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# Strategies for polyhedral surface decomposition: An experimental study \*

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#### Abstract

This paper addresses the problem of decomposing a complex polyhedral surface into a small number of "convex" patches (i.e., boundary parts of convex polyhedra). The corresponding optimization problem is shown to be NP-complete and an experimental search for good heuristics is undertaken. © 1997 Elsevier Science B.V.

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#### 1. Introduction

Convex shapes are easiest to represent, manipulate and render. Even though they form the building blocks of bottom-up solid modelers, it is more often the case that the convex structure of a geometric shape is lost in its representation. We are then presented, not with the solid-modeling problem of putting together primitive convex objects, but with the reverse problem of *extracting* convexity out of a complex shape.

The classical example is that of cutting up a 3-polyhedron into convex pieces. This is often a useful, sometimes a required, preprocessing step in graphics, manufacturing, and mesh generation. The problem as been exhaustively researched in the last few years [2–18]. Despite its practical motivation, however, little of that research has gone beyond the theoretical stage. One possible explanation is that even the most naive solutions are programming challenges. We observe, however, that in practice one often need not partition the polyhedron itself but only its *boundary*. In other words, it often suffices

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to decompose a polyhedral surface into a small number of convex patches. By abuse of terminology, we call a surface *convex* if it lies entirely on the boundary of its convex hull. We mention some applications briefly.

In rendering, the coherence provided by convex patches can be exploited to speed up radiosity calculations. For example, from the closed-form expressions recently found for the form factors between two polygons [19], it is possible to derive faster iterative methods for handling multiple pairs of facets that are known to lie on one or a few convex patches. Similar speed-ups can be obtained for shading, clipping, hit detection, etc. Snyder et al. [20] point out the importance and difficulty of exploiting polyhedral coherence in collision detection: because polyhedral hierarchies can be defined on arbitrary convex patches (solid polyhedra are not needed), intersection primitives can be greatly speeded up when convex surface decompositions are available [14].

Although not as versatile as solid decompositions boundary decompositions have several advantages, including simplicity of implementation. Also, while a polyhedral solid decomposition can suffer a quadratic blow-up [8], boundary decompositions are always linear in size. Better than that, the number of convex patches can be kept within a constant factor of the number of reflex angles [11]. We have gathered empirical evidence suggesting that even highly complex surfaces typically consist of only a handful of patches. For example, a standard drinking glass, regardless of its description size, might involve no more than a dozen convex patches. Intuitively, one should expect only surfaces of little coherence, such as crumpled, fractal-like sheets or heavily twisted surfaces, to give rise to many patches. Of course, a simple object such as a torus can also have bad convex decomposition properties. But it seems that in practice most objects admit of small convex boundary decompositions.

Contributions of this paper. We present a comparative study of simple heuristics for convex surface decomposition. The research reported here is mostly of an experimental nature. The standout exception is the obligatory first step: motivating the search for heuristics by proving that the problem is NP-complete. The proof is somewhat technical, so we give an outline in Section 2 and provide the details in Appendix A. In Section 3 we describe the three classes of heuristics investigated: space partitioning, space sweep and flooding. Within each class we examine several sub-heuristics and compare their relative effectiveness. Experimental results are reported and conclusions are drawn in Section 4.

Finding meaningful test data was an important component of this work. In the present case, randomly generated data is all but worthless. So, we collected several hundred real-life polyhedral models from manufacturing companies and industrial AutoCAD users. This catalog confirms our working hypothesis that most objects admit of small-size boundary decompositions. We implemented various decomposition schemes from each of the three classes of heuristics; each implementation was tested and benchmarked against a representative sample of objects from our library. From this experimentation it appears that flooding heuristics are the most efficient as well as the easiest to implement. We propose a scheme, called *flood-and-retract*, which seems the method of choice among the heuristics investigated.

Unsurprisingly, space partitioning techniques fared the worst. The main motivation for including them in our investigation was that many users are equipped with space partitioning software, so it was of practical relevance to assess their effectiveness. Space-sweep heuristics were also natural candidates. Their asymptotic performance is guaranteed to be linear [11], but even the simplest ones are quite difficult to implement.

