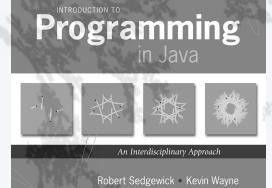


# 15. Symbol Tables

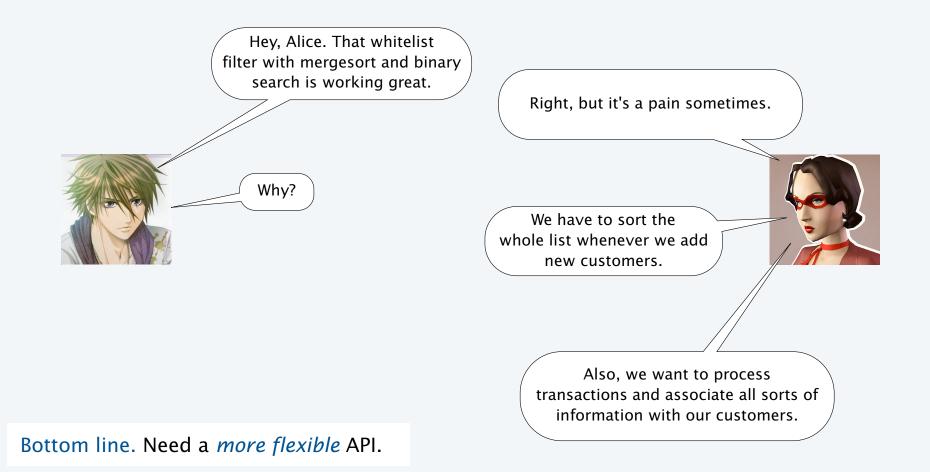


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### **15.Symbol Tables**

- APIs and clients
- A design challenge
- Binary search trees
- Implementation
- Analysis

#### FAQs about sorting and searching



#### Why are telephone books obsolete?





#### Unsupported operations

- Change the number associated with a given name.
- Add a new name, associated with a given number.
- Remove a givne name and associated number

Observation. Mergesort + binary search has the same problem with add and remove.

see Sorting and Searching lecture

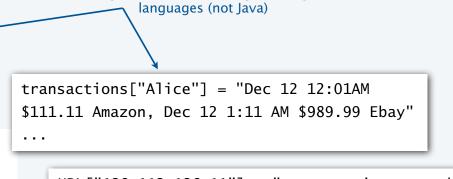
#### Associative array abstraction

Imagine using arrays whose indices are *string* values.

#### A fundamental abstraction

- Use keys to access associated values.
- Keys and values could be any type of data.
- Client code could not be simpler.

Q. How to implement?



legal code in some programming

URL["128.112.136.11"]	=	"www.cs.princeton.edu"
URL["128.112.128.15"]	=	"www.princeton.edu"
URL["130.132.143.21"]	=	"www.yale.edu"
URL["128.103.060.55"]	=	"www.harvard.edu"

IPaddr["www.cs.princeton.edu"]	=	"128.112.136.11"
IPaddr["www.princeton.edu"]	=	"128.112.128.15"
IPaddr["www.yale.edu"]	=	"130.132.143.21"
IPaddr["www.harvard.edu"]	=	"128.103.060.55"

5

#### Symbol table ADT

A symbol table is an ADT whose values are sets of key-value pairs, with keys all different.

#### Basic symbol-table operations

- Associate a given key with a given value.
  [If the key is *not* in the table, add it to the table.]
  [If the key *is* in the table, change its value.]
- Return the value associated with a given key.
- Test if a given key is in the table.
- Iterate though the keys.

#### Useful additional assumptions

- Keys are comparable and iteration is in order.
- No limit on number of key-value pairs.
- All keys not in the table associate with null.



key: term value: article

#### Benchmark example of symbol-table operations

Application. Count frequency of occurrence of strings in StdIn.

Keys. Strings from a sequence.

Values. Integers.

key	it		was	S	the		bes	t	of		time	s	it		was		the	<u>)</u>	wors	st
value	1		1		1		1		1		1		2		2		2		1	
	it	1	it was	1	it the	1	best it	1	best of	1	best of	1	best of	1	best of	1	best of	1	best of	1
symbol-table contents					was	1	the	1	it	1	it	1	it	2	it	2		2		2
after operation							was	1	the was	1	the times	1	the times	1	the times	1	the times	2	the times	
operation									iiuo	•	was	1	was	1	was	2	was	2	was	
																$\left( \right)$	/		worst	1
																V hang e va				

#### Parameterized API for symbol tables

Goal. Simple, safe, and clear client code for symbol tables holding any type of data.

#### Java approach: Parameterized data types (generics)

- Use placeholder type names for *both* keys and values.
- Substitute concrete types for placeholder in clients.

	"implements compareTo()"								
	<pre>public class ST<key comparable<="" extends="" pre=""></key></pre>	<key>, Value&gt;</key>							
	ST <key, value="">()</key,>	create a symbol table							
Symbol Table API	<pre>void put(Key key, Value val)</pre>	associate key with val							
	Value get(Key key)	return value associated with key, null if none							
	boolean contains(Key key)	is there a value associated with key?							
	<pre>Iterable<key> keys()</key></pre>	all the keys in the table							

#### Aside: Iteration (client code)

Q. How to print the contents of a stack/queue?

```
A. Use Java's foreach construct.
```

#### Enhanced for loop.

- Useful for any collection.
- Iterate through each entry in the collection.
- Order determined by implementation.
- Substantially simplifies client code.
- Works when API "implements Iterable".

