

Process Management

1

Goals of this Lecture



- Help you learn about:
 - Creating new processes
 - · Programmatically redirecting stdin, stdout, and stderr
 - Unix system-level functions for I/O
 - The Unix **stream** concept
 - Standard C I/O functions and their use of Unix functions
 - (Appendix) communication between processes via pipes
- · Why?
 - Creating new processes and programmatic redirection are fundamental tasks of a Unix shell (Assignment 7)
 - · A power programmer knows these things as well as I/O
 - · Streams are a beautiful Unix abstraction

Why a Process Creates a New One



- Run a new program
 - E.g., shell executing a program entered at command line
 - Or, even running an entire pipeline of commands
 - Such as "wc -1 * | sort | uniq -c | sort -nr"
- Run a new thread of control for the same program
 - E.g., a Web server handling a new Web request
 - · While continuing to allow more requests to arrive
- Underlying mechanism
 - A process executes fork() to create a child process
 - (Optionally) child process does exec () of new program

Creating a New Process · Cloning an existing process · Parent process creates a new child process parent · The two processes then run concurrently Child process inherits state from parent · Identical (but separate) copy of virtual address space · Copy of the parent's open file descriptors · Parent and child share access to some resources, like open files Child then runs independently Including perhaps invoking a new program child · Reading and writing its own address space

Fork System-Level Function



- •fork() is called once
 - But returns control twice, once in ("to") each process
 - Returns different values to the two processes
- How to tell which process is which?
 - Parent: fork() returns the child's process ID
 - Child: fork() returns 0

```
pid = fork();
if (pid != 0) {
    /* in parent */
...
} else {
    /* in child */
...
}
```

Fork and Process State



- Shared with parent
 - User and group IDs
 - Signal handling settings
 - Stdio
 - File pointers
 - Root directory
 - File mode creation mask
 - Resource limits
 - Controlling terminal
 - All machine register states
 - Control register(s)
 - ...

- Separate in child
 - Process ID
 - Address space (memory)
 - File descriptors
 - Parent process ID
 - Pending signals
 - Time signal reset times
 - ...

Example: What's the Output?



```
int main(void)
{
    pid_t pid;
    int x = 1;

    pid = fork();
    if (pid != 0) {
        printf("parent: x = %d\n", --x);
        exit(0);
    } else {
        printf("child: x = %d\n", ++x);
        exit(0);
    }
}
```

Executing a New Program



- fork () copies the state of the parent process
 - Child continues running the parent program
 - ... with a copy of the process memory and registers
- What if child process wants to run a new program
 - Solution: child does exec()
 - Note: exec() does not return. If it does, it failed.
- Example Program to run NULL-terminated array Contains command-line arguments (to become "argv[]" of ls)

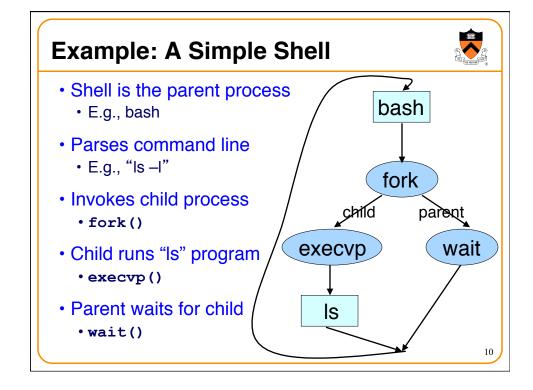
 execvp("ls", argv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT FAILURE);

Waiting for the Child to Finish



- Parent should wait for children to finish.
 - Example: a shell waiting for operations to complete
- Waiting for a child to terminate: wait()
 - Blocks until some (any) child of this process terminates
 - Returns the process ID of that child process
 - Or returns -1 if no children exist (i.e., already exited)
- Waiting for specific child to terminate: waitpid()
 - Blocks till a child with particular process ID terminates

```
#include <sys/types.h>
#include <sys/wait.h>
pid_t wait(int *status);
pid_t waitpid(pid_t pid, int *status, int options);
```



