

COS 425:  
Database and Information  
Management Systems

Relational model:  
Relational calculus

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## Tuple Relational Calculus

Queries are formulae, which define sets using:

1. Constants
2. Predicates (like *select* of algebra)
3. Boolean *and*, *or*, *not*
4.  $\exists$  there exists
5.  $\forall$  for all

- Variables range over tuples
- Attributes of a tuple T can be referred to in predicates using T.attribute\_name

Example:  $\{ T \mid T \in tp \text{ and } T.rank > 100 \}$   
     $\underline{\quad}$  formula, T free  $\underline{\quad}$

tp: (name, rank); base relation of database

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## Formula defines relation

- Free variables in a formula take on the values of tuples
- A tuple is in the defined relation if and only if when substituted for a free variable, it satisfies (makes true) the formula

Free variable:

$\exists x, \forall x$  bind x – truth or falsehood no longer depends on a specific value of x

If x is not bound it is free

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## Quantifiers

**There exists:**  $\exists x (f(x))$  for formula  $f$  with free variable  $x$

- Is true if there is *some tuple* which when substituted for  $x$  *makes  $f$  true*

**For all:**  $\forall x (f(x))$  for formula  $f$  with free variable  $x$

- Is true if *any tuple* substituted for  $x$  *makes  $f$  true*  
i.e. *all tuples* when substituted for  $x$  *make  $f$  true*

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## Example

$\{T \mid \exists A \exists B (A \in tp \text{ and } B \in tp \text{ and } A.name = T.name \text{ and } A.rank = T.rank \text{ and } B.rank = T.rank \text{ and } T.name2 = B.name ) \}$

- $T$  not constrained to be element of a named relation
- $T$  has attributes defined by naming them in the formula:  $T.name, T.rank, T.name2$ 
  - so schema for  $T$  is  $(name, rank, name2)$  *unordered*
- Tuples  $T$  in result have values for  $(name, rank, name2)$  that satisfy the formula
- *What is the resulting relation?*

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## Formal definition: formula

- A tuple relational calculus formula is

– An atomic formula (uses predicate and constants):

- $T \in R$  where
  - $T$  is a variable ranging over tuples
  - $R$  is a named relation in the database – a *base relation*
- $T.a \text{ op } W.b$  where
  - $a$  and  $b$  are names of attributes of  $T$  and  $W$ , respectively,
  - $op$  is one of  $< > = \neq \leq \geq$
- $T.a \text{ op constant}$
- constant  $op T.a$

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## Formal definition: formula cont.

- A tuple relational calculus formula is
  - An atomic formula
  - For any tuple relational calculus formulae  $f$  and  $g$ 
    - $\text{not}(f)$
    - $f$  and  $g$
    - $f$  or  $g$
    - $\exists T(f(T))$  for  $T$  free in  $f$
    - $\forall T(f(T))$  for  $T$  free in  $f$

} Boolean operations

} Quantified

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## Formal definition: query

A query in the relational calculus is a set definition

$$\{T \mid f(T)\}$$

where  $f$  is a relational calculus formula

$T$  is the only variable free in  $f$

The query defines the relation consisting of tuples  $T$  that satisfy  $f$

The attributes of  $T$  are either defined by name in  $f$  or inherited from base relation  $R$  by a predicate  $T \in R$

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## Some abbreviations for logic

- $(p \Rightarrow q)$  equivalent to  $(\text{not } p) \text{ or } q$
- $\forall x(f(x))$  equiv. to  $\text{not}(\exists x(\text{not } f(x)))$
- $\exists x(f(x))$  equiv. to  $\text{not}(\forall x(\text{not } f(x)))$
- $\forall x \in S (f)$  equiv. to  $\forall x ((x \in S) \Rightarrow f)$
- $\exists x \in S (f)$  equiv. to  $\exists x ((x \in S) \text{ and } f)$