#### Java foreach construct

Stack<String> stack = new Stack<String>();

```
for (String s : stack)
    StdOut.println(s);
```

```
• • •
```

<pre>public class Stack<item> implements Iterable<item></item></item></pre>					
<pre>Stack<item>()</item></pre>	create a stack of objects, all of type Item				
<pre>void push(Item item)</pre>	add item to stack				
<pre>Item pop()</pre>	remove and return item most recently pushed				
<pre>boolean isEmpty()</pre>	is the stack empty?				
int size()	<i># of objects on the stack</i>				

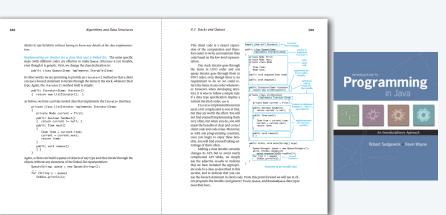
Performance specification. Constant-time per entry.

#### Aside: Iteration (implementation)

- Q. How to "implement Iterable"?
- A. We did it for Stack and Queue, so you don't have to.

<pre>public class Stack<item> implements Iterable<item></item></item></pre>						
<pre>Stack<item>()</item></pre>	create a stack of objects, all of type Item					
<pre>void push(Item item)</pre>	add item to stack					
<pre>Item pop()</pre>	remove and return item most recently pushed					
<pre>boolean isEmpty()</pre>	is the stack empty?					
int size()	<i># of objects on the stack</i>					

A. Implement an Iterator (see text pp. 588-89)



Meets performance specification. Constant-time per entry.

Bottom line. Use iteration in client code that uses collections.

#### Why ordered keys?

#### Natural for many applications

- Numeric types.
- Strings.
- Date and time.
- Client-supplied types (Account numbers, ...).

#### Enables useful API extensions

- Provide the keys in sorted order.
- Find the *k*th largest key.

#### Enables efficient implementations

- Mergesort.
- Binary search.
- BSTs (this lecture).



thingsorganizedneatly.tumblr.com

#### Goal. Sort lines on standard input (and remove duplicates).

- Key type. String (line on standard input).
- Value type. (ignored).

```
public class Sort
{
    public static void main(String[] args)
    { // Sort lines on StdIn
      BST<String, Integer> st = new BST<String, Integer>();
    while (StdIn.hasNextLine())
      st.put(StdIn.readLine(), 0);
    for (String s : st.keys())
      StdOut.println(s);
    }
    foreach
}
```

% more tale.txt it was the best of times it was the worst of times it was the age of wisdom it was the age of foolishness it was the epoch of belief it was the epoch of incredulity it was the season of light it was the season of darkness it was the spring of hope it was the winter of despair

```
% java Sort < tale.txt
it was the age of foolishness
it was the age of wisdom
it was the best of times
it was the epoch of belief
it was the epoch of incredulity
it was the season of darkness
it was the season of light
it was the spring of hope
it was the winter of despair
it was the worst of times
```

12

#### Symbol table client example 2: Frequency counter

```
Goal. Compute frequencies of words on standard input.
• Key type. String (word on standard input).
• Value type. Integer (frequency count).
public class Freq
{
   public static void main(String[] args)
   { // Frequency counter
      BST<String, Integer> st = new BST<String, Integer>();
      while (!StdIn.isEmpty())
      {
         String key = StdIn.readString();
         if (st.contains(key)) st.put(key, st.get(key) + 1);
                               st.put(key, 1);
         else
      }
      for (String s : st.keys())
         StdOut.printf("%8d %s\n", st.get(s), s);
   }
}
```

% more tale.txt it was the best of times it was the worst of times it was the age of wisdom it was the age of foolishness % java Freq < tale.txt | java Sort 1 belief 1 best 1 darkness 1 despair 1 foolishness 1 hope 1 incredulity 1 light 1 spring 1 winter 1 wisdom 1 worst 2 age 2 epoch 2 season 2 times 10 it 10 of 10 the 10 was

it

it

it

it

it

it

#### Symbol table client example 3: Index

#### Goal. Print index to words on standard input.

- Key type. String (word on standard input).
- Value type. Queue<Integer> (indices where word occurs).

```
public class Index
   public static void main(String[] args)
   ł
      BST<String, Queue<Integer>> st;
      st = new BST<String, Queue<Integer>>();
      int i = 0;
      while (!StdIn.isEmpty())
      {
         String key = StdIn.readString();
         if (!st.contains(key))
            st.put(key, new Queue<Integer>());
         st.get(key).enqueue(i++);
      }
      for (String s : st.keys())
         StdOut.println(s + " " + st.get(s));
   }
}
```

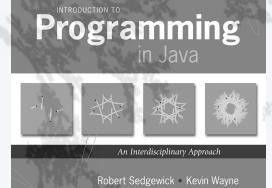
```
% more tale.txt
it was the best of times
it was the worst of times
it was the age of wisdom
it was the age of foolishness
it
   % java Index < tale.txt
it
   age 15 21
it
   belief 29
it
   best 3
it
   darkness 47
it
   despair 59
   epoch 27 33
   foolishness 23
   hope 53
   incredulity 35
   it 0 6 12 18 24 30 36 42 48 54
   light 41
   of 4 10 16 22 28 34 40 46 52 58
   season 39 45
   spring 51
   the 2 8 14 20 26 32 38 44 50 56
   times 5 11
   was 1 7 13 19 25 31 37 43 49 55
   winter 57
   wisdom 17
   worst 9
```

#### Symbol-table applications

Symbol tables are *ubiquitous* in today's computational infrastructure.

We're going to need a good symbol-table implementation!