An important aspect of this work has been the use of animations to guide our search for good heuristics. Runs and benchmarks produce numbers that tell us how good or how bad a given heuristic

is. But they do not help us to design better heuristics. Visualizing the decompositions does. Physicians swear by (and live off) the adage that lab results cannot supplant clinical examination. Likewise, we found that nothing was more useful than the ability to look at a decomposition in three dimensions as though we held it in our hands. Through this means, weaknesses of the heuristics came to light and ways to overcome them suggested themselves. In addition to our in-house geometric animation system GASP [21], we also used the visualization package GEOMVIEW [1] developed at the Geometry Center. After it became apparent that flooding heuristics were the most competitive, we animated several of them and visually analyzed their most obvious flaws. These animations enabled us to converge rapidly towards the most promising heuristic, i.e., flood-and-retract. <sup>1</sup>

## 2. The complexity of convex surface decomposition

Let S be a polyhedral surface with n vertices, and let  $S_1, \ldots, S_k$  be disjoint convex patches whose union gives S. We show that minimizing the number k is NP-complete. In our terminology, a polyhedral surface is a compact piecewise-linear 2-manifold with boundary. We make no assumption on its orientability or its Euler characteristic. Obviously, convex patches are always orientable, but again their Euler characteristics are let unrestricted; in other words, the patches may be multiconnected. It is natural, however, to require that convex patches be at least connected.

Of course, this is only one of many possible variants of the problem: for example, we could place bounds on the Euler characteristics of the patches; we could require that facets not be split; we could seek a cover and not a partition; we could relax the connectivity requirement; in the case of orientable surfaces, we could distinguish between convexity and concavity, etc.

## 2.1. Membership in NP

It is straightforward to argue that the optimization problem is in NP. To begin with, observe that in an optimal decomposition the facets of any patch can always be assumed to originate from the three-dimensional arrangement formed by the planes defined by all triplets of vertices of S. Indeed, for any decomposition that does not satisfy this requirement, we can always extend any facet (if necessary) until its bounding edges lie in one of those planes. This gives us up to  $O(n^9)$  candidate facets from which a minimum decomposition can be guessed. Furthermore only rational numbers are needed, so bit length is not a problem. To test if a set of facets forms a convex patch, we compute its convex hull and verify that all the facets lie on the boundary.

## 2.2. NP-hardness

Let  $x_1, \ldots, x_n$  be n Boolean variables; an instance of SAT consists of n clauses  $c_1, \ldots, c_n$ , each of them a disjunction of literals  $x_k$  or  $\bar{x}_k$ . Here is a brief overview of our geometric model: Each variable  $x_k$  is associated with a closed polygonal curve  $L_k$  zigzagging in planes parallel to xy. There are exactly two optimal ways of cutting  $L_k$  into convex pieces: each of them corresponds to a different

<sup>&</sup>lt;sup>1</sup> To illustrate this process of iterative improvements we have produced a video: this supplement plays the same role as traditional figures but with motion and color added [9].

truth assignment of  $x_k$ . A clause is modeled by a vertical line segment that connects the curves corresponding to its literals. The contact between the vertical segment and  $L_k$  takes place at a local y-maximum or y-minimum depending on whether  $x_k$  is negated or not in the clause in question. The remainder of the proof involves transforming the curves into thin strips and then arguing that the formula is satisfiable if and only if the minimum decomposition is below a certain size. The full proof is given in Appendix A.

#### 3. Three classes of heuristics

All the surfaces in our library are orientable. The reason is that they usually originate from the boundary of some polyhedron. This suggested distinguishing between convex and concave patches; the latter being convex patches (in the old sense) all of whose edges exhibit reflex angles. We shall specify below which sub-heuristics make this distinction.

Space partitioning. The strategy is to use binary space partitioning [15] to split up the surface into convex patches. Recall that the method builds a tree by recursively dividing space by a (well-chosen) cutting plane. Each node v of the tree is associated with a convex polyhedron  $P_v$ . The idea is to explore the two children of v if and only if the portion of the surface within  $P_v$  is not convex. The advantage of this method is that space partitioning code is widely available, so implementing it is by and large effortless. One drawback is that facets get split up in the middle: this produces Steiner points, which cause roundoff errors and can be undesirable. Furthermore the efficiency of the heuristic is highly sensitive to the input surface. An obvious improvement we use is to cut along reflex edges only. (It does not appear worthwhile optimizing the selection of reflex edges itself: the only advantage of the space-partitioning approach seems to be its simplicity, and so adding rules quickly becomes self-defeating.) In the worst case the number of patches is quadratic. In practice it appears that such a blowup is unlikely, especially in view of the above edge-selection rule.

