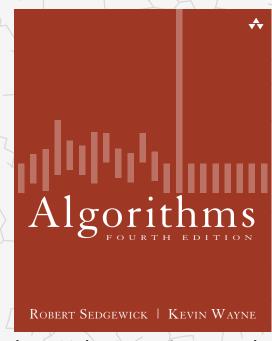
# Algorithms



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# 6.5 REDUCTIONS

- introduction
- designing algorithms
- establishing lower bounds
- classifying problems
- intractability

## Overview: introduction to advanced topics

#### Main topics. [next 2 lectures]

- Reduction: design algorithms, establish lower bounds, classify problems.
- Intractability: problems beyond our reach.
- Combinatorial search: coping with intractability.

#### Shifting gears.

- From individual problems to problem-solving models.
- From linear/quadratic to polynomial/exponential scale.
- From details of implementation to conceptual framework.

#### Goals.

- Place algorithms we've studied in a larger context.
- Introduce you to important and essential ideas.
- Inspire you to learn more about algorithms!

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# Algorithms

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# Bird's-eye view

Desiderata. Classify problems according to computational requirements.

complexity	order of growth	examples
linear	N	min, max, median, Burrows-Wheeler transform,
linearithmic	N log N	sorting, element distinctness, convex hull, closest pair,
quadratic	N <sup>2</sup>	?
÷	:	<b>:</b>
exponential	CN	?

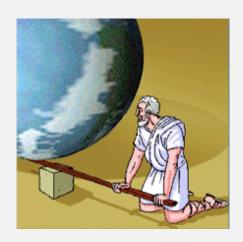
Frustrating news. Huge number of problems have defied classification.

## Bird's-eye view

Desiderata. Classify problems according to computational requirements.

#### Desiderata'.

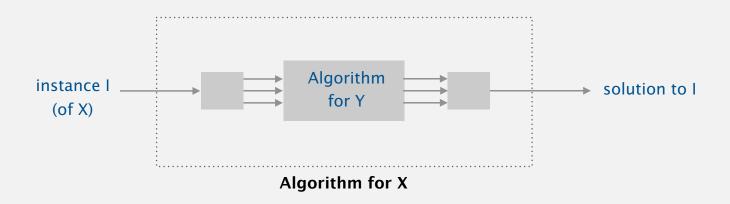
Suppose we could (could not) solve problem *X* efficiently. What else could (could not) we solve efficiently?

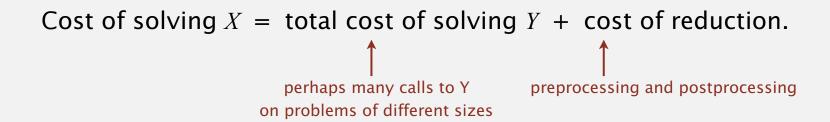


"Give me a lever long enough and a fulcrum on which to place it, and I shall move the world." — Archimedes

#### Reduction

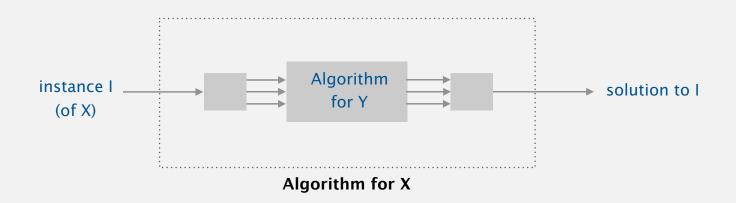
Def. Problem *X* reduces to problem *Y* if you can use an algorithm that solves *Y* to help solve *X*.





#### Reduction

Def. Problem X reduces to problem Y if you can use an algorithm that solves Y to help solve X.



#### Ex 1. [finding the median reduces to sorting]

To find the median of *N* items:

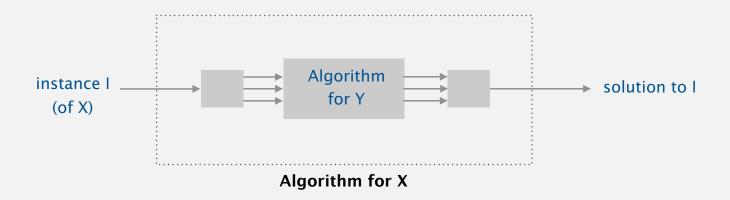
- Sort *N* items.
- Return item in the middle.

cost of sorting cost of reduction N + 1

Cost of solving finding the median.  $N \log N + 1$ .

#### Reduction

Def. Problem X reduces to problem Y if you can use an algorithm that solves Y to help solve X.



Ex 2. [element distinctness reduces to sorting]

To solve element distinctness on N items:

- Sort *N* items.
- Check adjacent pairs for equality.

cost of sorting cost of reduction  $V \log N + N$ 

Cost of solving element distinctness.  $N \log N + N$ .

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# Algorithms

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## Reduction: design algorithms

Def. Problem X reduces to problem Y if you can use an algorithm that solves Y to help solve X.

Design algorithm. Given algorithm for *Y*, can also solve *X*.

#### More familiar reduction examples.

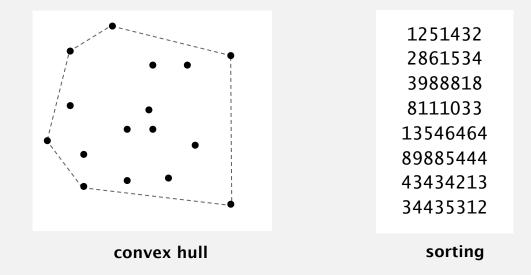
- 3-collinear reduces to sorting.
- CPM reduces to topological sort.
- Arbitrage reduces to shortest paths.
- Baseball elimination reduces to maxflow.
- Burrows-Wheeler transform reduces to suffix sort.
- ...

Mentality. Since I know how to solve *Y*, can I use that algorithm to solve *X*?

## Convex hull reduces to sorting

Sorting. Given *N* distinct integers, rearrange them in ascending order.

