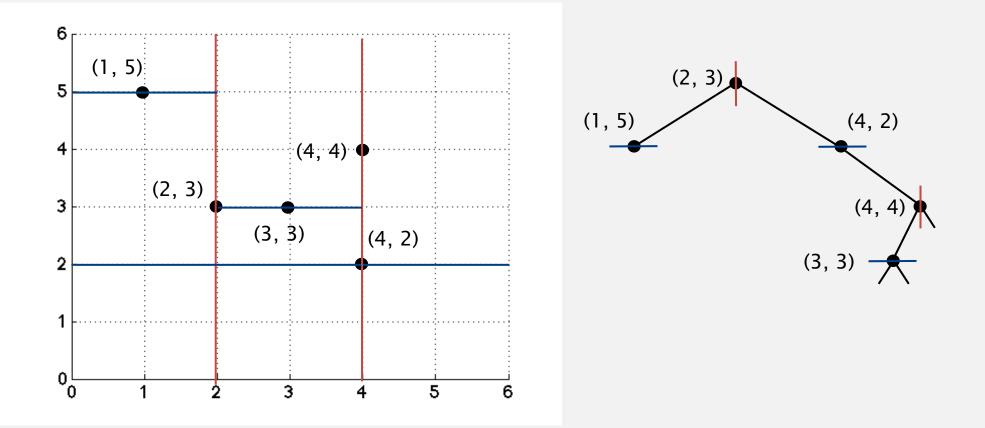
Draw the KdTree and matching graph when the following points are inserted:

(2,3) (4,2) (4,4) (3,3) (1,5)



Draw the KdTree and matching graph when the following points are inserted:

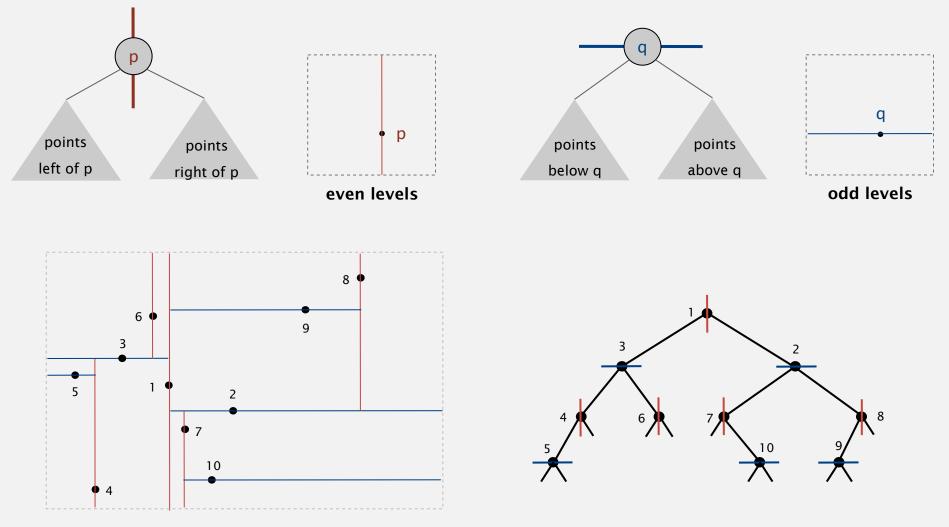
(2,3) (4,2) (4,4) (3,3) (1,5)



#### 2d tree implementation

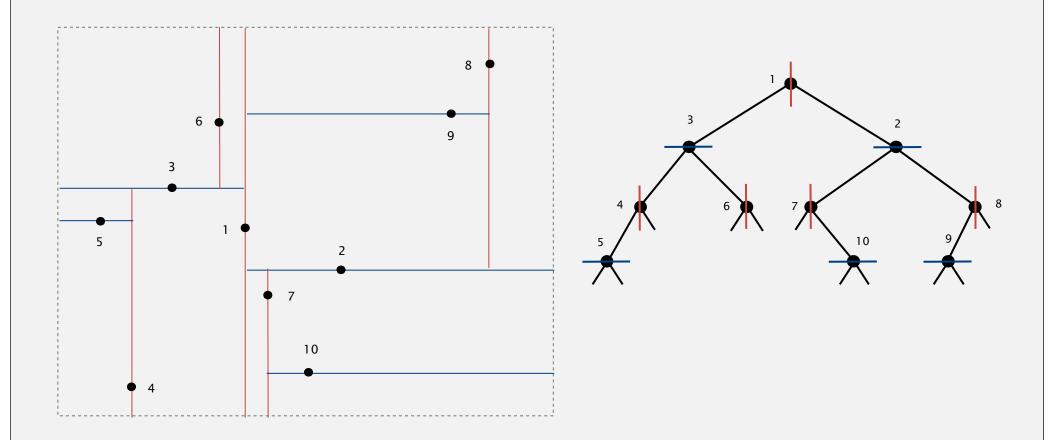
**Data structure**. BST, but alternate using *x*- and *y*-coordinates as key.

- Search gives rectangle containing point.
- Insert further subdivides the plane.



## 2d tree construction

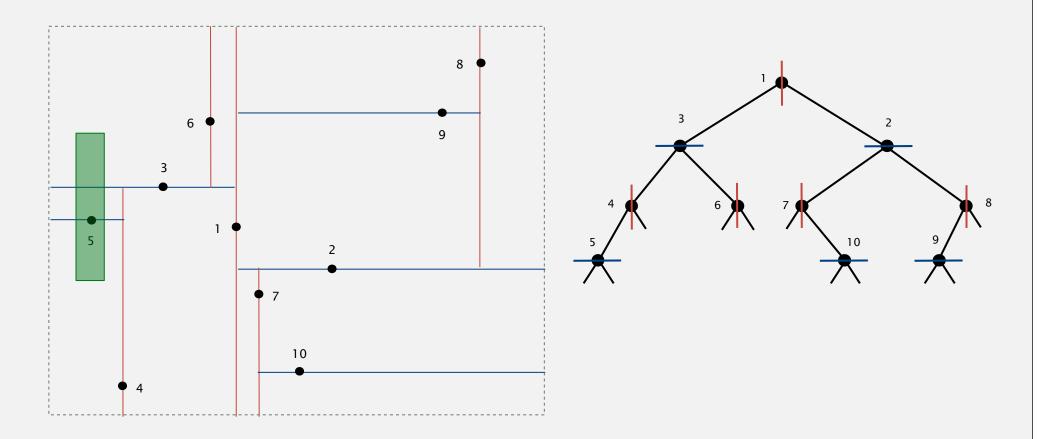
Recursively partition plane into two halfplanes.



#### Range search in a 2d tree demo

Goal. Find all points in a query axis-aligned rectangle.

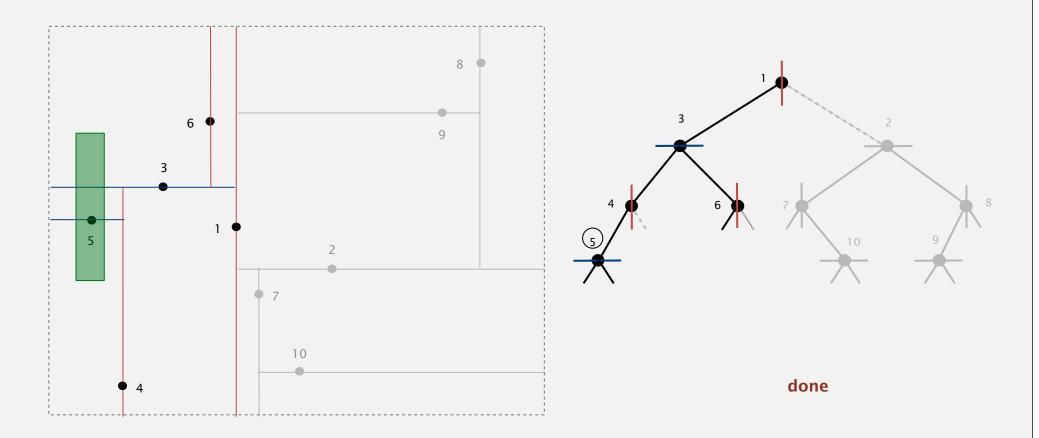
- Check if point in node lies in given rectangle.
- Recursively search left/bottom (if any could fall in rectangle).
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#### Range search in a 2d tree demo