Space sweep. It was shown in [11] how to decompose a polyhedral surface into a number of convex patches at most proportional to its number of reflex edges. We implemented a simplified version of the method which avoided the creation of Steiner points. Although the linearity of the output size was no longer (theoretically) guaranteed, the simplification seemed to have no adverse effect; on the other hand, it made coding much easier.

Our heuristic involves sweeping space with a plane: at any given time, the cross-section of the surface consists of simple polygonal curves which are decomposed into convex pieces. The heuristic attempts to maintain each curve as long as possible while moving the plane, thus producing convex patches in the process. Each time a convexity violation is found, we relent from including the violating facet and start up a new convex curve (and hence, a new patch). It is thus a gross simplification of the method in [11]: it does not take into account many of the more complex features which were introduced only to ensure optimal asymptotic complexity. Although we lack empirical evidence to support this, it appears unlikely that these features, introduced solely for the purpose of theoretical analysis, would reduce the number of patches in practice.

Flooding. Let H be the dual graph of the surface, where nodes represent facets and arcs join nodes associated with adjacent facets. The class of flooding heuristics refers to the incremental strategy of starting from some node and traversing the graph H, collecting facets along the way as long as they

form a convex patch. We distinguish between two sub-heuristics. *Greedy flooding* involves collecting facets until no adjacent facets can be found that does not violate the convexity of the current patch. A new patch must then be started, at which point the traversal can resume. *Controlled flooding* either includes other stopping rules besides convexity violation or postprocessing on greedy flooding. Our animations have revealed cases where flooding in a greedy fashion is arbitrarily bad: off the optimal by a factor proportional to the input size! On the other hand, producing smaller patches by controlled flooding can sometimes lead to near-optimal decompositions.

Given a convex patch, consider adding a new facet to it. The new patch may fail to be convex for one of two reasons.

- 1. Local failure: the edge at which the facet is attached to the patch exhibits nonconvexity.
- 2. Global failure: the new patch is locally convex everywhere, but some facet fails to be on the boundary of the new convex hull.

Global failures are rare in practice. Geometrically, they are associated with twisted shapes, as in a spiral or a drill. Note that global failures are the only reason the surface decomposition problem is hard. Without global failures, any greedy flooding heuristic produces an optimal decomposition (in the version of the problem where concave patches are ruled out). Furthermore, covers and partitions are indistinguishable. In other words, without global failures, trying to cover the surface into convex patches instead of partitioning it cannot produce fewer pieces. In the presence of global failures, however, just the opposite is true. This suggests producing controlled-flooding partitions in two steps. First, flood the surface by covers: this means that when restarting a new patch, allow the traversal of old facets as well as new ones. Then, in a second pass, transform the covers into partition. In our experiments we implemented this second pass in a naive manner, by cutting along the edges around the overlaps and thus removing multiple covers. (Note that this might then increase the number of patches.) We pursue this approach below.

Flood-and-retract. The first phase, flooding the surface, produces convex patches which might overlap. The purpose of the second phase is to remove the overlapping by "retracting" each patch. The main pitfall to avoid is breaking up the patch into several connected components. In Fig. 1, for example, two patches cover the surface and can be easily modified into a 2-patch partition. However, a naive approach might end up producing up to  $\Omega(n)$  convex patches. Plate 3 gives a three-dimensional

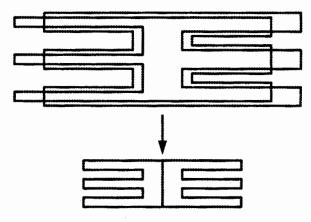


Fig. 1. Two-patch cover.

picture of this phenomenon. To avoid this trap, we adopt the following strategy: for each patch in turn, retract it as much as possible as long as it remains connected. The retraction takes place along the portions of the boundary of the patch that lie inside other patches. The data structure is quite simple: we keep a queue of facets to be retracted. We iterate on the following process: remove the top of the queue and remove the facet from the patch, unless it disconnects it. After the removal, check which adjacent facets should be inserted into the queue, and insert them in arbitrary order. Once each patch has been retracted in this manner, transform the resulting cover into a partition in arbitrary manner by cutting along edges around the overlapping regions and assigning the shared faces to one of patches.