Convex hull. Given *N* points in the plane, identify the extreme points of the convex hull (in counterclockwise order).



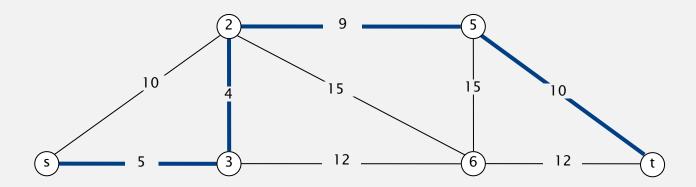
Proposition. Convex hull reduces to sorting.

Pf. Graham scan algorithm.

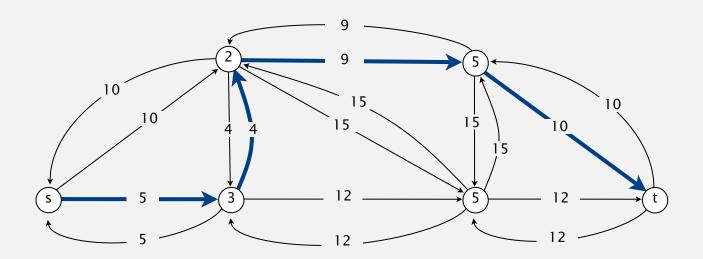
Cost of convex hull. 
$$N \log N + N$$
.  $cost of reduction$ 

# Shortest paths on edge-weighted graphs and digraphs

Proposition. Undirected shortest paths (with nonnegative weights) reduces to directed shortest path.

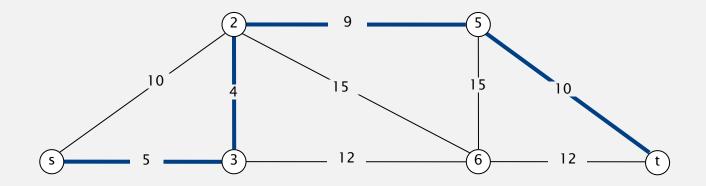


Pf. Replace each undirected edge by two directed edges.



# Shortest paths on edge-weighted graphs and digraphs

Proposition. Undirected shortest paths (with nonnegative weights) reduces to directed shortest path.

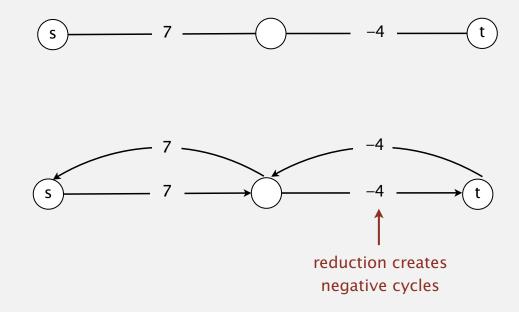




Cost of undirected shortest paths.  $E \log V + E$ .

## Shortest paths with negative weights

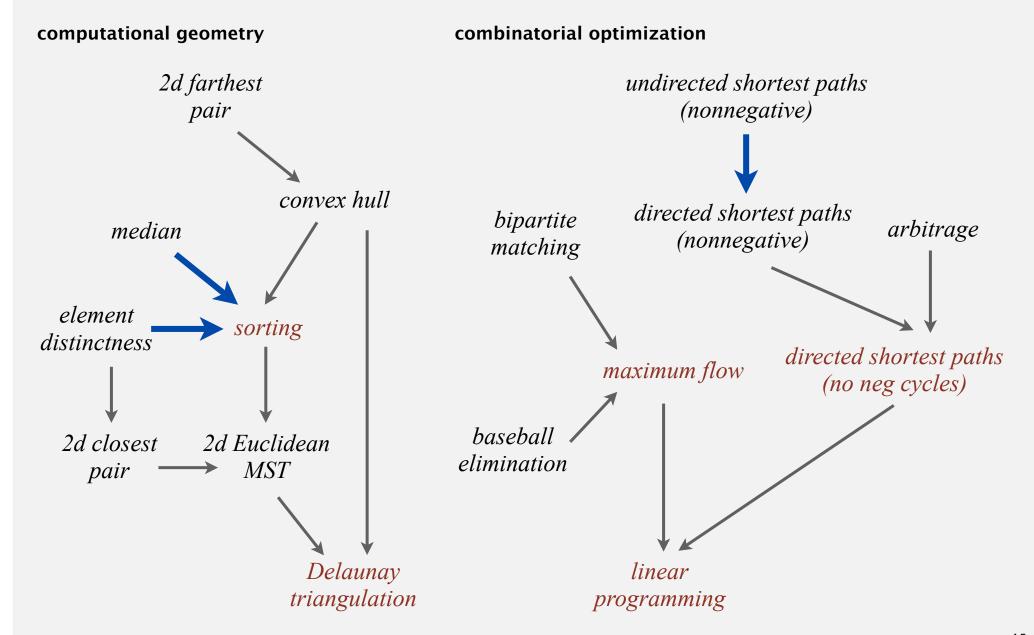
Caveat. Reduction is invalid for edge-weighted graphs with negative weights (even if no negative cycles).



Remark. Can still solve shortest-paths problem in undirected graphs (if no negative cycles), but need more sophisticated techniques.

reduces to weighted non-bipartite matching (!)

# Some reductions involving familiar problems



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Algorithms

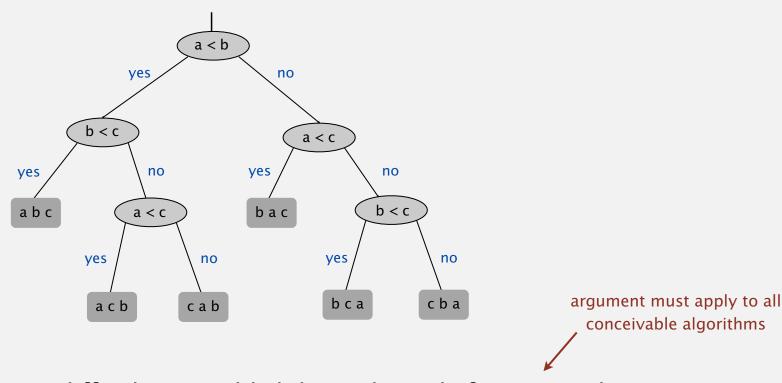
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## Bird's-eye view

Goal. Prove that a problem requires a certain number of steps.