Simple Shell Code



```
Parse command line
Assign values to somepgm, someargv
pid = fork();
if (pid == 0) {
    /* in child */
    execvp(somepgm, someargv);
    fprintf(stderr, "exec failed\n");
    exit(EXIT_FAILURE);
}
/* in parent */
pid = wait(&status);
Repeat the above
```

Simple Shell Trace (1)



Parent Process

```
Parse command line
Assign values to somepgm, someargv
pid = fork();
if (pid == 0) {
    /* in child */
    execvp(somepgm, someargv);
    fprintf(stderr, "exec failed\n");
    exit(EXIT_FAILURE);
}
/* in parent */
pid = wait(&status);
Repeat the above
```

Parent reads and parses command line
Parent assigns values to somepgm and someargv

Simple Shell Trace (2)



Parent Process

```
Parse command line
Assign values to somepgm, someargv
pid = fork();
if (pid == 0) {
    /* in child */
    execvp(somepgm, someargv);
    fprintf(stderr, "exec failed\n");
    exit(EXIT_FAILURE);
}
/* in parent */
pid = wait(&status);
Repeat the previous
```

Child Process

```
Parse command line
Assign values to somefile, someargv
pid = fork();

if (pid == 0) {
    /* in child */
    execvp(somepgm, someargv);
    fprintf(stderr, "exec failed\n");
    exit(EXIT_FAILURE);
}

/* in parent */
pid = wait(sstatus);
Repeat the previous
```

fork() creates child process
Which process gets the CPU first? Let's assume the parent...

executing concurrently

13

Simple Shell Trace (3)



Parent Process

Assign values to somepgm, someargv

execvp(somepgm, someargv);

exit(EXIT_FAILURE);

fprintf(stderr, "exec failed\n");

pid = fork();

if (pid == 0) {

/* in parent */

pid = wait(&status);

/* in child */

_ child's pid

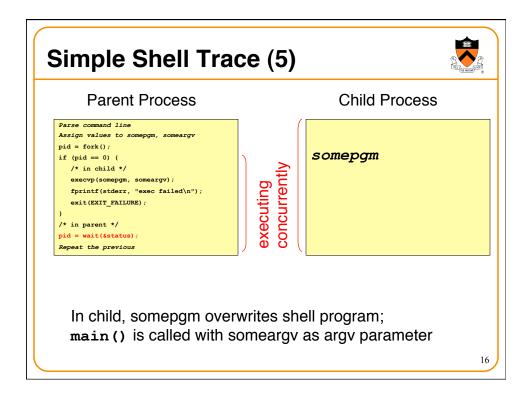
cuting currently

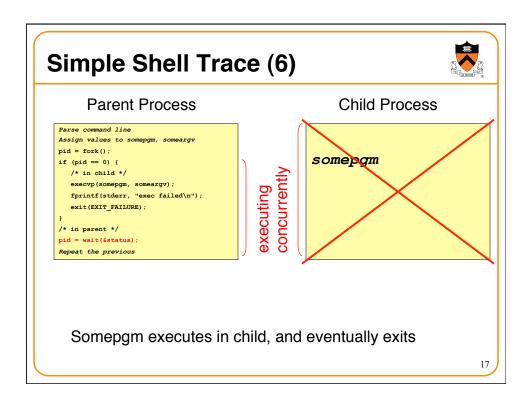
Child Process

```
Parse command line
Assign values to somefile, someargv
pid = fork();
if (pid == 0) {
    /* in child */
    execvp(somepqm, someargv);
    fprintf(stderr, "exec failed\n");
    exit(EXIT_FAILURE);
}
/* in parent */
pid = wait(&status);
Repeat the previous
```

In parent, pid != 0; parent waits; OS gives CPU to child

```
Simple Shell Trace (4)
                                                               Child Process
        Parent Process
                                                  0
  Parse command line
                                                         Parse command line
                                                         Assign values to somefile, someargv
  Assign values to somepgm, someargv
  pid = fork();
                                                         pid = fork();
  if (pid == 0) {
                                                         if (pid == 0) {
                                             executing concurrently
     /* in child */
                                                           /* in child */
     execvp(somepgm, someargv);
                                                            execvp(somepgm, someargv);
     fprintf(stderr, "exec failed\n");
                                                           fprintf(stderr, "exec failed\n");
     exit(EXIT FAILURE);
                                                           exit(EXIT FAILURE);
  Repeat the previous
                                                         Repeat the previous
     In child, pid == 0; child calls execup ()
                                                                                              15
```