## 4. Experimental results

Tables 1 and 2 present the results of our experiments. We implemented 8 heuristics and ran them on the objects of the library. For the sake of concreteness, we limit our discussion to a sample of 12 representative objects. See Plates 1 and 2. Next to each object, we indicate the number of vertices V, the number of convex edges and reflex edges. Some edges are incident to coplanar facets: their count is par. Such edges originate in one of two ways: either the dihedral angle was too close to  $\pi$  to decide on convexity, or the edge was introduced to triangulate a facet, in which case the angle was exactly  $\pi$ . The heuristics chosen were the following.

- BSP. We adapted existing Binary Space Partitioning code by pruning the tree in the following fashion: At each internal node, we selected a reflex edge (if any) of the portion of the surface within the corresponding convex polyhedron  $P_v$  associated with node v. Then we cut along one of its adjacent facets to form its two children. One difficulty was to handle the facets that lay within cutting planes. Note that with this method several disconnected patches might end up in the same node-polyhedron. Of course, we count then as separate patches. In our experiments, no distinction is made between convex and concave patches.
- Space-sweep. Both convex and concave patches are admissible in sweep\_cc. On the other hand only convex patches are allowed in sweep\_c. Convex violations are detected naively by maintaining the convex hull of the patches in straightforward fashion.
- Flooding. We consider greedy flooding produced by breadth-first search with convex-concave patches (bfs\_cc) and convex patches only (bfs\_c). We also present results on depth-first search with convex-concave patches (dfs\_cc) and depth-first search with convex patches only (dfs\_cc). Plates 1 and 2 show the bfs\_cc decompositions of the 12 sample objects.
- Flood-and-retract. We use breadth-first search in the flooding part of the heuristic. Retraction is performed to remove the overlap between patches (Plate 3). To deal with the (unlikely) case of several patches criss-crossing one another, special care is taken to prevent excessive fragmentation.

There is a remarkable consistency in the way flooding outperforms its competitors. Binary space partitioning fares so poorly it probably is worse than doing nothing. Even though we choose the splitting planes along reflex edges, the number of patches is large even when the number of reflex edges is small. Space sweep, on the other hand, provides flooding a fairly close race. On *epcot* it produces the optimal decomposition, as does any kind of flooding. But this is an exception. On average space sweep loses to flooding by at least 50% and sometimes much more. On *book* it loses

Table 1 Properties of the objects

		T.7		0	
	name	V	convex	reflex	par
1.	epcot	195	384	192	0
2.	mushroom	227	365	142	165
3.	glass	81	124	34	49
4.	pawn	155	216	96	144
5.	bishop	251	352	118	274
6.	rook	131	152	80	152
7.	spring	910	1626	875	220
8.	flashlight	388	549	145	415
9.	eyeball	966	1683	24	934
10.	torso	321	619	234	162
11.	book	88	118	34	88
12.	hand	309	525	234	162

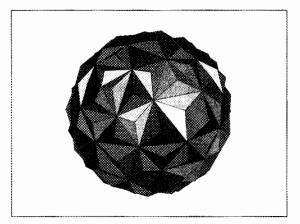
Table 2

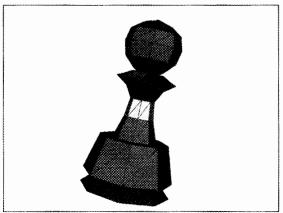
Resuits	S									
	name	V	bsp	sweep_cc	sweep_c	bfs_cc	dfs_cc	bfs_c	$dfs\_c$	f & r
1.	epcot	195	344	129	129	129	129	129	129	129
2.	mushroom	227	567	66	64	56	48	56	49	34
3.	glass	81	98	21	21	12	12	13	12	9
4.	pawn	155	303	41	40	28	28	31	29	17
5.	bishop	251	671	39	33	20	19	20	19	17
6.	rook	131	381	34	31	18	18	18	18	. 18
7.	spring	910	2144	389	420	277	247	256	234	228
8.	flashlight	388	532	62	78	18	18	35	35	14
9.	eyeball	966	642	45	27	4	4	4	4	4
10.	torso	321	547	99	89	69	67	70	69	52
11.	book	88	131	48	57	13	13	12	12	14
12.	hand	309	931	146	91	89	86	93	93	78

by a factor of 4. In general, the differences between convex-only and convex-concave are surprisingly small. Note that (unlike what one might have expected) convex-concave does not always outperform convex-only: this is owed to the nondeterministic nature of the heuristics.