Ex. In decision tree model, any compare-based sorting algorithm requires  $\Omega(N \log N)$  compares in the worst case.



Bad news. Very difficult to establish lower bounds from scratch. Good news. Spread  $\Omega(N \log N)$  lower bound to Y by reducing sorting to Y.

#### Linear-time reductions

Def. Problem *X* linear-time reduces to problem *Y* if *X* can be solved with:

- Linear number of standard computational steps.
- Constant number of calls to Y.

Ex. Almost all of the reductions we've seen so far. [Which ones weren't?]

#### Establish lower bound:

- If X takes  $\Omega(N \log N)$  steps, then so does Y.
- If X takes  $\Omega(N^2)$  steps, then so does Y.

#### Mentality.

- If I could easily solve *Y*, then I could easily solve *X*.
- I can't easily solve *X*.
- Therefore, I can't easily solve Y.

#### Lower bound for convex hull

Proposition. In quadratic decision tree model, any algorithm for sorting

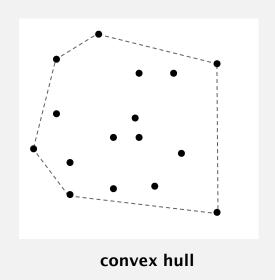
N integers requires  $\Omega(N \log N)$  steps.

allows linear or quadratic tests:

$$\underline{x_i} < \underline{x_j} \text{ or } (x_j - x_i) (x_k - x_i) - (x_j) (\underline{x_j} - x_i) < 0$$

Proposition. Sorting linear-time reduces to convex hull.

Pf. [see next slide]



lower-bound mentality:
I can't sort in linear time,
so I can't solve convex hull
in linear time either

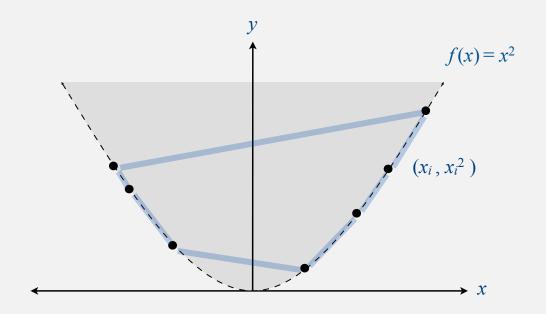
linear or quadratic tests

Implication. Any ccw-based convex hull algorithm requires  $\Omega(N \log N)$  ops.

## Sorting linear-time reduces to convex hull

Proposition. Sorting linear-time reduces to convex hull.

- Sorting instance:  $x_1, x_2, ..., x_N$ .
- Convex hull instance:  $(x_1, x_1^2), (x_2, x_2^2), ..., (x_N, x_N^2)$ .



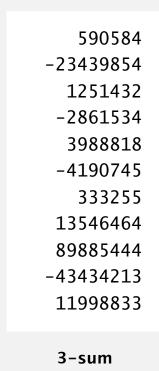
#### Pf.

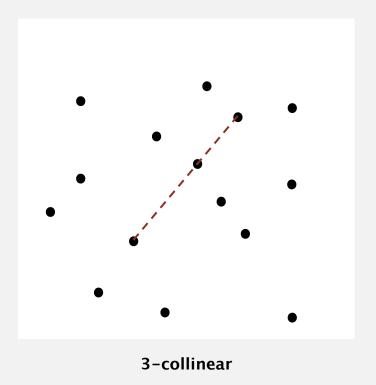
- Region  $\{x: x^2 \ge x\}$  is convex  $\Rightarrow$  all N points are on hull.
- Starting at point with most negative *x*, counterclockwise order of hull points yields integers in ascending order.

#### Lower bound for 3-COLLINEAR

3-SUM. Given N distinct integers, are there three that sum to 0?

3-COLLINEAR. Given *N* distinct points in the plane, are there 3 that all lie on the same line?





#### Lower bound for 3-COLLINEAR

3-SUM. Given N distinct integers, are there three that sum to 0?

3-COLLINEAR. Given *N* distinct points in the plane, are there 3 that all lie on the same line?

Proposition. 3-SUM linear-time reduces to 3-COLLINEAR.

Pf. [next two slides]

lower-bound mentality:

if I can't solve 3-sum in N<sup>1.99</sup> time,

I can't solve 3-collinear

in N<sup>1.99</sup> time either

Conjecture. Any algorithm for 3-SUM requires  $\Omega(N^2)$  steps.

Implication. No sub-quadratic algorithm for 3-COLLINEAR likely.

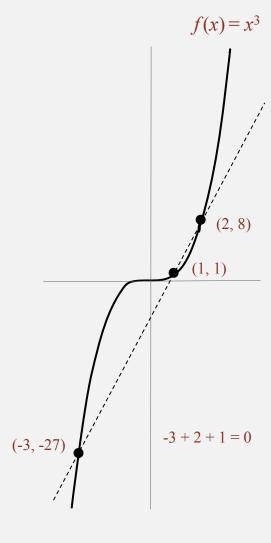
your N<sup>2</sup> log N algorithm was pretty good

#### 3-SUM linear-time reduces to 3-COLLINEAR

Proposition. 3-SUM linear-time reduces to 3-COLLINEAR.

- *3-SUM* instance:  $x_1, x_2, ..., x_N$ .
- 3-COLLINEAR instance:  $(x_1, x_1^3), (x_2, x_2^3), ..., (x_N, x_N^3)$ .