Simple Shell Trace (7) Parent Process Parse command line Assign values to somegam, someargv pid = fork(); if (pid = 0) { /* in child */ exaccy(somepam, someargv); fprintf(stderr, "exec failed\n"); exit(EXIT_PAILURE); } /* in parent */ pid = wait(&status); Repeat the previous Parent returns from wait() and proceeds

Combined Fork/Exec/Wait



- Common combination of operations
 - fork () to create a new child process
 - exec () to invoke new program in child process
 - wait() in the parent process for the child to complete
- Single call that combines all three
 - •int system(const char *cmd);
- Example

```
int main(void) {
   system("echo Hello world");
   return 0;
}
```

19

Fork and Virtual Memory



- Question:
 - fork() duplicates an entire process (text, bss, data, rodata, stack, heap sections)
 - Isn't that very inefficient?
- Answer:
 - Using virtual memory, not really
 - Upon fork(), OS creates virtual pages for child process
 - Each child virtual page points to real page (in memory or on disk) of parent
 - OS duplicates real pages incrementally, and only if/when "write" occurs

Fork and I/O



- Child process gets a copy of parent's file descriptors
- · File descriptor: integer that uniquely represents an open file
 - · Passed to and returned by system-level I/O functions
- A file is a stream
 - · An ordered sequence of characters
 - Can read or write a stream; can read while someone is writing, ...
 - · A beautiful abstraction for I/O
- · Can create, open, close, read, write or seek into a file
 - Using file descriptor

2

Streams



- Each stream has an associated file position
 - Starts at beginning of file, if file opened to read or write
 - · Or, starts at end of file, if file opened to append



- Read/write operations advance the file position
 - Allows sequencing through the file in sequential manner
- Support for random access to the stream
 - Functions to learn current position and seek to new one 22

System-Level Functions for I/O



2

Example: open()



- Takes a path name, returns file descriptor
 - •int open(const char *pathname, int flags,
 mode_t mode);
- Arguments
 - · Pathname: name of the file
 - Flags: bit flags for o rdonly, o_wronly, o_rdwr
 - Mode: permissions to set if file must be created
- Returns
 - File descriptor (or a -1 if an error)
- Performs a variety of checks
 - E.g., whether the process is entitled to access the file
- Underlies fopen () call in C stdio library

Example: read()



- Reads bytes from a file descriptor
 - int read(int fd, void *buf, int count);
- Arguments
 - File descriptor: integer descriptor returned by open ()
 - · Buffer: pointer to memory to store the bytes it reads
 - · Count: maximum number of bytes to read
- Returns
 - · Number of bytes read
 - · 0 if nothing more to read
 - -1 if error occurred
- Performs a variety of checks
 - · Whether file has been opened, whether reading is okay
- Underlies getchar(), fgets(), scanf(), etc.

25

Stream Abstraction and C stdio



- · Built on top of system-level functions
- Stream: any source of input or destination for output
 - keyboard, screen, files on disk/CD, network/printer port ...
- Accessed in C programs through file pointers
 - E.g., FILE *fp1 = fopen("myfile.txt", "r");
- · Three streams provided by stdio.h
 - Streams stdin, stdout, and stderr
 - Typically map to keyboard, screen, and screen
 - Can redirect to correspond to other streams
 - E.g., stdin can be named file or output of another pgm
 - E.g., stdout can be named file or input to another pgm

Redirection



- · Unix allows programmatic redirection of stdin, stdout, stderr
- · How?
 - Use open(), creat(), and close() system calls
 - Use dup () system call...

int dup(int oldfd);

 Create a copy of the file descriptor oldfd. After a successful return from dup() or dup2(), the old and new file descriptors may be used interchangeably. They refer to the same open file description and thus share file offset and file status flags. dup() uses the lowest-numbered unused descriptor for the new descriptor. Return the new descriptor, or -1 if an error occurred.