Flooding performs uniformly well, especially flood-and-retract. The differences between depth-first search and breadth-first search are small enough to be negligible, although DFS appears to have a slight edge. Note that visually it might seem that an inordinately high number of patches are produced; but recall that from a computational standpoint, coplanarity is an elusive property. We chose to make the program err on the side of robustness. This means that the patches produced are guaranteed to be convex, but it could be that near-flat convex edges are classified as reflex because of roundoff errors.

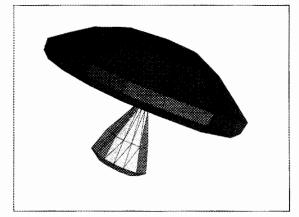
Plate 3 shows an object, a bracelet, where greedy flooding (and all the previous heuristics for that matter) performs very poorly. Flood-and-retract avoids the obvious pitfalls and provides a near-

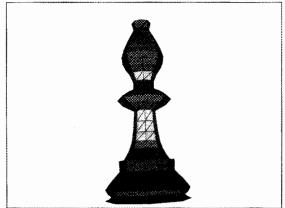




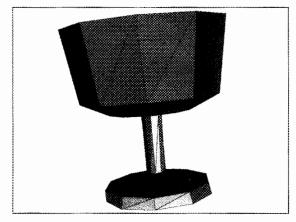
Pawn

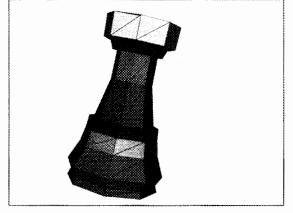
Epcot





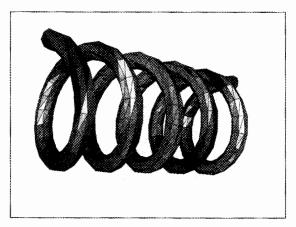
Mushroom Bishop

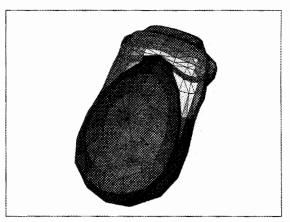




Glass Rook

Plate 1.

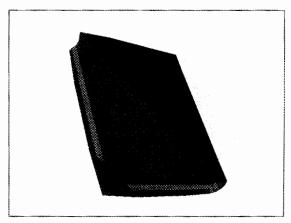




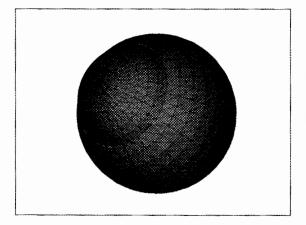
Spring



Torso



Flashlight



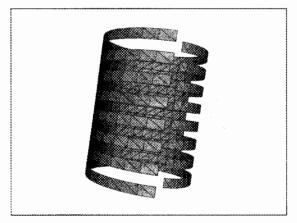
Book

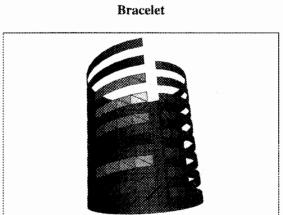


Eyeball

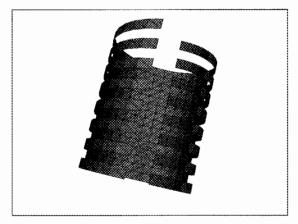
Hand

Plate 2.

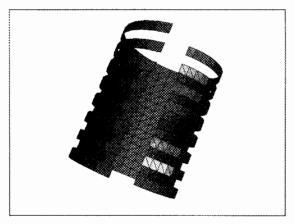




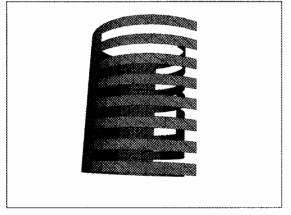
Flood-and-Retract 1



**BFS** 



Flood-and-Retract 2



DFS

Flood-and-Retract 3

Plate 3.

optimal decomposition. The upper-left figure displays the input surface. BFS and DFS decompositions are shown right below. Note that both produce an additional (unnecessary) patch for half the fingers of the bracelet. The right-hand side figures show flood-and-retract in action. First, the algorithm floods the bracelet with a BFS run (dark patch). Then it repeats the same operation starting outside that patch. Since the dark patch is ignored, we end up with two overlapping patches. Finally the retraction moves back one of the patches to produce the final two-patch partition of the bracelet.