Lemma. If a, b, and c are distinct, then a + b + c = 0 if and only if  $(a, a^3)$ ,  $(b, b^3)$ , and  $(c, c^3)$  are collinear.



#### 3-SUM linear-time reduces to 3-COLLINEAR

Proposition. 3-SUM linear-time reduces to 3-COLLINEAR.

- *3-SUM* instance:  $x_1, x_2, ..., x_N$ .
- 3-COLLINEAR instance:  $(x_1, x_1^3), (x_2, x_2^3), ..., (x_N, x_N^3)$ .

Lemma. If a, b, and c are distinct, then a + b + c = 0 if and only if  $(a, a^3)$ ,  $(b, b^3)$ , and  $(c, c^3)$  are collinear.

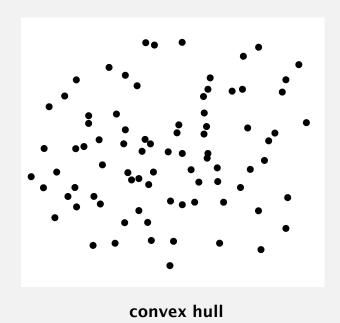
Pf. Three distinct points  $(a, a^3)$ ,  $(b, b^3)$ , and  $(c, c^3)$  are collinear iff:

$$0 = \begin{vmatrix} a & a^3 & 1 \\ b & b^3 & 1 \\ c & c^3 & 1 \end{vmatrix}$$
$$= a(b^3 - c^3) - b(a^3 - c^3) + c(a^3 - b^3)$$
$$= (a - b)(b - c)(c - a)(a + b + c)$$

# Establishing lower bounds: summary

Establishing lower bounds through reduction is an important tool in guiding algorithm design efforts.

- Q. How to convince yourself no linear-time convex hull algorithm exists?
- A1. [hard way] Long futile search for a linear-time algorithm.
- A2. [easy way] Linear-time reduction from sorting.





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# Algorithms

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## Classifying problems: summary

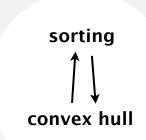
Desiderata. Problem with algorithm that matches lower bound.

Ex. Sorting and convex hull have complexity  $N \log N$ .

Desiderata'. Prove that two problems *X* and *Y* have the same complexity.

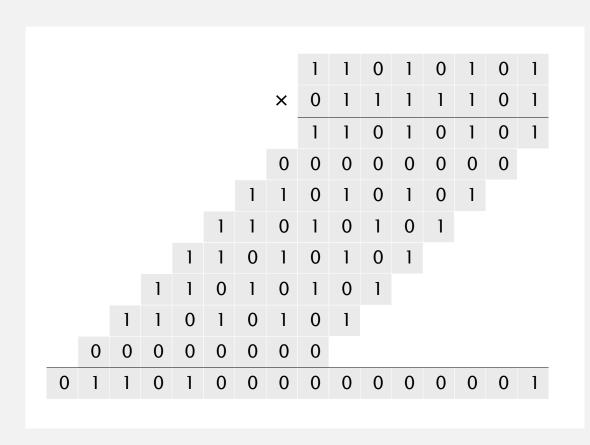
- First, show that problem *X* linear-time reduces to *Y*.
- Second, show that Y linear-time reduces to X.
- Conclude that X and Y have the same complexity.

even if we don't know what it is!



## Integer arithmetic reductions

Integer multiplication. Given two N-bit integers, compute their product. Brute force.  $N^2$  bit operations.



# Integer arithmetic reductions

Integer multiplication. Given two N-bit integers, compute their product. Brute force.  $N^2$  bit operations.

problem	arithmetic	order of growth
integer multiplication	a × b	M(N)
integer division	a/b, a mod b	M(N)
integer square	a <sup>2</sup>	M(N)
integer square root	L√a J	M(N)

integer arithmetic problems with the same complexity as integer multiplication

Q. Is brute-force algorithm optimal?

# History of complexity of integer multiplication

year	algorithm	order of growth
?	brute force	N <sup>2</sup>
1962	Karatsuba	N 1.585
1963	Toom-3, Toom-4	N 1.465 , N 1.404
1966	Toom-Cook	N 1 + ε
1971	Schönhage-Strassen	N log N log log N
2007	Fürer	N log N 2 log*N
?	?	N

number of bit operations to multiply two N-bit integers

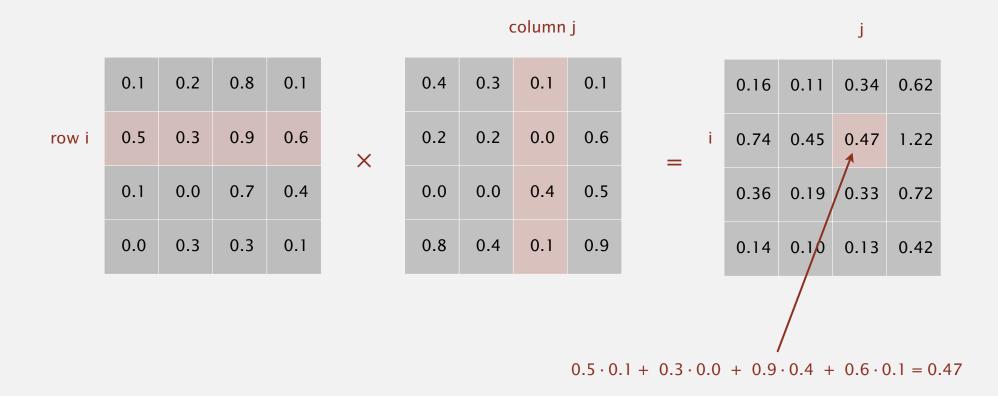
used in Maple, Mathematica, gcc, cryptography, ...

Remark. GNU Multiple Precision Library uses one of five different algorithm depending on size of operands.



# Linear algebra reductions

Matrix multiplication. Given two N-by-N matrices, compute their product. Brute force.  $N^3$  flops.