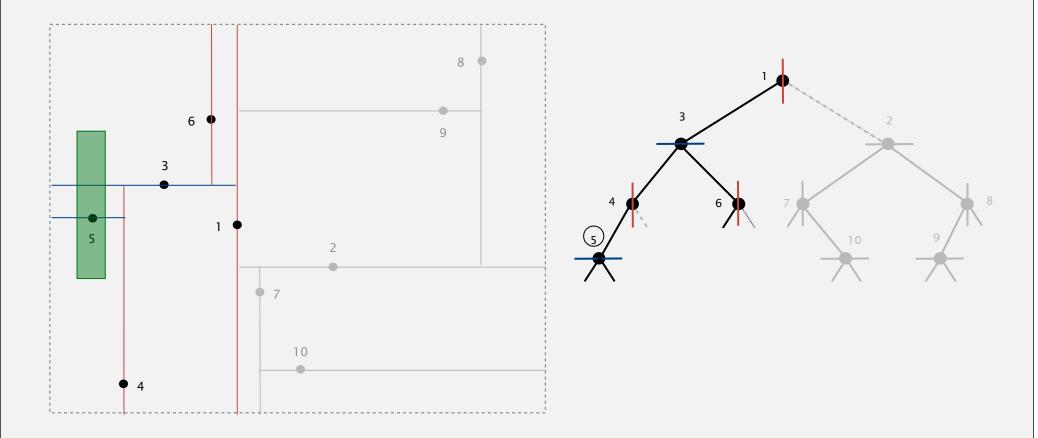
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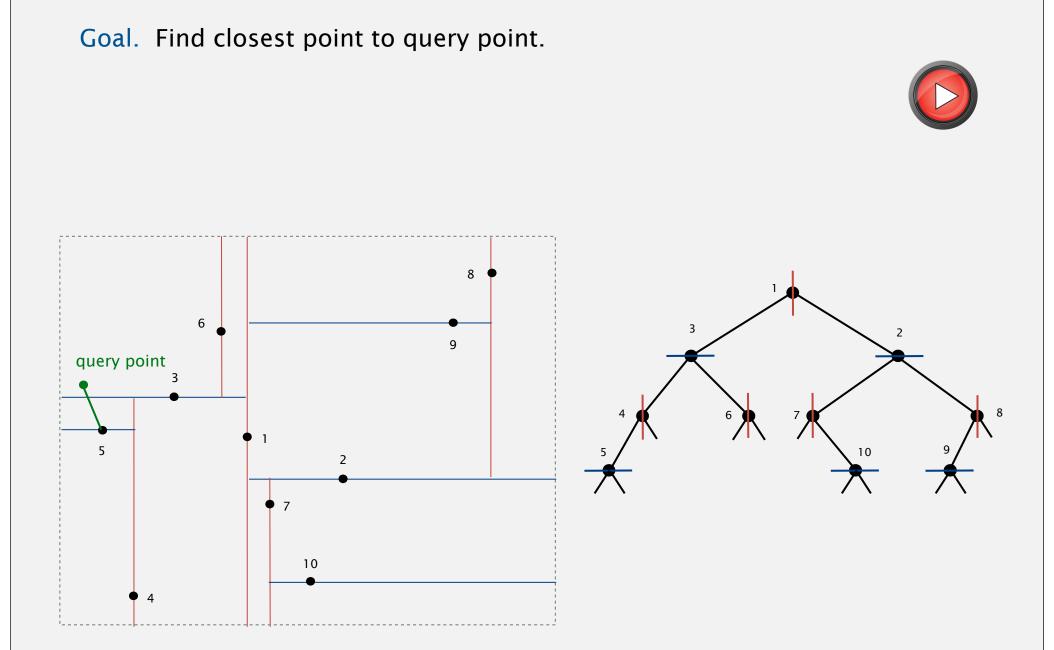
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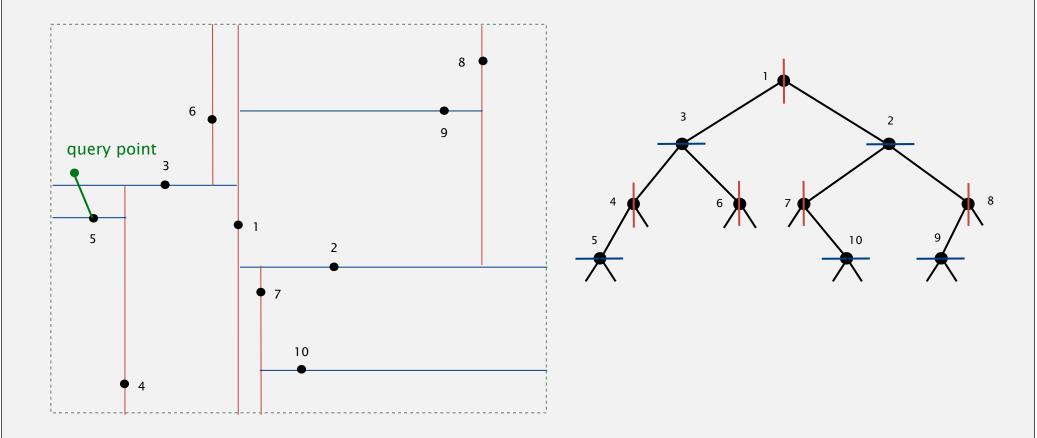
## Range search in a 2d tree analysis

Typical case.  $R + \log N$ . Worst case (assuming tree is balanced).  $R + \sqrt{N}$ .

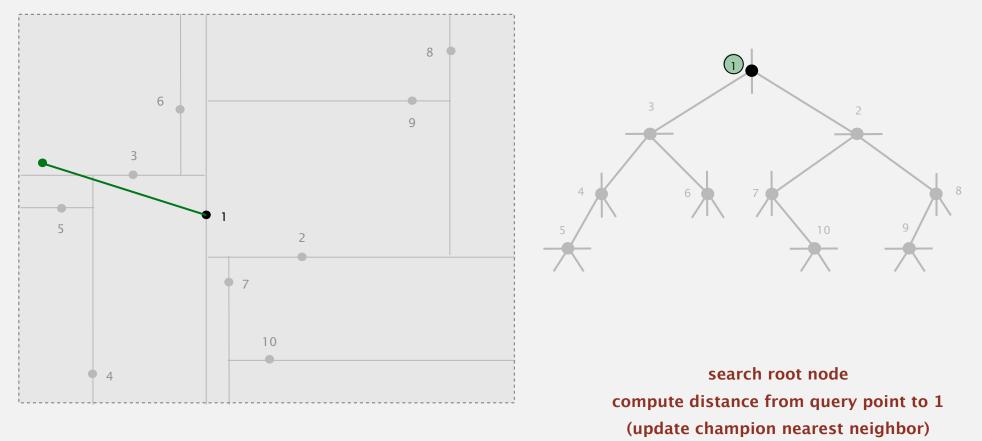




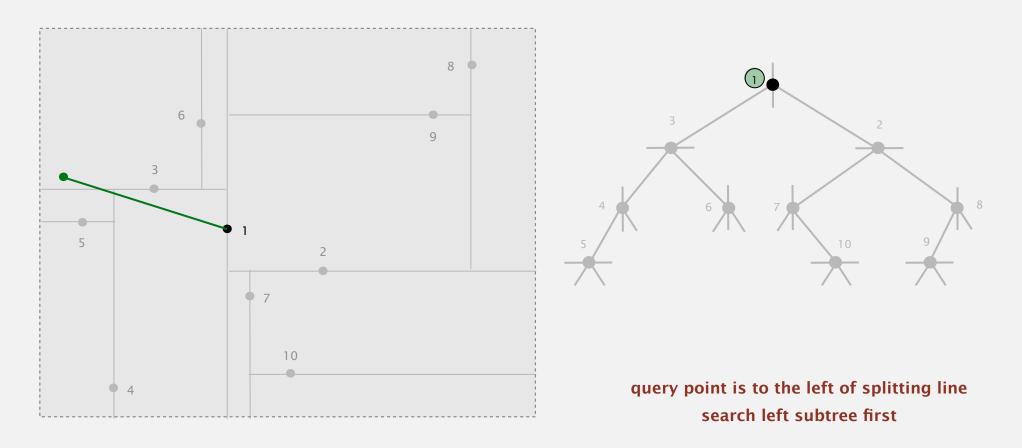
Goal. Find closest point to query point.



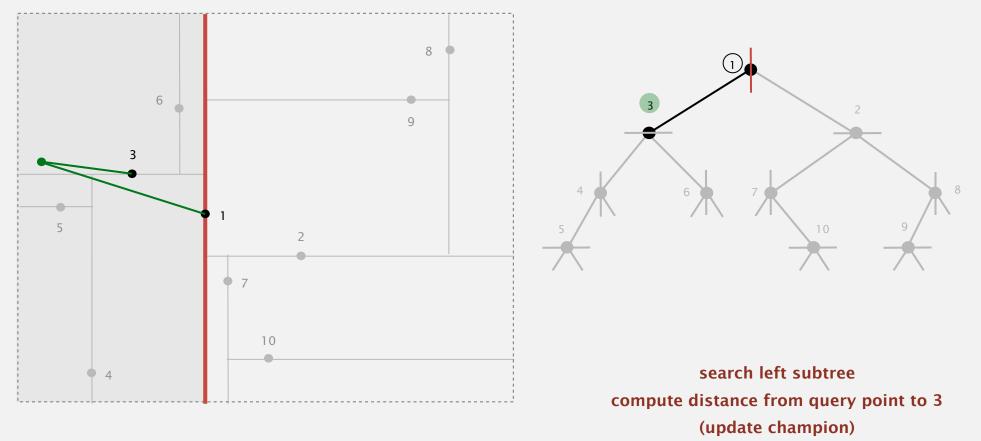
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- Organize method so that it begins by searching for query point.