The strategy of covering first and then retracting is meant to deal with a general shortcoming of greedy flooding, i.e., fragmentation. This phenomenon is similar to what happens when partitioning a tree with fixed-size subtrees. If we start at the root and proceed top-down we run into the risk of ending up with a linear number of fragments near the leaves, each requiring a distinct tree. Of course, in that case, we know better not to start at the root but instead to proceed bottom-up. A similar phenomenon occurs with flooding. The difference, however, is that unlike tree partitioning flooding has no natural starting place. The idea of covering first and retracting later is meant to overcome that intrinsic difficulty by trying to make the starting place immaterial.

### 5. Conclusion

This paper has investigated the practical issues behind convex surface decomposition, a problem shown to be NP-complete. We have not addressed issues of implementation, robustness, and computation time. Robustness is (as always) a thorny problem. Computation time, however, is not a serious bottleneck, because all our heuristics run either in  $O(n \log n)$  time or in time linear in the input/output size.

Our conclusion is that the flood-and-retract heuristic should be the method of choice in practice. Of course, as we observed, in many cases the covering produced in the first phase of the algorithm will actually be a partition (in which case flood-and-retract reduces to greedy flooding). In such cases, the decomposition is optimal or near-optimal and there is no need to pursue the heuristic further, i.e., DFS does the job. It remains to be seen if more complex variants can produce significantly better decompositions.

## Acknowledgements

We thank Herb Voelcker and Jovan Zagajac of Cornell University for kindly making their BSP code available to us, and generally bringing us the benefit of an engineering perspective on the issues discussed in this paper.

We also thank the referees for their thorough comments and helpful suggestions.

## Appendix A

A geometric model for SAT. Let  $x_1, \ldots, x_n$  be n Boolean variables; an instance of SAT consists of n clauses  $c_1, \ldots, c_n$ , each of them a disjunction of literals  $x_k$  or  $\bar{x}_k$ . Each clause  $c_i$  is represented by a vertical rectangular strip  $C_i$  of width  $\varepsilon$ , for some small  $\varepsilon > 0$ . We position  $C_i$  so that it lies in the

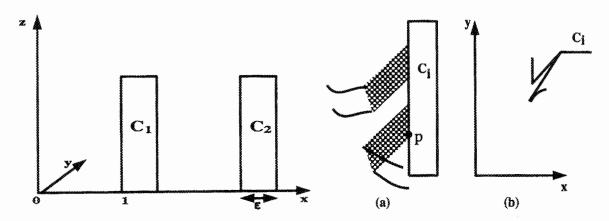


Fig. 2. The clause strips.

Fig. 3. The attachment rectangles.

xz-plane and intersects the x-axis in the interval  $[i, i+\varepsilon]$  (Fig. 2). There is no need to specify the exact length of the strip: it should simply be long enough (say, 2n).

Clauses are connected together by closed accordion-like strips that represent the variables. Each variable has its own small z-interval within which its strip lives. We begin by discussing how the strip  $X_k$  for variable  $x_k$  is locally attached to a clause strip. Suppose that clause  $c_i$  contains the literal  $x_k$ . Then the strip  $X_k$  is attached to  $C_i$  around the point (i,0,k) in the following fashion: two coplanar (congruent) rectangles parallel to the z-axis abut  $C_i$  at a 45-degree angle (Fig. 3(b)). Both of these attachment rectangles are of width  $\varepsilon$  and are separated from each other by  $\varepsilon$ : they are the dashed regions in Fig. 3(a); point p has coordinates (i,0,k). The strip  $X_k$  runs into  $C_i$  through one of these attachment rectangles and leaves  $C_i$  through the other one. In projection on the xy-plane, the two rectangles form two coinciding edges: as in Fig. 3(b), it is convenient to think of these two edges as forming a small but nonzero angle (even though strictly speaking the angle is zero). One final word about attachment rectangles: we slightly perturb them so that the contact angles of any two attachments should be distinct (but very near  $\pi/4$  nevertheless). Within a given attachment pair, however, the two rectangles should be kept coplanar.