# Linear algebra reductions

Matrix multiplication. Given two N-by-N matrices, compute their product. Brute force.  $N^3$  flops.

problem	linear algebra	order of growth
matrix multiplication	$A \times B$	MM(N)
matrix inversion	A-1	MM(N)
determinant	A	MM(N)
system of linear equations	Ax = b	MM(N)
LU decomposition	A = L U	MM(N)
least squares	min   Ax – b   <sub>2</sub>	MM(N)

numerical linear algebra problems with the same complexity as matrix multiplication

Q. Is brute-force algorithm optimal?

# History of complexity of matrix multiplication

year	algorithm	order of growth
?	brute force	N <sup>3</sup>
1969	Strassen	<b>N</b> 2.808
1978	Pan	<b>N</b> 2.796
1979	Bini	<b>N</b> 2.780
1981	Schönhage	N 2.522
1982	Romani	<b>N</b> 2.517
1982	Coppersmith-Winograd	N 2.496
1986	Strassen	<b>N</b> 2.479
1989	Coppersmith-Winograd	N 2.376
2010	Strother	N 2.3737
2011	Williams	N 2.3727
?	?	<b>N</b> 2 + ε

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## Bird's-eye view

Def. A problem is intractable if it can't be solved in polynomial time. Desiderata. Prove that a problem is intractable.

### Two problems that provably require exponential time.

- Given a constant-size program, does it halt in at most *K* steps?
- Given N-by-N checkers board position, can the first player force a win?





using forced capture rule

input size =  $c + \lg K$ 

Frustrating news. Very few successes.

# A key problem: satisfiability

SAT. Given a system of boolean equations, find a solution.

Ex.

$$\neg x_1 \text{ or } x_2 \text{ or } x_3 = true$$

$$x_1 \text{ or } \neg x_2 \text{ or } x_3 = true$$

$$\neg x_1 \text{ or } \neg x_2 \text{ or } \neg x_3 = true$$

$$\neg x_1 \text{ or } \neg x_2 \text{ or } x_4 = true$$

$$x'_2 \text{ or } x_3 \text{ or } x_4 = true$$

$$x_1$$
  $x_2$   $x_3$   $x_4$  T T F T

3-SAT. All equations of this form (with three variables per equation).

#### Key applications.

- Automatic verification systems for software.
- Mean field diluted spin glass model in physics.
- Electronic design automation (EDA) for hardware.

• ...

# Satisfiability is conjectured to be intractable

- Q. How to solve an instance of 3-SAT with n variables?
- A. Exhaustive search: try all  $2^n$  truth assignments.
- Q. Can we do anything substantially more clever?

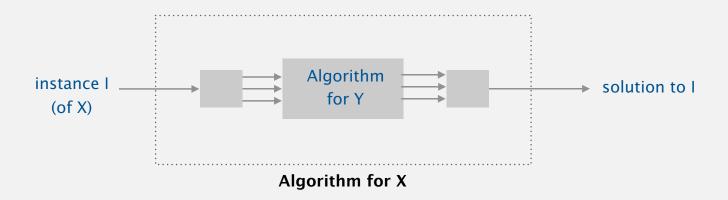


Conjecture (P  $\neq$  NP). 3-SAT is intractable (no poly-time algorithm).

### Polynomial-time reductions

Problem *X* poly-time (Cook) reduces to problem *Y* if *X* can be solved with:

- Polynomial number of standard computational steps.
- Polynomial number of calls to Y.



Establish intractability. If 3-SAT poly-time reduces to Y, then Y is intractable. (assuming 3-SAT is intractable)

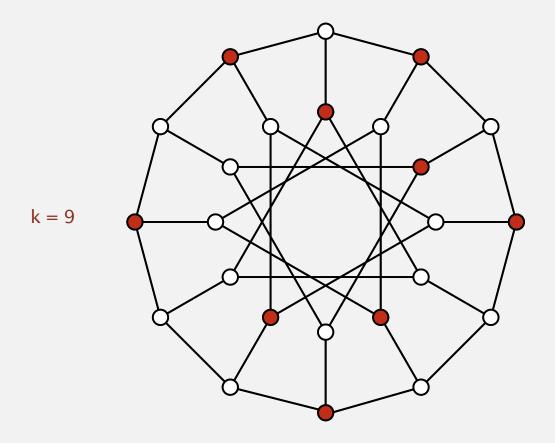
### Mentality.

- If I could solve Y in poly-time, then I could also solve 3-SAT in poly-time.
- 3-SAT is believed to be intractable.
- Therefore, so is *Y*.

# Independent set

An independent set is a set of vertices, no two of which are adjacent.

*IND-SET*. Given graph G and an integer k, find an independent set of size k.

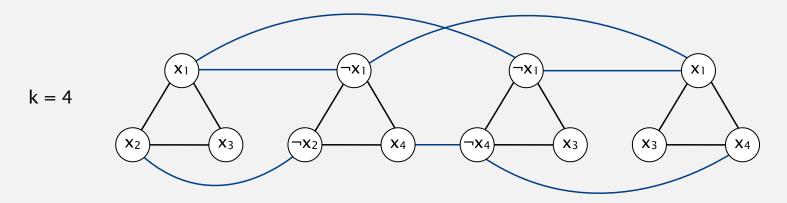


Applications. Scheduling, computer vision, clustering, ...

Proposition. 3-SAT poly-time reduces to IND-SET. ← if I could solve IND-SET efficiently, I could solve 3-SAT efficiently

Pf. Given an instance  $\Phi$  of 3-SAT, create an instance G of IND-SET:

- For each clause in  $\Phi$ , create 3 vertices in a triangle.
- Add an edge between each literal and its negation.