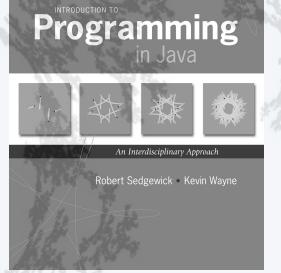
application	key	value
contacts	name	phone number, address
credit card	account number	transaction details
file share	name of song	computer ID
dictionary	word	definition
web search	keyword	list of web pages
book index	word	list of page numbers
cloud storage	file name	file contents
domain name service	domain name	IP address
reverse DNS	IP address	domain name
compiler	variable name	value and type
internet routing	destination	best route



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### **15.Symbol Tables**

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## **15.Symbol Tables**

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#### Benchmark

Application. Linguistic analysis

Zipf's law (for a natural language corpus)

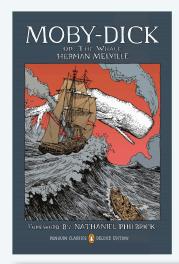
- Suppose most frequent word occurs about *t* times.
- 2nd most frequent word occurs about t/2 times.
- 3rd most frequent word occurs about t/3 times.
- 4th most frequent word occurs about t/4 times.

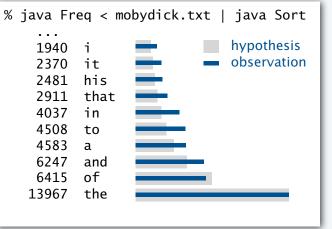
Goal. Validate Zipf's law for real natural language data.

Method.

% java Freq < data.txt | java Sort

Required. Efficient symbol-table implementation.





#### **Benchmark statistics**

Goal. Validate Zipf's law for real natural language data.

Method.

% java Freq < data.txt | java Sort



file	description	words	distinct
mobydick.txt	Melville's Moby Dick	210,028	16,834
liepzig100k.txt	100K random sentences	2,121,054	144,256
liepzig200k.txt	200K random sentences	4,238,435	215,515
liepzig1m.txt	1M random sentences	21,191,455	534,580

Reference: Wortschatz corpus, Universität Leipzig

http://corpora.informatik.uni-leipzig.de

Required. Efficient symbol-table implementation.

### Strawman I: Ordered array

Reasons (see "Sorting and Searching" lecture)and aand aand a• Takes advantage of fast sort (mergesort).carol712carol712• Enables fast search (binary search).erin247dave585• eve577erin247erin247• Known challenge. How big to make the arrays?oscar675eve577• peggy895oscar675peggy895• Tatal flaw. How to insert a new key?trudy926trent557• To keep key array in order, need to move larger entries ala insertion sort.51trudy926• walter51walter51walter51	Idea • Keep keys in order in an array. • Keep values in a parallel array.	keys alice bob	<i>values</i> 121 873	<i>keys</i> alice bob carlos	<i>values</i> 121 873 884
Known challenge. How big to make the arrays?oscar675eve577peggy895oscar675675675675peggy895557peggy895675675Trent557peggy895557557557Fatal flaw. How to insert a new key? • To keep key array in order, need to move larger entries ala insertion sort.51trudy926trudy926wendy152walter5151515151	• Takes advantage of fast sort (mergesort).	carol dave	712 585	carol craig	712 999
Fatal flaw. How to insert a new key?trudy926trent557• To keep key array in order, need to move larger entries ala insertion sort.walter51trudy926wendy152walter51	Known challenge. How big to make the arrays?	oscar peggy	675 895	eve oscar	577 675
	• To keep key array in order, need to move	trudy walter	926 51	trent trudy walter	557 926 51

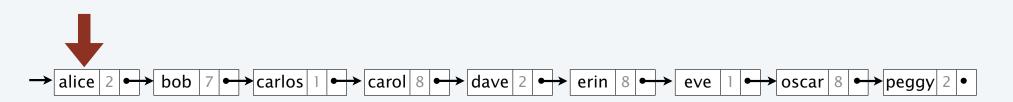
easy to validate with experiments

#### Strawman II: Linked list

#### Idea

- Keep keys in order in a linked list.
- Add a value to each node.

Reason. Meets memory-use performance specification.



Fatal flaw. How to search?

- Binary search requires indexed access.
- Example: How to access the middle of a linked list?
- Only choice: search *sequentially* through the list.
- Hypothesis: Quadratic time for benchmark.

easy to validate with experiments

#### Design challenge

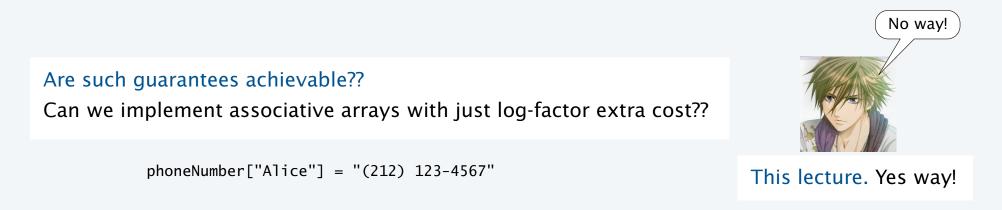
Implement scalable symbol tables.

Goal. Simple, safe, clear, and *efficient* client code.

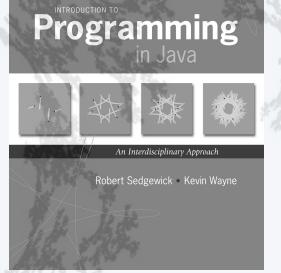
Order of growth of running time for put(), get() and contains() is logarithmic.

### Performance specifications

- Memory use is proportional to the size of the collection, when it is nonempty.
- No limits within the code on the collection size.



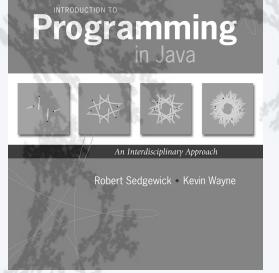
Only slightly more costly than stacks or queues!