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## Board examples

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**Board example 3 revisited:** Recall for this example we working with relations  
Acct: (bname, acct#, bal)      Branch: (bname, bcity, assets)  
Owner: (name, acct#) where "name" is name of customer owning acct#

**Want to express** in tuple relational calculus  
"names of all customers who have accounts at all branches in Princeton"

**Solution worked up on board** (just reordered sequence of ands):  
{T |  $\exists O \forall B ( (B \in \text{Branch and } B.\text{bcity} = \text{'Princeton'}) \Rightarrow$   
 $\exists A (A \in \text{Acct and } O \in \text{Owners and } A.\text{acct\#} = O.\text{acct\# and}$   
 $B.\text{bname} = A.\text{bname and } T.\text{name} = O.\text{name} ) )$  }

says if "xxx" is an name in the result, some (xxx, nnn) ∈ Owner can be paired with (b1, Princeton, \$\$b1) ∈ Branch so is (b1, nnn, bal1) ∈ Acct and paired with (b2, Princeton, \$\$b2) ∈ Branch so is (b2, nnn, bal2) ∈ Acct  
is key of Acct => WRONG

**CORRECT:**  
{T |  $\forall B \exists O ( (B \in \text{Branch and } B.\text{city} = \text{'Princeton'}) \Rightarrow$   
 $\exists A (A \in \text{Acct and } O \in \text{Owners and } A.\text{acct\#} = O.\text{acct\# and}$   
 $B.\text{bname} = A.\text{bname and } T.\text{name} = O.\text{name} ) )$  }

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## Evaluating query in calculus

- Declarative – how build new relation {x|f(x)}?
- Go through each candidate tuple value for x
  - Is f(x) true when substitute candidate value for free variable x?
  - If yes, candidate tuple is in new relation
  - If no, candiate tuple is out

What are candidates?  
• Do we know domain of x?  
• Is domain finite?

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## Problem

- Consider  $\{T \mid \text{not } (T \in tp)\}$ 
  - Wide open – what is schema for T?
- Consider  $\{T \mid \forall S ((S \in tp) \Rightarrow (\text{not } (T.\text{name} = S.\text{name} \text{ and } T.\text{rank} = S.\text{rank})))\}$ 
  - Now T:(name, rank) but **universe is infinite**

Don't want to consider infinite set of values

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## Constants of a database and query

Want consider only finite set of values  
– What are constants in database and query?

Define:

- Let I be an instance of a database
  - A specific set of tuples (relation) for each base relational schema
- Let Q be a relational calculus query
- **Domain (I,Q)** is the **set of all constants** in Q or I

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## Safe query

- A **query Q** on a relational database with base schemas  $\{R_i\}$  is **safe** if and only if for all instances I of  $\{R_i\}$ , **any tuple in Q(I)** – the relation resulting from applying Q to I – **contains only values in Domain(I, Q)**
- Means at worst candidates are all tuples can form from finite set of values in Domain(I, Q)

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**Text goes further**

- Requires testing quantifiers has finite universe:
  - For each  $\exists T(p(T))$  in the formula of Q, if  $p(t)$  is true for tuple  $t$ , then attributes of  $t$  are in  $\text{Domain}(I, Q)$
  - For each  $\forall T(p(T))$  in the formula of Q, if  $t$  is a tuple containing a constant not in  $\text{Domain}(I, Q)$ , then  $p(t)$  is true

=> Only need to test tuples in  $\text{Domain}(I, Q)$

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The relational algebra and the tuple relational calculus over safe queries are **equivalent** in expressiveness

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**Domain relational calculus**

- Similar but **variables range over domain values** (i.e. attributes) not tuples
- Is equivalent to tuple relational calculus
- Example:  
 $\{ \langle N, K, M \rangle \mid (N, K) \in tp \text{ and } (M, K) \in tp \}$

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## Summary

- The relational calculus provides an alternate way to express queries
- A formal model based on logical formulae and set theory
- Equivalence with algebra means can use either or both – but only one for formal proofs
- Next we will see that SQL borrows from both

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