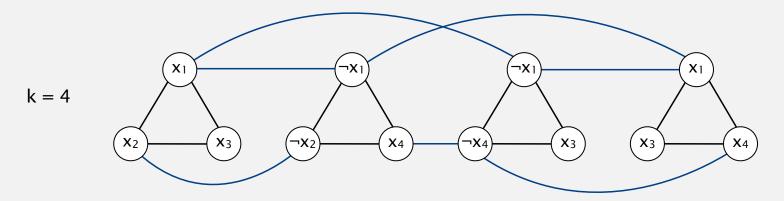


 $\Phi = (x_1 \text{ or } x_2 \text{ or } x_3) \text{ and } (\neg x_1 \text{ or } \neg x_2 \text{ or } x_4) \text{ and } (\neg x_1 \text{ or } x_3 \text{ or } \neg x_4) \text{ and } (x_1 \text{ or } x_3 \text{ or } x_4)$ 

Proposition. 3-SAT poly-time reduces to IND-SET.

Pf. Given an instance  $\Phi$  of 3-SAT, create an instance G of IND-SET:

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 $\Phi = (x_1 \text{ or } x_2 \text{ or } x_3) \text{ and } (\neg x_1 \text{ or } \neg x_2 \text{ or } x_4) \text{ and } (\neg x_1 \text{ or } x_3 \text{ or } \neg x_4) \text{ and } (x_1 \text{ or } x_3 \text{ or } x_4)$ 

•  $\Phi$  satisfiable  $\Rightarrow$  G has independent set of size k.

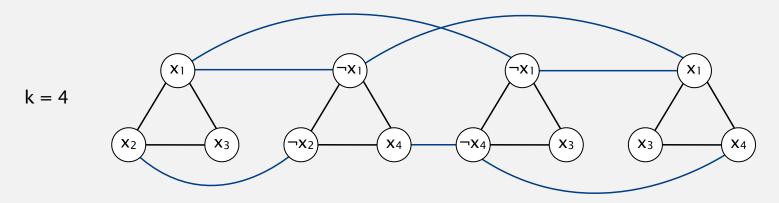


for each of k clauses, include in independent set one vertex corresponding to a true literal

Proposition. 3-SAT poly-time reduces to IND-SET.

Pf. Given an instance  $\Phi$  of 3-SAT, create an instance G of IND-SET:

- For each clause in  $\Phi$ , create 3 vertices in a triangle.
- Add an edge between each literal and its negation.

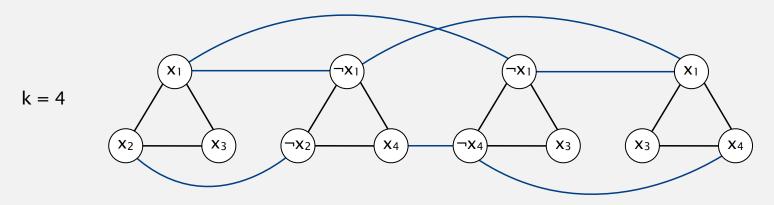


 $\Phi = (x_1 \text{ or } x_2 \text{ or } x_3) \text{ and } (\neg x_1 \text{ or } \neg x_2 \text{ or } x_4) \text{ and } (\neg x_1 \text{ or } x_3 \text{ or } \neg x_4) \text{ and } (x_1 \text{ or } x_3 \text{ or } x_4)$ 

- $\Phi$  satisfiable  $\Rightarrow$  G has independent set of size k.
- G has independent set of size  $k \Rightarrow \Phi$  satisfiable.

Proposition. 3-SAT poly-time reduces to IND-SET.

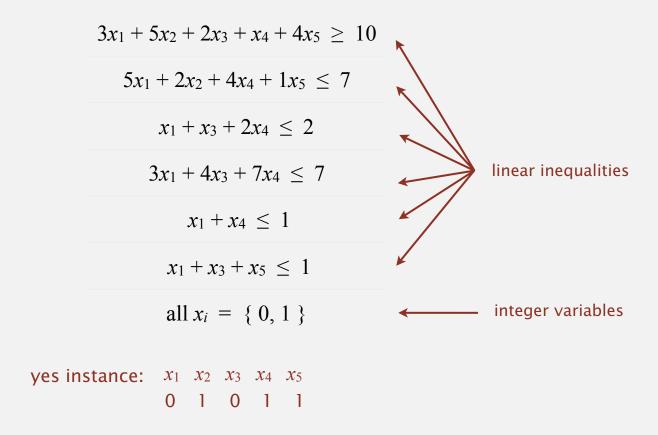
Implication. Assuming 3-SAT is intractable, so is IND-SET.



 $\Phi = (x_1 \text{ or } x_2 \text{ or } x_3) \text{ and } (\neg x_1 \text{ or } \neg x_2 \text{ or } x_4) \text{ and } (\neg x_1 \text{ or } x_3 \text{ or } \neg x_4) \text{ and } (x_1 \text{ or } x_3 \text{ or } x_4)$ 

### Integer linear programming

ILP. Given a system of linear inequalities, find an integral solution.



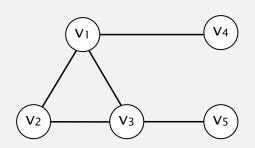
Context. Cornerstone problem in operations research.

Remark. Finding a real-valued solution is tractable (linear programming).

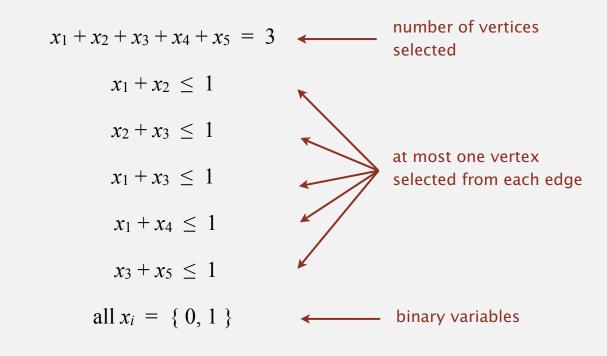
### Independent set reduces to integer linear programming

Proposition. *IND-SET* poly-time reduces to *ILP*.

