

## Two classic sorting algorithms

## Critical components in the world's computational infrastructure.

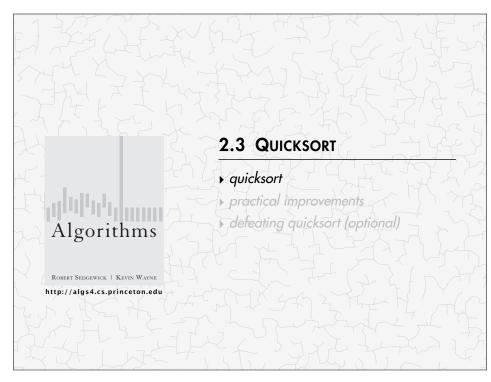
- Full scientific understanding of their properties has enabled us to develop them into practical system sorts.
- Quicksort honored as one of top 10 algorithms of 20<sup>th</sup> century in science and engineering.

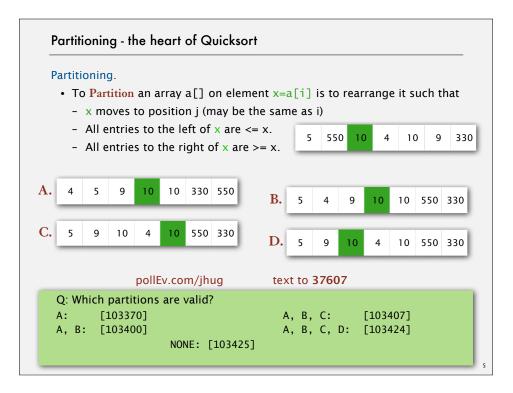
## Mergesort. ← last lecture

- · Java sort for objects.
- Perl, C++ stable sort, Python stable sort, Firefox JavaScript, ...

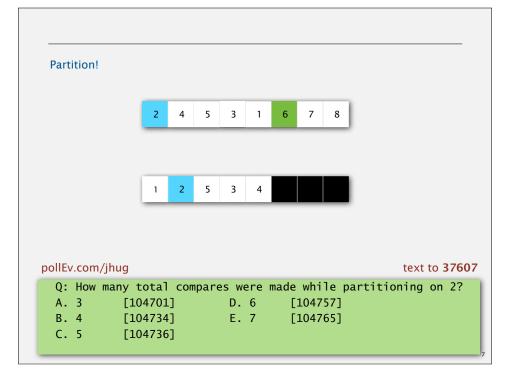
## Quicksort. ← this lecture

- · Java sort for primitive types.
- C qsort, Unix, Visual C++, Python, Matlab, Chrome JavaScript, ...



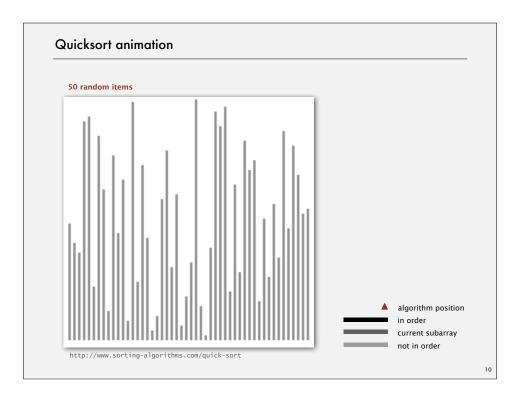






```
Quicksort: Java code for partitioning
           private static int partition(Comparable[] a, int lo, int hi)
              int i = lo, j = hi+1;
              while (i < j)
                 while (less(a[++i], a[lo]))
                                                     find item on left to swap
                    if (i == hi) break;
                 while (less(a[lo], a[--j]))
                                                    find item on right to swap
                    if (j == lo) break;
                 if (i >= j) break;
                                                       check if pointers cross
                 exch(a, i, j);
                                                                    swap
              exch(a, lo, j);
                                                   swap with partitioning item
              return j;
                                    return index of item now known to be in place
```

## Quicksort: Java implementation public class Quick private static int partition(Comparable[] a, int lo, int hi) { /\* see previous slide \*/ } public static void sort(Comparable[] a) shuffle needed for StdRandom.shuffle(a); performance quarantee sort(a, 0, a.length - 1); (stay tuned) private static void sort(Comparable[] a, int lo, int hi) if (hi <= lo) return; int j = partition(a, lo, hi); sort(a, lo, j-1); sort(a, j+1, hi); }



## Compare analysis

## On board

- · Best case
- 9/10ths case
- Worst case
- · Average case recurrence relation

## Quicksort: worst-case analysis

Worst case. Number of compares is  $\sim \frac{1}{2} N^2$ .