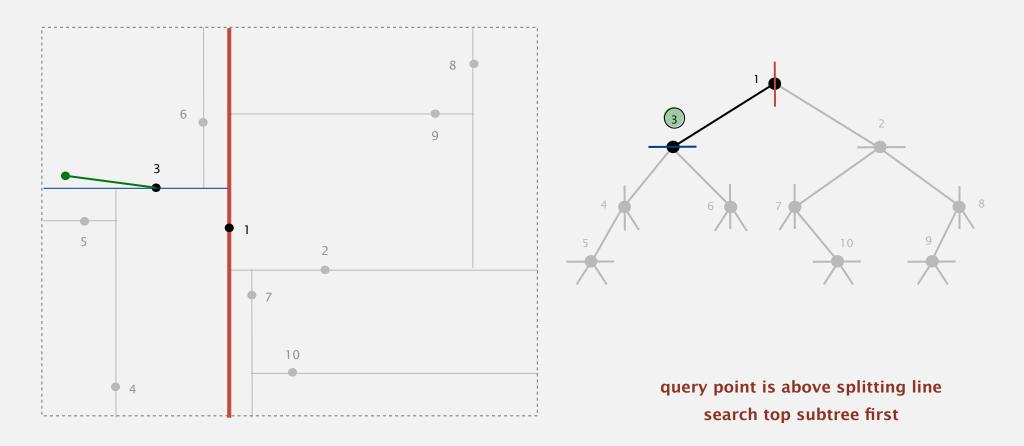
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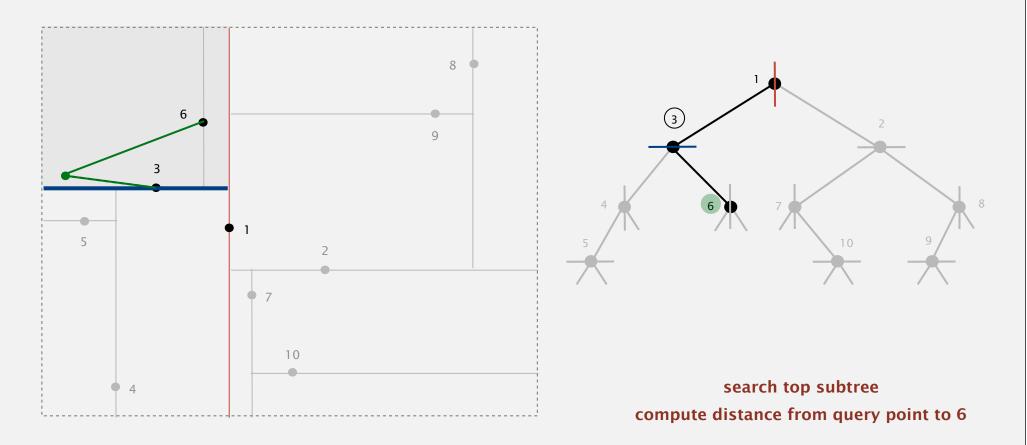
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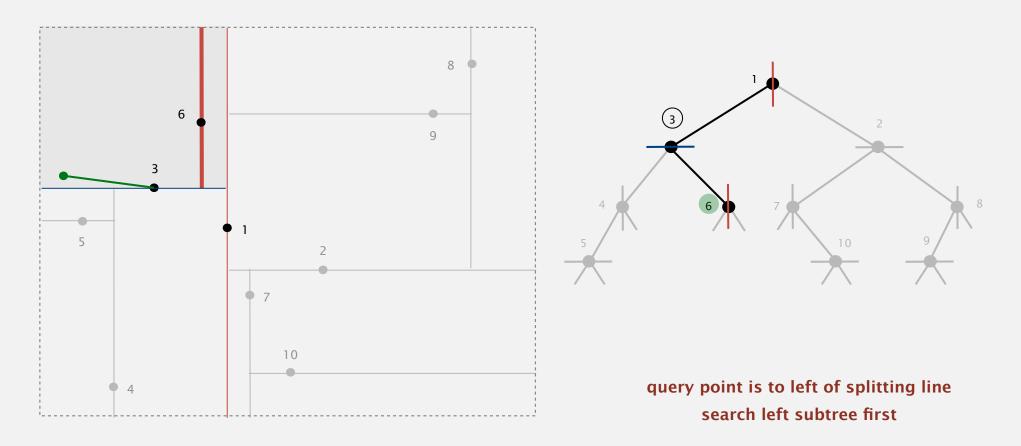
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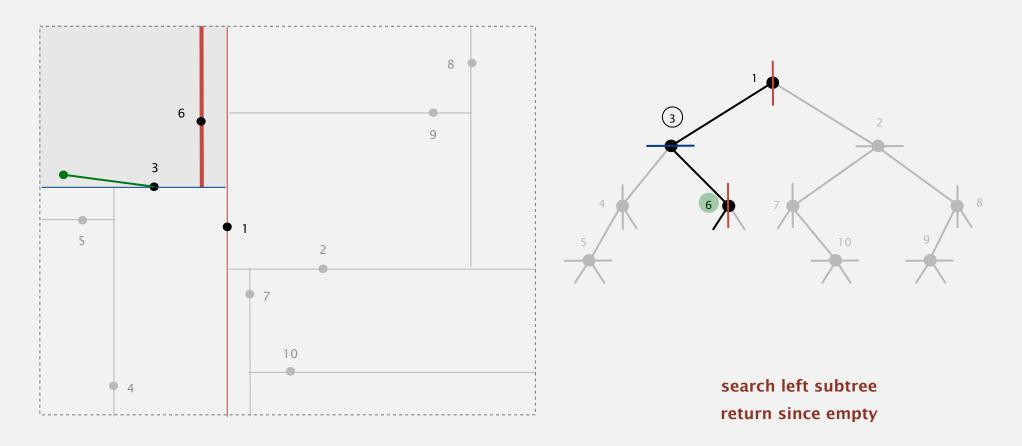
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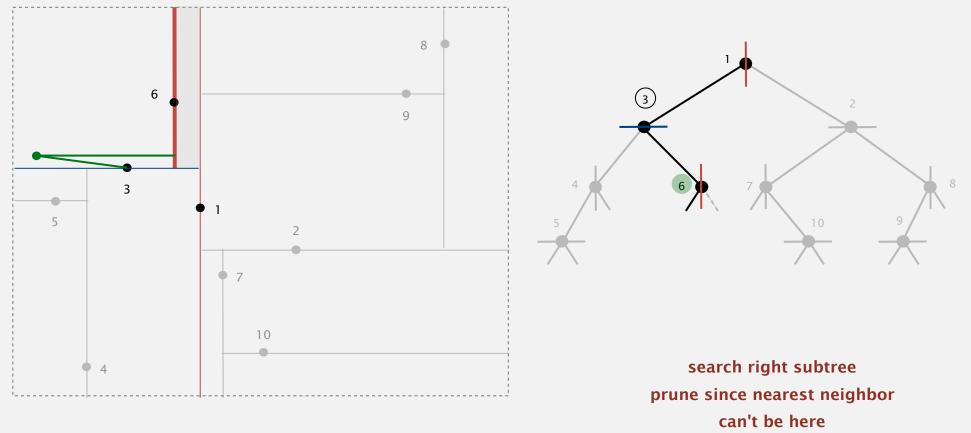
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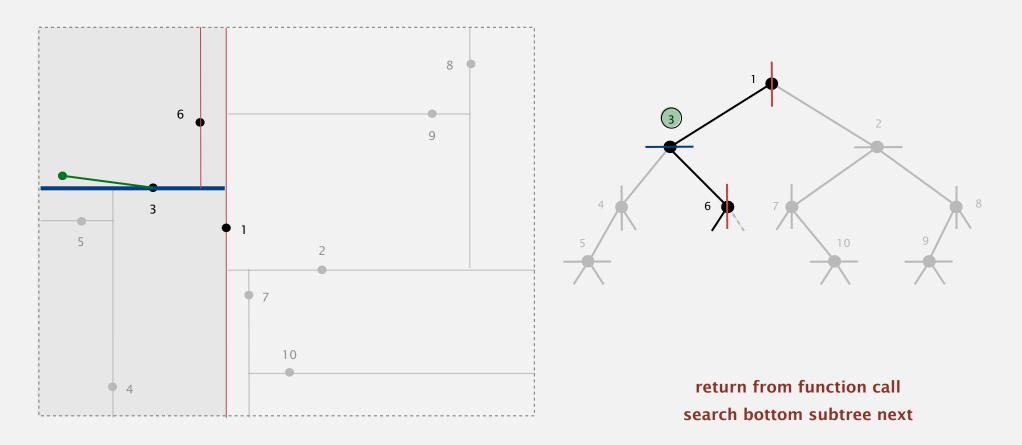
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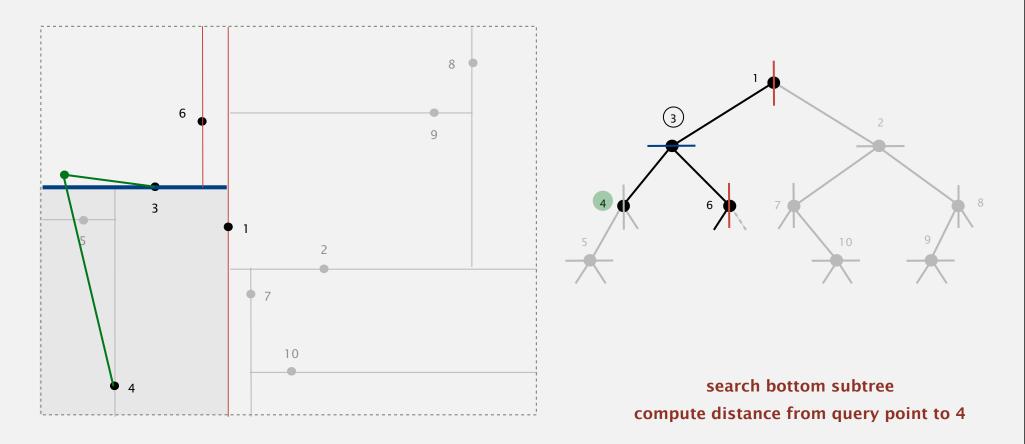