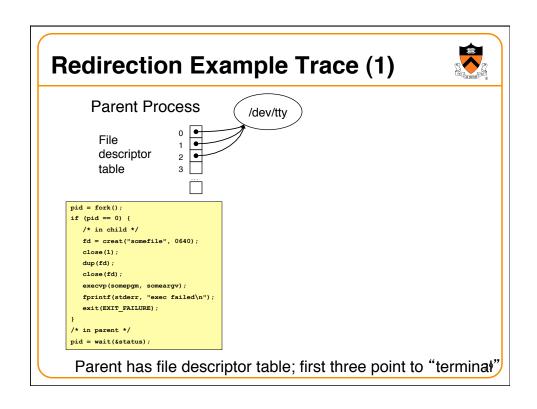
27

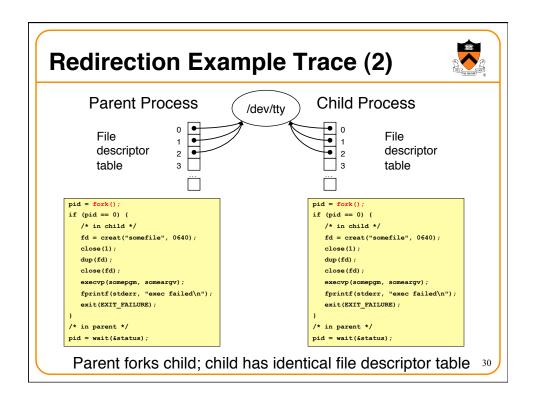
Redirection Example

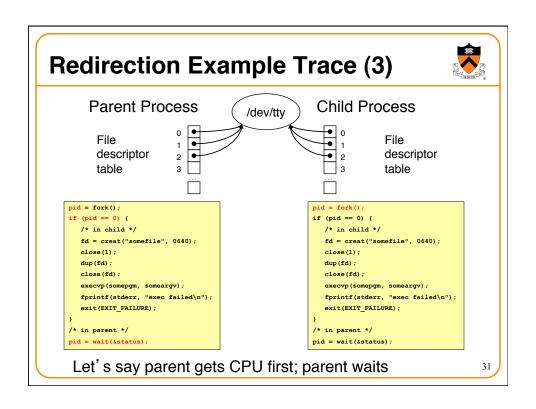


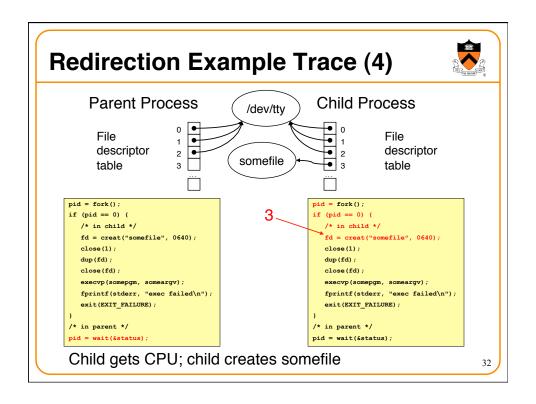
How does shell implement "somepgm > somefile"?

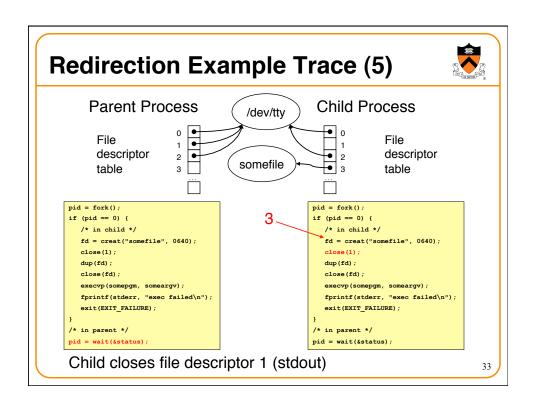
```
pid = fork();
if (pid == 0) {
    /* in child */
    fd = creat("somefile", 0640);
    close(1);
    dup(fd);
    close(fd);
    execvp(somepgm, someargv);
    fprintf(stderr, "exec failed\n");
    exit(EXIT_FAILURE);
}
/* in parent */
pid = wait(&status);
```