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## **15.Symbol Tables**

- APIs and clients
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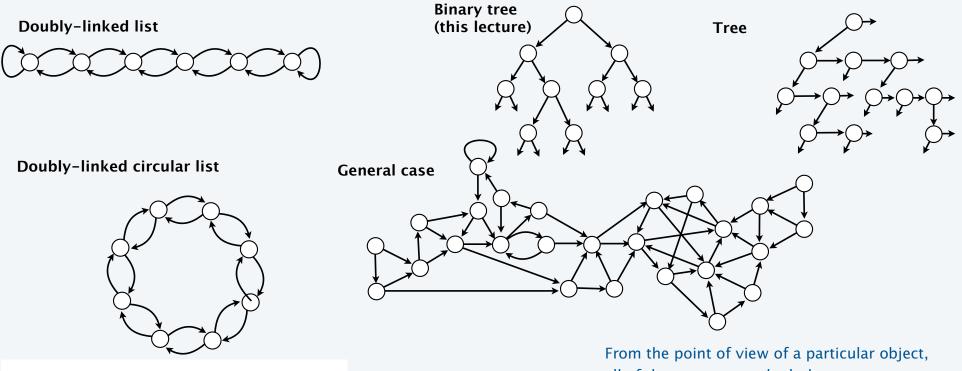
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## **15.Symbol Tables**

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#### Doubly-linked data structures

With two links (  $\bigcirc$  ) a wide variety of data structures are possible.



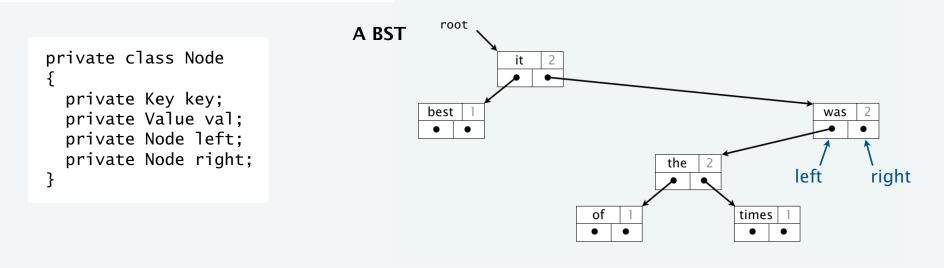
Maintenance can be complicated!

all of these structures look the same.

#### A doubly-linked data structure: binary search tree

#### Binary search tree (BST)

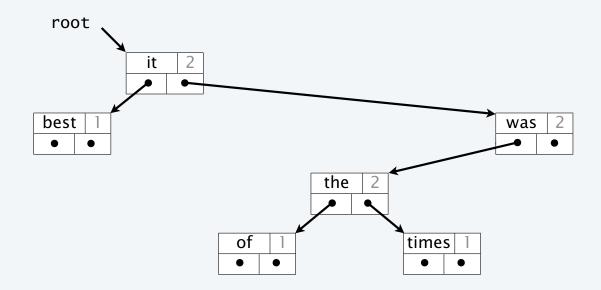
- A recursive data structure containing distinct comparable keys that is *ordered*.
- Def. A BST is a null or a reference to a BST node (the root).
- Def. A *BST node* is a data type that contains references to a key, a value, and two BSTs, a *left* subtree and a *right* subtree.
- Ordered. All keys in the *left* subtree of each node are *smaller* than its key and all keys in the *right* subtree of each node are *larger* than its key.



#### BST processing code

#### Standard operations for processing data structured as a binary search tree

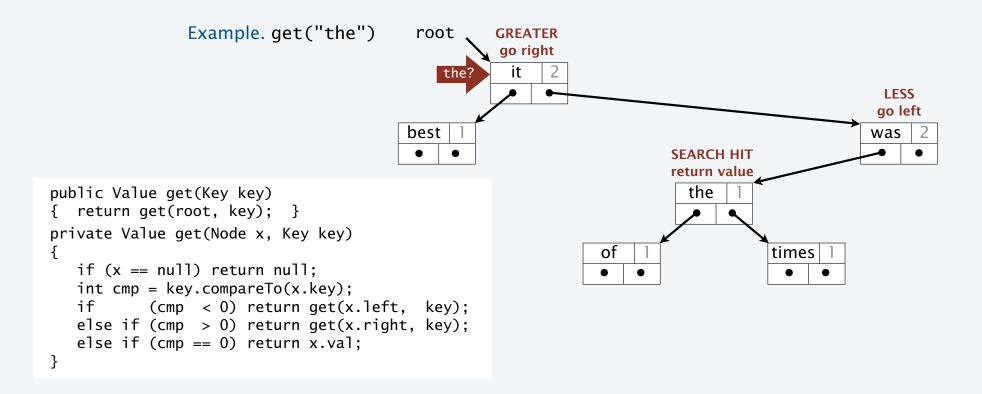
- Search for the value associated with a given key.
- Add a new key-value pair.
- Traverse the BST (visit every node, in order of the keys).
- Remove a given key and associated value (not addressed in this lecture).



#### BST processing code: Search

Goal. Find the value associated with a given key in a BST.

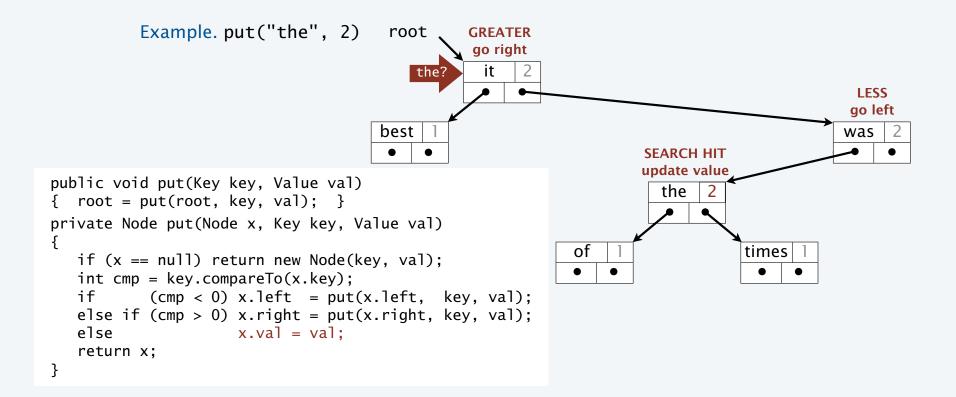
- If *less* than the key at the current node, go *left*.
- If greater than the key at the current node, go right.