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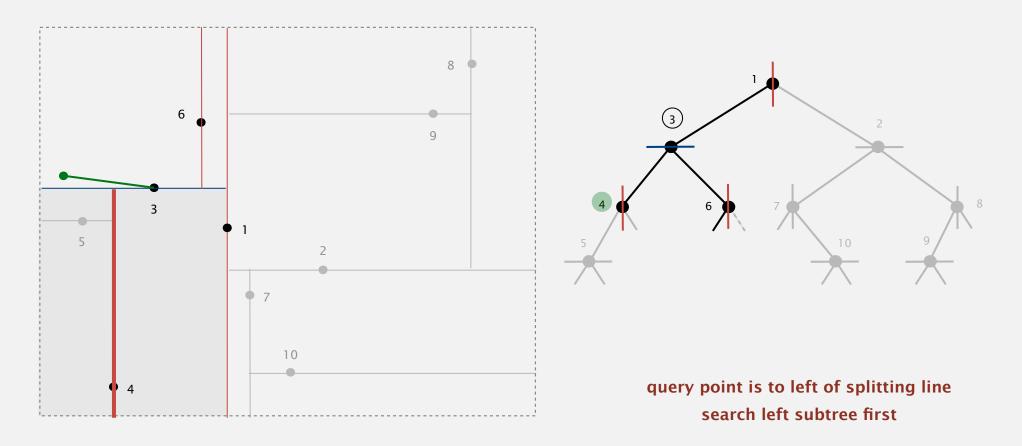
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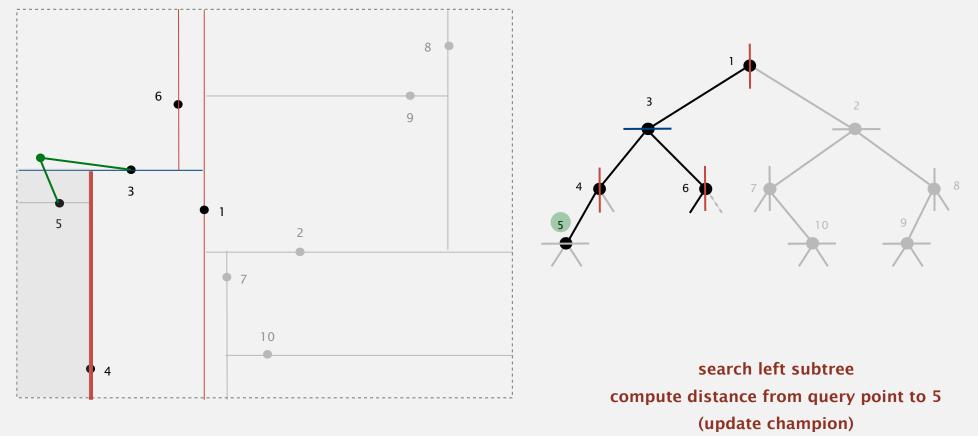
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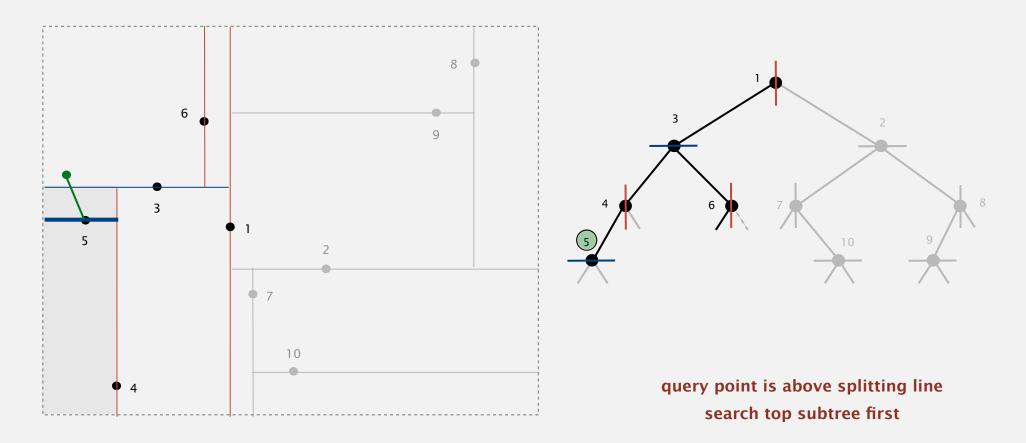
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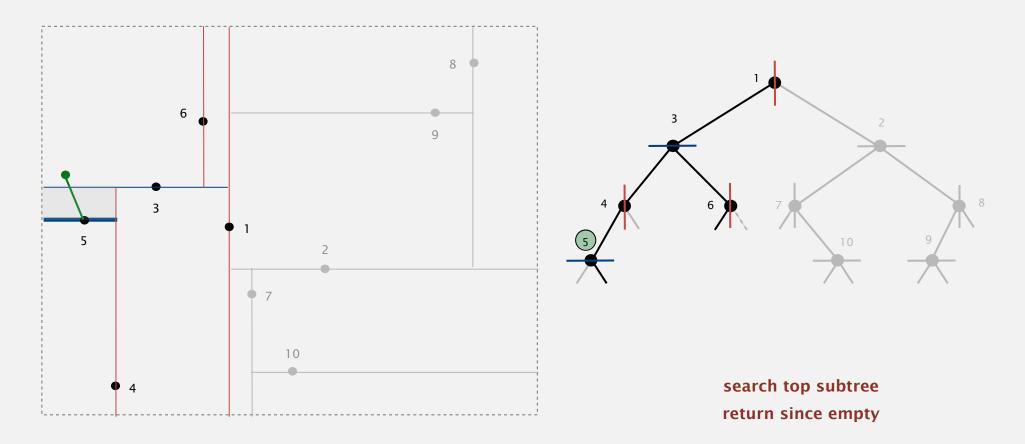
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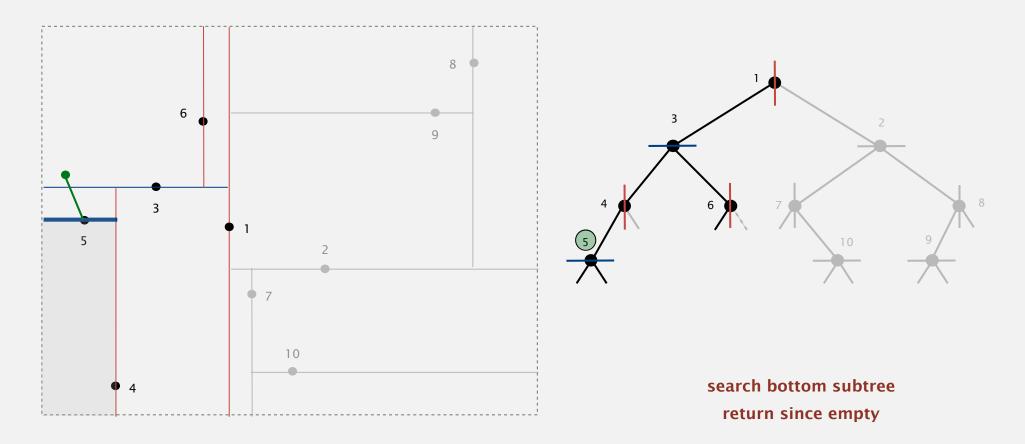
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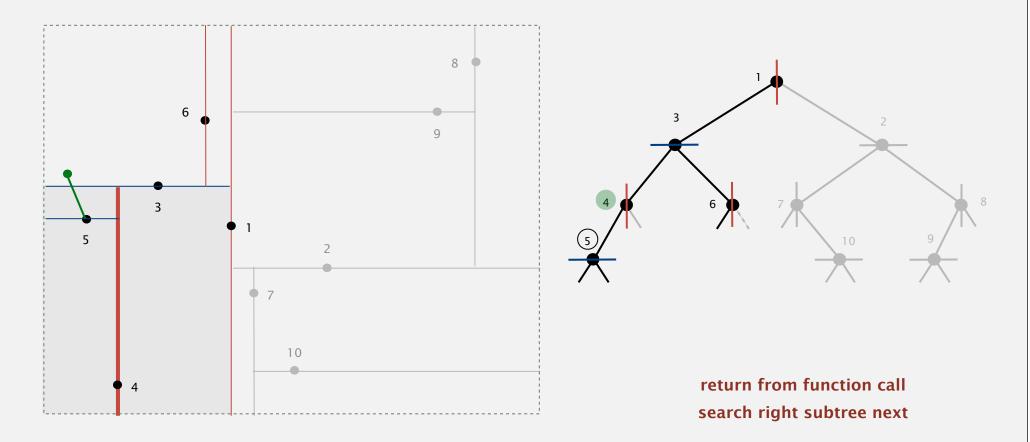