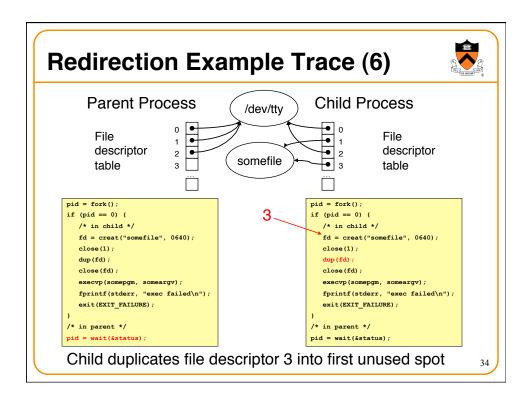


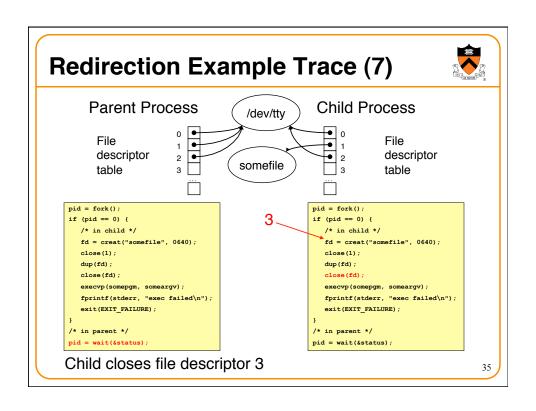


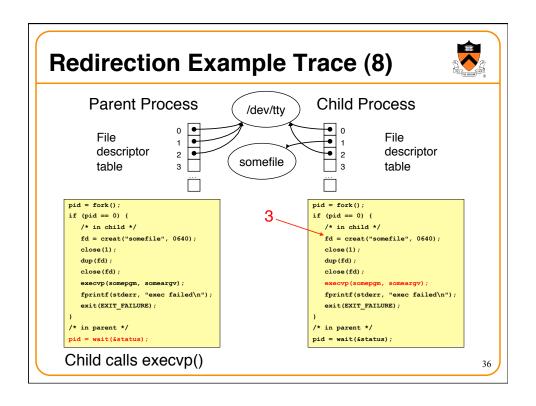


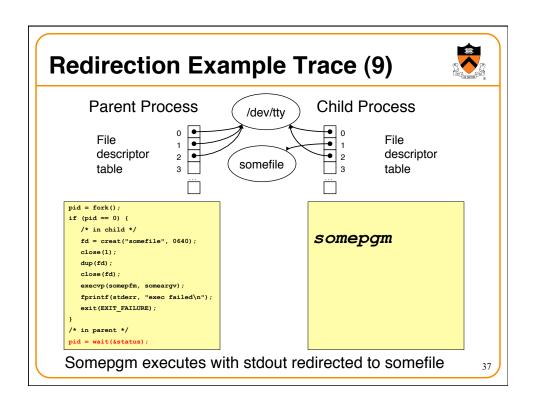


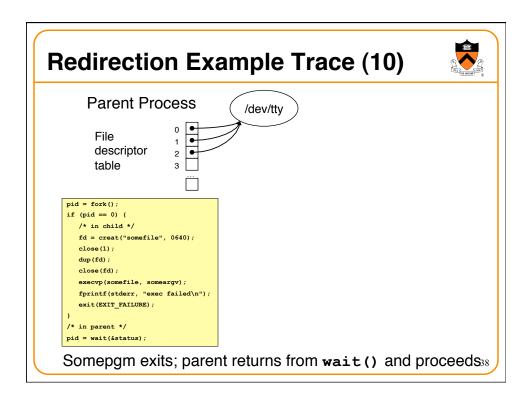












The Beginnings of a Unix Shell



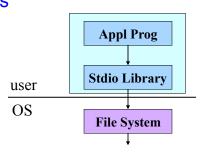
- · A shell is mostly a big loop
 - · Parse command line from stdin
 - Expand wildcards ('*')
 - Interpret redirections ('<', and '>')
 - fork(), dup(), exec(), and wait(), as necessary
- · Start from the code in earlier slides
 - · And edit till it becomes a Unix shell
 - This is the heart of the last programming assignment