Pf. Given instance  $\{G, k\}$  of *IND-SET*, create an instance of *ILP* as follows:



is there an independent set of size 3?



is there a feasible solution?

Intuition.  $x_i = 1$  if and only if vertex  $v_i$  is in independent set.

### 3-satisfiability reduces to integer linear programming

Proposition. 3-SAT poly-time reduces to IND-SET.

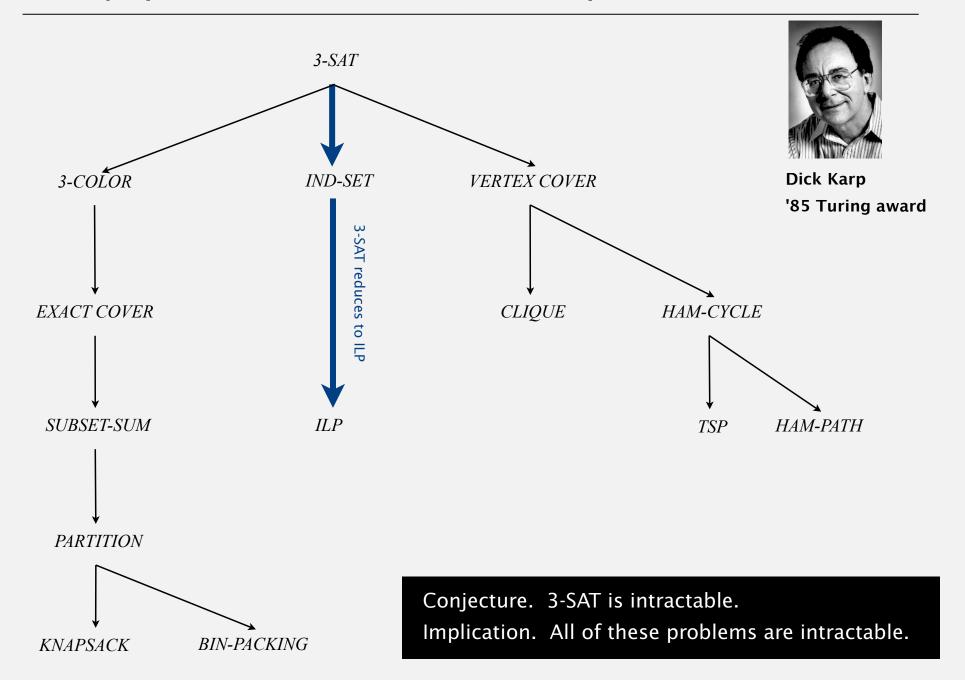
Proposition. *IND-SET* poly-time reduces to *ILP*.

Transitivity. If *X* poly-time reduces to *Y* and *Y* poly-time reduces to *Z*, then *X* poly-time reduces to *Z*.

Implication. Assuming 3-SAT is intractable, so is ILP.

Iower-bound mentality:
if I could solve ILP efficiently,
I could solve IND-SET efficiently;
if I could solve IND-SET efficiently,
I could solve 3-SAT efficiently

# More poly-time reductions from 3-satisfiability



# Implications of poly-time reductions from 3-satisfiability

Establishing intractability through poly-time reduction is an important tool in guiding algorithm design efforts.

- Q. How to convince yourself that a new problem is (probably) intractable?
- A1. [hard way] Long futile search for an efficient algorithm (as for 3-SAT).
- A2. [easy way] Reduction from 3-SAT.

Caveat. Intricate reductions are common.

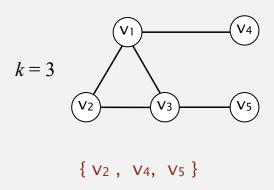
# Search problems

Search problem. Problem where you can check a solution in poly-time.

#### **Ex** 1. *3-SAT*.

$$\Phi = (x_1 \text{ or } x_2 \text{ or } x_3) \text{ and } (\neg x_1 \text{ or } \neg x_2 \text{ or } x_4) \text{ and } (\neg x_1 \text{ or } x_3 \text{ or } \neg x_4) \text{ and } (x_1 \text{ or } x_3 \text{ or } x_4)$$
  
 $x_1 = \text{true}, \ x_2 = \text{true}, \ x_3 = \text{true}, \ x_4 = \text{true}$ 

### Ex 2. IND-SET.



### P vs. NP

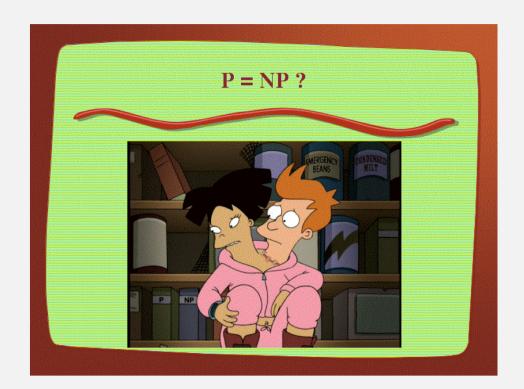
P. Set of search problems solvable in poly-time.

Importance. What scientists and engineers can compute feasibly.

NP. Set of search problems.

Importance. What scientists and engineers aspire to compute feasibly.

Fundamental question.



Consensus opinion. No.

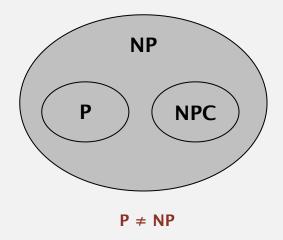
### Cook-Levin theorem

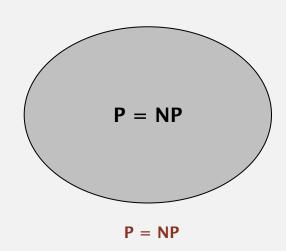
An NP problem is NP-COMPLETE if all problems in NP poly-time to reduce to it.

Cook-Levin theorem. *3-SAT* is NP-COMPLETE.