## Quicksort: best-case analysis

Best case. Number of compares is  $\sim N \lg N$ .

										a	1						
lo	j	hi	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
initia	al valu	ies	Н	Α	C	В	F	Ε	G	D	L	ı	K	J	Ν	М	0
rand	om sh	uffle	Н	Α	C	В	F	Ε	G	D	L	ı	K	J	Ν	М	0
0	7	14	D	Α	C	В	F	Ε	G	Н	L	ı	K	J	Ν	М	0
0	3	6	В	Α	C	D	F	Ε	G	Н	L	1	K	J	Ν	M	0
0	1	2	Α	В	C	D	F	Е	G	Н	L	1	K	J	Ν	M	0
0		0	Α	В	С	D	F	Ε	G	Н	L	1	Κ	J	Ν	M	0
2		2	Α	В	C	D	F	Ε	G	Н	L	1	Κ	J	Ν	M	0
4	5	6	А	В	С	D	Ε	F	G	Н	L	1	Κ	J	Ν	M	0
4		4	А	В	С	D	Ε	F	G	Н	L	1	K	J	Ν	M	0
6		6	Α	В	С	D	Е	F	G	Н	L	1	K	J	Ν	M	0
8	11	14	Α	В	$\subset$	D	Е	F	G	Н	J	ı	K	L	Ν	М	0
8	9	10	Α	В	С	D	Е	F	G	Н	ı	J	K	L	Ν	M	0
8		8	Α	В	С	D	Е	F	G	Н	1	J	K	L	Ν	M	0
10		10	Α	В	С	D	Е	F	G	Н	-	J	K	L	Ν	M	0
12	13	14	Α	В	С	D	Е	F	G	Н	-	J	Κ	L	М	N	0
12		12	Α	В	С	D	Ε	F	G	Н	1	J	Κ	L	М	Ν	0
14		14	Α	В	С	D	Е	F	G	Н	1	J	Κ	L	M	Ν	0
			Α	В	C	D	Ε	F	G	Н	1	j	K	L	М	N	0

## Quicksort: average-case analysis

Proposition. The average number of compares  $C_N$  to quicksort an array of N distinct keys is  $\sim 2N \ln N$  (and the number of exchanges is  $\sim \frac{1}{3} N \ln N$ ).

Pf.  $C_N$  satisfies the recurrence  $C_0 = C_1 = 0$  and for  $N \ge 2$ :

$$C_N = \begin{array}{c} \text{partitioning} \\ \downarrow \\ (N+1) \ + \ \left(\frac{C_0 + C_{N-1}}{N}\right) \ + \ \left(\frac{C_1 + C_{N-2}}{N}\right) \ + \ \dots \ + \ \left(\frac{C_{N-1} + C_0}{N}\right) \end{array}$$

Multiply both sides by N and collect terms: partitioning probability

$$NC_N = N(N+1) + 2(C_0 + C_1 + \dots + C_{N-1})$$

• Subtract from this equation the same equation for N-1:

$$NC_N - (N-1)C_{N-1} = 2N + 2C_{N-1}$$

• Rearrange terms and divide by N(N+1):

$$\frac{C_N}{N+1} = \frac{C_{N-1}}{N} + \frac{2}{N+1}$$

14

## Quicksort: average-case analysis

• Repeatedly apply above equation:

• Approximate sum by an integral:

$$C_N = 2(N+1)\left(\frac{1}{3} + \frac{1}{4} + \frac{1}{5} + \dots + \frac{1}{N+1}\right)$$
  
  $\sim 2(N+1)\int_{2}^{N+1} \frac{1}{x} dx$ 

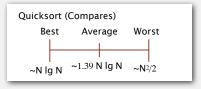


15

· Finally, the desired result:

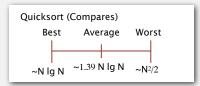
$$C_N \sim 2(N+1) \ln N \approx 1.39 N \lg N$$

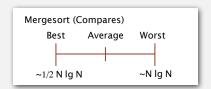
## Sounds of sorting



- 1

## Quicksort performance





Preserving randomness. Shuffling provides probabilistic guarantee of average case behavior.

• More compares than Mergesort.

17

## Quicksort: empirical analysis

## Running time estimates:

- Home PC executes 108 compares/second.
- Supercomputer executes 10<sup>12</sup> compares/second.

	insertion sort (N²)			mergesort (N log N)			quicksort (N log N)		
computer	thousand	million	billion	thousand	million	billion	thousand	million	billion
home	instant	2.8 hours	317 years	instant	1 second	18 min	instant	0.6 sec	12 min
super	instant	1 second	1 week	instant	instant	instant	instant	instant	instant

Lesson 1. Good algorithms are better than supercomputers.

Lesson 2. Great algorithms are better than good ones.

1

## Quicksort: implementation details

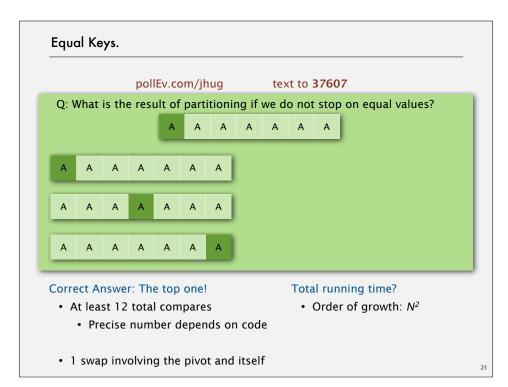
Partitioning in-place. Using an extra array makes partitioning easier (and stable), but is not worth the cost.