#### BST processing code: Associate a new value with a key

Goal. Associate a new value with a given key in a BST.

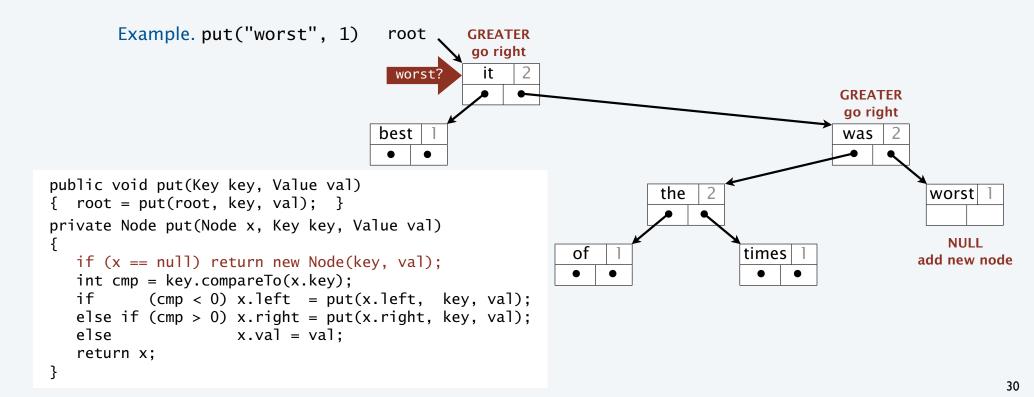
- If *less* than the key at the current node, go *left*.
- If greater than the key at the current node, go right.



#### BST processing code: Add a new key

Goal. Add a new key-value pair to a BST.

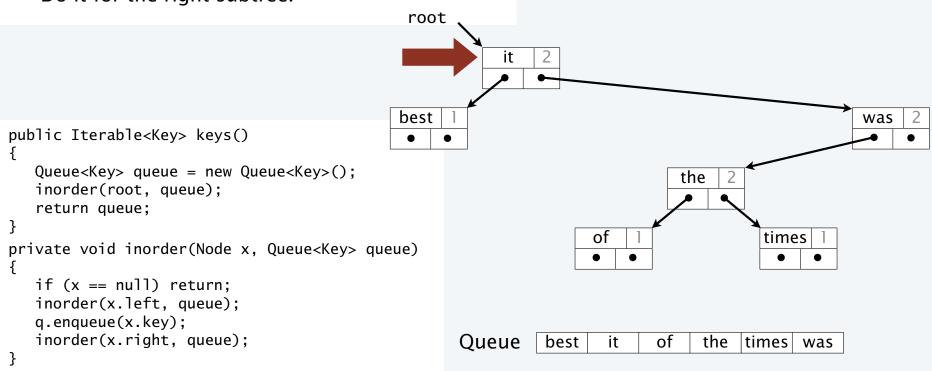
- Search for key.
- Return link to new node when *null* reached.

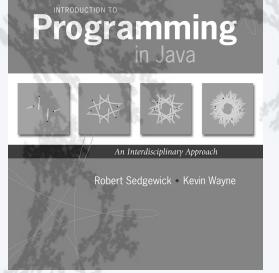


#### BST processing code: Traverse the BST

Goal. Put keys in a BST on a queue, in sorted order.

- Do it for the left subtree.
- Put the key at the root on the queue.
- Do it for the right subtree.

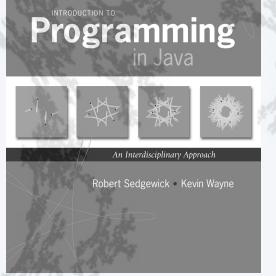




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ADT for symbol tables: review

A symbol table is an idealized model of an associative storage mechanism.

An ADT allows us to write Java programs that use and manipulate symbol tables.

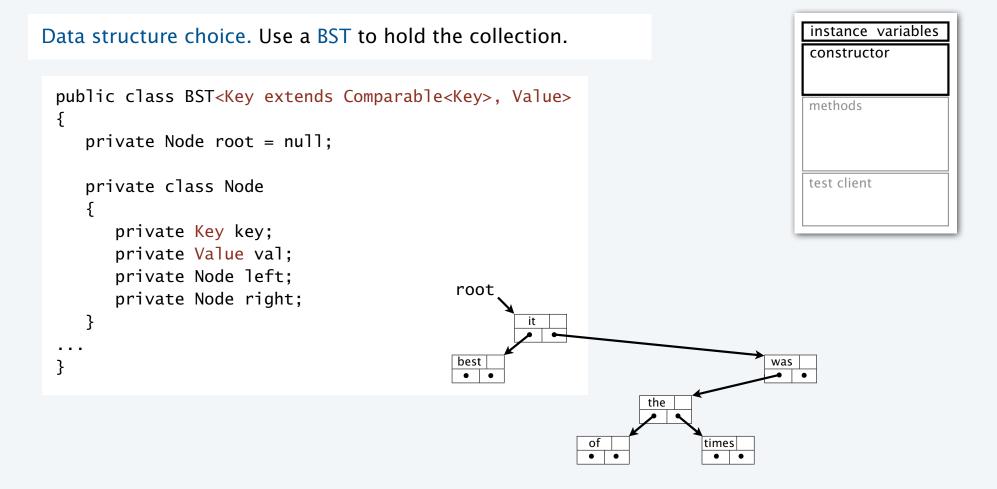
	<pre>public class ST<key comparable<key="" extends="">, Value&gt;</key></pre>								
		ST <key, value="">()</key,>	create a symbol table						
	void	put(Key key, Value val)	associate key with val						
API	Value	get( <mark>Key</mark> key)	return value associated with key, null if none						
	boolean	contains( <mark>Key</mark> key)	is there a value associated with key?						
	Iterable <key></key>	keys()	all the keys in the table						

• Order of growth of running time for put(), get() and contains() is logarithmic.