39

More on C Standard I/O Functions



- Portability
 - Generic I/O support for C programs
 - Specific implementations for various host OSes
 - Invokes the OS-specific system calls for I/O
- Abstractions for C programs
 - Streams
 - · Line-by-line input
 - Formatted output
- Additional optimizations
 - Buffered I/O
 - Safe writing



Example: Opening a File



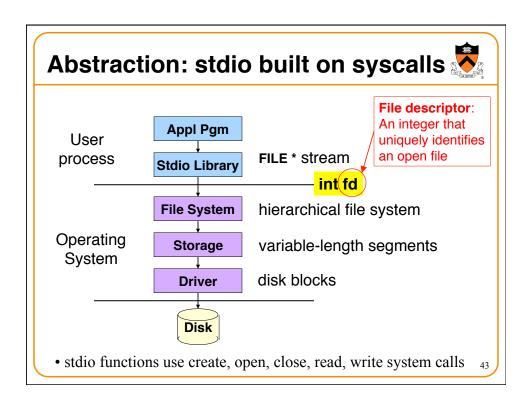
- •FILE *fopen("myfile.txt", "r")
 - Open the named file and return a stream
 - · Includes a mode, such as "r" for read or "w" for write
- Creates a FILE data structure for the file
 - Mode, status, buffer, ...
 - Assigns fields and returns a pointer
- Opens or creates the file, based on the mode
 - · Write ('w'): create file with default permissions
 - Read ('r'): open the file as read-only
 - Append ('a'): open or create file, and seek to the end
- Uses underlying system calls supported by OS

41

Example: Formatted I/O



- •int fprintf(fp1, "Number: %d\n", i)
 - Convert and write output to stream in specified format
- •int fscanf(fp1, "FooBar: %d", &i)
 - Read from stream in format and assign converted values
- Specialized versions
 - printf(...) is just fprintf(stdout, ...)
 - scanf (...) is just fscanf (stdin, ...)
- · Use underlying syscalls: read, write



Example: A Simple getchar ()



```
int getchar(void) {
   char c;
   if (read(0, &c, 1) == 1)
      return c;
   else return EOF;
}
```

- Read one character from stdin
 - File descriptor 0 is stdin
 - &c points to the buffer to read into
 - 1 is the number of bytes to read
- Read returns the number of bytes read
 - In this case, 1 byte means success

Making getchar () More Efficient



- Poor performance reading one byte at a time
 - Read system call is accessing the device (e.g., a disk)
 - Reading one byte from disk is very time consuming
 - Better to read and write in larger chunks
- Buffered I/O
 - Library reads large chunk from disk into a memory buffer
 - Doles out bytes to the user process as requested
 - Discard buffer contents when the stream is closed
 - Similarly, write individual bytes to a buffer in memory
 - And write to disk when full, or when stream is closed
 - Known as "flushing" the buffer

Better getchar() with Buffered I/O

```
int getchar(void) {
   static char base[1024];
                                  persistent variables
   static char *ptr;
                                  for the buffer
   static int cnt = 0;
   if (cnt--) return *ptr++;
                                             base
   cnt = read(0, base, sizeof(base));
   if (cnt <= 0) return EOF;</pre>
                                        ptr →
   ptr = base;
   return getchar();
}
But, many functions may read (or write) the stream...
```

Details of FILE in stdio.h (K&R 8.5)



```
#define OPEN MAX 20  /* max files open at once */
typedef struct iobuf {
                /* num chars left in buffer */
   int cnt;
   char *ptr;
                /* ptr to next char in buffer */
   char *base;
                /* beginning of buffer */
   int flag;
                /* open mode flags, etc. */
   char fd;
                /* file descriptor */
} FILE;
extern FILE iob[OPEN MAX];
#define stdin
                (& iob[0])
#define stdout (& iob[1])
#define stderr (& iob[2])
```