Terminating the loop. Testing whether the pointers cross is a bit trickier than it might seem.

Preserving randomness. Shuffling is needed for performance guarantee.

Equal keys. When duplicates are present, it is (counter-intuitively) better to stop scans on keys equal to the partitioning item's key.

10



## Quicksort: summary of performance characteristics

Worst case. Number of compares is quadratic.

- $N + (N-1) + (N-2) + ... + 1 \sim \frac{1}{2}N^2$ .
- More likely that your computer is struck by lightning bolt.

Average case. Number of compares is  $\sim 1.39 N \lg N$ .

- 39% more compares than mergesort.
- But faster than mergesort in practice because of less data movement.

## Random shuffle.

- · Probabilistic guarantee against worst case.
- Basis for math model that can be validated with experiments.

Caveat emptor. Many textbook implementations go quadratic if array

- Is sorted or reverse sorted.
- Has many duplicates (even if randomized!)

22

## **Quicksort properties**

Proposition. Quicksort is an in-place sorting algorithm.

Pf.

- · Partitioning: constant extra space.
- Depth of recursion: logarithmic extra space (with high probability).

can guarantee logarithmic depth by recurring on smaller subarray before larger subarray

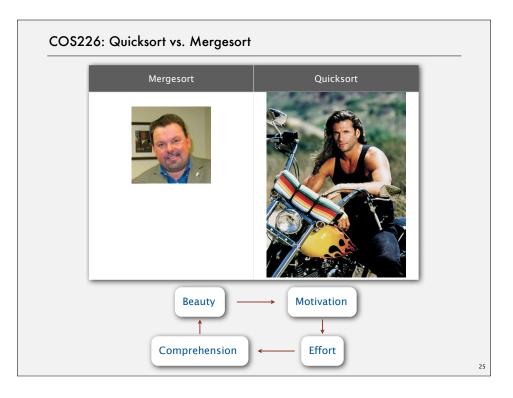
Proposition. Quicksort is not stable.

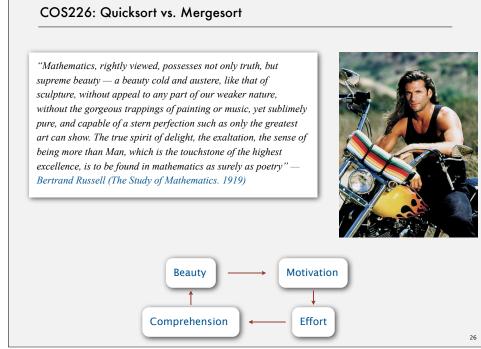
Pf.

	i	j	0	1	2	3	
			<b>B</b> <sub>1</sub>	C <sub>1</sub>	C <sub>2</sub>	Aı	-
	1	3	$B_1$	$C_1$	$C_2$	$A_1$	
	1	3	<b>B</b> <sub>1</sub>	$A_1$	$C_2$	$C_1$	
	0	1	$A_1$	B <sub>1</sub>	$C_2$	$C_1$	
-						_	-

## COS226: Quicksort vs. Mergesort

algorithm	Mergesort	Quicksort
Recursion	Before doing work	Do work first
Deterministic	Yes	No
Compares (worst)	~ N lg N	~N <sup>2</sup> / 2
Compares (average)		~ 1.39 N lg N
Exchanges (average)	N/A	~ 0.23 N lg N
Stable	Yes	No
Memory Use	N	In-place
Overall Performance	Worse	Better





## Quicksort Inventor.

## Tony Hoare.

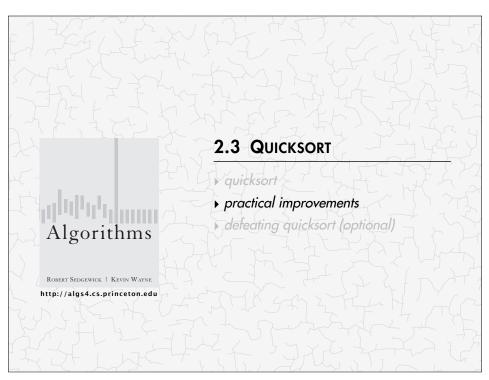
- · QuickSort invented in 1960 at age 26
  - Used to help with machine translation project
- · Also invented the null-pointer
- · 4 honorary doctorates
- · 1 real doctorate
- Knight



27

Sir Charles Antony Richard Hoare 1980 Turing Award

"I call it my billion-dollar mistake. It was the invention of the null reference in 1965. At that time, I was designing the first comprehensive type system for references in an object oriented language (ALGOL W). My goal was to ensure that all use of references should be absolutely safe, with checking performed automatically by the compiler. But I couldn't resist the temptation to put in a null reference, simply because it was so easy to implement. This has led to innumerable errors, vulnerabilities, and system crashes, which have probably caused a billion dollars of pain and damage in the last forty years." — Tony Hoare (2009)