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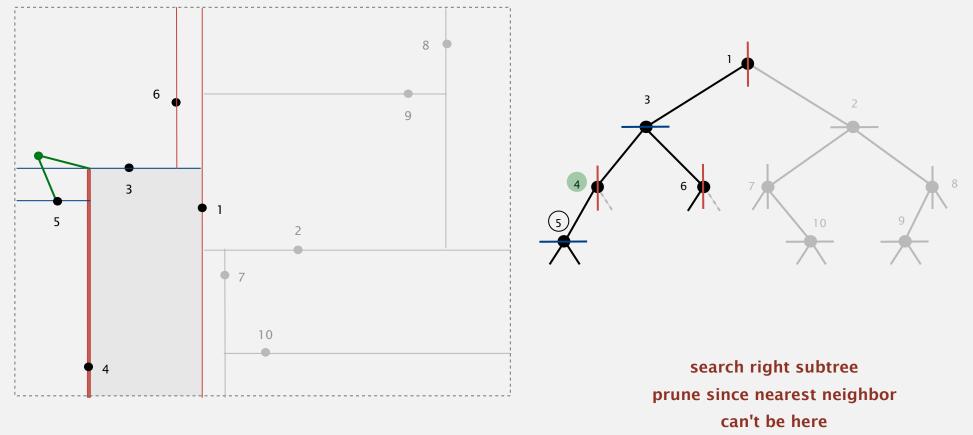
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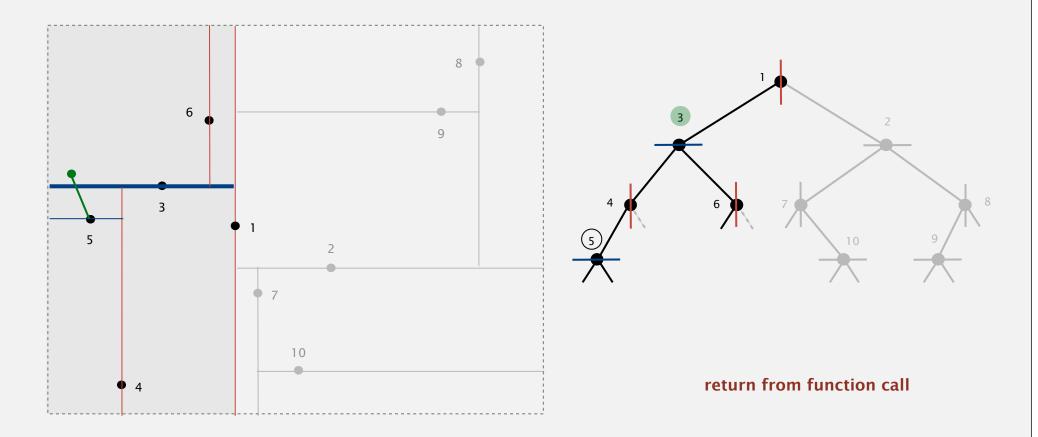
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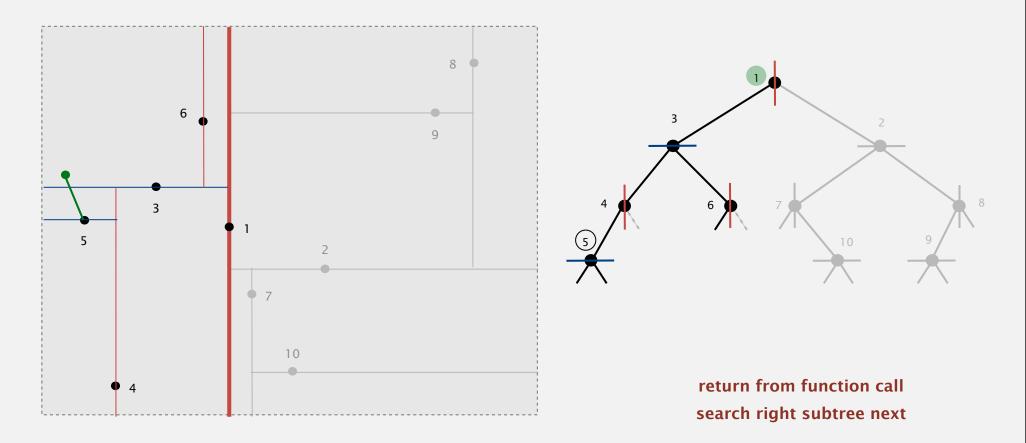
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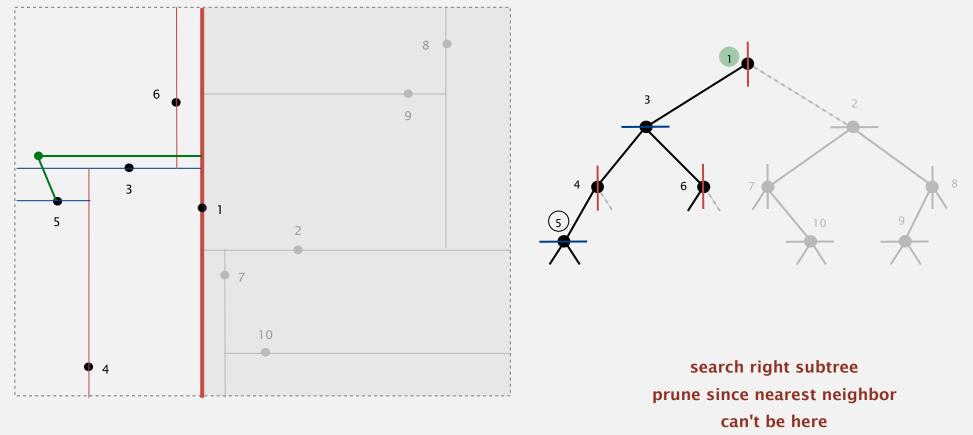
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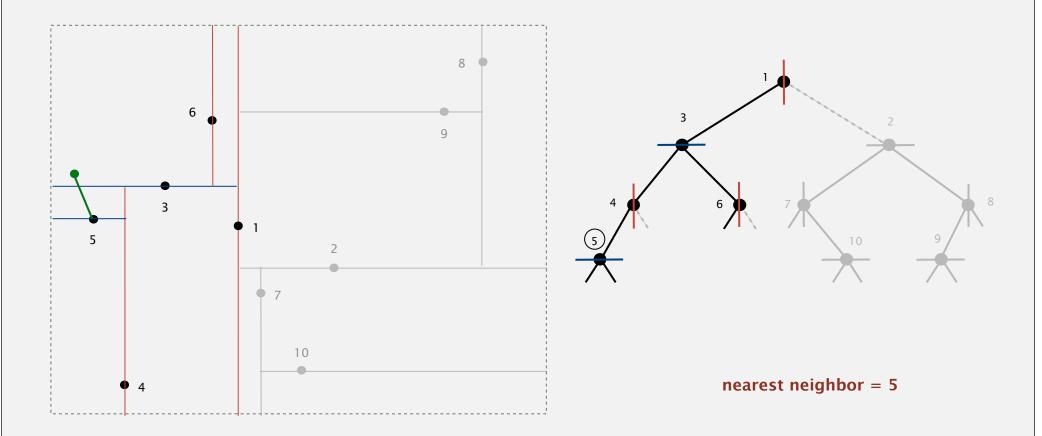
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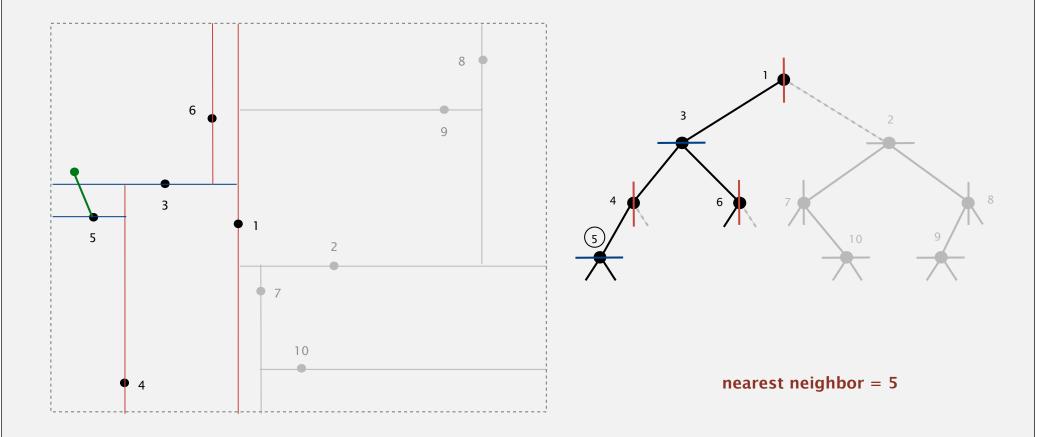