Buffered Output



• When does it actually show up on I/O device?:

```
int main(void) {
  printf("Step 1\n");
  sleep(10);
  printf("Step 2\n");
  return 0;
```

- Run "a.out > out.txt &" and then "tail -f out.txt"
 - To run a.out in the background, outputting to out.txt
 - · And then to see the contents on out.txt
- Neither line appears till ten seconds have elapsed
 - · Because the output is being buffered
 - Add fflush (stdout) to flush the output buffer (often after printf)
 - fclose() also flushes the buffer before closing

Summary



- System-level functions for creating processes
 - fork (): process creates a new child process
 - wait (): parent waits for child process to complete
 - exec (): child starts running a new program
 - system(): combines fork, wait, and exec all in one
- System-level functions for I/O and redirection
 - open() / creat(): to open a file descriptor
 - close (): to close a file descriptor
 - dup (): to duplicate a file descriptor

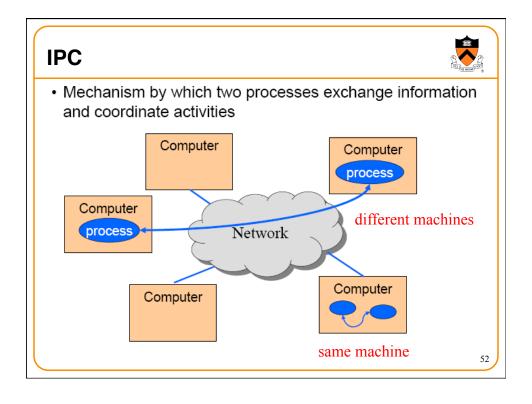
49

Summary (contd.)



- System I/O functions provide simple abstractions
 - · Stream as a source or destination of data
 - Functions for manipulating streams
- stdio library builds on system-level functions
 - Calls system-level functions for low-level I/O
 - · Adds buffering
- · Powerful examples of abstraction
 - Application programs interact with streams at high level
 - Standard I/O library interacts with streams at lower level
 - Only the OS deals with the device-specific details

Appendix Inter-Process Communication (IPC)



IPC Mechanisms



- Pipes
 - · Processes on the same machine
 - · Allows parent process to communicate with child process
 - · Allows two "sibling" processes to communicate
 - · Used mostly for a pipeline of filters
- Sockets
 - · Processes on any machines
 - Processes created independently
 - Used for client/server communication (e.g., Web)

Both provide abstraction of an "ordered stream of bytes"

53

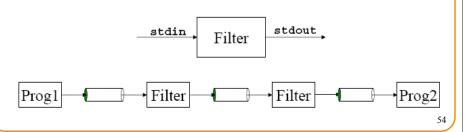
Pipes



· Provides an interprocess communication channel



• A <u>filter</u> is a process that reads from stdin and writes to stdout



Example Use of Pipes



- Compute a histogram of content types in my e-mail
 - · Many e-mail messages, consisting of many lines
 - Lines like "Content-Type: image/jpeg" indicate the type
- Pipeline of Unix commands
 - Identifying content type: grep -i Content-Type *
 - Extracting just the type: cut -d" " -f2
 - · Sorting the list of types: sort
 - Counting the unique types: uniq -c
 - Sorting the counts: sort -nr
- Simply running this at the shell prompt:
 - grep -i Content-Type * | cut -d" " -f2 | sort |
 uniq -c | sort -nr

55

Creating a Pipe





- Pipe is a communication channel abstraction
 - o Process A can write to one end using "write" system call
 - Process B can read from the other end using "read" system call
- System call

```
int pipe( int fd[2] );
return 0 upon success -1 upon failure
fd[0] is open for reading
fd[1] is open for writing
```

 Two coordinated processes created by fork can pass data to each other using a pipe.

```
Pipe Example
 int pid, p[2];
 if (pipe(p) == -1)
                            child
     exit(1);
 pid = fork();
 if (pid == 0) {
     close(p[1]);
     ... read using p[0] as fd until EOF ...
                            — parent
 else { ←
     close(p[0]);
     ... write using p[1] as fd ...
     close(p[1]); /* sends EOF to reader */
     wait(&status);
 }
                       write
                                           <u>\read</u>
                                                    child
               parent
```