## Quicksort: practical improvements

## Median of sample.

- Best choice of pivot item = median.
- · Estimate true median by taking median of sample.
- · Median-of-3 (random) items.
  - ~ 12/7 N In N compares (slightly fewer) ~ 12/35 N In N exchanges (slightly more)

```
private static void sort(Comparable[] a, int lo, int hi)
{
   if (hi <= lo) return;

   int m = medianOf3(a, lo, lo + (hi - lo)/2, hi);
   swap(a, lo, m);

   int j = partition(a, lo, hi);
   sort(a, lo, j-1);
   sort(a, j+1, hi);
}</pre>
```

## Quicksort: practical improvements

## Insertion sort small subarrays.

- · Even quicksort has too much overhead for tiny subarrays.
- Cutoff to insertion sort for ≈ 10 items.
- Note: could delay insertion sort until one pass at end.

```
private static void sort(Comparable[] a, int lo, int hi)
{
    if (hi <= lo + CUTOFF - 1)
    {
        Insertion.sort(a, lo, hi);
        return;
    }
    int j = partition(a, lo, hi);
    sort(a, lo, j-1);
    sort(a, j+1, hi);
}</pre>
```

## Quicksort with median-of-3 and cutoff to insertion sort: visualization

## Input partition result of first partition and the state of the subarray partially sorted both subarrays partially sorted

## pollEv.com/jhug

text to 37607

29

Q: Assume an array of length N and that we abort sorting for arrays less than length 10.

How many inversions remain at the last step shown (to left) in the worst case?

- A.  $\Theta(N^2)$  [104533]
- B.  $\Theta(cN^2)$  [104539]
- c. Θ(N) [104545]
- d. Θ(cN) [104554]

## **Duplicate keys**

Often, purpose of sort is to bring items with equal keys together.

- · Sort population by age.
- · Remove duplicates from mailing list.
- · Sort job applicants by college attended.
- Place children in magical residential colleges.

## Typical characteristics of such applications.

- · Huge array.
- · Small number of key values.

```
Chicago 09:25:52
Chicago 09:03:13
Chicago 09:21:05
Chicago 09:19:46
Chicago 09:19:32
Chicago 09:00:00
Chicago 09:35:21
Chicago 09:00:59
Houston 09:01:10
Houston 09:00:13
Phoenix 09:37:44
Phoenix 09:00:03
Phoenix 09:14:25
Seattle 09:10:25
Seattle 09:36:14
Seattle 09:22:43
Seattle 09:10:11
Seattle 09:22:54
  key
                          32
```

## **Duplicate keys**

Mergesort with duplicate keys. Between  $\frac{1}{2}N \lg N$  and  $N \lg N$  compares.

Quicksort with duplicate keys. Algorithm goes quadratic unless partitioning stops on equal keys!

which is why ours does!
(but many textbook implementations do not)

S T O P O N E Q U A L K E Y S

swap

if we don't stop on equal keys

equal keys

33

35

## Duplicate keys: the problem

Mistake. Put all items equal to the partitioning item on one side. Consequence.  $\sim \frac{1}{2} N^2$  compares when all keys equal.

BAABABBBCCD

AAAAAAAAAA

Recommended. Stop scans on items equal to the partitioning item. Consequence.  $\sim N \lg N$  compares when all keys equal.

BAABABCDBCB

AAAAAAAAA

Desirable. Put all items equal to the partitioning item in place.

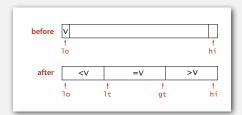
AAABBBBBCDC

AAAAAAAAAA

## 3-way partitioning

Goal. Partition array into 3 parts so that:

- Entries between 1t and gt equal to partition item v.
- No larger entries to left of 1t.
- · No smaller entries to right of gt.





## Dutch national flag problem. [Edsger Dijkstra]

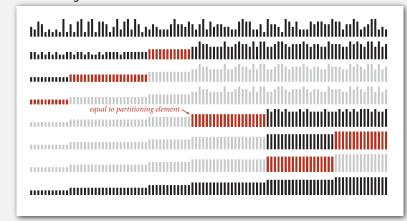
- Conventional wisdom until mid 1990s: not worth doing.
- New approach discovered when fixing mistake in C library qsort().
- · Now incorporated into qsort() and Java system sort.

## 3-way partitioning

## Key Insight



- Given an array of length N and k distinct items.
- · How many times do you have to partition?
  - k, each taking  $\Theta(N)$  time.
- · Order of growth: N



## Real world considerations

## Introsort

- Detect when sort goes quadratic (recursion depth exceeds some level).
  - Switch to heapsort (or mergesort).
- Detect when subproblem is less than size 15 or so.
  - Insertion sort is faster for small arrays.

## Handling almost sorted arrays

- Can optimize Quicksort to handle this. Or...
  - Timsort (fancy mergesort)
  - Smoothsort
  - Insertion Sort (if you're feeling lucky!)