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### Nearest neighbor search in a 2d tree analysis

Typical case. log *N*. Worst case (even if tree is balanced). *N*.



## Flocking birds

Q. What "natural algorithm" do starlings, migrating geese, starlings, cranes, bait balls of fish, and flashing fireflies use to flock?



http://www.youtube.com/watch?v=XH-groCeKbE

## Flocking boids [Craig Reynolds, 1986]

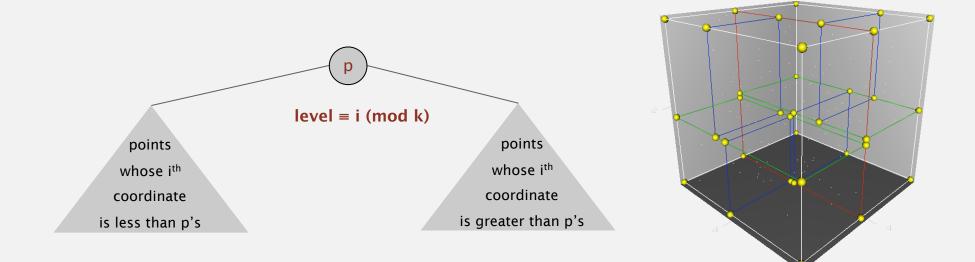
Boids. Three simple rules lead to complex emergent flocking behavior:

- Collision avoidance: point away from k nearest boids.
- Flock centering: point towards the center of mass of k nearest boids.
- Velocity matching: update velocity to the average of k nearest boids.



Kd tree. Recursively partition *k*-dimensional space into 2 halfspaces.

Implementation. BST, but cycle through dimensions ala 2d trees.



#### Efficient, simple data structure for processing *k*-dimensional data.

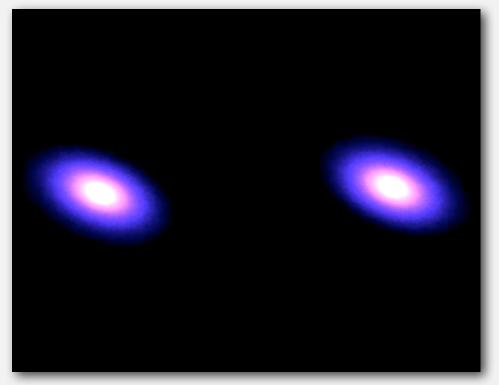
- Widely used.
- Adapts well to high-dimensional and clustered data.
- Discovered by an undergrad in an algorithms class!



Jon Bentley

Goal. Simulate the motion of *N* particles, mutually affected by gravity.

Brute force. For each pair of particles, compute force:  $F = \frac{G m_1 m_2}{r^2}$ Running time. Time per step is  $N^2$ .

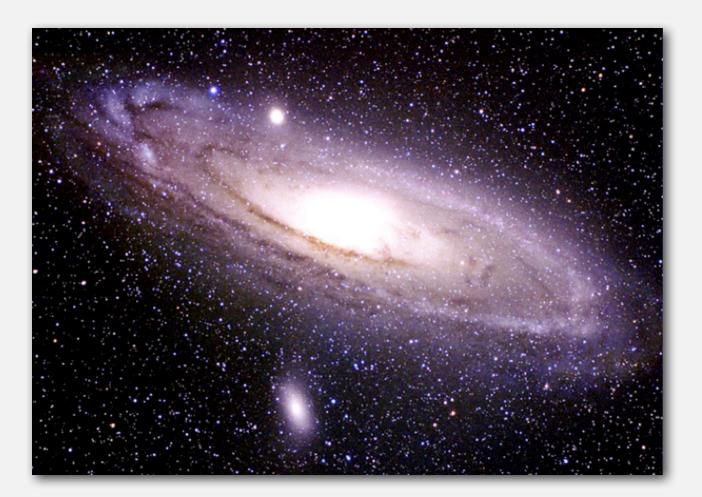


http://www.youtube.com/watch?v=ua7Y1N4eL\_w

## Appel's algorithm for N-body simulation

Key idea. Suppose particle is far, far away from cluster of particles.

- Treat cluster of particles as a single aggregate particle.
- Compute force between particle and center of mass of aggregate.



#### Appel's algorithm for N-body simulation

- Build 3d-tree with N particles as nodes.
- Store center-of-mass of subtree in each node.
- To compute total force acting on a particle, traverse tree, but stop as soon as distance from particle to subdivision is sufficiently large.

SIAM J. SCI. STAT. COMPUT. Vol. 6, No. 1, January 1985 © 1985 Society for Industrial and Applied Mathematics 008

#### AN EFFICIENT PROGRAM FOR MANY-BODY SIMULATION\*

ANDREW W. APPEL†

Abstract. The simulation of N particles interacting in a gravitational force field is useful in astrophysics, but such simulations become costly for large N. Representing the universe as a tree structure with the particles at the leaves and internal nodes labeled with the centers of mass of their descendants allows several simultaneous attacks on the computation time required by the problem. These approaches range from algorithmic changes (replacing an  $O(N^2)$  algorithm with an algorithm whose time-complexity is believed to be  $O(N \log N)$ ) to data structure modifications, code-tuning, and hardware modifications. The changes reduced the running time of a large problem (N = 10,000) by a factor of four hundred. This paper describes both the particular program and the methodology underlying such speedups.

Impact. Running time per step is  $N \log N \Rightarrow$  enables new research.

# **GEOMETRIC APPLICATIONS OF BSTS**

# Algorithms

interval search trees (optional)

Ine segment intersection (optional)

rectangle intersection (optional)

1d range search

kd trees

Robert Sedgewick | Kevin Wayne

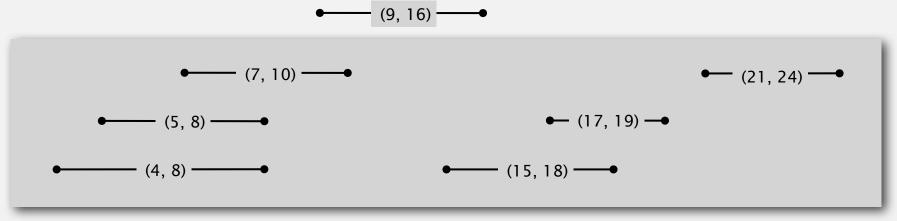
http://algs4.cs.princeton.edu

#### 1d interval search

1d interval search. Data structure to hold set of (overlapping) intervals.

- Insert an interval ( *lo*, *hi* ).
- Search for an interval ( *lo*, *hi* ).
- Delete an interval ( *lo*, *hi* ).
- Interval intersection query: given an interval ( *lo*, *hi* ), find all intervals (or one interval) in data structure that intersects ( *lo*, *hi* ).

- Q. Which intervals intersect (9, 16)?
- A. (7, 10) and (15, 18).



## 1d interval search API

public class	IntervalST <key< th=""><th>extends</th><th><pre>Comparable<key>,</key></pre></th><th>Value&gt;</th></key<>	extends	<pre>Comparable<key>,</key></pre>	Value>
--------------	--	---------	-----------------------------------	--------

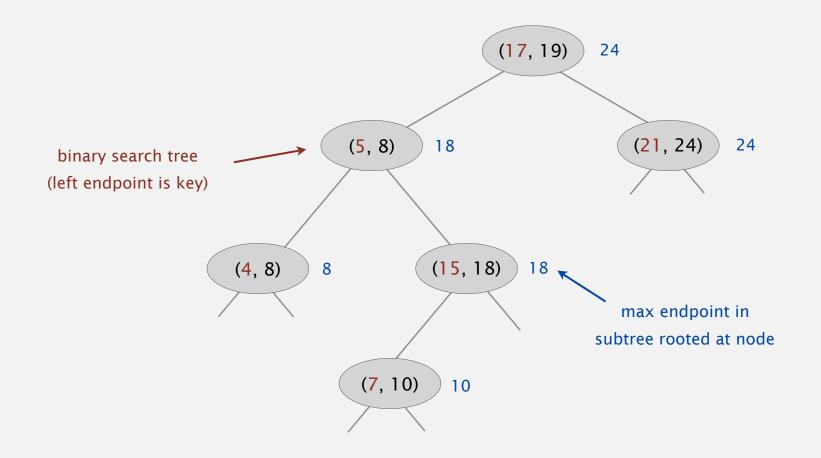
	IntervalST()	create interval search tree
void	put(Key lo, Key hi, Value val)	put interval-value pair into ST
Value	get(Key lo, Key hi)	value paired with given interval
void	delete(Key lo, Key hi)	delete the given interval
Iterable <value></value>	intersects(Key lo, Key hi)	all intervals that intersect the given interval

Nondegeneracy assumption. No two intervals have the same left endpoint.

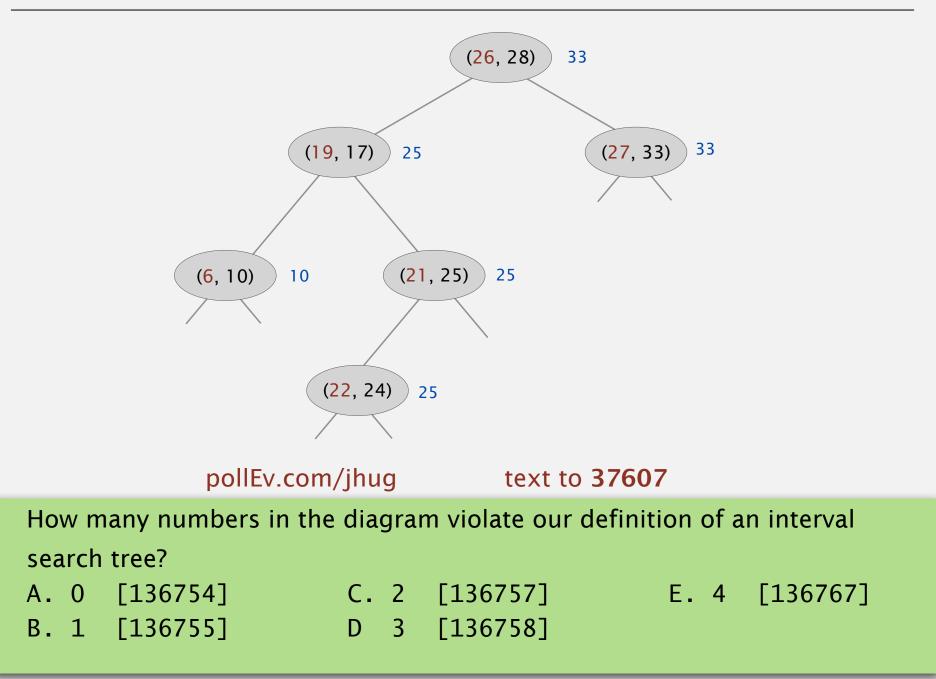
#### Interval search trees

Create BST, where each node stores an interval (*lo*, *hi*).