How do we connect all these attachment rectangles together? Rather than giving long formal definitions, we illustrate the construction on a representative example. Suppose that  $C_1, C_2$  are the only clauses containing  $x_k$  and that  $\bar{x}_k$  does not appear in any clause. We join the four corresponding attachment rectangles together by merging them into a single simple closed strip  $X_k$  of width  $\varepsilon$ , which lies entirely within  $\{k \le z \le k+3\varepsilon\}$ . The projection of  $X_k$  on the xy-plane is a simple closed polygonal line  $L_k$  (Fig. 5). We impose three requirements:

- 1. A walk around  $L_k$  should constantly alternate between left and right turns.
- 2. Let abcd be the portion of  $L_k$  abutting the (projected) clause strip ce, with  $\angle(bce) \approx 3\pi/4$  (Fig. 4). We require that the ray from c to e should intersect bf, where f is the midpoint of ba.
- 3. The complexity of  $L_k$  should be linear in the number of clauses containing  $x_k$  or  $\bar{x}_k$  (here, the number is 2).

Instead of pursuing the construction to treat the other cases, it might be useful at this point to pause and motivate the three requirements on  $L_k$ . The first requirement implies that if, indeed, the angle between attachment pairs were not zero (like in Fig. 5) then there would be exactly two optimal

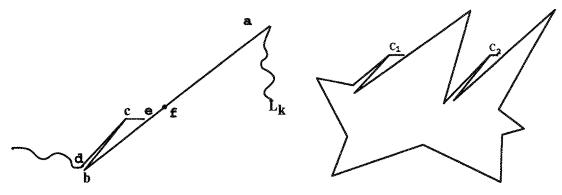


Fig. 4. Ray ce should cut bf.

Fig. 5. The projection of a variable strip.

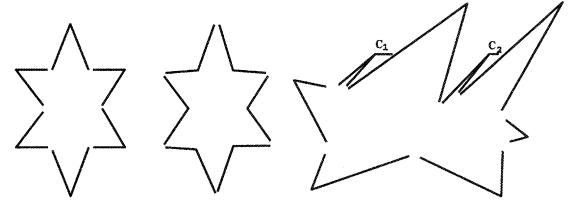


Fig. 6. Convex-cut versus concave-cut.

Fig. 7. Convex patch decomposition for the example in Fig. 5.

ways of decomposing  $L_k$  into convex polygonal curves. One is called *convex-cut* and the other one *concave-cut*: a small, self-explanatory example is given in Fig. 6.

The second requirement implies that if a convex patch covers some points in both rectangles of an attachment pair, then it cannot also cover the mass center of either of the two adjacent variable strip facets. Because the patch is connected it must overlap with the clause strip, so one case of our claim follows directly from convexity (the patch would have to zigzag), while the other case follows from the effect of the second requirement on convexity.

The last requirement indicates that we do not care to minimize the size of  $L_k$  (as long as it remains linear or even polynomial); this way, the strip  $X_k$  has plenty of room to wiggle leisurely between its attachments to clause strips without self-intersecting or intersecting other strips.

In Fig. 5 the convex-cut decomposition of  $L_k$  induces a convex patch decomposition of the strip  $X_k$  that can be made to include the whole clause strips  $C_1$ ,  $C_2$  as well (see Fig. 7). Note that the 3-facet patches formed around the clause strips are convex because the two abutting facets are—despite appearances to the contrary—coplanar.

Similarly, the concave-cut decomposition of  $L_k$  induces a convex patch decomposition of  $X_k$ , but now because of the second requirement, it is impossible to include any clause strips. Logically, the

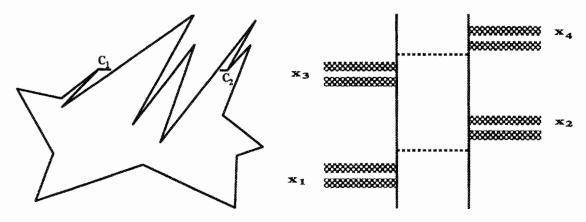


Fig. 8. Placing negated literals.

Fig. 9. A simple example.

convex-cut (respectively concave-cut) decomposition corresponds to a true (respectively false) assignment of  $x_k$ : by including the strips  $C_1$ ,  $C_2$  the decomposition is able to "satisfy" the corresponding clauses. On the other hand, the concave-cut decomposition is unable to satisfy any of them.