2.3 QUICKSORT

quicksort

practical improvements

defeating quicksort (optional)

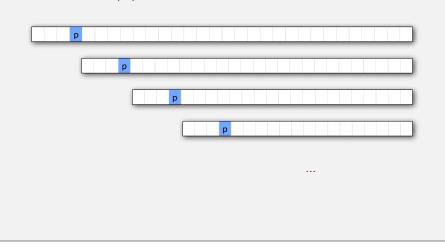
ROBERT SEDGEWICK | KEVIN WAYNE

http://algs4.cs.princeton.edu

## Defeating deterministic Quicksort

## Goal

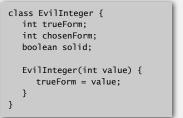
- Find a sequence of integers such that pivot(s) always ends up within a constant distance of the left edge.
  - Results in O(N2) runtime.



## Defeating deterministic Quicksort

## **Evil Integer**

- · True form set at time of creation.
- Chosen form is chosen later.
  - Permanent choice.
  - Becomes **solid** after choice.



True	0	1	2	3	4
Chosen	<b>%</b>	<b>%</b>	10	7	<b>%</b>
Solid	False	False	True	True	False

## Comparing Evil Integers (three cases)

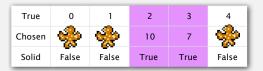
- I. Both are solid.
- Compare with chosen value.

True	0	1	2	3	4
Chosen	<b>%</b>	<b>%</b>	10	7	<b>**</b>
Solid	False	False	True	True	False

Comparing Evil Integers (three cases)

- I. Both are solid.
  - · Compare with chosen value.

A[2] < A[3]? 10 < 7? False



## Comparing Evil Integers (three cases)

- I. Both are solid.
- · Compare with chosen value.
- II. One is solid, one is not.
  - Solid one is considered less.
- The gooey one gains 'the mark'.

A[3] < A[1]? 7 <

True

True 0 1 2 3 4
Chosen 10 7
Solid False False True True False

## Comparing Evil Integers (three cases)

- I. Both are solid.
- Compare with chosen value
- II. One is solid, one is not.
- Solid one is considered less.
- The gooey one gains 'the mark'.

A[3] < A[1]?  $7 < \bigcirc ?$ 

True

True	0	1	2	3	4
Chosen	<b>%</b>	ೕೢೢ	10	7	*
Solid	False	False	True	True	False

## Comparing Evil Integers (three cases)

- I. Both are solid.
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## II. One is solid, one is not.

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True	0	1	2	3	4
Chosen	ೕೢೢ	<b>%</b>	10	7	*
Solid	False	False	True	True	- False

Comparing Evil Integers (three cases)

- I. Both are solid.
- Compare with chosen value

## II. One is solid, one is not.

- · Solid one is considered less.
- The gooey one gains 'the mark'.

## III. Neither is solid.

Must be bigger than 7 and 10

A[0] < A[4]?

- · One chooses a value and becomes solid.
  - Newly chosen value must be larger than all others.
  - If either Evil Integer is marked, it preferentially solidifies.
- Go to case II.

True	0	1	2	3	4
Chosen	ೕೢೢ	<b>%</b>	10	7	<b>  🍪  </b>
Solid	Halse	False	True	True	False

## Comparing Evil Integers (three cases)

- I. Both are solid.
- · Compare with chosen value

## II. One is solid, one is not.

- Solid one is considered less.
- The gooey one gains 'the mark'.

## A[0] < A[4]?



True

## III. Neither is solid.

- · One chooses a value and becomes solid.
  - Newly chosen value must be larger than all others.
- If either Evil Integer is marked, it preferentially solidifies.
- · Go to case II.

True	0	1	2	3	4
Chosen	42	<b>%</b>	10	7	<b>**</b>
Solid	True	False	True	True	False

## Comparing Evil Integers (three cases)

## I. Both are solid.

· Compare with chosen value

## II. One is solid, one is not.

- Solid one is considered less.
- The gooey one gains 'the mark'.

## III. Neither is solid.

- One chooses a value and becomes solid.
  - Newly chosen value must be larger than all others.
  - If either Evil Integer is marked, it preferentially solidifies.
- Go to case II.

True	0	1	2	3	4
Chosen	42	egge	10	7	<b>*</b>
Solid	True	False	True	True	False

## **Comparing Evil Integers**

## Observations

- Evil integers that solidify first have smallest values.
- Evil integers tell a consistent story (not obvious!).
  - Obey all the normal properties of an inequality.
  - Example: If E0 < E1 at some point, E0 will always be less than E1.

## Reminder

• Goal: Find a sequence of integers that causes Quicksort to go quadratic.

True	0	1	2	3	4
Chosen	42	₩,	10	7	<b>%</b>
Solid	True	False	True	True	False

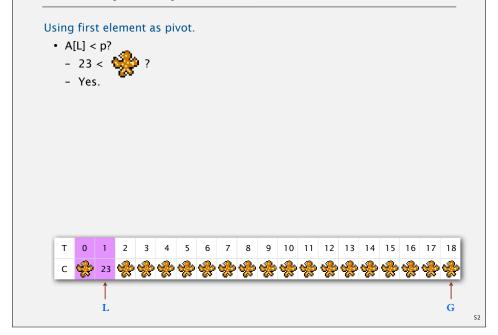
## **Quicksorting Evil Integers**

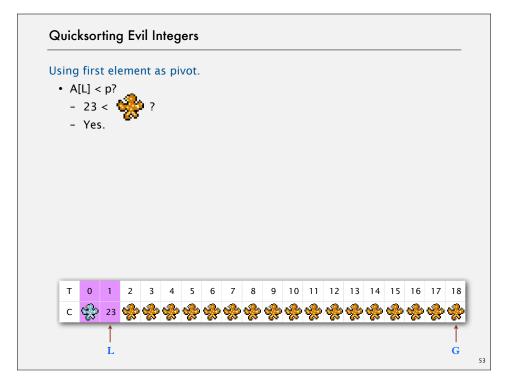
Using first element as pivot.