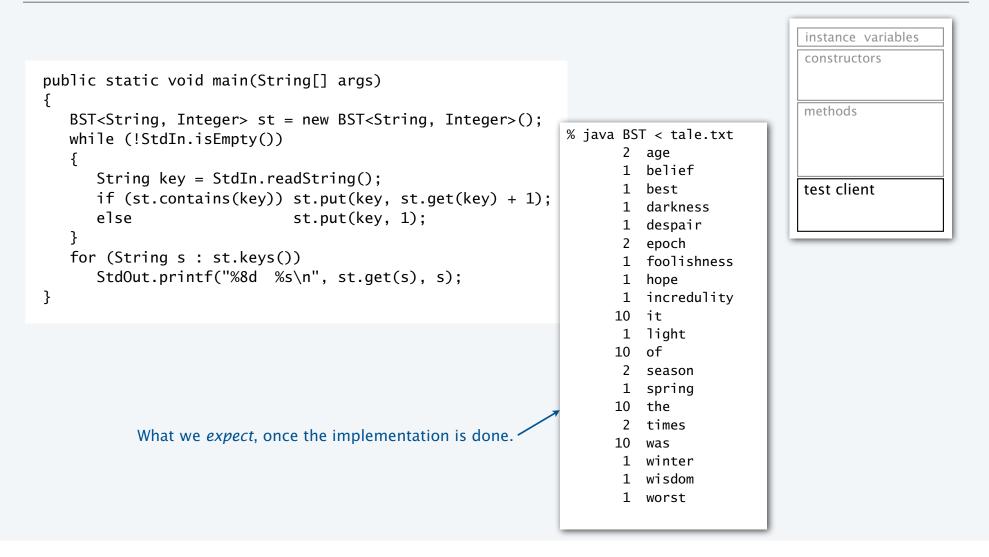
Performance specifications

- Memory use is proportional to the size of the collection, when it is nonempty.
- No limits within the code on the collection size.

#### Symbol table implementation: Instance variables and constructor



#### BST implementation: Test client (frequency counter)



# **BST** implementation: Methods

Methods define data-type operations (implement the API).

```
public class BST<Key extends Comparable<Key>, Value>
{
....

public boolean isEmpty()
{ return root == null; }

public void put(Key key, Value value)
{ /* See BST add slides and next slide. */ }

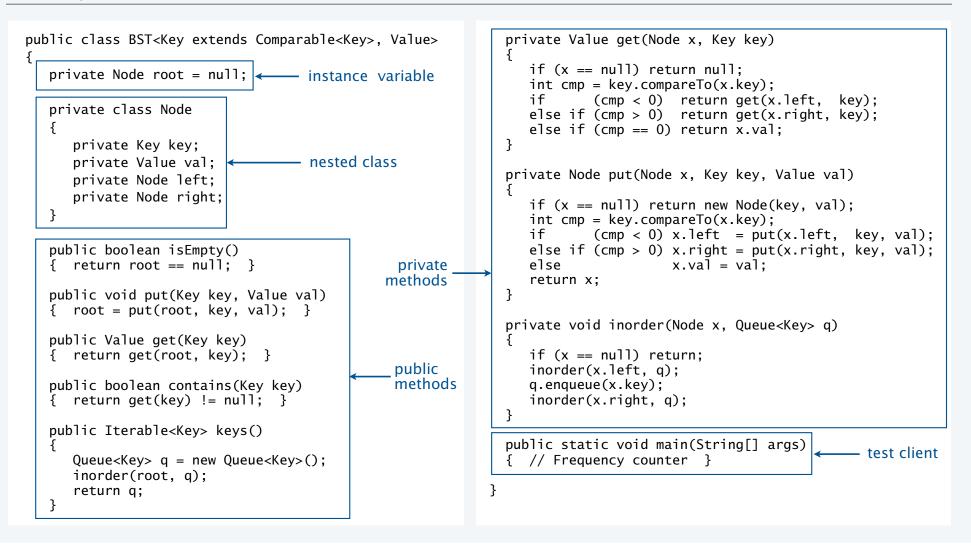
public Value get(Key key)
{ /* See BST search slide and next slide. */ }

public boolean contains(Key key)
{ return get(key) != null; }

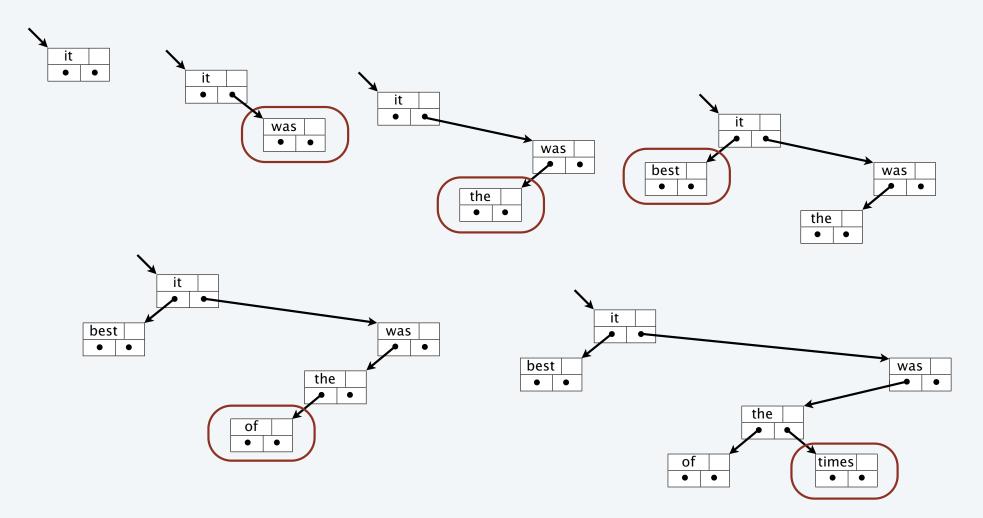
public Iterable<Key> keys()
{ /* See BST traverse slide and next slide. */ }
....
}
```