How do we handle the case of negated literals? Suppose that  $C_2$  contains  $\bar{x}_k$ . (Obviously we can assume that no clause contains both  $x_k$  and  $\bar{x}_k$ .) We must now ensure that convex-cut cannot include  $C_2$  but that concave-cut can. For this we need to place the attachment to  $C_2$  on a concave vertex of  $X_k$ , which is achieved in straightforward fashion (Fig. 8). We call this kind of attachment *negative*; the other kind is called *positive*. If the variable belongs to more than two clauses, we apply the same rules, running cyclically through the relevant clauses.

This completes the construction of the geometric model of the boolean formula. We claim that it is satisfiable if and only if there exists a convex patch decomposition of the geometric model of size  $N = \sum_k |L_k|/2$ , where  $|L_k|$  is the number of edges in  $L_k$ .

Suppose that the formula is satisfiable. Then, apply to each  $X_k$  the particular decomposition corresponding to its truth assignment. For each clause  $c_i$  at least one pair of attachment rectangles is covered in a single patch (pairs whose literals satisfy  $c_i$ ). If the pair is unique we easily extend the patch to cover all of  $C_i$ . If there are several, we must be careful not to disconnect existing patches. We create fictitious border lines across  $C_i$  to separate the "satisfying" attachment pairs from each other, and we flood each corresponding patch within its borders. In Fig. 9 the clause is satisfied by  $x_1$ ,  $x_3$  and  $\bar{x}_4$ , but not by  $\bar{x}_2$ : we need two borders; one to separate the  $x_1$  patch from the  $x_2$  patch, and the other one to keep the other satisfying patches (for  $x_3$  and  $\bar{x}_4$ ) separated.

We now show the converse, i.e., that if the surface can be decomposed into N convex patches then the formula is necessarily satisfiable. From now on, all convex patches are understood to be part of such an N-patch decomposition  $\mathcal{D}$ . We identify a number of special points, which we call *sites*.

- For each clause strip  $C_i$ , declare its mass center to be a *clause site*.
- For each variable strip  $X_k$ , declare the mass center of each facet to be a variable site.

Note that the total number of variable sites is 2N and the number of clause sites in n. Let G be the graph whose vertices are the variable sites and whose edges connect vertices whose associated sites are covered by the same patch in the decomposition  $\mathcal{D}$ . The following result establishes the connection between minimum decompositions and truth assignments.

## **Lemma A.1.** The graph G is such that:

- (i) no edge connects two variable sites on different variable strips;
- (ii) the set of edges forms a perfect matching.

**Proof.** To begin with, observe that no convex patch can cover more than two variable sites. Indeed, suppose that a patch covers at least three of them. The perturbation of attachment rectangles makes it impossible for the patch to contain at least two variable sites on distinct variable strips. (Note that the case of two sites, one on a positive attachment and the other on a negative attachment does not even need the perturbation.) Thus, all three variable sites must belong to the same variable. If two of these sites are on coplanar attachment rectangles, then as observed earlier, the third site causes a convexity violation either because of a zigzag pattern or because of requirement (2). To summarize, a patch can contain no more than two variable sites, both of which must then belong to the same variable strip; this proves (i). Note now that if the decomposition size is N, then each patch must, indeed, cover two variable sites. The graph thus forms a perfect matching, which establishes (ii).  $\Box$ 

There are exactly two ways of forming a perfect matching among the variable sites of the strip  $X_k$ . From this we derive a natural truth assignment for  $x_k$ , as explained earlier. We declare the variable to be true (respectively false) if and only if there exists a matched pair of variable sites on a positive (respectively negative) attachment pair. Note that the perfect matching ensures the consistency of the assignment, i.e., if a pair of variable sites on a positive attachment pair is matched, then all others are, and none of those on negative attachments are (and vice versa).

Given  $C_i$ , its clause site must be covered by some patch used in the perfect matching. As we observed earlier, by convexity, this patch must be one that covers the two variable sites on some attachment pair for some  $X_k$  (because a patch cannot cover a clause site together with two variable sites that do not both come from a single attachment pair). The patch in question specifies an assignment of  $x_k$  that satisfies  $c_i$ . It follows that every clause is satisfied, which proves that the formula is satisfiable. This completes the proof of NP-completeness.

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