- Use left endpoint as BST key.
- Store max endpoint in subtree rooted at node.



#### Interval search trees



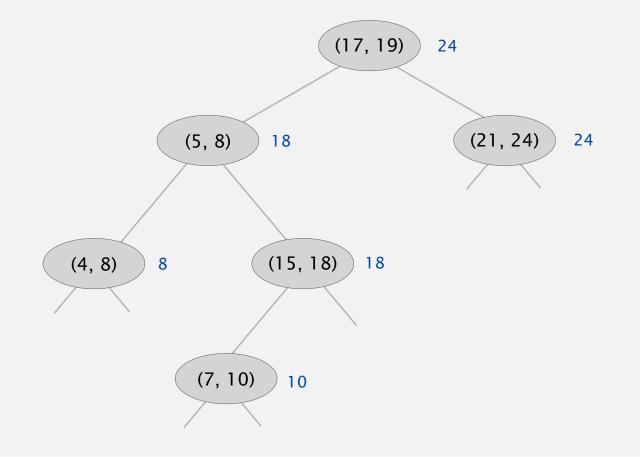
#### Interval search tree demo

To insert an interval ( *lo*, *hi* ) :

- Insert into BST, using *lo* as the key.
- Update max in each node on search path.



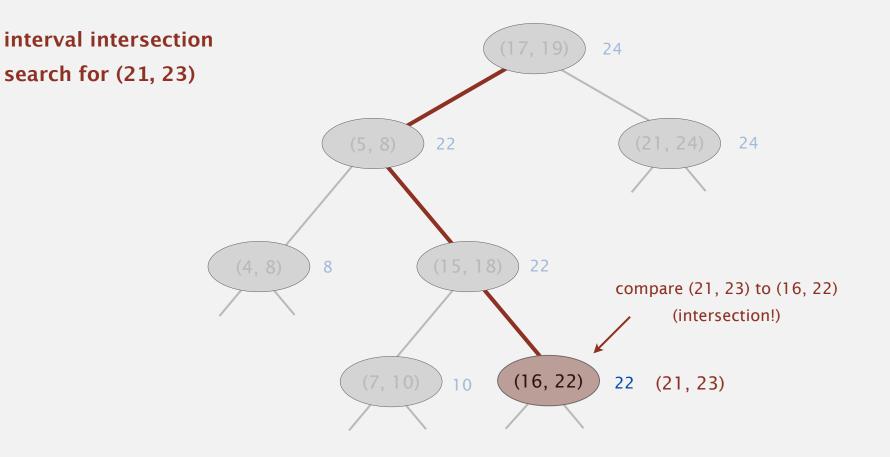
#### insert interval (16, 22)



#### Interval search tree demo

To search for any one interval that intersects query interval (lo, hi):

- If interval in node intersects query interval, return it.
- Else if left subtree is null, go right.
- Else if max endpoint in left subtree is less than lo, go right.
- Else go left.



#### Search for an intersecting interval implementation

To search for any one interval that intersects query interval ( lo, hi ):

- If interval in node intersects query interval, return it.
- Else if left subtree is null, go right.
- Else if max endpoint in left subtree is less than lo, go right.
- Else go left.

```
Node x = root;
while (x != null)
{
    if (x.interval.intersects(lo, hi)) return x.interval;
    else if (x.left == null) x = x.right;
    else if (x.left.max < lo) x = x.right;
    else x = x.left;
}
return null;
```

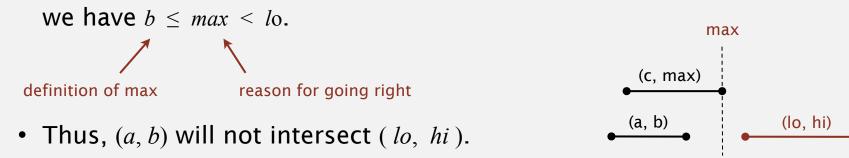
### Search for an intersecting interval analysis

To search for any one interval that intersects query interval (*lo*, *hi*):

- If interval in node intersects query interval, return it.
- Else if left subtree is null, go right.
- Else if max endpoint in left subtree is less than lo, go right.
- Else go left.

Case 1. If search goes right, then no intersection in left.

- Pf. Suppose search goes right and left subtree is non empty.
  - Since went right, we have *max* < *lo*.
  - For any interval (*a*, *b*) in left subtree of *x*,



65

right subtree of x

left subtree of x

### Search for an intersecting interval analysis

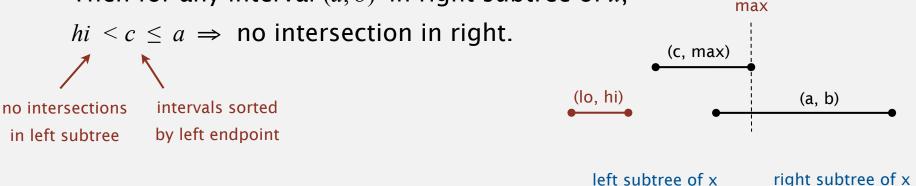
To search for any one interval that intersects query interval (*lo*, *hi*):

- If interval in node intersects query interval, return it.
- Else if left subtree is null, go right.
- Else if max endpoint in left subtree is less than *lo*, go right.
- Else go left.

Case 2. If search goes left, then there is either an intersection in left subtree or no intersections in either.

Pf. Suppose no intersection in left.

- Since went left, we have  $lo \leq max$ .
- Then for any interval (*a*, *b*) in right subtree of *x*,



#### Interval search tree: analysis

#### Implementation. Use a red-black BST to guarantee performance.

easy to maintain auxiliary information using log N extra work per op

operation	brute	interval search tree	best in theory
insert interval	1	log N	log N
find interval	Ν	log N	log N
delete interval	Ν	log N	log N
find any one interval that intersects ( <i>lo</i> , <i>hi</i> )	Ν	log N	log N
find all intervals that intersects ( <i>lo</i> , <i>hi</i> )	Ν	R log N	R + log N

order of growth of running time for N intervals

# **GEOMETRIC APPLICATIONS OF BSTS**

# Algorithms

Ine segment intersection (optional)

interval search trees (optional)

rectangle intersection (optional)

1d range search

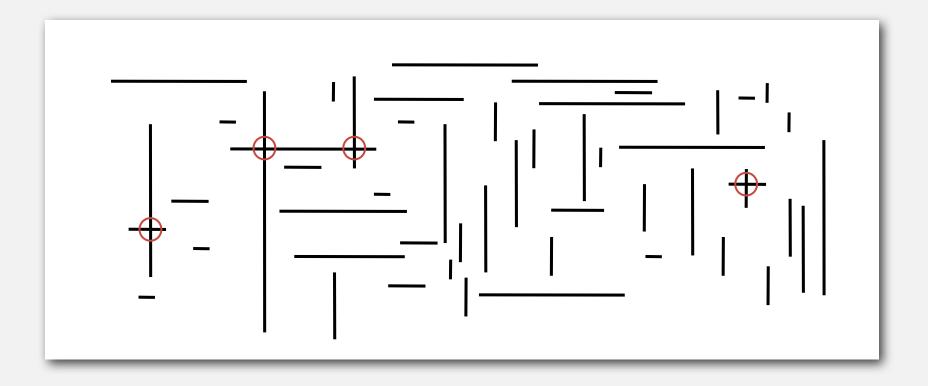
kd trees

Robert Sedgewick  $\parallel$  Kevin Wayne

http://algs4.cs.princeton.edu

## Orthogonal line segment intersection

Given *N* horizontal and vertical line segments, find all intersections.



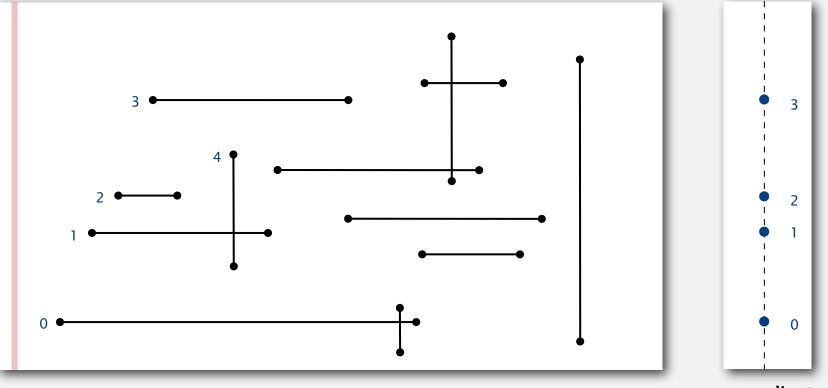
Quadratic algorithm. Check all pairs of line segments for intersection.