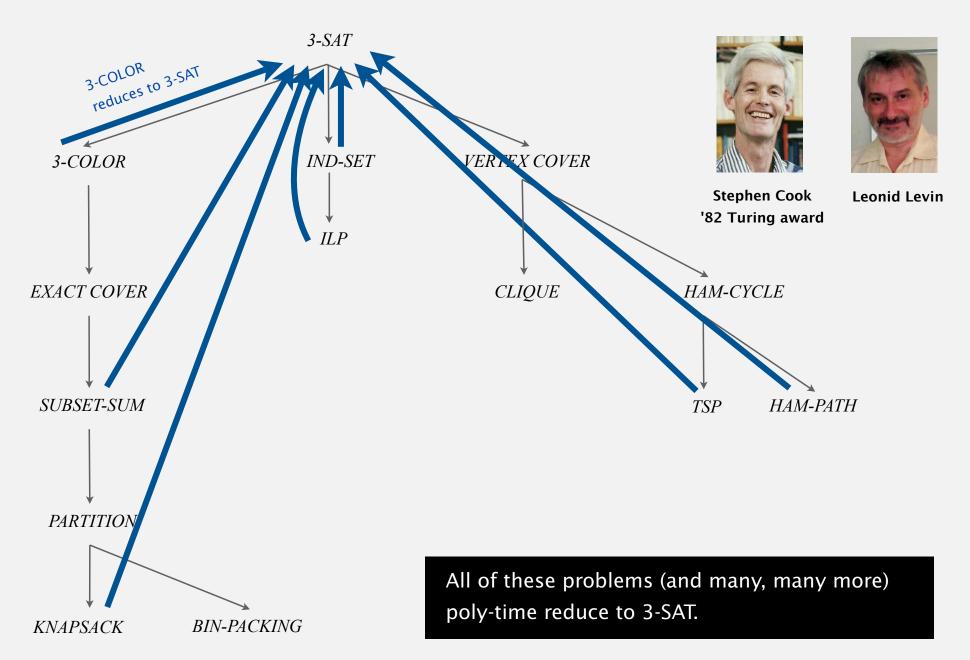
Corollary. 3-SAT is tractable if and only if P = NP.

#### Two worlds.

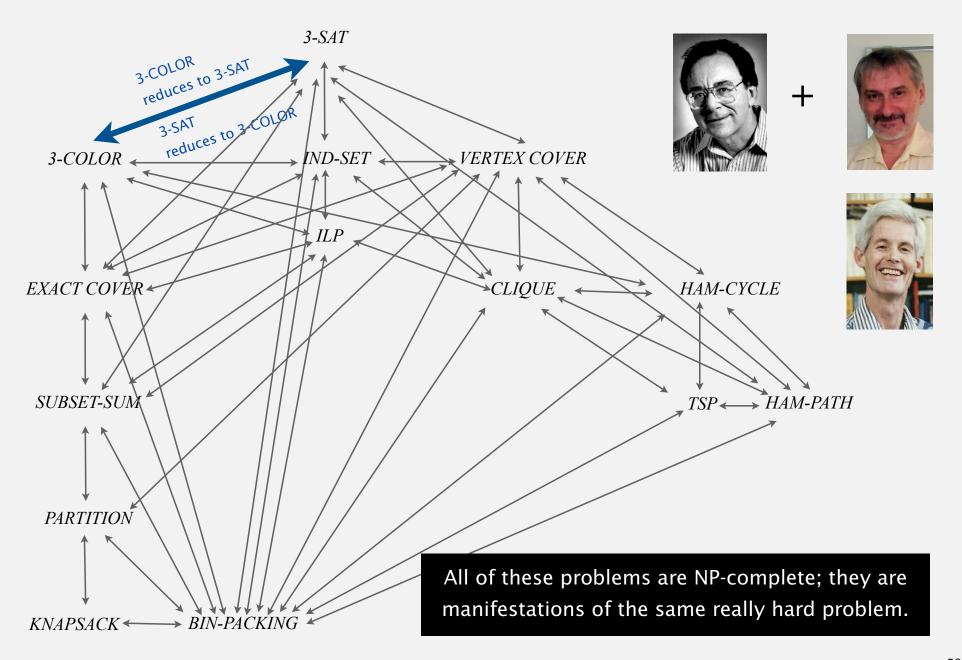




## Implications of Cook-Levin theorem



# Implications of Karp + Cook-Levin



## Birds-eye view: review

Desiderata. Classify problems according to computational requirements.

complexity	order of growth	examples
linear	N	min, max, median, Burrows-Wheeler transform,
linearithmic	N log N	sorting, element distinctness, convex hull, closest pair,
quadratic	N <sup>2</sup>	?
:	:	÷
exponential	C <sub>N</sub>	?

Frustrating news. Huge number of problems have defied classification.

## Birds-eye view: revised

Desiderata. Classify problems according to computational requirements.

complexity	order of growth	examples
linear	N	min, max, median,
linearithmic	N log N	sorting, convex hull,
M(N)	?	integer multiplication, division, square root,
MM(N)	?	matrix multiplication, Ax = b, least square, determinant,
÷	÷	<b>:</b>
NP-complete	probably not N <sup>b</sup>	3-SAT, IND-SET, ILP,

Good news. Can put many problems into equivalence classes.

## Complexity zoo

Complexity class. Set of problems sharing some computational property.



http://qwiki.stanford.edu/index.php/Complexity\_Zoo

Bad news. Lots of complexity classes.

### Summary

### Reductions are important in theory to:

- Design algorithms.
- Establish lower bounds.
- Classify problems according to their computational requirements.

#### Reductions are important in practice to:

- Design algorithms.
- Design reusable software modules.
  - stacks, queues, priority queues, symbol tables, sets, graphs
  - sorting, regular expressions, suffix arrays
  - MST, shortest path, maxflow, linear programming
- Determine difficulty of your problem and choose the right tool.