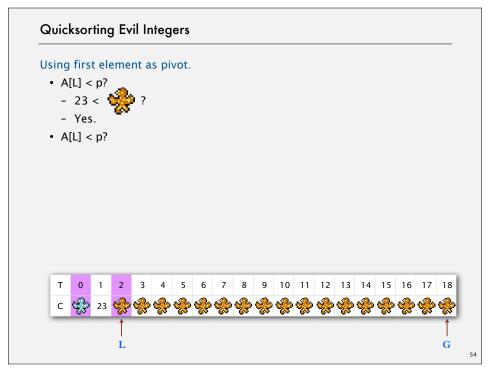
• A[L] < p?

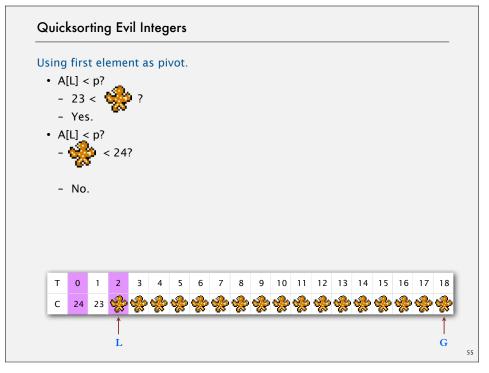


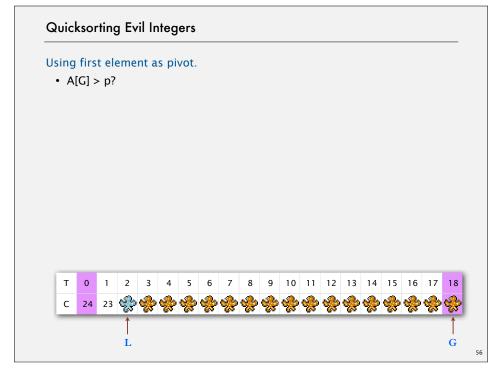
## **Quicksorting Evil Integers**

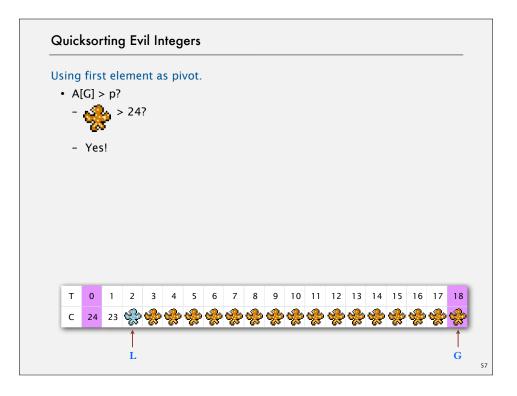


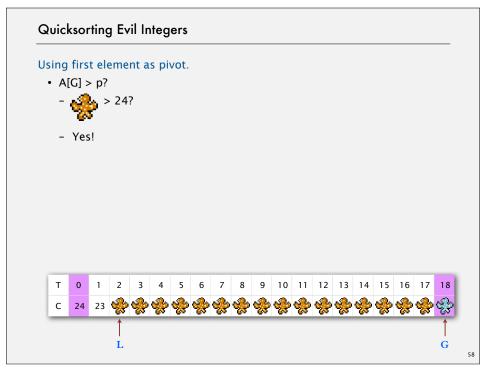


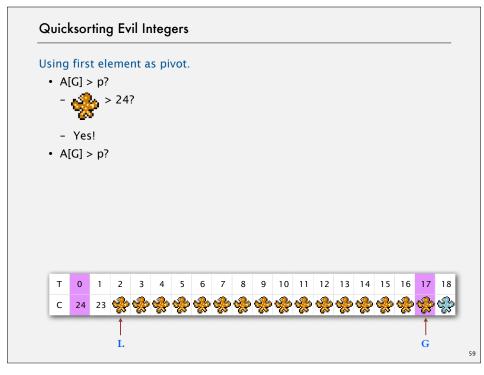


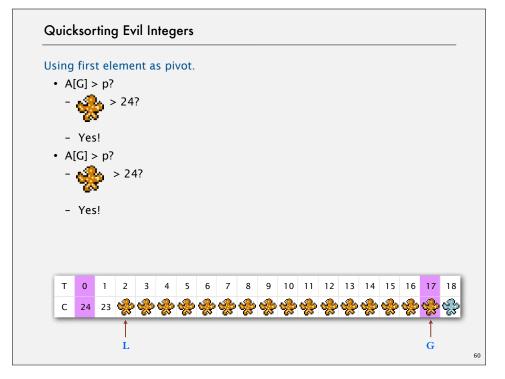


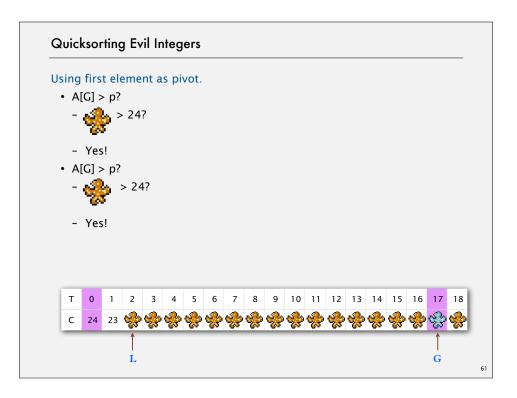


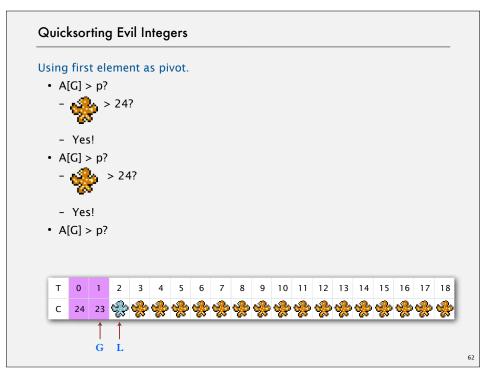


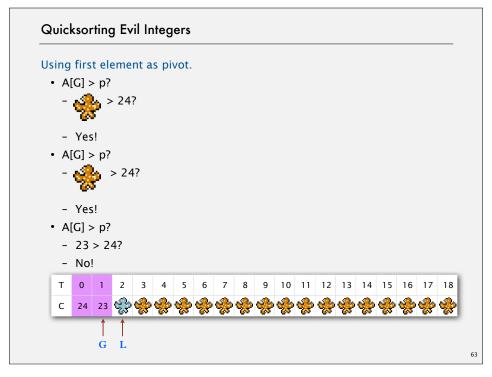


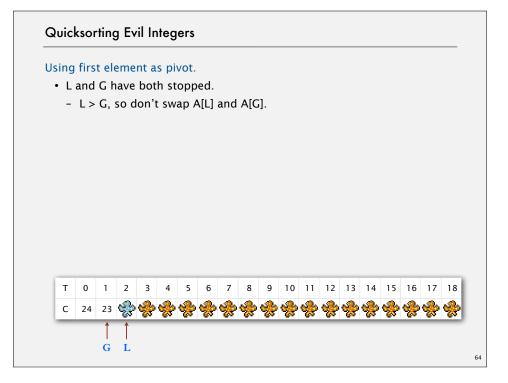








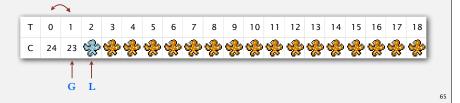




## **Quicksorting Evil Integers**

## Using first element as pivot.

- L and G have both stopped.
  - L > G, so don't swap A[L] and A[G].
- Swap pivot (24) and A[G]



## **Quicksorting Evil Integers**

## Using first element as pivot.

- L and G have both stopped.
  - L > G, so don't swap A[L] and A[G].
- Swap pivot (24) and A[G]



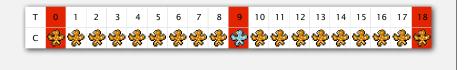
Subproblem of size N-2

66

## **Quicksorting Evil Integers**

## Using median of 3 as pivot.

- · Median identification.
  - Involves compares.



## Quicksorting Evil Integers

## Using median of 3 as pivot.

- Median identification.
  - Involves compares.
  - 23 < 24 < 🙋

67

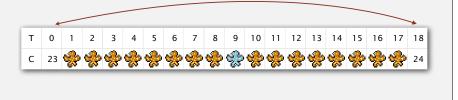




## Quicksorting Evil Integers

## Using median of 3 as pivot.

- Median identification.
  - Involves compares.
- 23 < 24 <
- Swap median (24) into pivot position.