Nondegeneracy assumption. All *x*- and *y*-coordinates are distinct.

#### Orthogonal line segment intersection: sweep-line algorithm

#### Sweep vertical line from left to right.

- *x*-coordinates define events.
- *h*-segment (left endpoint): insert *y*-coordinate into BST.

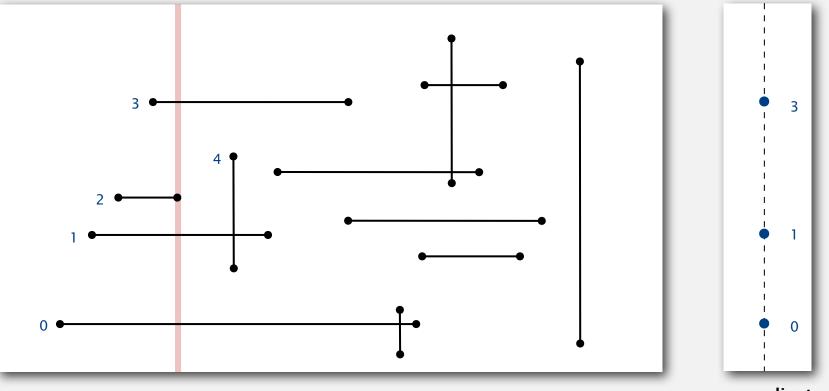


y-coordinates

#### Orthogonal line segment intersection: sweep-line algorithm

#### Sweep vertical line from left to right.

- *x*-coordinates define events.
- *h*-segment (left endpoint): insert *y*-coordinate into BST.
- *h*-segment (right endpoint): remove *y*-coordinate from BST.

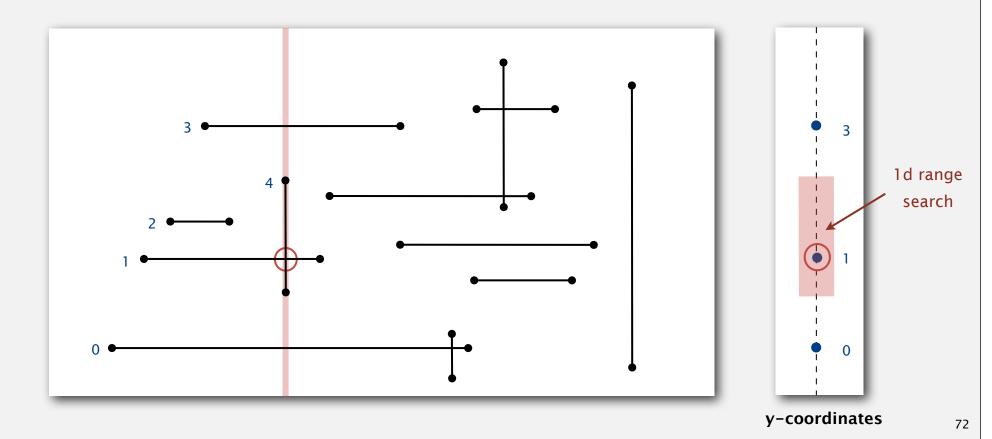


y-coordinates

### Orthogonal line segment intersection: sweep-line algorithm

#### Sweep vertical line from left to right.

- *x*-coordinates define events.
- *h*-segment (left endpoint): insert *y*-coordinate into BST.
- *h*-segment (right endpoint): remove *y*-coordinate from BST.
- *v*-segment: range search for interval of *y*-endpoints.



## Orthogonal line segment intersection: sweep-line analysis

**Proposition**. The sweep-line algorithm takes time proportional to  $N \log N + R$  to find all *R* intersections among *N* orthogonal line segments.

#### Pf.

- Put x-coordinates on a PQ (or sort). ← N log N
- Insert y-coordinates into BST.
   ✓ N log N
- Delete y-coordinates from BST.
- Range searches in BST.
   N log N + R

Bottom line. Sweep line reduces 2d orthogonal line segment intersection search to 1d range search.

# **GEOMETRIC APPLICATIONS OF BSTS**

# Algorithms

rectangle intersection (optional)

Ine segment intersection (optional)

interval search trees (optional)

1d range search

kd trees

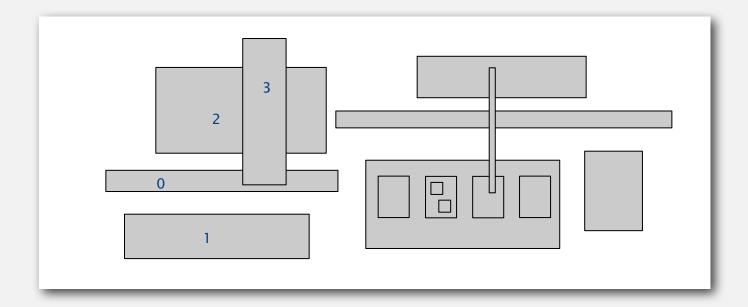
Robert Sedgewick | Kevin Wayne

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#### Orthogonal rectangle intersection

Goal. Find all intersections among a set of *N* orthogonal rectangles.

Quadratic algorithm. Check all pairs of rectangles for intersection.



Non-degeneracy assumption. All *x*- and *y*-coordinates are distinct.

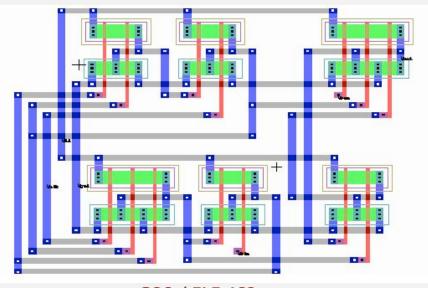
#### Microprocessors and geometry

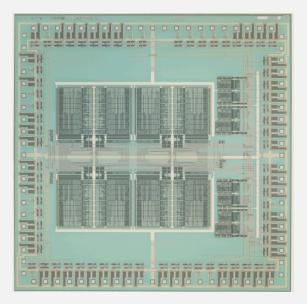
Early 1970s. microprocessor design became a geometric problem.

- Very Large Scale Integration (VLSI).
- Computer-Aided Design (CAD).

#### Design-rule checking.

- Certain wires cannot intersect.
- Certain spacing needed between different types of wires.
- Debugging = orthogonal rectangle intersection search.





### Algorithms and Moore's law

"Moore's law." Processing power doubles every 18 months.

- 197*x*: check *N* rectangles.
- 197(*x*+1.5): check 2*N* rectangles on a 2*x*-faster computer.



Gordon Moore

**Bootstrapping**. We get to use the faster computer for bigger circuits.

But bootstrapping is not enough if using a quadratic algorithm:

- 197*x*: takes *M* days.
- 197(x+1.5): takes (4M)/2 = 2M days. (!)

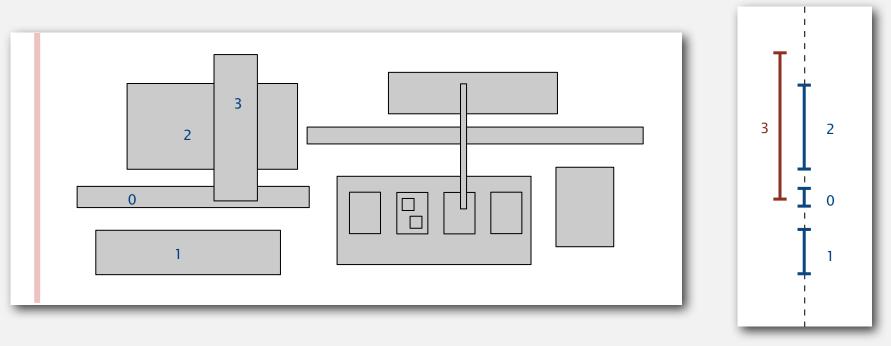


Bottom line. Linearithmic algorithm is necessary to sustain Moore's Law.

## Orthogonal rectangle intersection: sweep-line algorithm

#### Sweep vertical line from left to right.

- *x*-coordinates of left and right endpoints define events.
- Maintain set of rectangles that intersect the sweep line in an interval search tree (using *y*-intervals of rectangle).
- Left endpoint: interval search for *y*-interval of rectangle; insert *y*-interval.
- Right endpoint: remove *y*-interval.



y-coordinates

### Orthogonal rectangle intersection: sweep-line analysis

**Proposition.** Sweep line algorithm takes time proportional to  $N \log N + R \log N$  to find *R* intersections among a set of *N* rectangles.

#### Pf.

- Put x-coordinates on a PQ (or sort).

- Interval searches for *y*-intervals.

← N log N + R log N

Bottom line. Sweep line reduces 2d orthogonal rectangle intersection search to 1d interval search.

## Geometric applications of BSTs

problem	example	solution
1d range search	•• •• •• •• • <mark>•• • •</mark> • •• •• •• •	BST
2d orthogonal line segment intersection		sweep line reduces to 1d range search
kd range search		kd tree
1d interval search		interval search tree
2d orthogonal rectangle intersection		sweep line reduces to 1d interval search