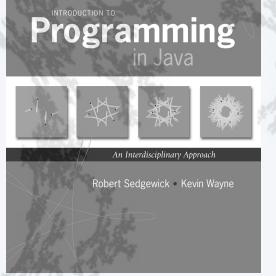
instance variables			
constructors			
methods			
test client			

#### **BST** implementation



# Trace of BST construction

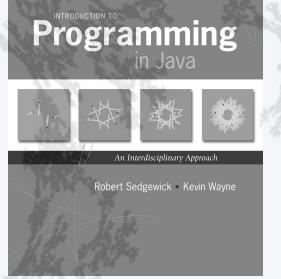




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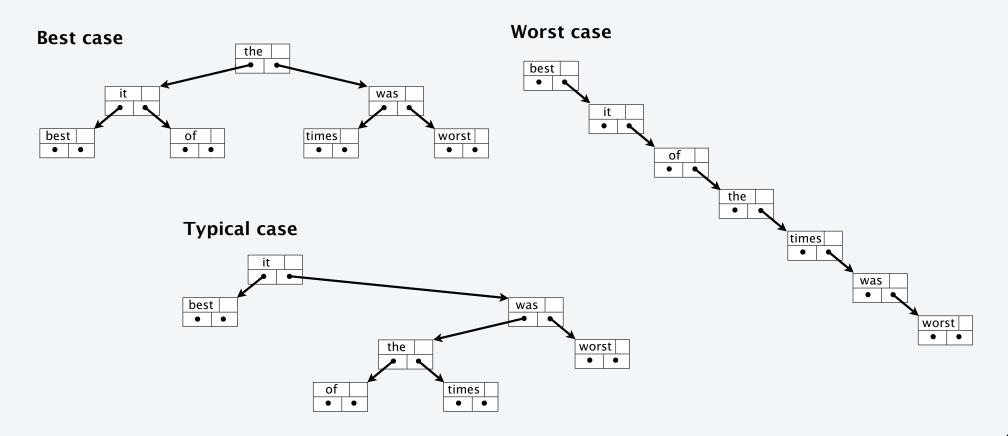
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# **15.Symbol Tables**

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# BST analysis

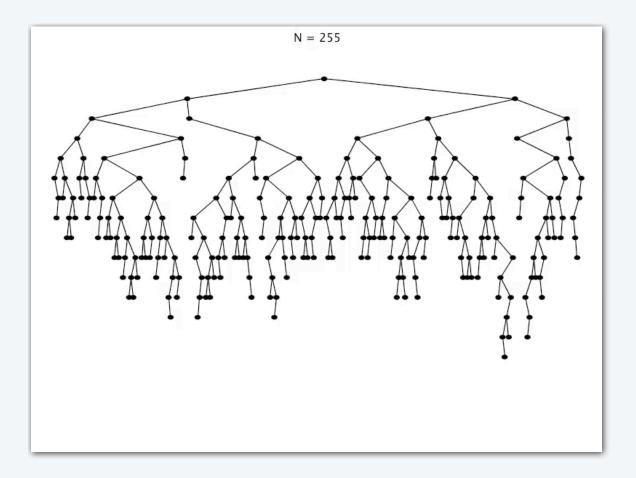
#### Costs depend on order of key insertion.



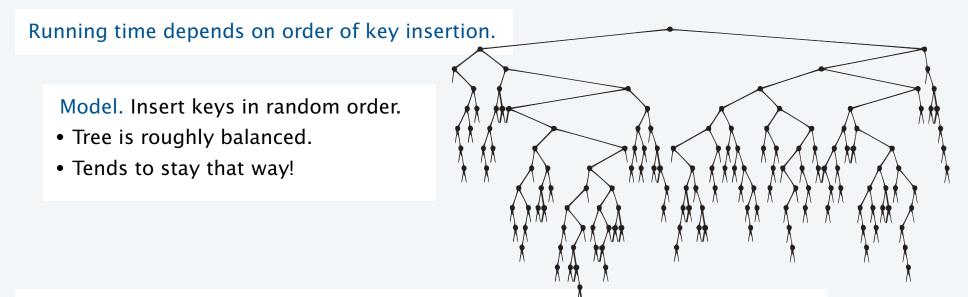
# BST insertion: random order visualization

Insert keys in random order.

- Tree is roughly balanced.
- Tends to stay that way!



# **BST** analysis



Proposition. Building a BST by inserting N randomly ordered keys into an initially empty tree uses  $\sim 2 N \ln N$  (about 1.39 N lg N) compares.

Proof. A very interesting exercise in discrete math.



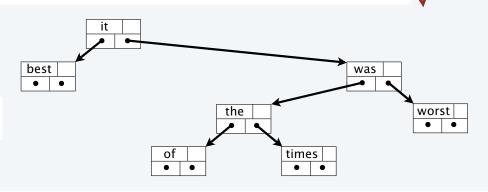
Interested in details? Take a course in algorithms.