## Using median of 3 as pivot.

- · Median identification.
  - Involves compares.
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## **Quicksorting Evil Integers**

## Using median of 3 as pivot.

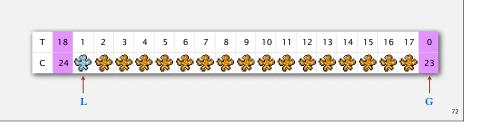
• L will immediately stop ( is not less than 24)



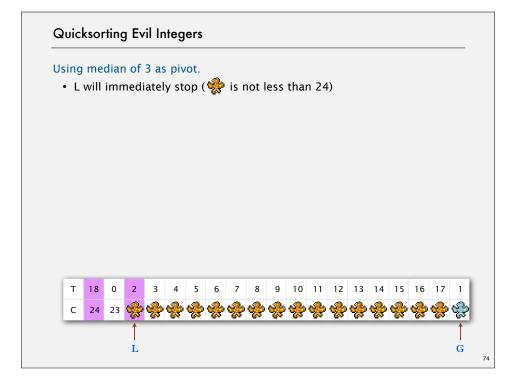
## **Quicksorting Evil Integers**

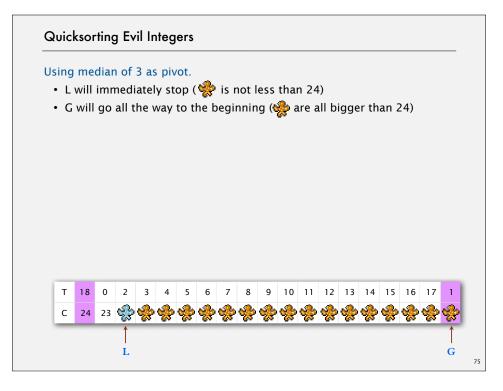
## Using median of 3 as pivot.

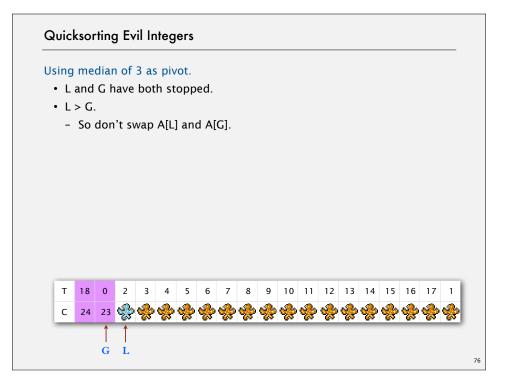
- L will immediately stop ( is not less than 24)
- G will immediately stop (23 is not greater than 24)



# Using median of 3 as pivot. • L will immediately stop ( is not less than 24) • G will immediately stop (23 is not greater than 24) • Swap and 23







## **Quicksorting Evil Integers**

## Using median of 3 as pivot.

- L and G have both stopped.
- L > G.
  - So don't swap A[L] and A[G].
- Swap pivot (24) and A[G].



## Quicksorting Evil Integers

## Using median of 3 as pivot.

- L and G have both stopped.
- L > G.
  - So don't swap A[L] and A[G].
- Swap pivot (24) and A[G].



Subproblem of size N-2

7

## **Quicksorting Evil Integers**

## What's going on here?

- Pivot is reused by quicksort during every compare.
  - Pivot solidifies earlier than almost all other elements.
- Let k be number of items used to decide pivot.
  - Evil Integer pivot is always within first k positions.

## **Comparing Evil Integers**

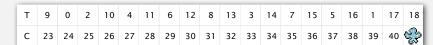
## Observations

• Evil Integers cause Quicksort to go quadratic.

## Reminder

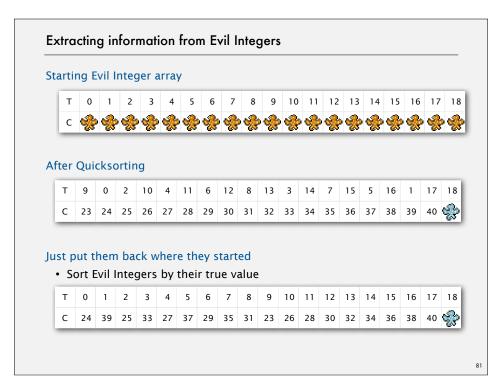
• Goal: Find a sequence of integers that causes Quicksort to go quadratic.

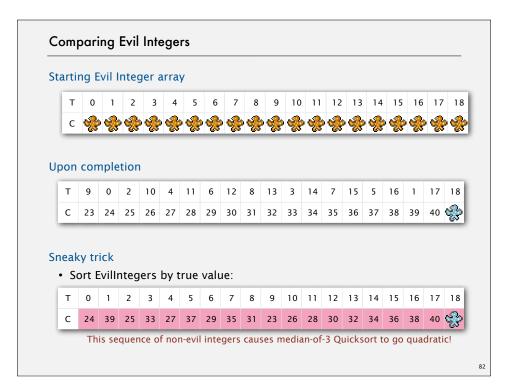
## **Upon completion**



## T 18 0 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 1 C 23 24 Subproblem of size N-2

79





## Non-deterministic Quicksort

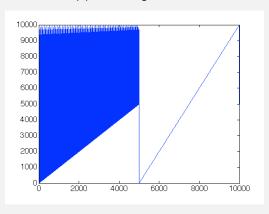
## Every non-deterministic Quicksort is vulnerable

- Pivot is reused early and often by all algorithms one might call 'Quicksort'.
  - Pivot is guaranteed to be within k positions of the front.
  - Arithmetic decrease in problem size means  $\Theta(N^2)$  performance.

## Neat fact

## Each deterministic Quicksort has its own Achilles shape

· Median-of-3s with 2-way partitioning:



[9800, 9850, 9200, 9801, 9851, 9201...]

83

## 