## Benchmarking the BST implementation

#### BST *implements the associative-array abstraction* for randomly ordered keys.

	public class ST <key comparable<<="" extends="" th=""><th></th></key>			
Symbol table API	ST <key, value="">()</key,>	create a symbol table	for random keys (but stay tuned)	
	void put(Key key, Value value)	associate key with value		
	Value get(Key key)	return value associated with key, null if none		
	boolean contains(Key key)	is there a value associated with key?		
	Iterable <key> keys()</key>	all the keys in the table (sorted)	$\checkmark$	
	<ul> <li>Order of growth of running time for put(), get() and contains() is logarithmic.</li> </ul>			
Performance specifications	• Memory use is proportional to the size of the collection, when it is nonempty.			

• No limits within the code on the collection size.



Made possible by *binary tree data structure*.

### **Empirical tests of BSTs**

Count number of words that appear more than once in StdIn.

Frequency count without the output

Ν	T <sub>N</sub> (seconds)	T <sub>N</sub> /T <sub>N/2</sub>
1 million	5	
2 million	9	1.8
4 million	17	1.9
8 million	34	2
16 million	72	2.1
1 BILLION	4608	2

% java Generator 1000000 ... 263934 (5 seconds) % java Generator 2000000 ... 593973 (9 seconds) % java Generator 4000000 ... 908795 (17 seconds) % java Generator 8000000 ... 996961 (34 seconds) % java Generator 16000000 ... 999997 (72 seconds)

... = 6 0123456789 | java DupsBST 6-digit integers



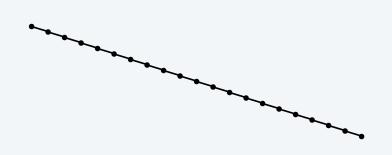
Easy to process 21M word corpus NOT possible without BSTs

Confirms hypothesis that order of growth is *N* log *N* 

#### Performance guarantees

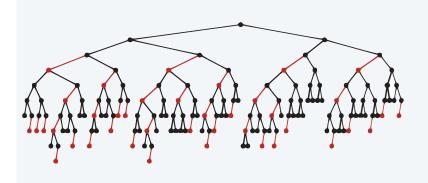
Practical problem. Keys may *not* be randomly ordered.

- BST may be unbalanced.
- Running time may be quadratic.
- Happens in practice (insert keys in order).



Remarkable resolution.

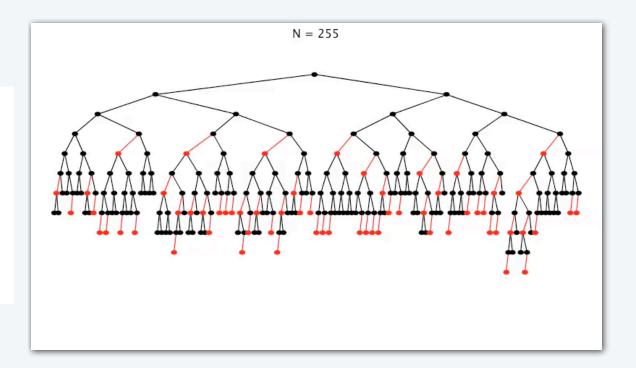
- *Balanced tree* algorithms perform simple transformations that guarantee balance.
- AVL trees (Adelson-Velskii and Landis, 1962) proved concept.
- Red-black trees (Guibas and Sedgewick, 1979) are implemented in many modern systems.



## Red-black tree insertion: random order visualization

Insert keys in random order.

- Same # of black links on every path from root to leaf.
- No two red links in a row.
- Tree is roughly balanced.
- Guaranteed to stay that way!

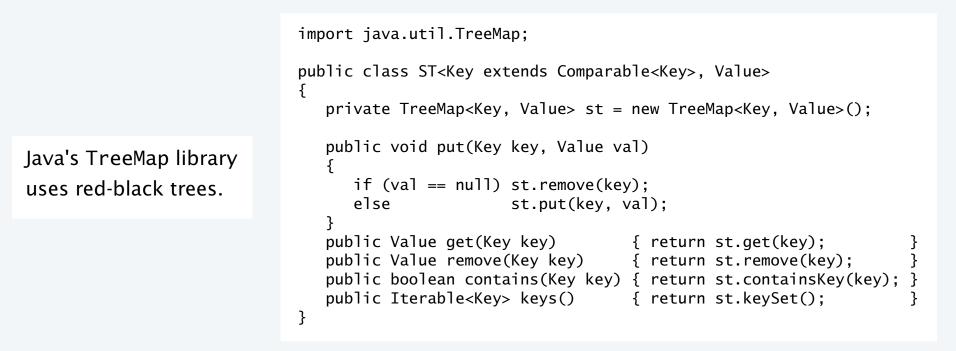


## ST implementation with guaranteed logarithmic performance

**Proposition.** In a red-black tree of size N, put(), get() and

**Proof.** A fascinating exercise in algorithmics.

contains() are *quaranteed* to use fewer than 2 lg N compares.



Several other

useful operations

also available.

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Interested in details? Take a

course in

lgorithms

algorithms.

#### Summary

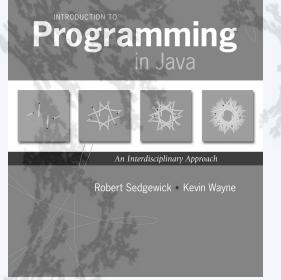
BSTs. Simple symbol-table implementation, usually efficient. Red-black trees. More complicated variation, *guaranteed* to be efficient. Applications. Many, many, many things are enabled by efficient symbol tables.

Example. Search among 1 trillion customers with less than 80 compares!

Example. Search among all the atoms in the universe with less than 200 compares!

Can we implement associative arrays with just log-factor extra cost??





http://introcs.cs.princeton.edu

# **15.Symbol Tables**

- APIs and clients
- A design challenge
- Binary search trees
- Implementation
- Analysis



# 15. Symbol Tables